



Thermalization of Quantum Gas upon Free Expansion

Jae Dong Noh (University of Seoul)

The 5th East Asian Joint Seminar on Statistical Physics (22-25 OCT 2019, ITP/CAS, Beijing)



Thermalization of isolated quantum systems

- from (nonequilibrium initial state) to (equilibrium state) via unitary time evolution
- Eigenstate Thermalization Hypothesis

Quantum thermalization upon eigenstate Joule (free) expansion

• Reference :

"Heating and Cooling of Quantum Gas by Eigenstate Joule Expansion", JD Noh, Eiki Iyoda, and Takahiro Sagawa, PRE **100**, 010106(R) (2019)

Quantum Thermalization

pedagogical lecture by D. Huse https://www.youtube.com/watch?v=GBxtgyqvZz0

D'Alessio, L., Kafri, Y., Polkovnikov, A., & Rigol, M. (2016) From quantum chaos and eigenstate thermalization to statistical mechanics and thermodynamics Advances in Physics, **65**(3), 239–362 isolated quantum many-body system

$$i\hbar\frac{\partial}{\partial t}|\Psi\rangle = \hat{H}|\Psi\rangle$$

to thermalize

. . .

or not to thermalize

integrable many-body localization

Statistical Mechanics vs Quantum Mechanics

for the full system,
$$|\Psi(t)\rangle = e^{-iHt} |\Psi(0)\rangle = \sum_{n} C_{n} e^{-iE_{n}t} |n\rangle$$

in terms of a local observable O in S



quantum mech.

$$\langle O(t) \rangle_{qm} = \langle \Psi(t) | O | \Psi(t) \rangle = \sum_{n} |C_n|^2 O_{nn} + \sum_{n \neq m} C_m^* C_n e^{i(E_m - E_n)t} O_{mn}$$

statistical mech.



Eigenstate Thermalization Hypothesis

Eigenstate Thermalization Hypothesis

J. M. Deutsch, Phys. Rev. A 43, 2046 (1991) M. Srednicki, Phys. Rev. E 50, 888 (1994)

ansatz in the basis of eigenstates of H



$$O_{mn} = \langle m | O | n \rangle = O(\bar{E})\delta_{m,n} + e^{-S(\bar{E})/2} f_O(\bar{E},\omega)R_{m,n}$$

- diagonal components : depends only on E_n not explicitly on n
- S(E) : thermodynamic entropy
- fo: smooth function of $\overline{E} = (E_n + E_m)/2$, $\omega = E_m E_n$
- $R_{m,n} = O(1)$ "random" variables

Density operator

full system $\rho(t)$

subsystem S, reduced density operator $\rho_S(t) = \text{Tr}_{\overline{S}}\rho(t)$



ETH

$$\lim_{|\overline{S}| \to \infty} \operatorname{Tr}_{\overline{S}} |E_n\rangle \langle E_n| = \rho_{S,eq}$$

single eigenstate ensemble

"The full system acts as a thermal reservoir to its subsystems."

LETTERS

Thermalization and its mechanism for generic isolated quantum systems

Marcos Rigol 1,2 , Vanja Dunjko 1,2 & Maxim Olshanii 2



$$\begin{split} \widehat{H} &= -J \sum_{\langle i,j \rangle} \left(\hat{b}_i^{\dagger} \hat{b}_j + \text{h.c.} \right) + U \sum_{\langle i,j \rangle} \hat{n}_i \hat{n}_j \\ \text{hard-core bosons} \\ &= \\ \text{spin-I/2 Pauli spins} \end{split}$$

D = 20,349 (5 bosons on 21 sites) $n(k_x, k_y) = 1/L^2 \sum_{i,j} e^{-i2\pi \mathbf{k}(\mathbf{r}_i - \mathbf{r}_j)/L} \langle \hat{b}_i^{\dagger} \hat{b}_j \rangle$



Experiments on Quantum Thermalization

Quantum thermalization through entanglement in an isolated many-body system

Adam M. Kaufman, M. Eric Tai, Alexander Lukin, Matthew Rispoli, Robert Schittko, Philipp M. Preiss, Markus Greiner*

794 19 AUGUST 2016 • VOL 353 ISSUE 6301 sciencemag.org SCIENCE



ultra-cold ⁸⁷Rb atoms

Eigenstate Joule Expansion

Joule Expansion (classical)

Joule's experiment in 1845



 $T_f = T_i$ for the non-interacting ideal gas



Joule expansion of real gas

Free expansion for real gases Am. J. Phys. 61 (9), September 1993

Jacques-Olivier Goussard Magistère de Physique, Université Denis Diderot Paris 7, France

Bernard Roulet Groupe de Physique des Solides, associé au CNRS, URA 17, Université Paris 7 et Paris 6, Tour 23, 2, Place Jussieu, 75251-Paris Cedex 05, France

(Received 15 July 1992; accepted 18 January 1993)

It is sometimes "proved" by simple physical arguments that real gases get cooler when experiencing a free expansion. These arguments are in some way incorrect since the result is in contradiction with experiment, at least for some gases. We show how these arguments can be corrected and find that there is an "inversion temperature" for all real gases. Values of the inversion temperature can be easily estimated and are compared with experiments.

Joule coefficient
$$J = \left(\frac{\partial T}{\partial V}\right)_E = -\frac{1}{C_V} \left(\frac{\partial E}{\partial V}\right)_T$$
$$= -\frac{1}{C_V} \left(\frac{\partial E_U}{\partial V}\right)_T$$

mean field approximation

$$E_U = \frac{N(N-1)}{2} \frac{\int_V d^3 r \ U_{LJ}(r) e^{-U_{LJ}(r)/T}}{\int_V d^3 r \ e^{-U_{LJ}(r)/T}}$$

$$J = \frac{N^2}{2V^2 C_V} \int_V d^3 r \ U_{LJ}(r) e^{-U_{LJ}(r)/T}$$

< 0 moderate temperatures > 0 high temperatures

Inversion temperature





$$H_{XXZ} = \frac{1}{1+\lambda} \left(H_{XXZ1} + \lambda H_{XXZ2} \right)$$

 λ : degree of integrability breaking

Eigenstate Thermalization Hypothesis for nonzero λ

spin-1/2 lattice fermions = hardcore bosons





Energy vs Temperature

energy eigenstates

temperature



numerical exact diagonalization $(D_{max} = 12,870)$



Coupled XXZ chains



Hamiltonian $H = H_L + H_R + H_{int.}$

initial state

$$|\Psi(0)\rangle = |Q_L, n_L\rangle \otimes |Q_R, n_R\rangle$$

pure state

unitary time evolution after quenching

reduced density matrix $\rho_L = \text{Tr}_R |\Psi(t)\rangle \langle \Psi(t)|$

full diaginalization of H or Lie-Suzuki-Trotter decomposition

singular value decomposition

 $|\Psi(t)\rangle = e^{-iHt} |\Psi(0)\rangle$

Joule expansion into vacuum

Initial condition : Subsystem L is empty, while subsystem R is fully occupied.

 $|\Psi(0)\rangle = |0\rangle \otimes \cdots \otimes |0\rangle \otimes |1\rangle \cdots \otimes |1\rangle \Rightarrow |\Psi(t)\rangle$



homogeneous steady state

Joule expansion into vacuum

probability distribution $P_L(Q, n) = \langle Q, n | \rho_L | Q, n \rangle$



Probability distribution

quantum thermalization

$$P_L(Q, n) \propto \exp\left[S_R(E_{tot} - E_{Q,n}, Q_{tot} - Q)\right]$$
 (weak coupling limit)

let
$$\delta E = E_{Q,n} - \bar{E}_L$$
 and $\delta Q = Q_L - \bar{Q}_L$

grand canonical ensemble (like) distribution

$$P_L(Q, n) \propto \exp\left[-(\delta E - \mu \delta Q)/T - a_{11}(\delta E)^2 - 2a_{12}(\delta E)(\delta Q) - a_{22}(\delta Q)^2 + \cdots\right]$$

Probability distribution

$$P_L(Q, n) \propto \exp\left[-(\delta E - \mu \delta Q)/T - a_{11}(\delta E)^2 - 2a_{12}(\delta E)(\delta Q) - a_{22}(\delta Q)^2 + \cdots\right]$$

particle-hole symmetry ($\mu = a_{12} = 0$)



Heating/Cooling by Joule expansion



$q_{\rm L} = 0, q_{\rm R} = 1$

4



$q_L = 1/4, q_R = 3/4, n_L = n_R$



$q_L = 0, q_R = 2/3, n_L = 0$



Inversion Temperature



nature physics

PUBLISHED ONLINE: 15 JANUARY 2012 | DOI: 10.1038/NPHYS2205

Fermionic transport and out-of-equilibrium dynamics in a homogeneous Hubbard model with ultracold atoms

Ulrich Schneider^{1,2}*, Lucia Hackermüller^{1,3}, Jens Philipp Ronzheimer^{1,2}, Sebastian Will^{1,2}, Simon Braun^{1,2}, Thorsten Best¹, Immanuel Bloch^{1,2,4}, Eugene Demler⁵, Stephan Mandt⁶, David Rasch⁶ and Achim Rosch⁶



Figure 1 | **Expansion of fermionic atoms after a quench of the trapping potential.** First a dephased band-insulator is created in the combination of an optical lattice and a strong harmonic trap. Subsequently the harmonic confinement is switched off and the cloud expands in a homogeneous Hubbard model. The observed *in situ* density distributions demonstrate the strong effects of interactions on the evolution.

Summary and Future Works

Thermalization of coupled systems in general thermal contact

Cooling/heating of a quantum gas by the eigenstate Joule expansion

Nonequilibrium dynamics

• Transport

Quantum entanglement