

iTHEMS

RIKEN interdisciplinary
Theoretical & Mathematical
Sciences

Probing topology, geometry, and localization through fluctuation-dissipation theorem in ultracold atomic gases and beyond

Tomoki Ozawa
RIKEN iTHEMS

The 5th East Asian Joint Seminars On Statistical Physics
@ Institute of Theoretical Physics, Chinese Academy of Sciences, China
2019/10/22

Outline

1. What are topology and geometry of band structures?
2. How can we measure it?
 - I. Quantum geometric tensor and topology
 - II. Localization, many-body quantum metric, and fluctuation-dissipation theorem

Bloch's theorem and physics on a band

A particle (e.g. electrons) in a periodic potential

$$\hat{H} = \frac{p^2}{2m} + V(\mathbf{r}) \quad V(\mathbf{r} + \mathbf{a}_i) = V(\mathbf{r})$$

Eigenstates are labeled by band index n and crystal momentum \mathbf{k}

$$\hat{H}\psi_{n,\mathbf{k}}(\mathbf{r}) = E_n(\mathbf{k})\psi_{n,\mathbf{k}}(\mathbf{r})$$

Here $\psi_{n,\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{n,\mathbf{k}}(\mathbf{r})$ $u_{n,\mathbf{k}}(\mathbf{r} + \mathbf{a}_i) = u_{n,\mathbf{k}}(\mathbf{r})$

$E_n(\mathbf{k})$: Energy band structure $u_{n,\mathbf{k}}(\mathbf{r}) = \langle \mathbf{r} | u_{n,\mathbf{k}} \rangle$: Bloch state

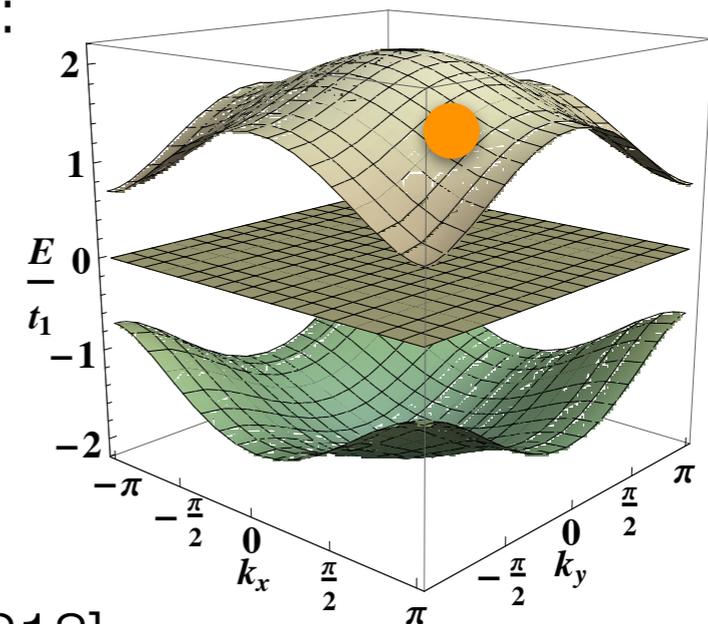
A wavepacket constructed on a band has a group velocity:

$$\dot{\mathbf{r}} = \frac{\partial E_n(\mathbf{k})}{\hbar \partial \mathbf{k}}$$

In the presence of external fields:

$$\hbar \dot{\mathbf{k}} = -e\mathbf{E}(\mathbf{r}) - e\dot{\mathbf{r}} \times \mathbf{B}$$

— semiclassical equations of motion —



Geometric structure of bands

Geometric structure of band characterizes how much the Bloch state $|u_{n,\mathbf{k}}\rangle$ changes within the Brillouin zone

Physically meaningful quantity should be invariant under the **gauge transformation**:

$$|u_{n,\mathbf{k}}\rangle \longrightarrow e^{i\theta(\mathbf{k})} |u_{n,\mathbf{k}}\rangle$$

$\partial_{k_\mu} |u_{n,\mathbf{k}}\rangle$ is not gauge invariant, so it is not a good quantity to characterize the change of the Bloch state

Instead, we need to consider the **covariant derivative**:

$$D_{k_\mu} |u_{n,\mathbf{k}}\rangle \equiv (\partial_{k_\mu} + i\mathcal{A}_\mu^n(\mathbf{k})) |u_{n,\mathbf{k}}\rangle$$

$$\mathcal{A}_\mu^n(\mathbf{k}) \equiv i\langle u_{n,\mathbf{k}} | \partial_{k_\mu} |u_{n,\mathbf{k}}\rangle : \text{Berry connection}$$

$$\text{Then, } D_{k_\mu} |u_{n,\mathbf{k}}\rangle \rightarrow e^{i\theta(\mathbf{k})} D_{k_\mu} |u_{n,\mathbf{k}}\rangle$$

Its inner product is gauge invariant, and thus physically meaningful

$$\chi_{\mu\nu}^n(\mathbf{k}) \equiv (D_{k_\mu} \langle u_{n,\mathbf{k}} |) (D_{k_\nu} |u_{n,\mathbf{k}}\rangle) \quad \boxed{\text{Quantum geometric tensor}}$$

Quantum geometric tensor & Topology

Quantum geometric tensor:

$$\begin{aligned}\chi_{\mu\nu}^n(\mathbf{k}) &\equiv \left\langle \frac{\partial u_{n,\mathbf{k}}}{\partial k_\mu} \left| \frac{\partial u_{n,\mathbf{k}}}{\partial k_\nu} \right\rangle - \left\langle \frac{\partial u_{n,\mathbf{k}}}{\partial k_\mu} \left| u_{n,\mathbf{k}} \right\rangle \left\langle u_{n,\mathbf{k}} \left| \frac{\partial u_{n,\mathbf{k}}}{\partial k_\nu} \right\rangle \right. \\ &= \sum_{n' \neq n} \left\langle \frac{\partial u_{n,\mathbf{k}}}{\partial k_\mu} \left| u_{n',\mathbf{k}} \right\rangle \left\langle u_{n',\mathbf{k}} \left| \frac{\partial u_{n,\mathbf{k}}}{\partial k_\nu} \right\rangle \right. \\ &\equiv g_{\mu\nu}^n(\mathbf{k}) - i\Omega_{\mu\nu}^n(\mathbf{k})/2\end{aligned}$$

$g_{\mu\nu}^n(\mathbf{k})$: quantum metric tensor, Fubini-Study metric

$\Omega_{\mu\nu}^n(\mathbf{k}) = (\nabla_{\mathbf{k}} \times \mathcal{A}^n(\mathbf{k}))_{\mu\nu}$: Berry curvature

In 2D,

$$\chi^n(\mathbf{k}) = \begin{pmatrix} g_{xx}^n(\mathbf{k}) & g_{xy}^n(\mathbf{k}) - i\Omega_{xy}^n(\mathbf{k})/2 \\ g_{xy}^n(\mathbf{k}) + i\Omega_{xy}^n(\mathbf{k})/2 & g_{yy}^n(\mathbf{k}) \end{pmatrix}$$

Semiclassical equation of motion, geometry, & topology

Taking the geometry into account, the correct semiclassical equations of motion is:

$$\dot{\mathbf{r}} = \frac{\partial E_n(\mathbf{k})}{\hbar \partial \mathbf{k}} - \dot{\mathbf{k}} \times \boldsymbol{\Omega}^n(\mathbf{k})$$
$$\hbar \dot{\mathbf{k}} = -e\mathbf{E} - e\dot{\mathbf{r}} \times \mathbf{B}$$

Looks like a Lorentz force

(ordinary) Lorentz force

where $\boldsymbol{\Omega}^n \equiv (\Omega_{yz}^n, \Omega_{zx}^n, \Omega_{xy}^n)$

Geometric property is locally defined in each point in k-space

Topology is a **global** property of k-space

Topological invariant is an **integer** which characterizes the entire system

$$C^n = \frac{1}{2\pi} \int dk_x dk_y \Omega_{xy}^n(\mathbf{k})$$

Chern number (topological)

Berry curvature (geometrical)

Bulk-edge correspondence:

Number of edge states within a gap = Sum of Chern number of bands under the gap

Outline

1. What are topology and geometry of band structures?
2. How can we measure it?
 - I. Quantum geometric tensor and topology
 - II. Localization, many-body quantum metric, and fluctuation-dissipation theorem

Measuring geometry of bands through spectroscopy

We propose to measure the geometry through **excitation rate upon periodic modulation**

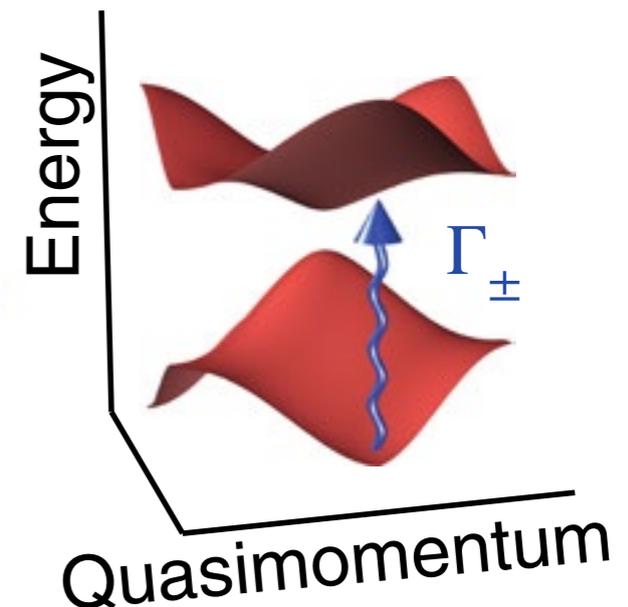
$$\hat{H}(t) = \hat{H}_{\text{lattice}} + 2E \cos(\omega t) \hat{x}$$

We are interested in the geometry of this Hamiltonian

To probe the geometry, we add this perturbation

Steps:

1. Prepare the system in a Bloch state (or a superposition of Bloch states)
2. Add the perturbation
3. Measure the excitation rate
4. Integrate over the perturbation frequency ω
5. Then we get the quantum metric $g_{\mu\nu}^n$!!



Fermi's golden rule

1. Prepare the system in a Bloch state (or a superposition of Bloch states)

At $t = 0$, we start from a state $e^{i\mathbf{k}\cdot\mathbf{r}}|u_{n,\mathbf{k}}\rangle$

2. Add a perturbation

$$2E \cos(\omega t)\hat{x} = E\hat{x}e^{i\omega t} + \text{H.c.}$$

3. Measure the excitation rate

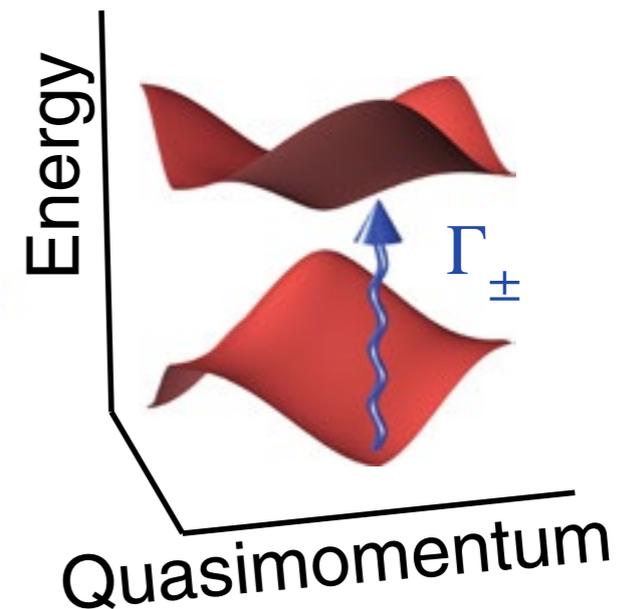
Probability of finding the system in a state different from the original state is (Fermi's golden rule):

$$n_{\text{ex}}(\omega, t) = \frac{2\pi t}{\hbar} E^2 \sum_{|u_{n',\mathbf{k}'}\rangle \neq |u_{n,\mathbf{k}}\rangle} \underbrace{|\langle u_{n',\mathbf{k}'} | e^{-i\mathbf{k}'\cdot\mathbf{r}} \hat{x} e^{i\mathbf{k}\cdot\mathbf{r}} | u_{n,\mathbf{k}} \rangle|^2}_{\text{matrix element squared}} \delta^{(t)}(E_{n'}(\mathbf{k}') - E_n(\mathbf{k}) - \hbar\omega)$$

where $\delta^{(t)}(\epsilon) \equiv (2\hbar/\pi t) \sin^2(\epsilon t/2\hbar)/\epsilon^2 \longrightarrow \delta(\epsilon)$ at large t

The matrix element is (Karplus & Luttinger, 1954):

$$\underbrace{\langle u_{n',\mathbf{k}'} | e^{-i\mathbf{k}'\cdot\mathbf{r}} \hat{x} e^{i\mathbf{k}\cdot\mathbf{r}} | u_{n,\mathbf{k}} \rangle}_{\text{matrix element}} = i\delta_{\mathbf{k}',\mathbf{k}} \langle u_{n',\mathbf{k}} | \partial_{k_x} u_{n,\mathbf{k}} \rangle$$



Excitation rate

4. Integrate over the perturbation frequency ω

Excitation rate is

$$\Gamma(\omega) \equiv \frac{n_{\text{ex}}(\omega, t)}{t} = \frac{2\pi E^2}{\hbar} \sum_{n' \neq n} |\langle u_{n', \mathbf{k}} | \partial_{k_x} u_{n, \mathbf{k}} \rangle|^2 \delta^{(t)}(E_{n'}(\mathbf{k}) - E_n(\mathbf{k}) - \hbar\omega)$$

Integrating over the frequency, we obtain the quantum metric!!

$$\Gamma^{\text{int}} \equiv \int_0^\infty \Gamma(\omega) d\omega = \frac{2\pi E^2}{\hbar^2} \sum_{n' \neq n} |\langle u_{n', \mathbf{k}} | \partial_{k_x} u_{n, \mathbf{k}} \rangle|^2 = \frac{2\pi E^2}{\hbar^2} g_{xx}^n(\mathbf{k})$$

If the initial state is fermions (partially) filling the band with density $\rho(\mathbf{k})$

$$\Gamma^{\text{int}} = \frac{2\pi E^2}{\hbar^2} \sum_{\mathbf{k}} \rho(\mathbf{k}) g_{xx}^n(\mathbf{k})$$

How to measure the Berry curvature

In fact, the proposal to measure the Berry curvature existed earlier:

Tran, Dauphin, Grushin, Zoller, & Goldman, *Science Advances* **3**, e1701207 (2017)

Here, one adds **circular shakings** and take a difference

$$\hat{H}(t) = \hat{H}_{\text{lattice}} + 2E (\hat{x} \cos(\omega t) \pm \hat{y} \sin(\omega t))$$

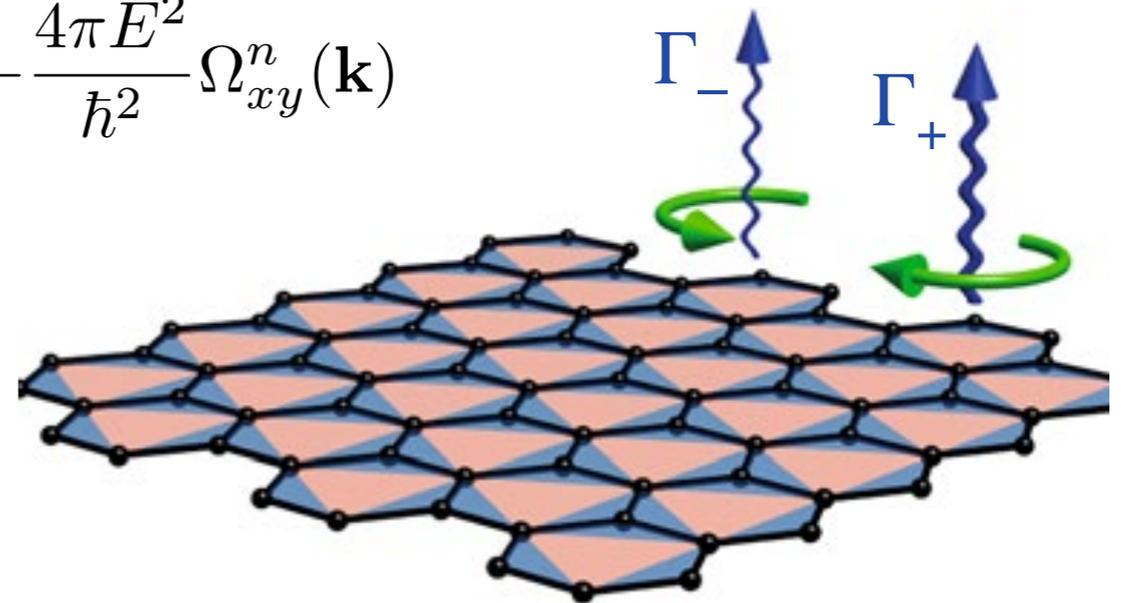
When the initial state is a Bloch state $e^{i\mathbf{k}\cdot\mathbf{r}} |u_{n,\mathbf{k}}\rangle$, the integrated excitation rate is

$$\Gamma_{\pm}^{\text{int}} = \frac{2\pi E^2}{\hbar^2} (g_{xx}^n(\mathbf{k}) + g_{yy}^n(\mathbf{k}) \mp \Omega_{xy}^n(\mathbf{k}))$$

So, the difference between the clockwise and anticlockwise shaking is

$$\Delta\Gamma^{\text{int}} \equiv \Gamma_{+}^{\text{int}} - \Gamma_{-}^{\text{int}} = -\frac{4\pi E^2}{\hbar^2} \Omega_{xy}^n(\mathbf{k})$$

If the initial state covers a whole band, the difference of the integrated excitation rate gives the topological Chern number



Experiment (ultracold atoms @ Hamburg)

Asteria, Tran, IQ, et al., Nature Physics **15**, 449–454 (2019)

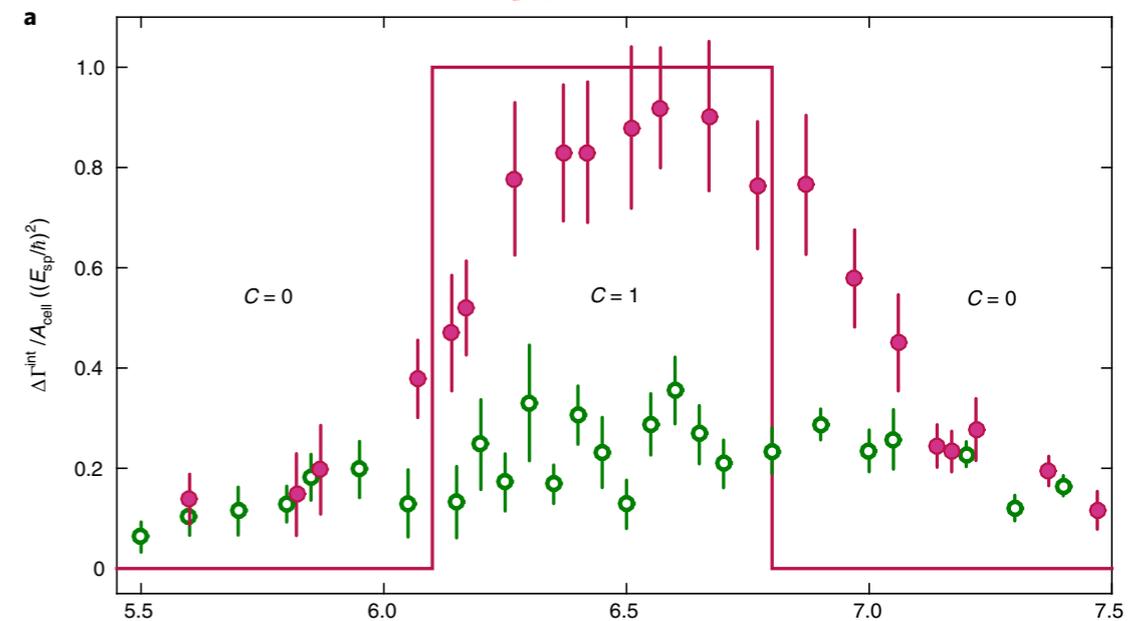
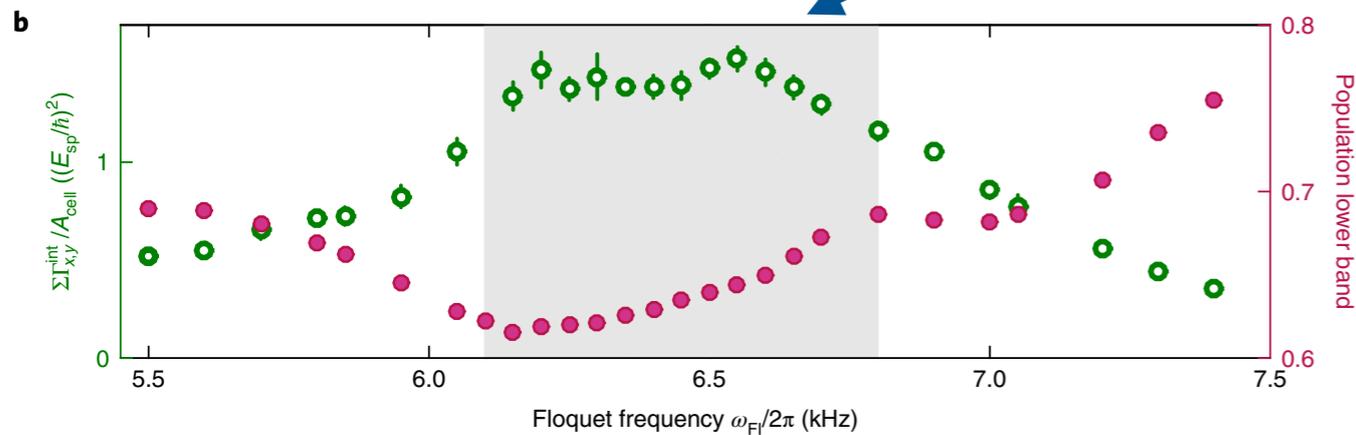
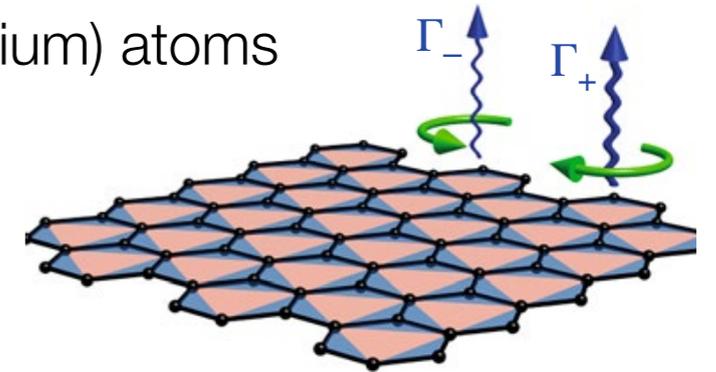
We experimentally implemented the protocol using ultracold K (potassium) atoms

We prepare the Haldane model

And then shake linearly / circularly and detect excitation

We change the system parameter and observed **topological phase transitions**

and also the first ever estimate of **quantum metric**



More quantitative measurement in progress in collaboration with Y. Takahashi group in Kyoto

Experiment (diamond NV Center @ Wuhan)

Suppose Hamiltonian $\hat{H}(\boldsymbol{\lambda})$ and its eigenstates $\psi(\boldsymbol{\lambda})$ depends on parameters: $\boldsymbol{\lambda} = (\lambda_1, \lambda_2, \dots)$

Quantum geometric tensor can also be defined in this **general parameters space**:

$$\chi_{\mu\nu}(\boldsymbol{\lambda}) \equiv \langle \partial_{\lambda_\mu} \psi(\boldsymbol{\lambda}) | \partial_{\lambda_\nu} \psi(\boldsymbol{\lambda}) \rangle - \langle \partial_{\lambda_\mu} \psi(\boldsymbol{\lambda}) | \psi(\boldsymbol{\lambda}) \rangle \langle \psi(\boldsymbol{\lambda}) | \partial_{\lambda_\nu} \psi(\boldsymbol{\lambda}) \rangle$$

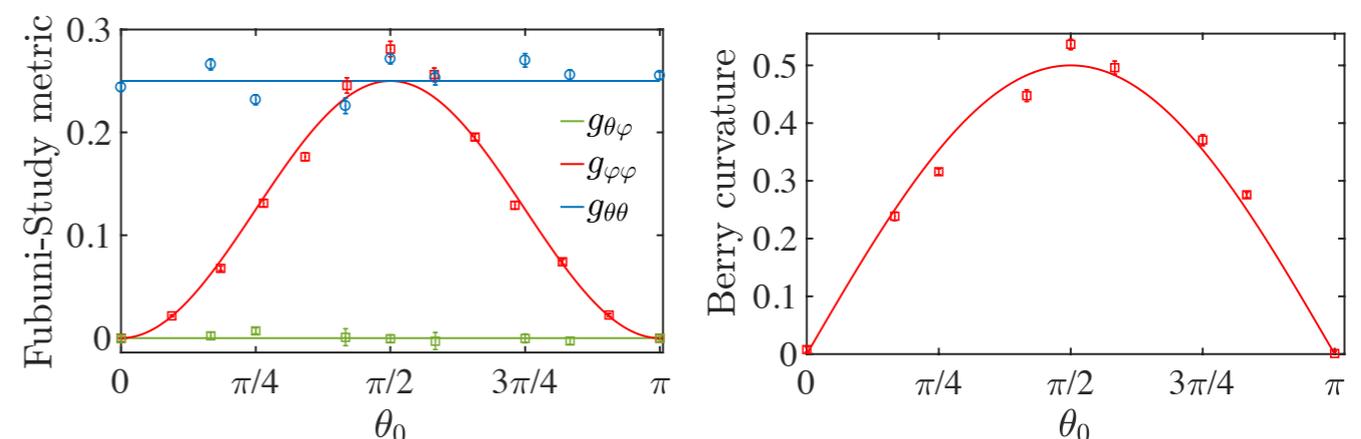
To measure it, we now modulate **parameters** in time $\lambda_1(t) = \lambda_1^0 + 2(E/\hbar\omega) \cos(\omega t)$

Starting from an eigenstate $|\psi_{\text{ini}}\rangle$ of the Hamiltonian $\hat{H}(\boldsymbol{\lambda}^0)$, the integrated excitation rate is then

$$\Gamma^{\text{int}} = \frac{2\pi E^2}{\hbar^2} g_{\lambda_1 \lambda_1}(\boldsymbol{\lambda}^0)$$

With qubits in diamond NV centers, the Hamiltonian $\hat{H}(\theta, \phi) = H_0 \begin{pmatrix} \cos \theta & \sin \theta e^{-i\phi} \\ \sin \theta e^{i\phi} & -\cos \theta \end{pmatrix}$

Is realized and the **quantum geometric tensor** is **quantitatively measured for the first time**



Ozawa & Goldman, PRB **97**, 201117(R) (2018)

Yu, *et al.*, arXiv:1811.12840

cf. superconducting qubit: Tan, *et al.* (Nanjing), Phys. Rev. Lett. **122**, 210401 (2019)

Outline

1. What are topology and geometry of band structures?
2. How can we measure it?
 - I. Quantum geometric tensor and topology
 - II. Localization, many-body quantum metric, and fluctuation-dissipation theorem

Reconsider the argument

What we had was a time periodic modulation of the following form:

$$\hat{H}(t) = \hat{H}_0 + 2E \cos(\omega t) \hat{x}$$

We started from a Bloch state and looked at the excitation rate.

Now, assume that the Hamiltonian is the many-body Hamiltonian, and we start from an arbitrary eigenstate $|\alpha\rangle$

The probability of the system being excited is:

$$n_{\text{ex}}(\omega, t) = \frac{2\pi E^2 t}{\hbar} \sum_{\beta \neq \alpha} |\langle \beta | \hat{x} | \alpha \rangle|^2 \delta^{(t)}(\epsilon_\beta - \epsilon_\alpha - \hbar\omega)$$

And thus the integrated excitation rate is:

$$\Gamma^{\text{int}} \equiv \int_0^\infty \frac{n_{\text{ex}}(\omega, t)}{t} d\omega = \frac{2\pi E^2}{\hbar^2} \sum_{\beta \neq \alpha} |\langle \beta | \hat{x} | \alpha \rangle|^2$$

$$\sum_{\beta \neq \alpha} |\langle \beta | \hat{x} | \alpha \rangle|^2 = \sum_{\beta \neq \alpha} \langle \alpha | \hat{x} | \beta \rangle \langle \beta | \hat{x} | \alpha \rangle = \langle \alpha | \hat{x}^2 | \alpha \rangle - \langle \alpha | \hat{x} | \alpha \rangle^2 \equiv \text{Var}(\hat{x})$$

Variance of position!

Fluctuation-dissipation theorem

We can derive the same formula from the fluctuation-dissipation theorem

Upon adding a modulation $2E \cos(\omega t) \hat{x}$, the **fluctuation-dissipation theorem** tells that

$$\langle \hat{x}^2 \rangle = \frac{\hbar}{\pi} \int_0^\infty \frac{\alpha''(\omega)}{\coth \frac{\hbar\omega}{2T}} d\omega$$

Landau-Lifshitz "Statistical Physics" eq. (124.10)

Imaginary part of the generalized susceptibility

On the other hand, the rate of energy absorption by the system is $P(\omega) = 2\omega E^2 \alpha''(\omega)$

Landau-Lifshitz "Statistical Physics" eq. (123.11)

The rate of exciting the system is then $\Gamma(\omega) = P(\omega) / \hbar\omega$ and thus

$$\langle \hat{x}^2 \rangle = \frac{\hbar^2}{2\pi E^2} \int_0^\infty \Gamma(\omega) \coth \frac{\hbar\omega}{2T} d\omega$$

In the limit of $T = 0$, we re-obtain

$$\Gamma^{\text{int}} = \int_0^\infty \Gamma(\omega) d\omega = \frac{2\pi E^2}{\hbar^2} \langle \hat{x}^2 \rangle = \frac{2\pi E^2}{\hbar^2} \text{Var}(\hat{x})$$

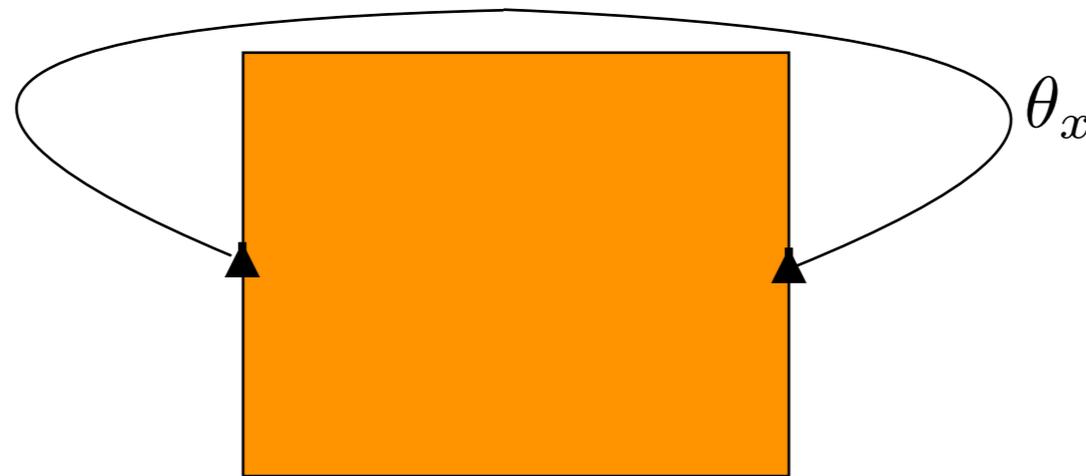
Many-body quantum geometric tensor

We can connect the variance of the position and geometry by introducing the concept of **many-body quantum geometric tensor**

Historically, Berry curvature was extended to many-body cases by Niu-Thouless-Wu (1985) by defining the Berry curvature in the parameter space of **twisted boundary condition**

Here, we consider a many-body wave function $\Psi(\{\mathbf{r}_a\})$ with

$$\Psi(\{x_a + L_x, y_a, \dots\}) = e^{i\theta_x} \Psi(\{x_a, y_a, \dots\})$$



Many-body quantum geometric tensor is defined in this twist space as

$$\begin{aligned} \chi_{\mu\nu}(\boldsymbol{\theta}) &\equiv \left\langle \frac{\partial \Psi(\boldsymbol{\theta})}{\partial \theta_\mu} \left| \frac{\partial \Psi(\boldsymbol{\theta})}{\partial \theta_\nu} \right\rangle - \left\langle \frac{\partial \Psi(\boldsymbol{\theta})}{\partial \theta_\mu} \left| \Psi(\boldsymbol{\theta}) \right\rangle \left\langle \Psi(\boldsymbol{\theta}) \left| \frac{\partial \Psi(\boldsymbol{\theta})}{\partial \theta_\nu} \right\rangle \right. \\ &\equiv g_{\mu\nu}(\boldsymbol{\theta}) - i\Omega_{\mu\nu}(\boldsymbol{\theta})/2 \end{aligned}$$

Meaning of the many-body quantum geometry

Niu-Thouless-Wu (1985): Many-body Berry curvature is related to the many-body Chern number

$$C_{\text{MB}} = \frac{1}{2\pi} \int d\theta_x d\theta_y \Omega_{xy}(\theta_x, \theta_y)$$

This integer enters the Hall conductance

If there is a degeneracy of states, it can signal fractional quantum Hall effect

Souza-Wilkens-Martin (2000): Many-body quantum metric is related to the localization

Matrix element of the (properly defined) position operator satisfies $\langle \alpha | \hat{x} | \beta \rangle = -iL_x \langle \partial_{\theta_x} \alpha | \beta \rangle$

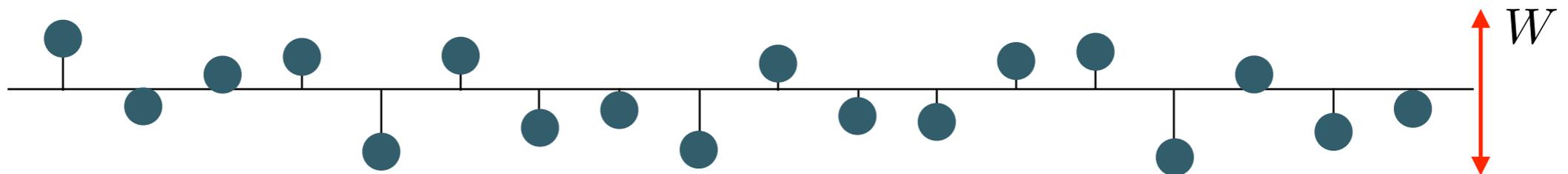
$$\begin{aligned} g_{xx} &= \langle \partial_{\theta_x} \alpha | \partial_{\theta_x} \alpha \rangle - \langle \partial_{\theta_x} \alpha | \alpha \rangle \langle \alpha | \partial_{\theta_x} \alpha \rangle \\ &= \sum_{\beta \neq \alpha} \langle \partial_{\theta_x} \alpha | \beta \rangle \langle \beta | \partial_{\theta_x} \alpha \rangle = \sum_{\beta \neq \alpha} \langle \alpha | \hat{x} | \beta \rangle \langle \beta | \hat{x} | \alpha \rangle / L_x^2 \\ &= (\langle \alpha | \hat{x}^2 | \alpha \rangle - \langle \alpha | \hat{x} | \alpha \rangle^2) / L_x^2 = \text{Var}(\hat{x}) / L_x^2 \end{aligned}$$

And thus

$$\Gamma^{\text{int}} = \frac{2\pi E^2}{\hbar^2} \text{Var}(\hat{x}) = \frac{2\pi E^2}{\hbar^2} L_x^2 g_{xx}$$

Example I: Anderson model

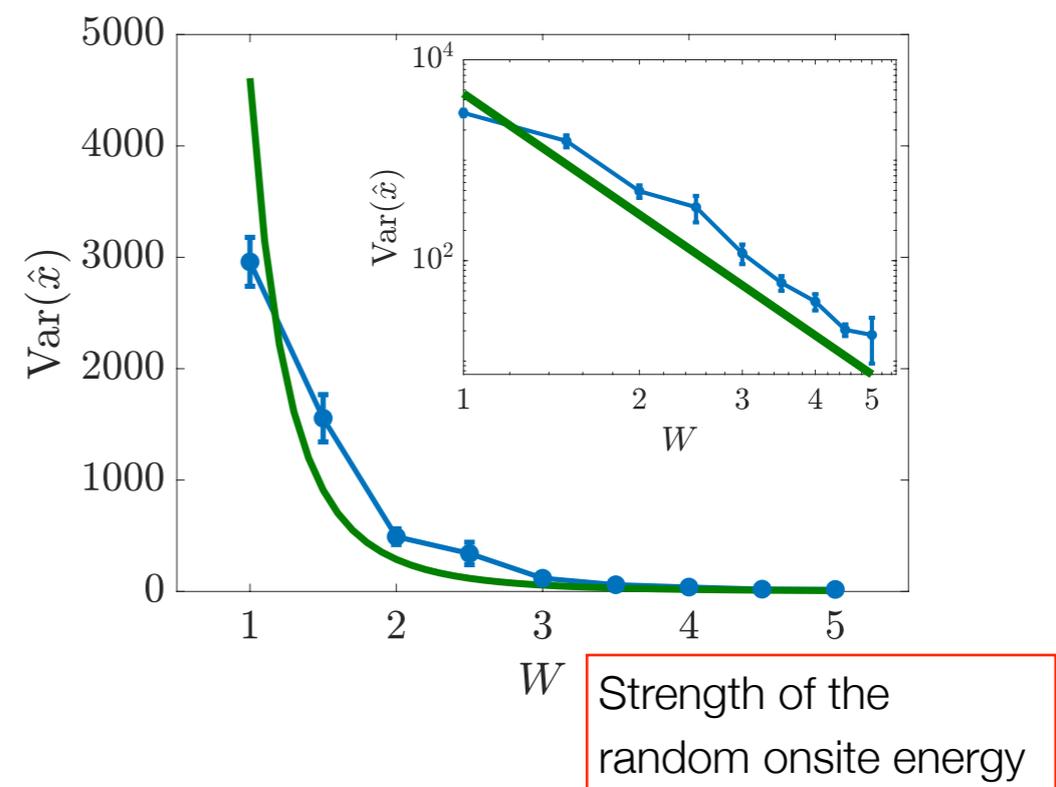
We theoretically apply our method to the one-dimensional Anderson model



One dimensional lattice with **uniform hopping** and **random onsite energy**

Eigenstates are localized (Anderson localization)

We try to detect the variance of the localized eigenstates through excitation rate simulation



The measurement of the many-body quantum metric can be used to detect the localization without directly looking at real-space wave function

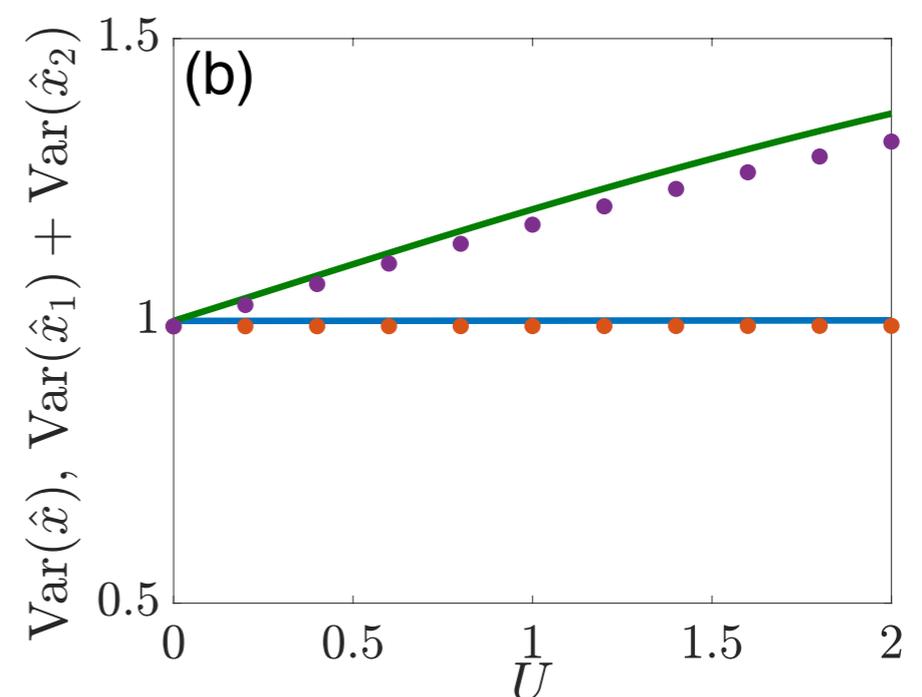
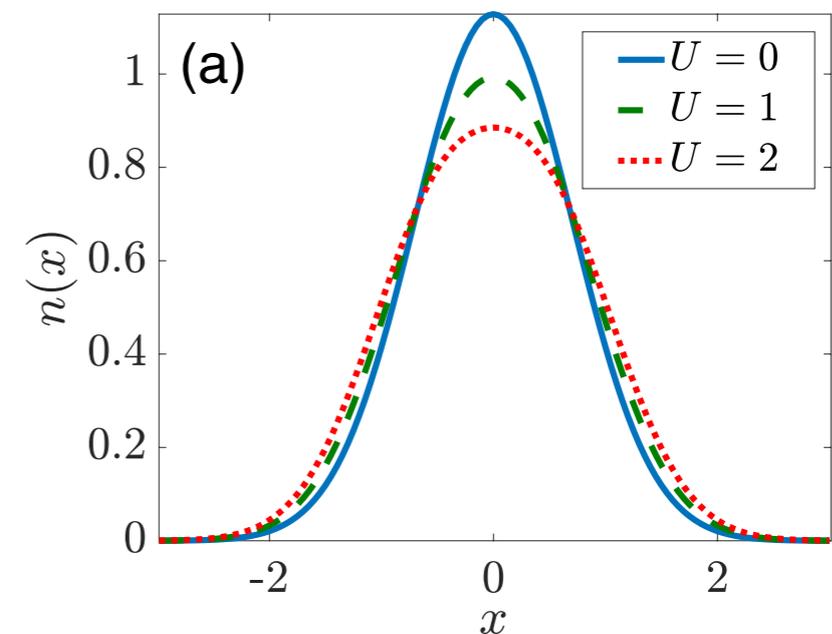
Example II: two particles in a harmonic trap

We consider two interacting particles in a harmonic trap

In the presence of repulsive interactions, the wavefunction becomes a bit spread

We try to detect this spread through simulating periodic modulation and looking at the excitation rate

As a function of the repulsive interaction U , we can estimate the spread via excitation rate simulation



Summary

- **Quantum geometric tensor** characterizes the gauge invariant structure of a quantum state on a parameter space, and it is made of two parts: [Quantum metric](#) and [Berry curvature](#)
- Quantum geometric tensor can be extracted through excitation rate upon periodic modulation
- In many-body or disordered situation, **fluctuation-dissipation theorem** relates excitation rate and the fluctuation of position, which in turn is related to quantum metric in twist-boundary condition space

- **Theory** collaboration: [Nathan Goldman](#) (Université Libre de Bruxelles)
- **Experiment** collaboration (cold atom): [Klaus Sengstock](#) & [Christof Weitenberg](#) (Hamburg)
- **Experiment** collaboration (NV center): [Jianming Cai](#) (Huazhong University)
- Ongoing **experimental** collaboration (cold atom): [Yoshiro Takahashi](#) (Kyoto)

Ozawa & Goldman, PRB **97**, 201117(R) (2018)
Astria, *et al.*, Nature Physics **15**, 449–454 (2019)
Yu, *et al.*, arXiv:1811.12840
Ozawa & Goldman, arXiv:1904.11764