

PBH from EWPT in xSM and its GW signal

Yongcheng Wu
Nanjing Normal University

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D. Goncalves, A. Kaladharan, **YW**; **arXiv**: 2406.07622, *Phys.Rev.D* 111 (2025) 035009
D. Goncalves, A. Kaladharan, YW; arXiv: 2510.xxxxx

Introduction

- Electroweak Phase Transition (EWPT)
 - Electroweak symmetry breaking
 - Baryogenesis
 - Dark Matter Production
 - Gravitational Wave Signal
- Primordial Black Hole
 - Test the Evolution in the Early Universe
 - Dark Matter Candidates
 - Lensing effects
 - GW Signal from Merger/Formation

Introduction

- Formation of PBH during EWPT (1st Order)

- Bubble Collision
- Trapped Particles

- Delayed Vacuum Transition

J. Liu, L. Bian, R.-G. Cai, Z.-K. Guo, S.-J. Wang; Phys. Rev. D 105 (2022) L021303

- Mass $\sim 10^{-6} - 10^{-5} M_{\odot}$

Gauge Issue, see Jinno's Talk

- Implications on BSM

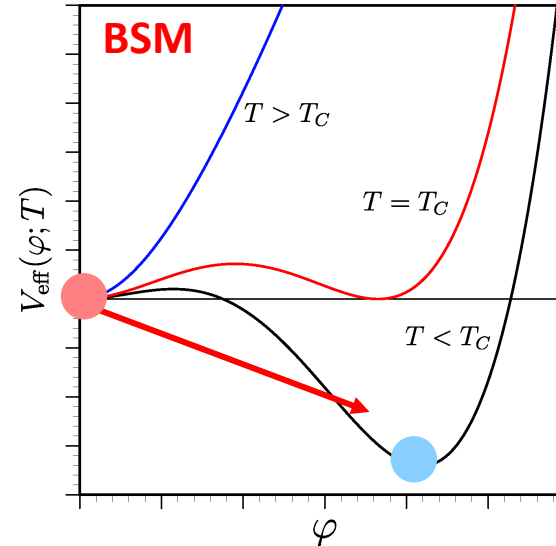
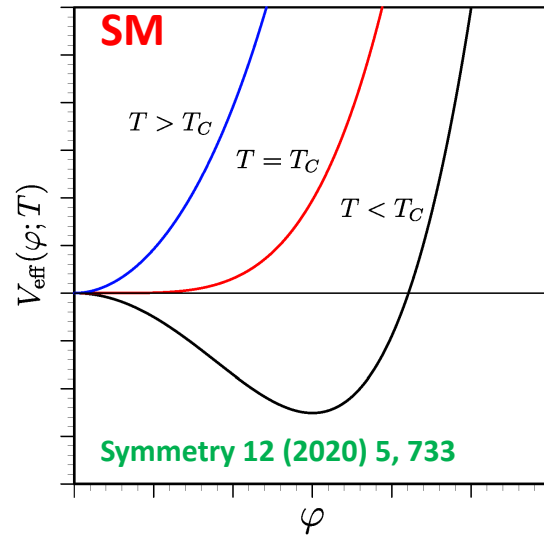
- Preference on parameter space, i.e., Cubic couplings
- GW vs. Microlensing vs. Collider Searches



From EWPT vs From BH Binary

EWPT and PBH

- First-order EWPT



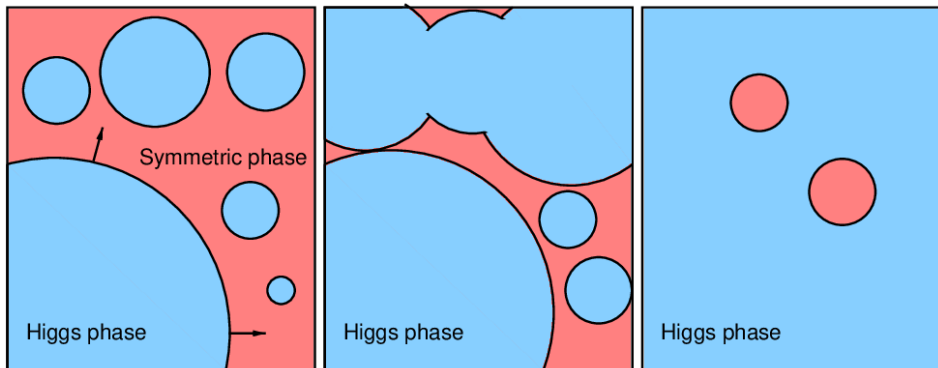
Nucleation Rate:

$$\Gamma(T) \approx T^4 \left(\frac{S_3}{2\pi T} \right)^{\frac{3}{2}} e^{-\frac{S_3}{T}}$$

False Vacuum Fraction:

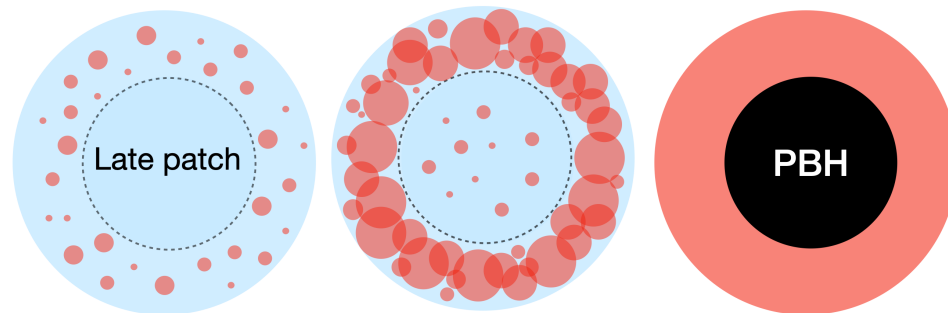
$$F(t) = \begin{cases} 1, & t < t_0 \\ e^{-I(t)}, & t \geq t_0 \end{cases}$$

$$I(t) = \frac{4\pi}{3} \int_{t_0}^t dt' \Gamma(t') a^3(t') r^3(t, t')$$



EWPT and PBH

- Randomness – Some patch nucleate late



- Late Patch
 - Higher False Vacuum Fraction

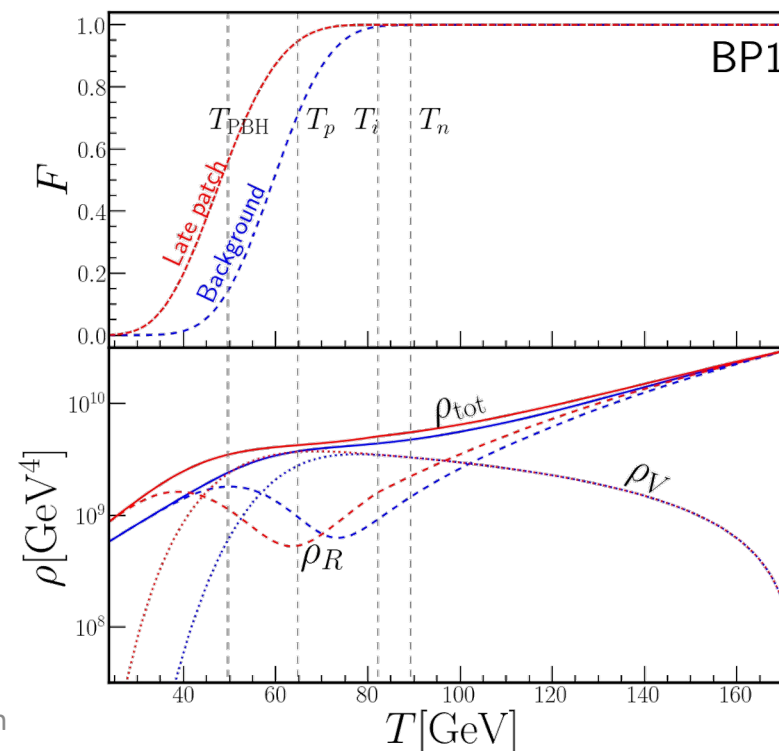
$$\rho_V \sim a^0 \quad \rho_R \sim a^{-4}$$

$$\delta = \frac{\rho^{in} - \rho^{out}}{\rho^{out}} \rightarrow \delta_c \approx 0.45$$

- Mass of PBH

$$M_{PBH} \approx \frac{4\pi}{3} H^{-3}(t_{PBH}) \rho_c = 4\pi M_P^2 H^{-1}(T_{PBH})$$

$$M_{PBH} \sim 10^{-6} \sim 10^{-5} M_\odot$$



EWPT and PBH

- The Probability to remain in false vacuum

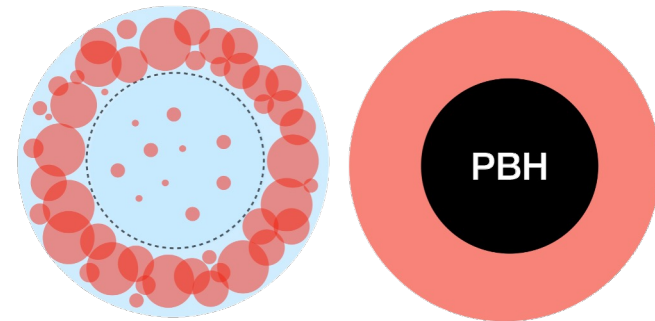
K. Kawana, T. Kim, P. Lu; Phys. Rev. D 108 (2023) 103531

$$P(t_i) = \exp \left[- \int_{t_c}^{t_i} dt' \Gamma(t') a_{in}^3(t') V_{coll}(t') \right]$$

$$V_{coll}(t') = \frac{4\pi}{3} \left[\frac{1}{a(t_{PBH})H(t_{PBH})} + \int_{t'}^{t_{PBH}} \frac{d\tilde{t}}{a(\tilde{t})} \right]^3$$

- The fraction of PBH in DM density

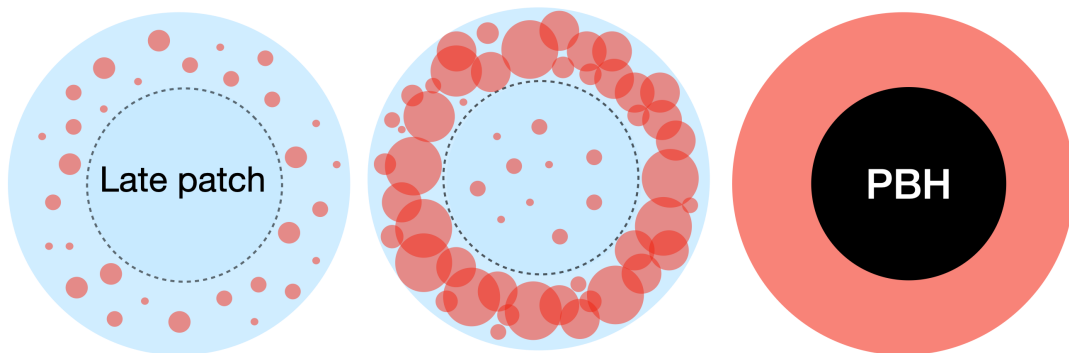
$$f_{PBH} \equiv \frac{\rho_{PBH}}{\rho_{DM}} = \left(\frac{H(t_{PBH})}{H(t_0)} \right)^2 \left(\frac{a(t_{PBH})}{a(t_0)} \right)^3 \frac{P(t_i)}{\Omega_{DM}}$$



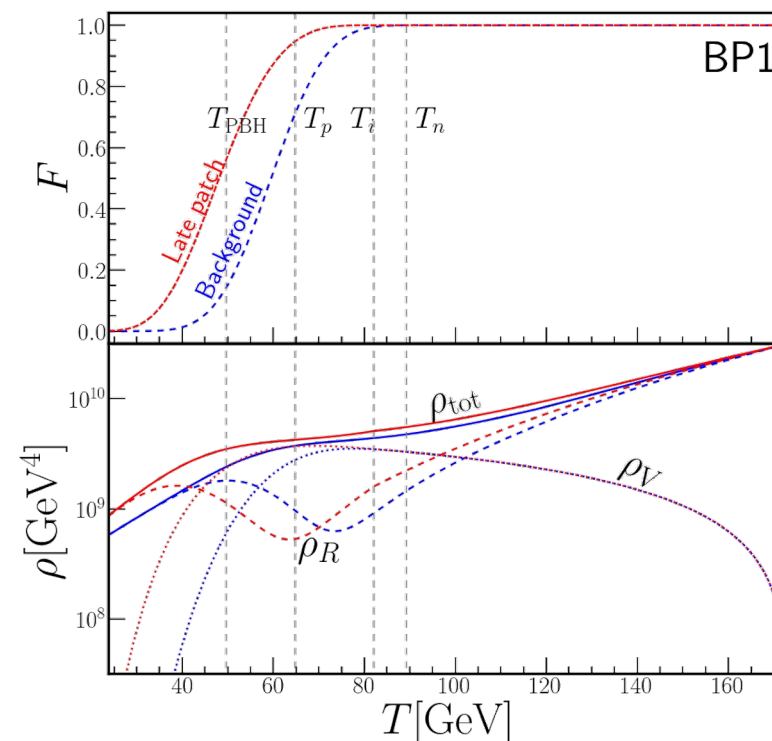
EWPT and PBH

- PBH formation during EWPT
 - Early t_i (Higher T_i),
 - Easier to stay in false vacuum till t_i
 - Harder to reach δ_c
 - Late t_i (Lower T_i)
 - Harder to stay in false vacuum
 - Easier to reach δ_c

$$\Gamma(T) \approx T^4 \left(\frac{S_3}{2\pi T} \right)^{\frac{3}{2}} e^{-\frac{S_3}{T}}$$

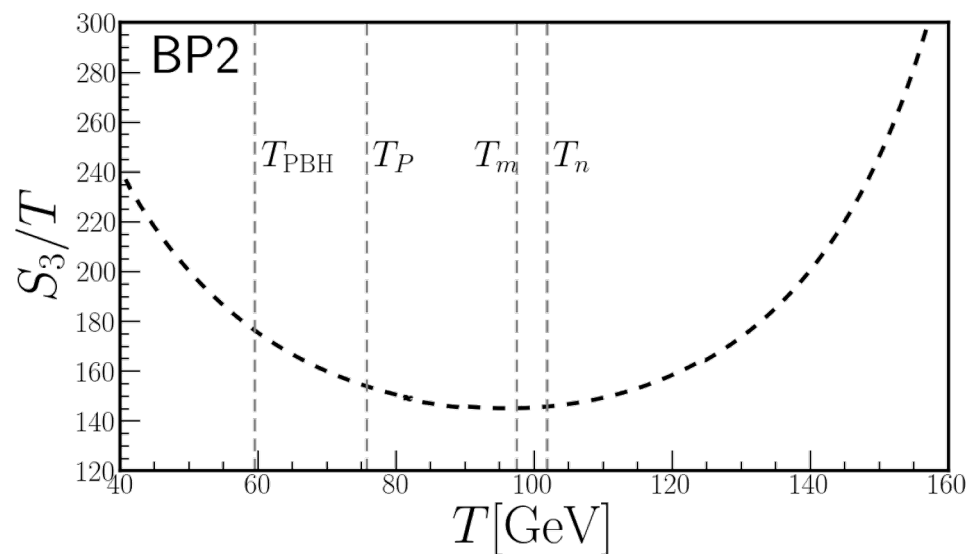


$$P(t_i) = \exp \left[- \int_{t_c}^{t_i} dt' \Gamma(t') a_{in}^3(t') V_{coll}(t') \right]$$



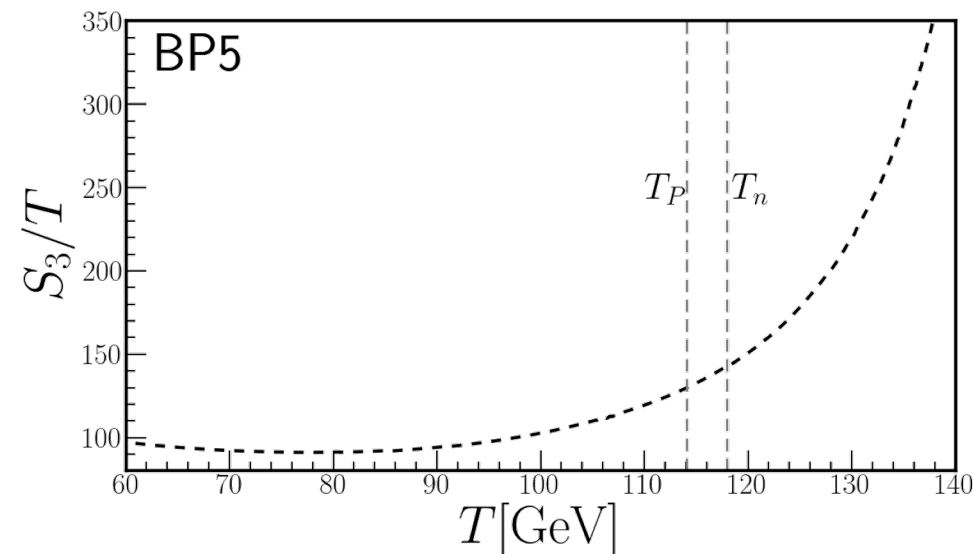
EWPT and PBH

- S_3/T : two cases



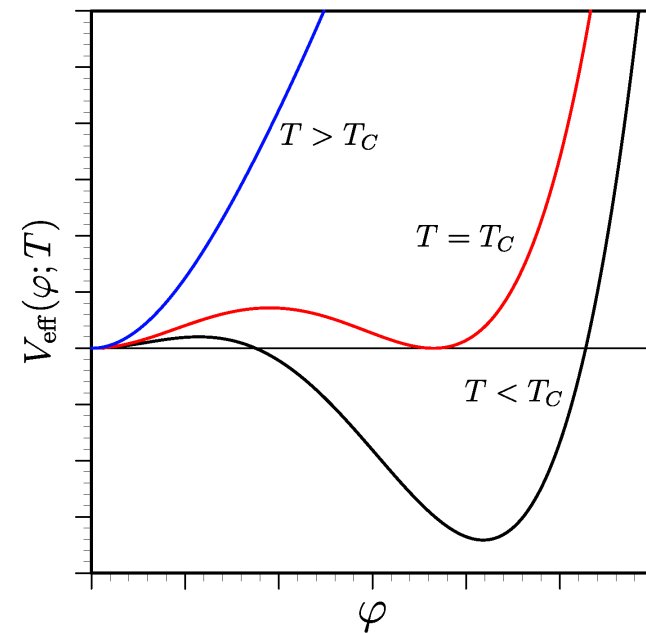
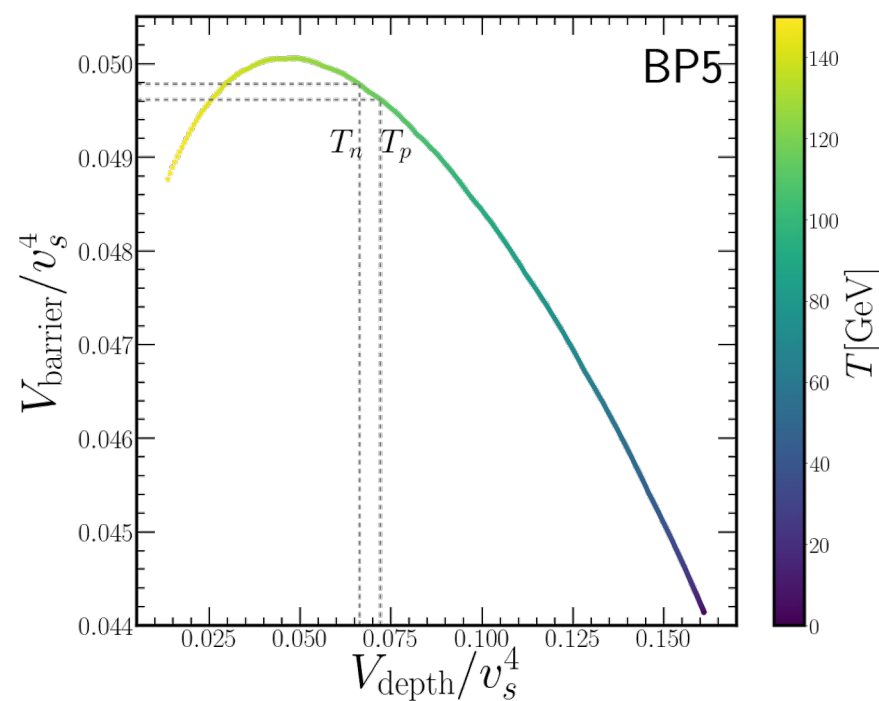
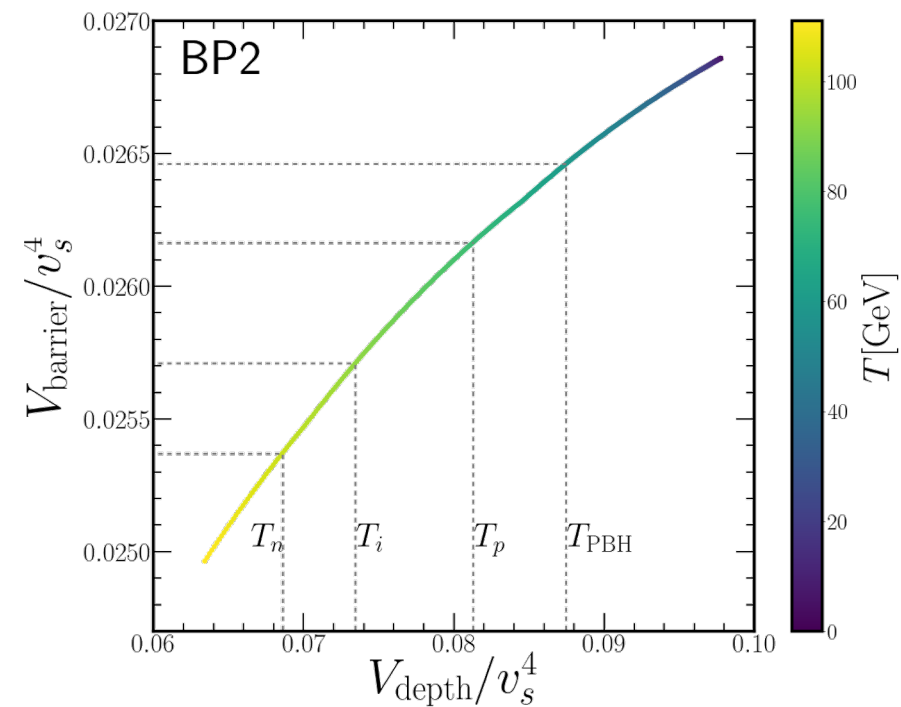
Easier for PBH Formation

Harder for PBH Formation



EWPT and PBH

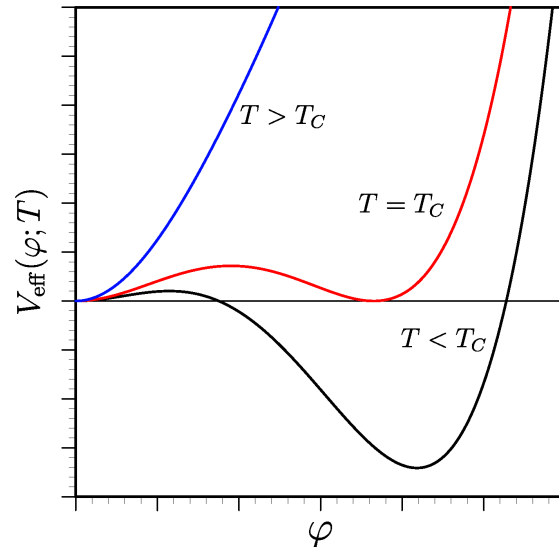
- The potential shape
 - Depth vs Barrier



Benchmark Scenario - xSM

- xSM: SM + real scalar singlet
 - Simplest SM Extension
 - $H = \begin{pmatrix} G^+ \\ \frac{v_{EW} + h + iG^0}{\sqrt{2}} \end{pmatrix}$ and $S = v_s + s$
- Tree level Potential

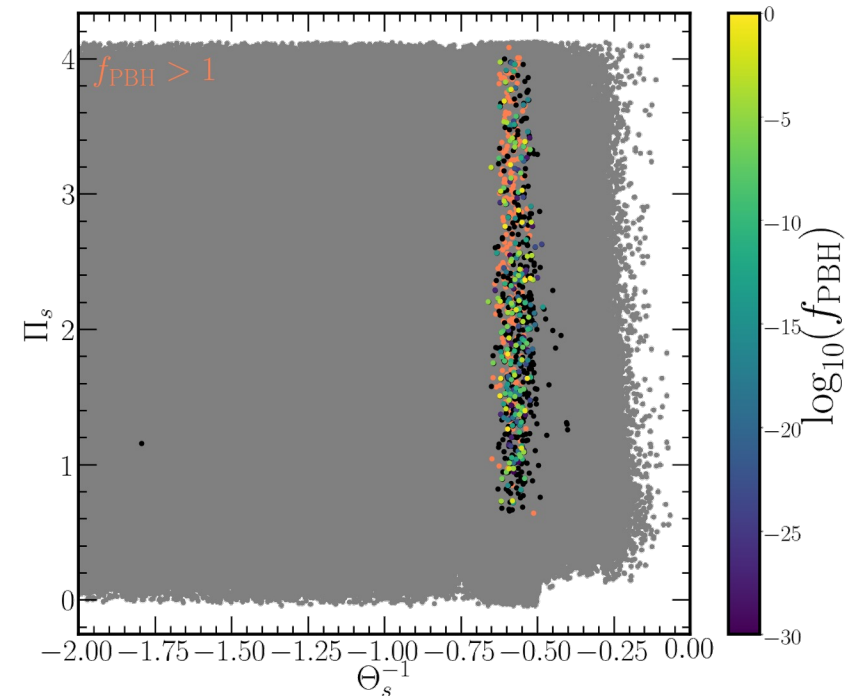
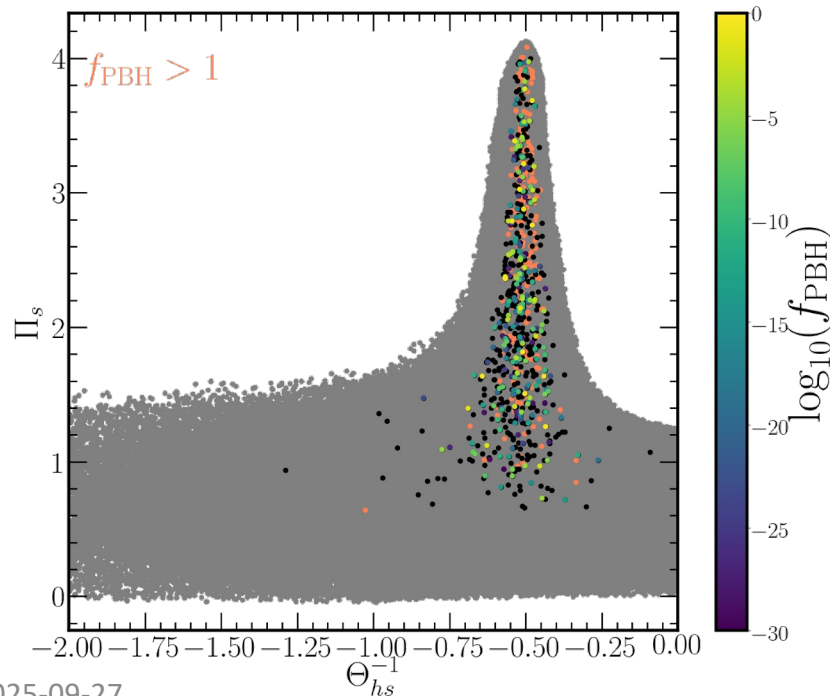
$$V = -\mu^2 H^\dagger H + \lambda (H^\dagger H)^2 + \frac{a_1}{2} H^\dagger H S + \frac{a_2}{2} H^\dagger H S^2 + \frac{b_2}{2} S^2 + \frac{b_3}{3} S^3 + \frac{b_4}{4} S^4$$



PBH in xSM

- The potential shape
 - Cubic couplings – Normalized by Quartic Couplings

$$V \supset \frac{a_1}{4} h^2 s + \frac{a_2}{4} h^2 s^2 + \frac{b_3}{3} s^3 + \frac{b_4}{4} s^4$$
$$\Theta_{hs} = \frac{a_1}{a_2 v_s} \quad \Theta_s = \frac{4b_3}{3b_4 v_s}$$



PBH in xSM

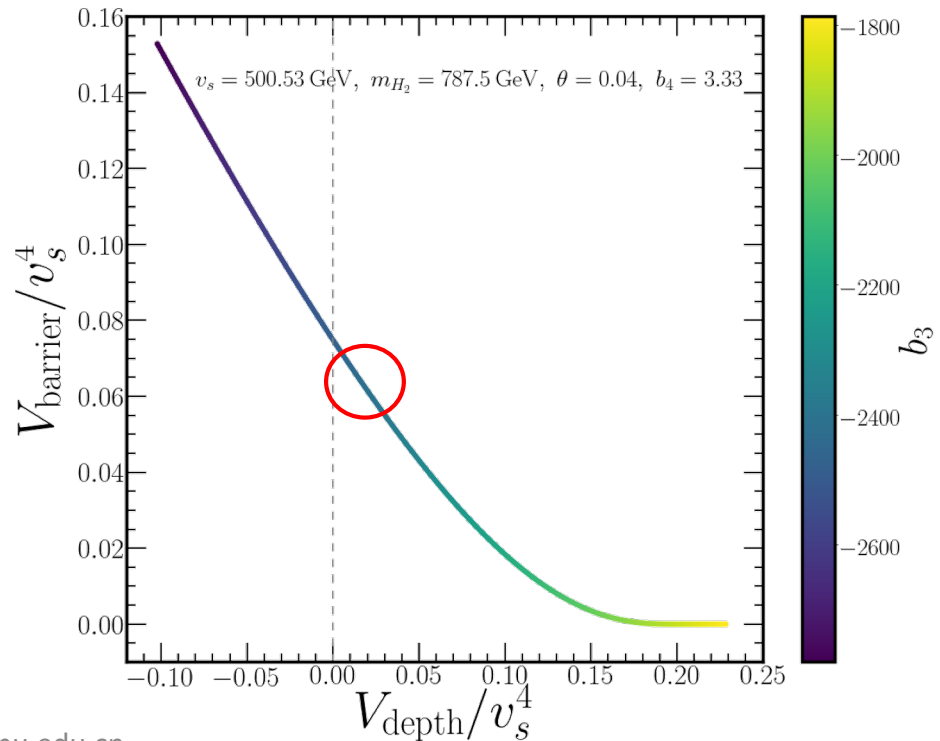
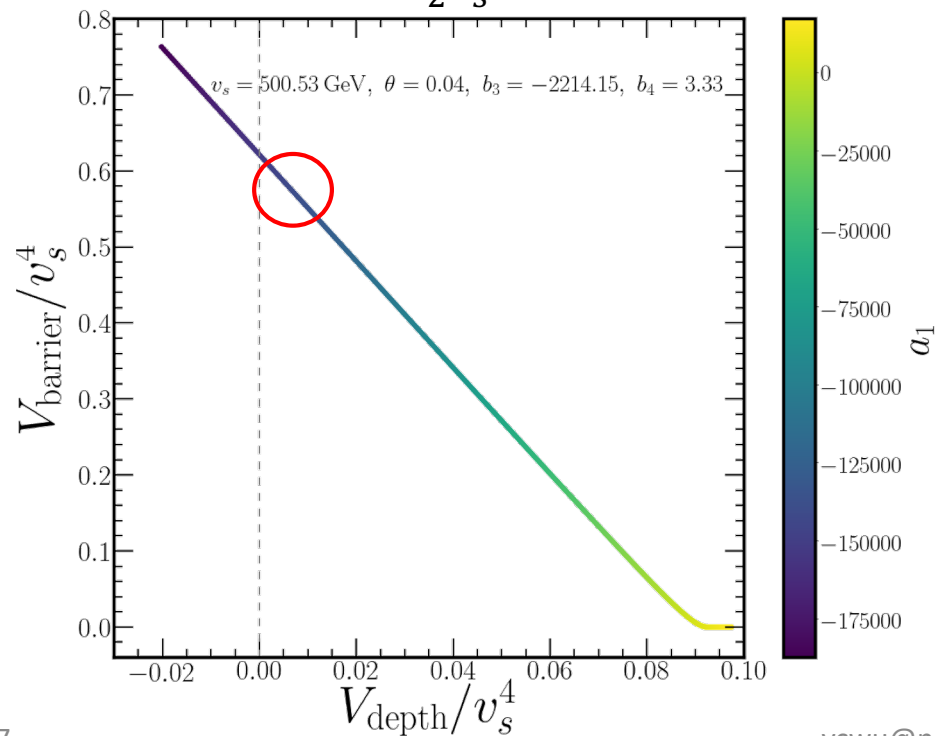
- The potential shape
 - Cubic couplings

$T = 0$

$$V \supset \frac{a_1}{4} h^2 s + \frac{a_2}{4} h^2 s^2 + \frac{b_3}{3} s^3 + \frac{b_4}{4} s^4$$

$$\Theta_{hs} = \frac{a_1}{a_2 v_s}$$

$$\Theta_s = \frac{4b_3}{3b_4 v_s}$$

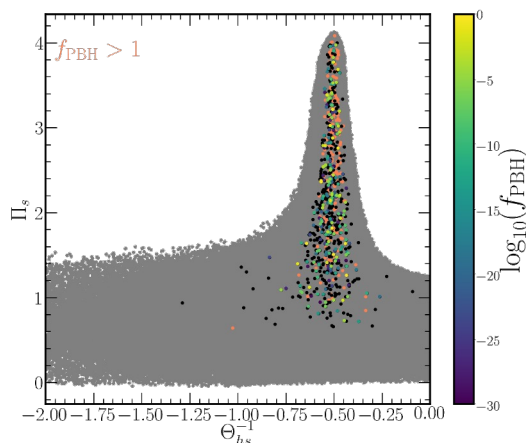
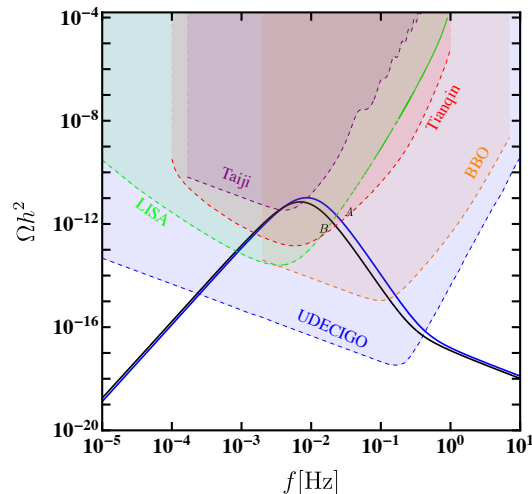


Phenomenology

- Signals related to PBH formed from EWPT

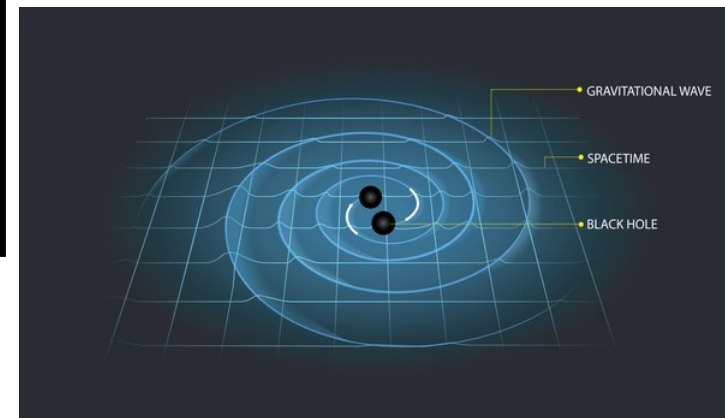
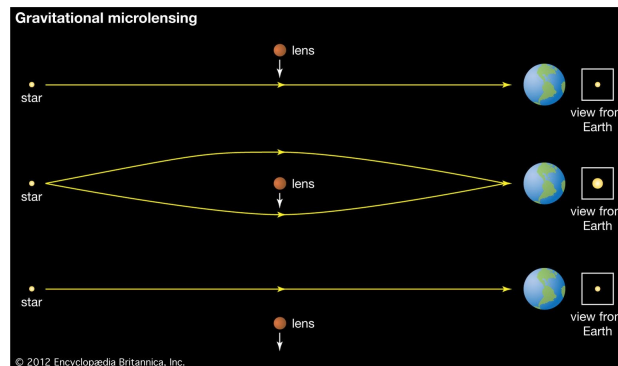
- **Related to EWPT**

- GW from EWPT
 - Strong 1st order, strong GW signal
- Cubic couplings etc.
 - Strong preferences



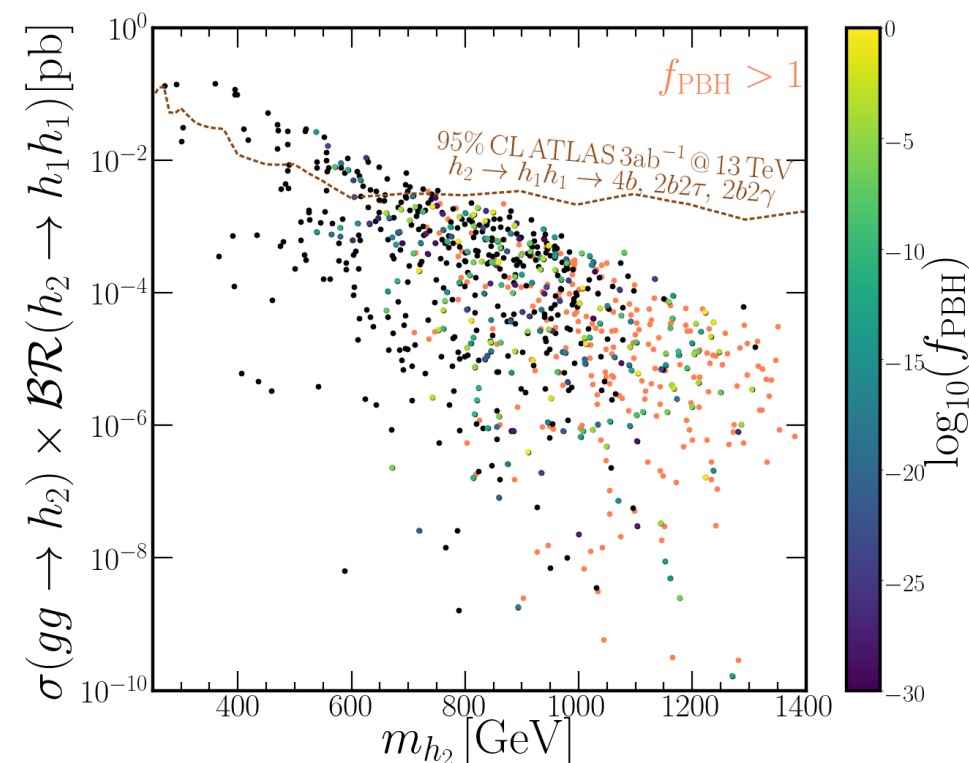
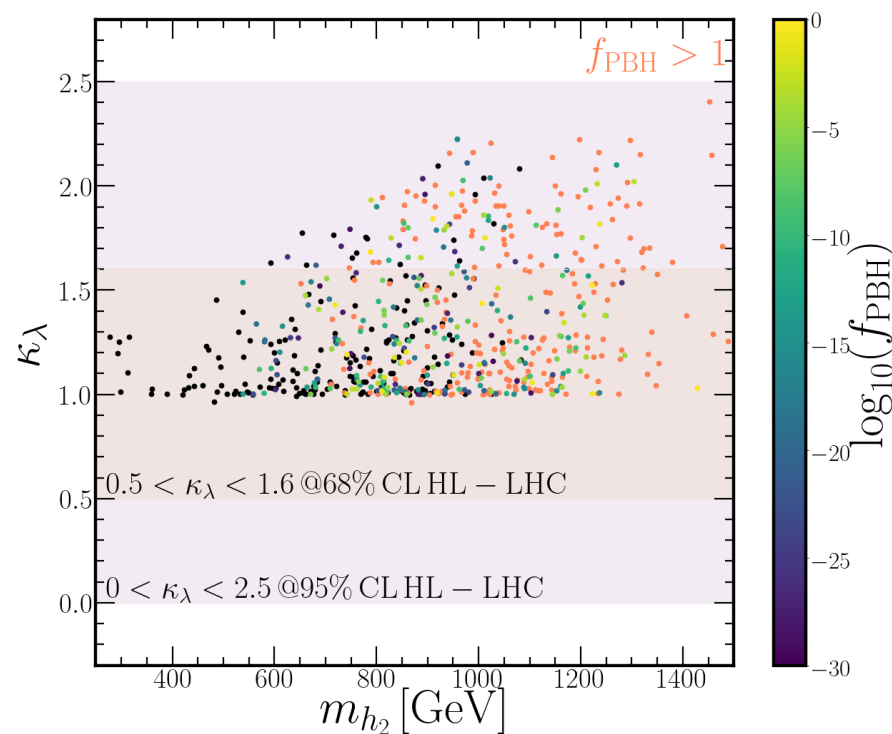
- **Related to PBH**

- Microlensing
- GW from PBH binaries
 - PBH-PBH
 - ABH-PBH

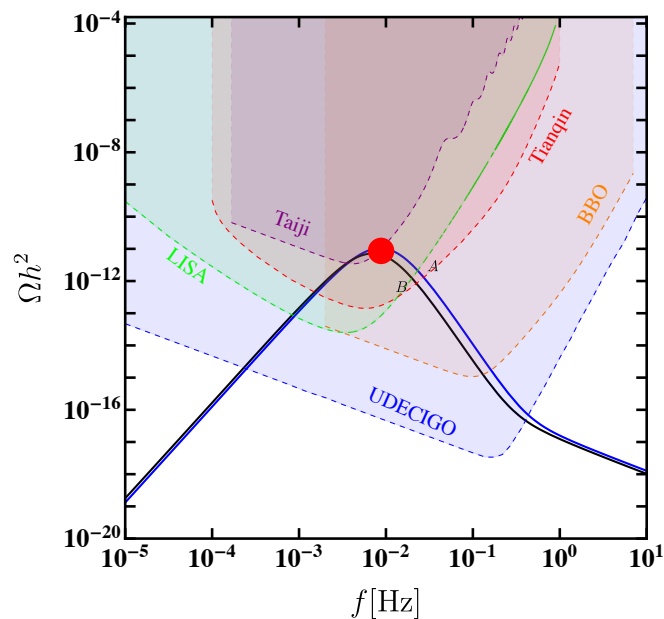


Collider Searches

- Higgs Pair – cubic couplings
 - Non-resonant vs Resonant



GW from EWPT



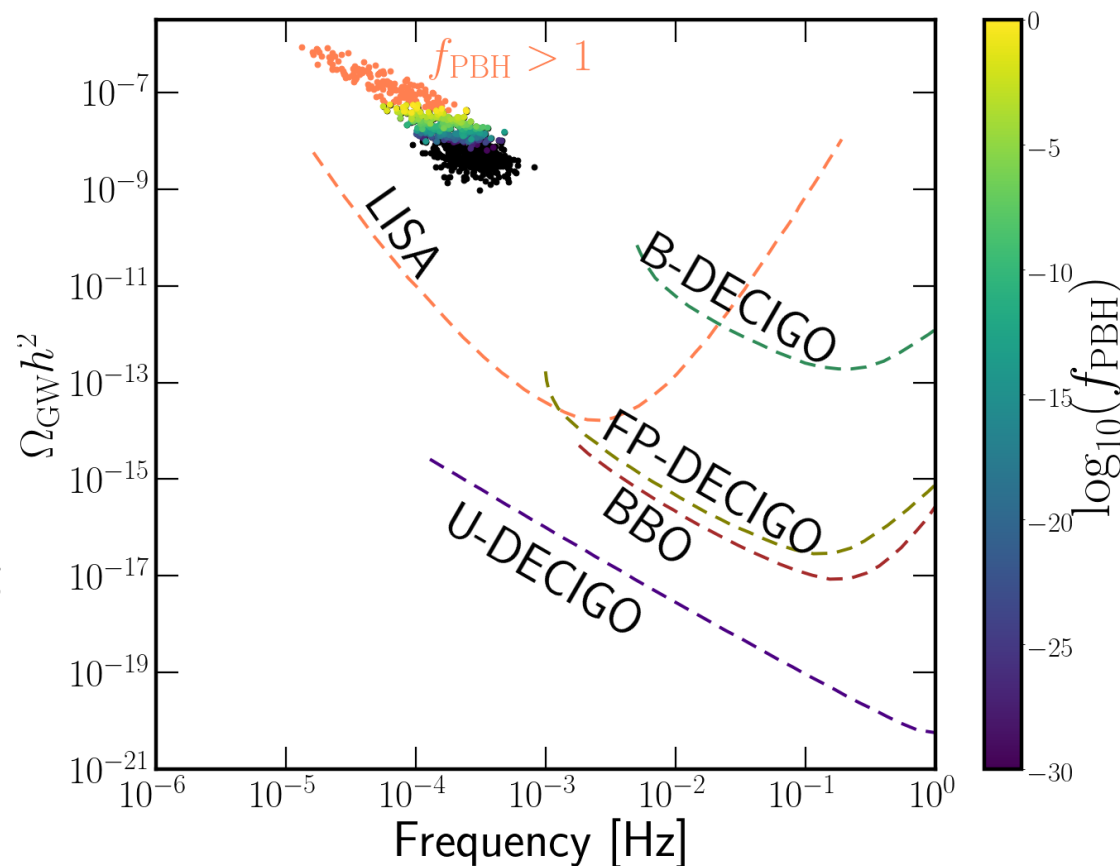
PBH formation require supercooling



Larger energy release



Stronger GW signal from EWPT



If requiring at least one PBH
in the observable Universe



Minimum Value of f_{PBH}
with $M_{PBH} \sim 10^{-5}/10^{-6} M_{\odot}$
 $f_{PBH} \gtrsim 10^{-30}$

GW from BH Binary

- Binary with at least one PBH:

- **PBH-PBH** M. Raidal, C. Spethmann, V. Vaskonen, H. Veermäe; JCAP 02 (2019) 018

- Mainly form in the early universe – Poisson Distribution
 - If two PBH are close, torqued by surroundings

$$\frac{d^2 R_{PP}(t)}{dm_1 dm_2} \approx \frac{1.6 \times 10^6}{\text{Gpc}^3 \text{yr}} f_{\text{PBH}}^{\frac{53}{37}} \left(\frac{t}{t_0}\right)^{-\frac{34}{37}} \left(\frac{M}{M_\odot}\right)^{-\frac{32}{37}} \eta^{-\frac{34}{37}} S[\psi, f_{\text{PBH}}, M] \frac{\psi(m_1)\psi(m_2)}{\langle m \rangle^2} \quad M = m_1 + m_2, \eta = \frac{m_1 m_2}{M^2}$$

- $\psi(m)$ the PBH mass function
 - Depends on formation mechanism
 - PBH from EWPT, mass is concentrated – EWPT scale

- **ABH-PBH** W. Cui, F. Huang, J. Shu, Y. Zhao; Chin. Phys. C 46 (2022) 055103

- After the star formation $z \lesssim 5$, close encounter, loss energy due to GW

$$R_{AP} = \int dM_h \frac{dn_h(z, M_h)}{dM_h} R_{AP}^{halo}(z, M_h) \quad R_{AP}^{halo} = \int dV \int dM_A n_P(M_h, z, \vec{r}) \times \frac{dn_A(M_A, M_h, z, \vec{r})}{dM_A} \times \langle \sigma_{mer} v_{rel} \rangle$$

GW from BH Binary

- Merger Rate within MW
 - PBH following DM distribution

$$n_P = \frac{\rho_{PBH}}{M_{PBH}} = f_{PBH} \times \frac{\rho_{DM}}{M_{PBH}}$$

- DM halo – NFW Profile

$$\rho_{DM}(r) = \frac{\rho_0}{r/r_0(1 + r/r_0)^2}$$

- For PBH-PBH
 - Enhanced within Galaxy

$$\delta = \rho_{DM}(r)/\bar{\rho}_{DM}$$

O. Pujolas, V. Vaskonen, H. Veermäe; Phys. Rev. D 104 (2021) 083521

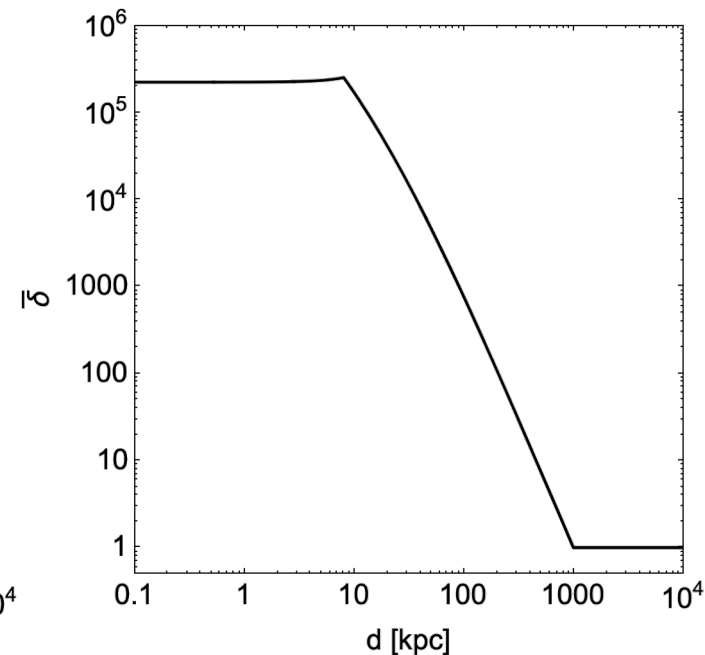
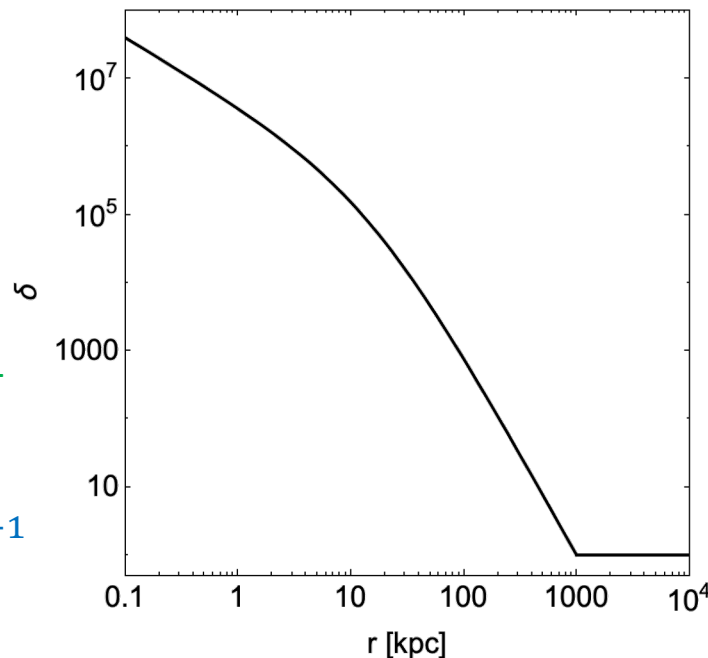
- For ABH-PBH

$$M_{PBH} = 10^{-6} M_{\odot} \quad f_{PBH} = 10^{-3} \quad R_{AP}^{MW} \approx 7.27 \times 10^{-11} \text{yr}^{-1}$$

$$R_{PP}(t_0) \approx 8.8 \times 10^{-12} \text{kpc}^{-3} \text{yr}^{-1} \quad M_{PBH} = 10^{-6} M_{\odot} \\ f_{PBH} = 10^{-3}$$

$$r_{\text{vir}}^{\text{MW}} \sim 200 \text{ kpc}$$

$$R_{PP}^{MW} \approx \int dr 4\pi r^2 \delta(r) R_{PP}(t_0) \approx 0.2/\text{yr}$$



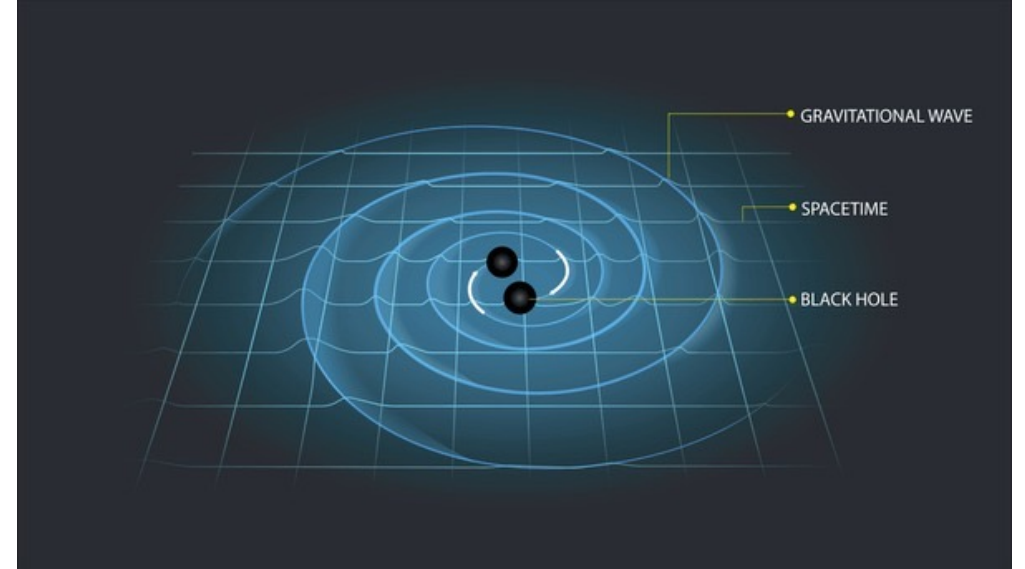
GW from BH Binaries

- GW from individual binary
 - Only consider PBH – PBH Binary
 - ABH – PBH Binary: Rare within MW

$$R_{PP}^{MW} \approx 0.2 \text{ yr}^{-1}$$

$$R_{AP}^{MW} \approx 7.27 \times 10^{-11} \text{ yr}^{-1}$$

- Stochastic GW Background
 - PBH – PBH Binary
 - ABH – PBH Binary



GW from BH Binaries

- The Waveform for individual binary – Frequency Domain

- Inspiral + Merger + Ringdown
 - Numerical Simulation + Matching to PN expansion
- For non-spinning BH [P. Ajith et.al. Phys. Rev. D 77 \(2008\) 104017](#)

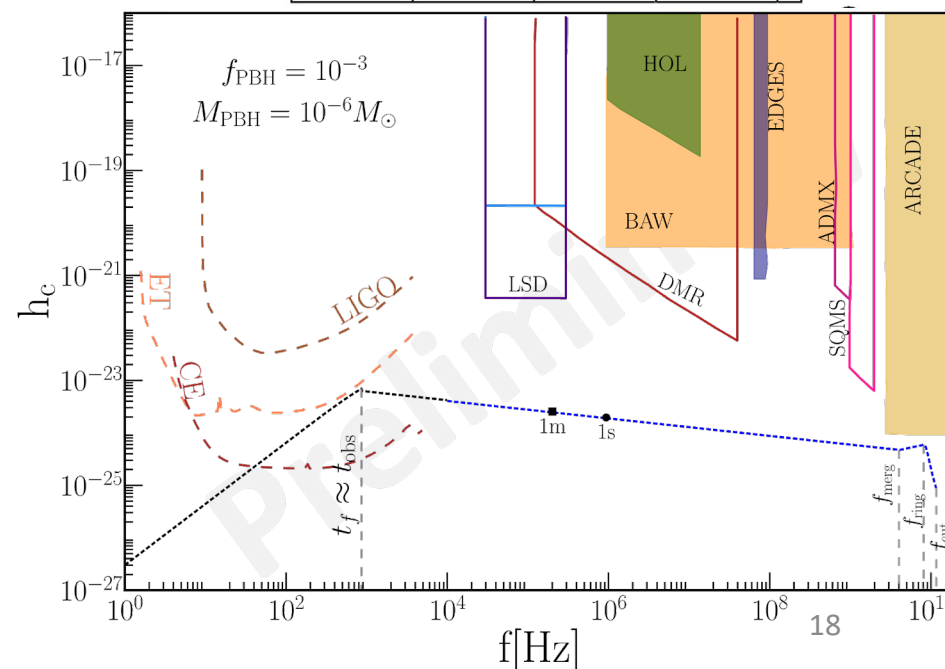
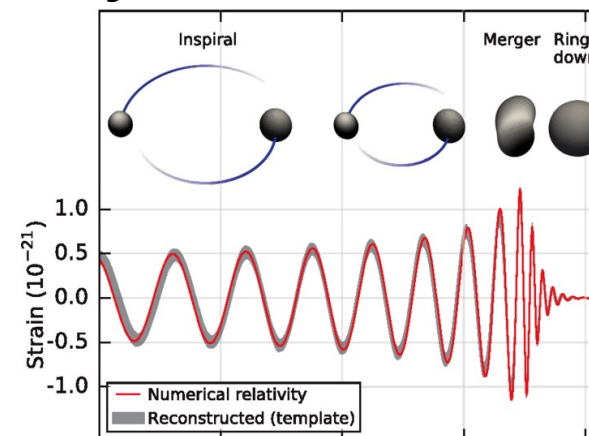
$$\tilde{h}(f) = A(f)e^{i\Psi(f)}$$

$$h_c(f) = 2f\tilde{h}(f)$$

$$A(f) = \mathcal{C} \times \begin{cases} \left(\frac{f}{f_{\text{merg}}}\right)^{-\frac{7}{6}}, & f < f_{\text{merg}} \\ \left(\frac{f}{f_{\text{merg}}}\right)^{-\frac{2}{3}}, & f_{\text{merg}} \leq f < f_{\text{ring}} \\ \omega\mathcal{L}(f, f_{\text{ring}}, \sigma), & f_{\text{ring}} \leq f < f_{\text{cut}} \end{cases}$$

$$\mathcal{C} = \frac{1}{d_L} \left(\frac{GM}{c^{9/5} \pi^{4/5}} \right)^{5/6} \left(\frac{5\eta}{24} \right)^{1/2} f_{\text{merg}}^{-7/6}$$

For optimally orientation



Detection of the GW from BH Binary

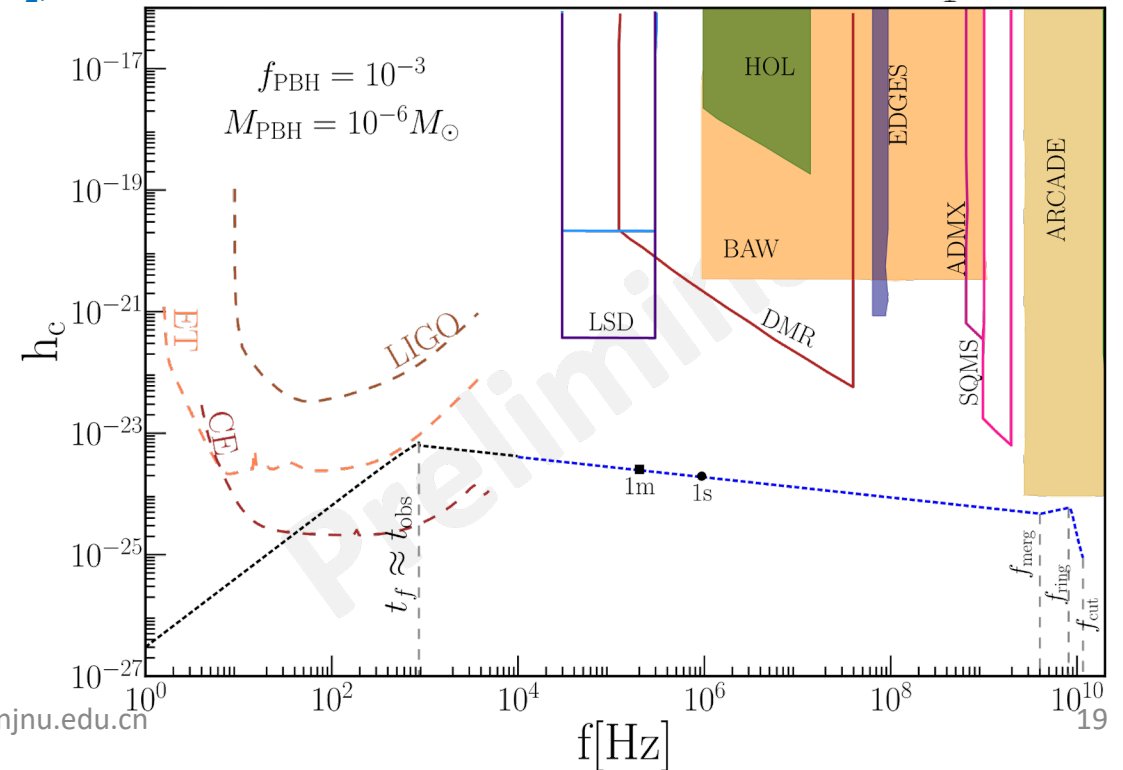
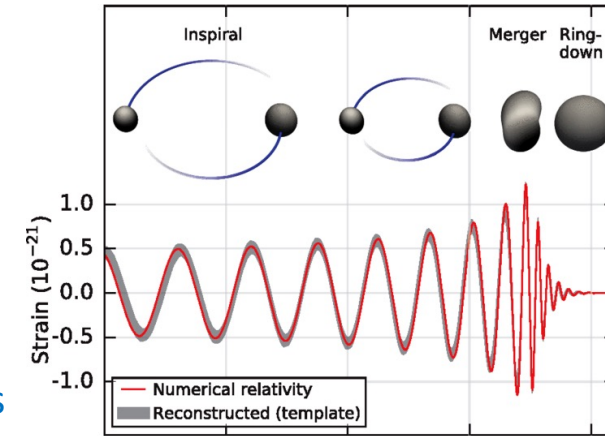
- Individual Source
 - Inspiral – merger – ringdown
 - Inspiral – can last very long
- Frequency change – Chirp Signal
 - Due to energy loss by GW $m_c = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}}$ chirp mass

$$\dot{f} = \frac{96}{5} \pi^{\frac{8}{3}} \left(\frac{G m_c}{c^3} \right)^{\frac{5}{3}} f^{11/3}$$

- Characteristic time
 - Compare with observation time

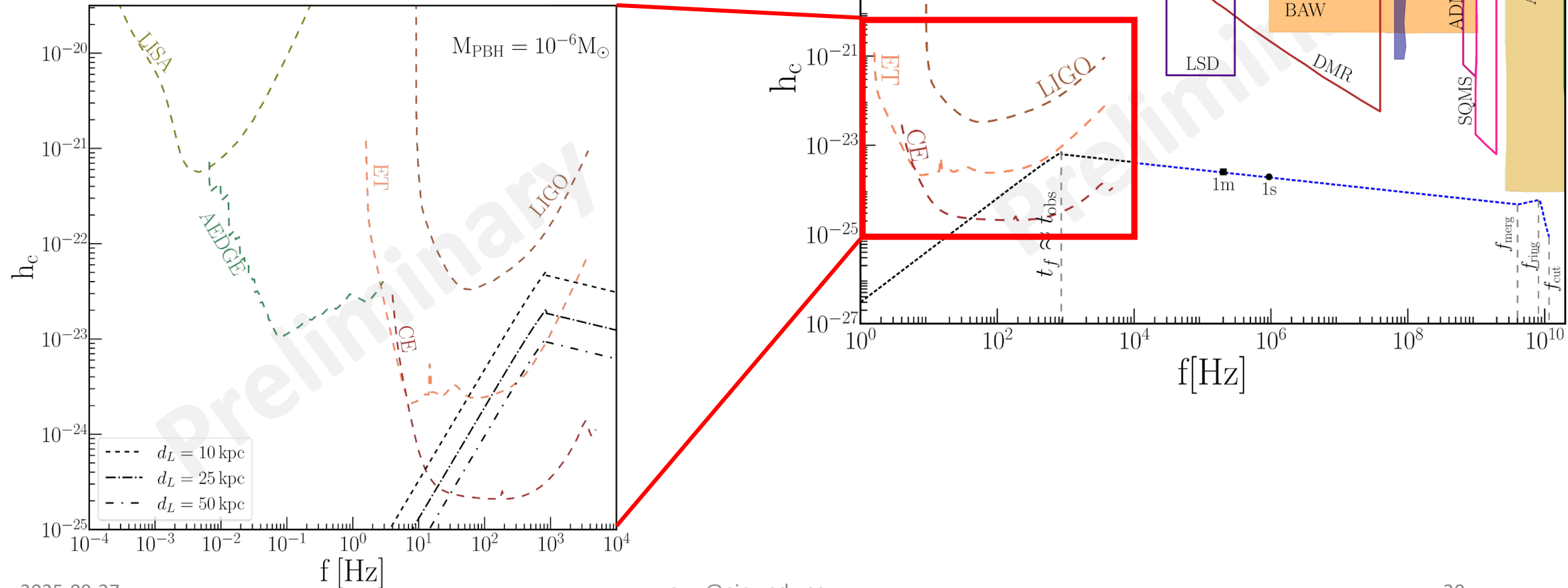
$$t_f = \frac{f}{\dot{f}} \approx 5.5 \times 10^8 \text{yr} \left(\frac{f}{\text{Hz}} \right)^{-\frac{8}{3}} \left(\frac{m_c}{10^{-6} M_\odot} \right)^{-\frac{5}{3}}$$

- h_c is suppressed



Detection of the GW from BH Binary

- Individual Source
 - Inspiral – merger – ringdown
 - Inspiral – can last very long



Detection of the GW from BH Binary

- Observed Number of PBH-PBH Merger

$$N_{\text{events}} = t_{\text{obs}} R(t_0) \int dS dr \bar{\delta}(r) p_{\text{det}} \left(\frac{\text{SNR}_{\text{thr}}}{\text{SNR}} \right)$$

Enhancement due to local DM density

Accounting different orientations

L.S. Finn, D. F. Chernoff; Phys. Rev. D 47 (1993) 2198

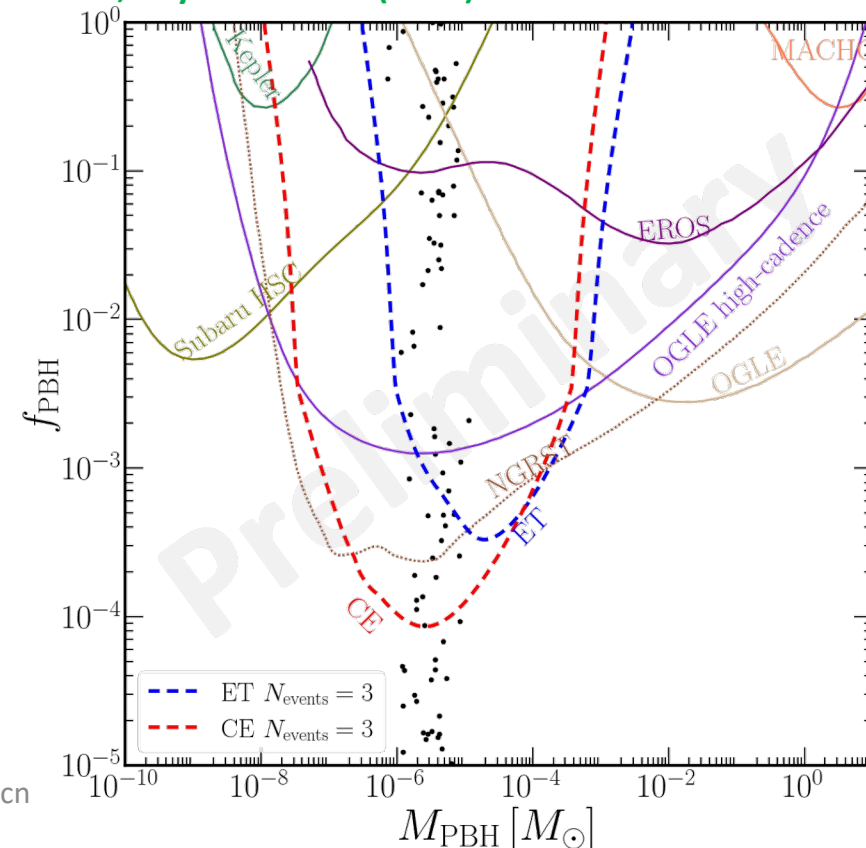
$$\text{SNR} = \sqrt{\int d(\ln f) \frac{|h_c(f)|^2}{|h_n(f)|^2}}$$

$$\text{SNR}_{\text{thr}} = 8$$

For $M_{\text{PBH}} = 10^{-6} M_{\odot}$, at 10 kpc

$$\text{SNR}_{\text{ET}} \sim 7.8$$

$$\text{SNR}_{\text{ARCADE}} \sim 1.5$$



Detection of the GW from BH Binary

- Stochastic GW Background – from unresolvable binaries
 - Incoherent superposition

$$\Omega_{GW}(f) = \frac{f}{\rho_c c^2} \int_0^{z_{max}} \frac{dz}{(1+z)H(z)} R(z) \left. \frac{dE_{GW}(f_s)}{df_s} \right|_{f_s=(1+z)f}$$

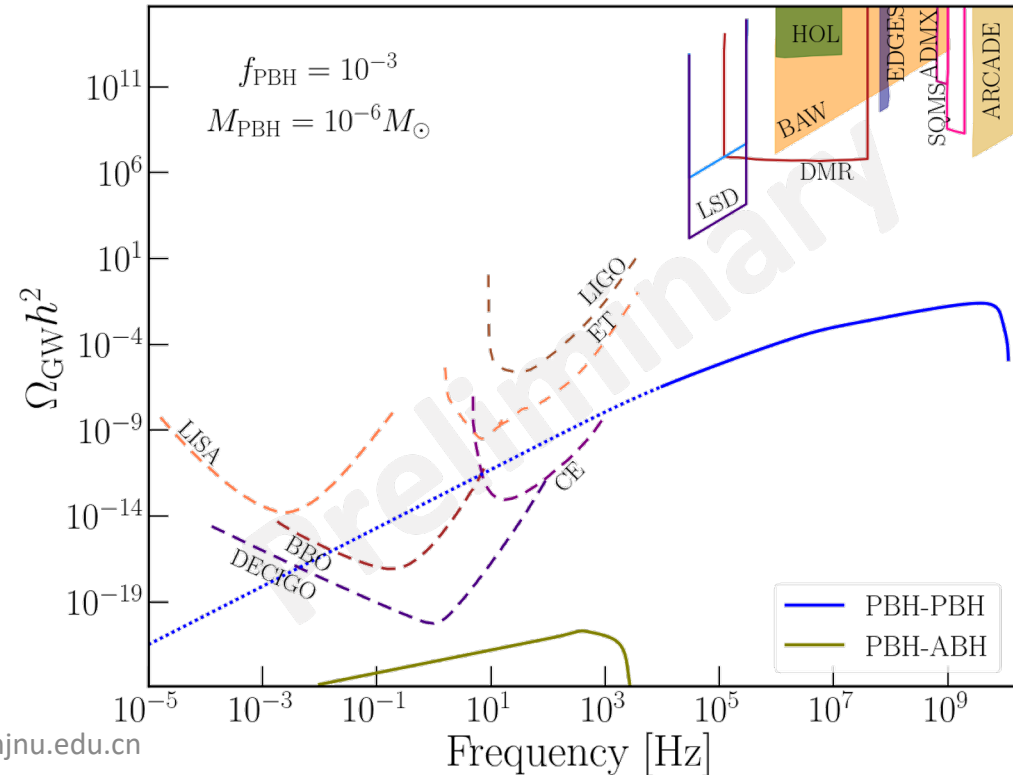
$$\frac{dE_{GW}}{df} = \frac{8c^3 \pi^2 r^2}{5G} f^2 |\tilde{h}(f)|^2$$

Averaged over orientations

$$z_{max} = \min \left\{ \frac{f_{cut}}{f} - 1, z_{EWPT} \right\} \text{ for PBH-PBH}$$

$$z_{max} \approx 5 \text{ for ABH-PBH}$$

- Low frequency regime
 - PBH initial distributions



Summary

- Electroweak Phase Transition
 - Interesting Scenario for many important Phenomena
- PBH from EWPT
 - Mass around $10^{-5} \sim 10^{-6} M_{\odot}$
- Microlensing constraint
 - $f_{PBH} \sim 10^{-3}$
- GW from EWPT can cover the parameter space
 - Require at least one PBH in observable Universe
- GW from PBH binary merger – complementary information
 - $f_{PBH} \sim 10^{-4}$
- Collider targets – cubic vs quartic couplings

Backups

The Suppression factor

- S_1 : Excluding initial configurations with the interruption from 3rd PBH and other surroundings

$$S_1 \approx 0.24 \left(1 + 2.3 \frac{\sigma_M^2}{f_{PBH}^2} \right)^{-21/74} \quad \sigma_M \approx 0.005$$

- S_2 : Excluding binaries that become part of DM halo, where close encounters with other PBH are very likely

$$S_2(t) \approx \min \left[1, 9.6 \times 10^{-3} f_{PBH}^{-0.65} \left(\frac{t}{t_0} \right)^{-0.286} e^{0.03 \ln^2 f_{PBH}} \right]$$

The Waveform parameters

$$\alpha_i = \frac{a_i \eta^2 + b_i \eta + c_i}{\pi} \times \frac{c^3}{GM}$$

Parameter	a_i	b_i	c_i
f_{merg}	2.9740×10^{-1}	4.4810×10^{-2}	9.5560×10^{-2}
f_{ring}	5.9411×10^{-1}	8.9794×10^{-2}	1.9111×10^{-1}
σ	5.0801×10^{-1}	7.7515×10^{-2}	2.2369×10^{-2}
f_{cut}	8.4845×10^{-1}	1.2848×10^{-1}	2.7299×10^{-1}