



*The 2025 Beijing Particle Physics and Cosmology Symposium (BPCS 2025)*

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# Neutrino reheating predictions with non-thermal leptogenesis

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Based on: JHEP 05 (2024) 147 (arXiv:2311.05824)  
JHEP 07 (2025) 099 (arXiv:2501.16114)

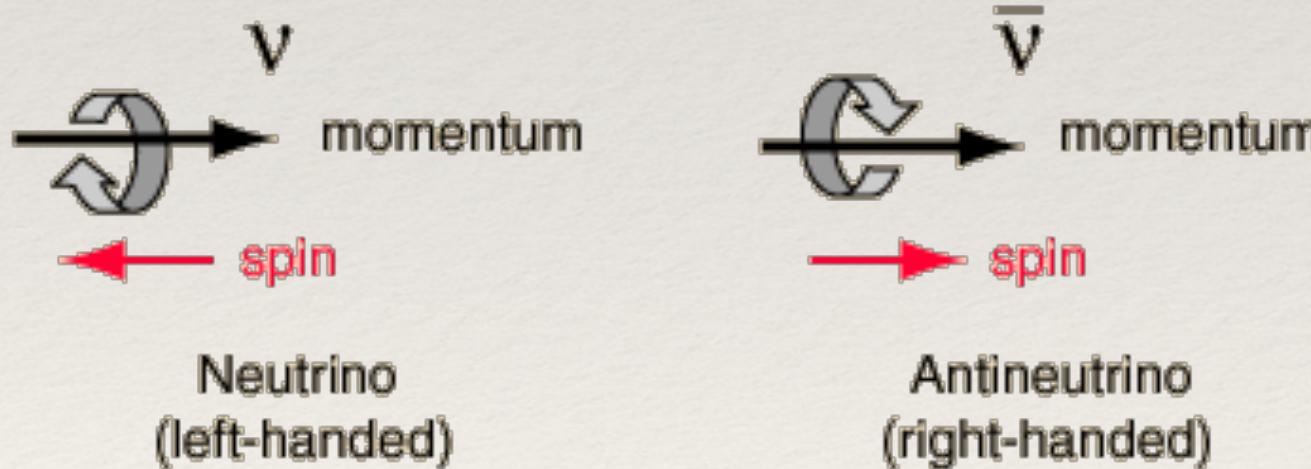
2025年9月26日, 北京

# Massive neutrinos

## The Standard Model of Particle Physics

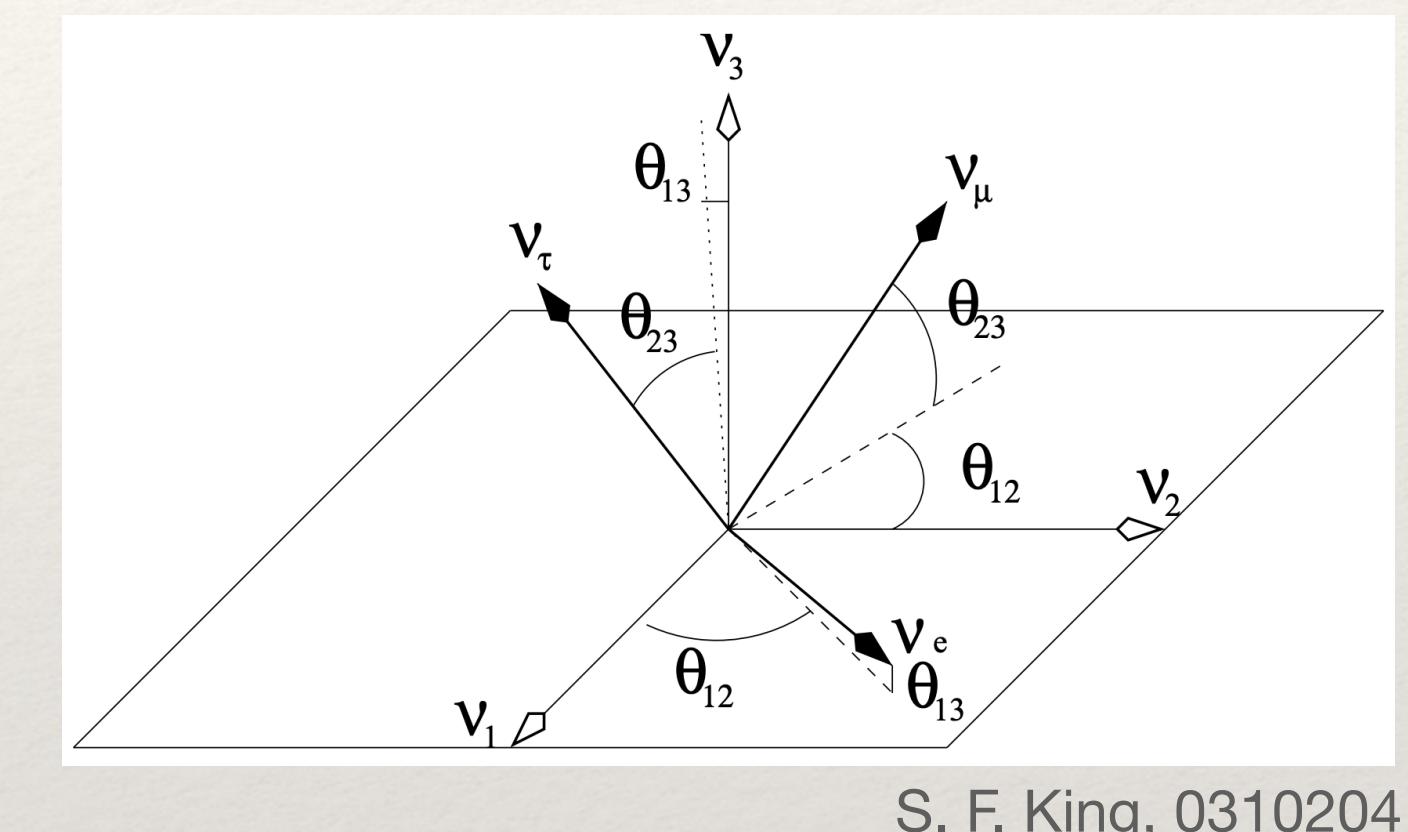
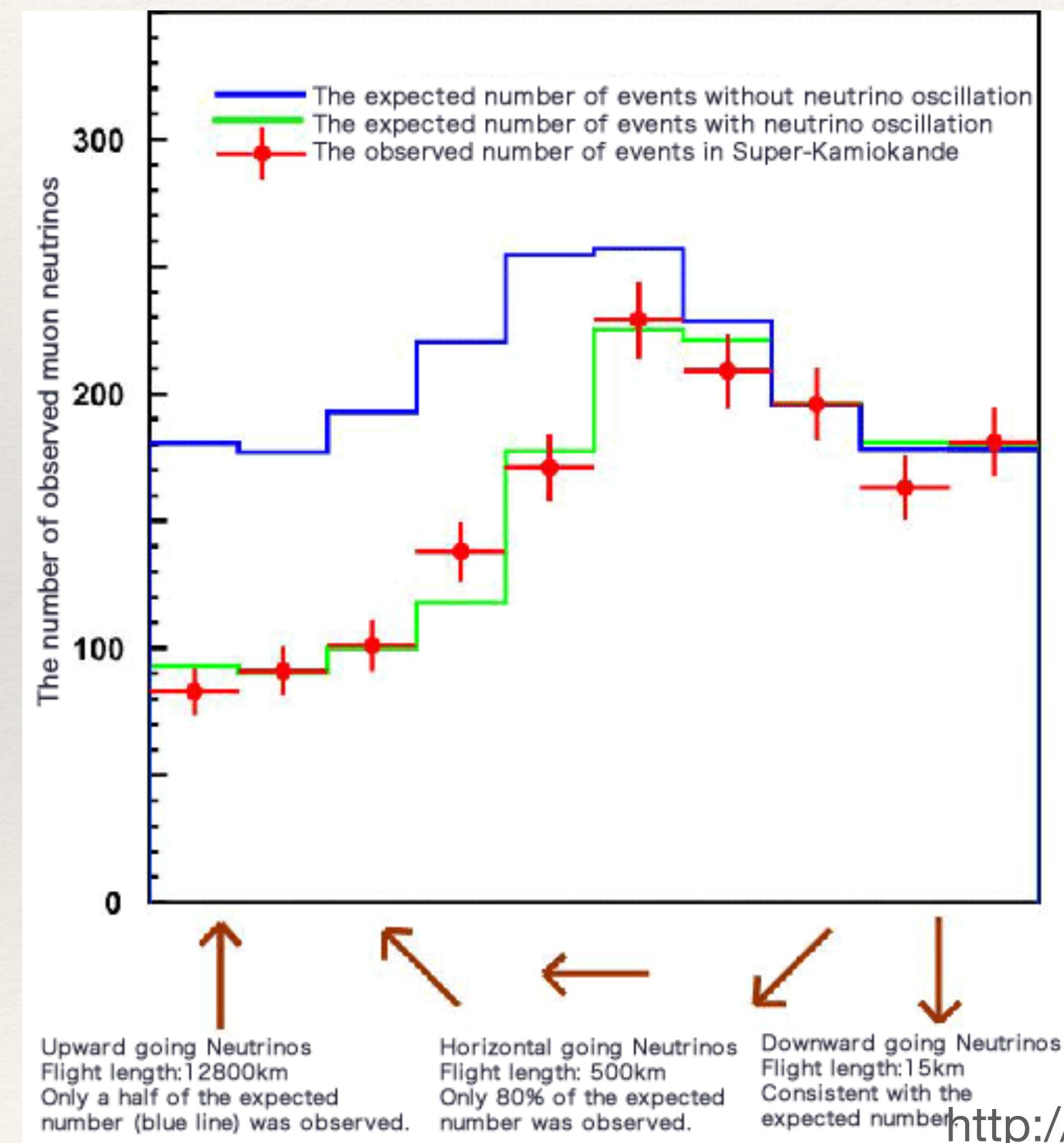
FERMIONS (matter particles)			BOSONS (force carriers)	
QUARKS				
$u$ up	$c$	$t$ top	$g$ gluon	$H$ Higgs boson
$d$ down	$s$	$b$ bottom	$\gamma$ photon	
$e$ electron	$\mu$	$\tau$ tau	$Z_0$ Z boson	
$\nu_e$ electron neutrino	$\nu_\mu$	$\nu_\tau$ tau neutrino	$W^\pm$ W boson	

sciencealert\*



SM neutrinos are massless

2015 Nobel Prize in Physics: T. Kajita and A. B. McDonald, “for the discovery of neutrino oscillations, which shows that neutrinos have mass”.



Neutrinos have masses and mixing

*One of the most solid BSM evidence*

<http://www.hyper-k.org/>

# Neutrino mass generation mechanism

With only SM fields,

S. Weinberg, 1980  $\frac{1}{\Lambda} \bar{L}^c \otimes \Phi \otimes \Phi \otimes L$

unique dimension 5 operator  
Lepton-number violating

$$\frac{1}{\Lambda^{2n+1}} \bar{L}^c \Phi^2 (\Phi^\dagger \Phi)^n L, \quad n \in \{0, 1, 2, 3, \dots\}$$

Consider different contracting,

$$\underbrace{\bar{L}^c \otimes \Phi}_{1} \otimes \underbrace{\Phi \otimes L}_{1}, \quad \text{Type I}$$

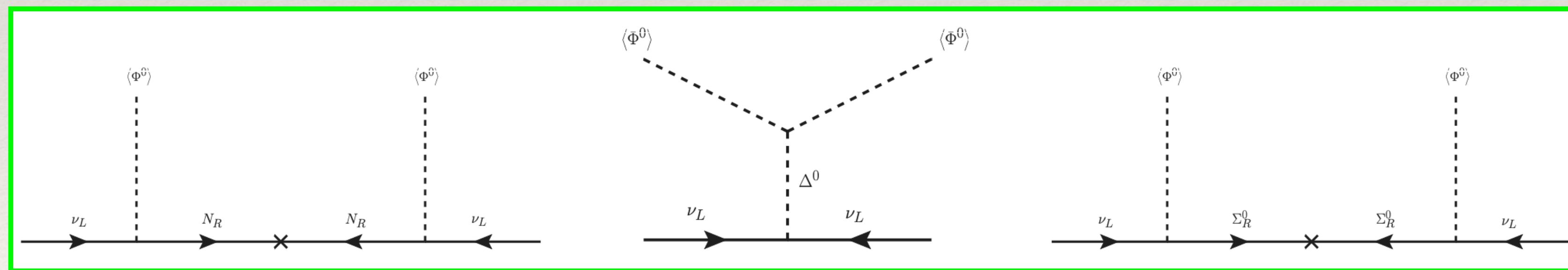
$$\underbrace{\bar{L}^c \otimes L}_{3} \otimes \underbrace{\Phi \otimes \Phi}_{3}, \quad \text{Type II}$$

$$\underbrace{\bar{L}^c \otimes \Phi}_{3} \otimes \underbrace{\Phi \otimes L}_{3}, \quad \text{Type III}$$

Chulia, Srivastava and Valle,  
1802.05722

Add new fields to make renormalizable operators

Tree-level realization of Weinberg operator



Type I, II, III Seesaw mechanism:  
Neutrino mass suppressed by the heavy mediator mass

P. Minkowski, 1977; T. Yanagida, 1979;  
J. Schechter and J. W. F. Valle, 1980

# Type-I seesaw mechanism

Adding SM singlet fermion, right-handed neutrino (RHN)

With only SM fields,

S. Weinberg, 1980  $\frac{1}{\Lambda} \bar{L}^c \otimes \Phi \otimes \Phi \otimes L$

$$\frac{1}{\Lambda^{2n+1}} \bar{L}^c \Phi^2 (\Phi^\dagger \Phi)^n L, \quad n \in \{0, 1, 2, 3, \dots\}$$

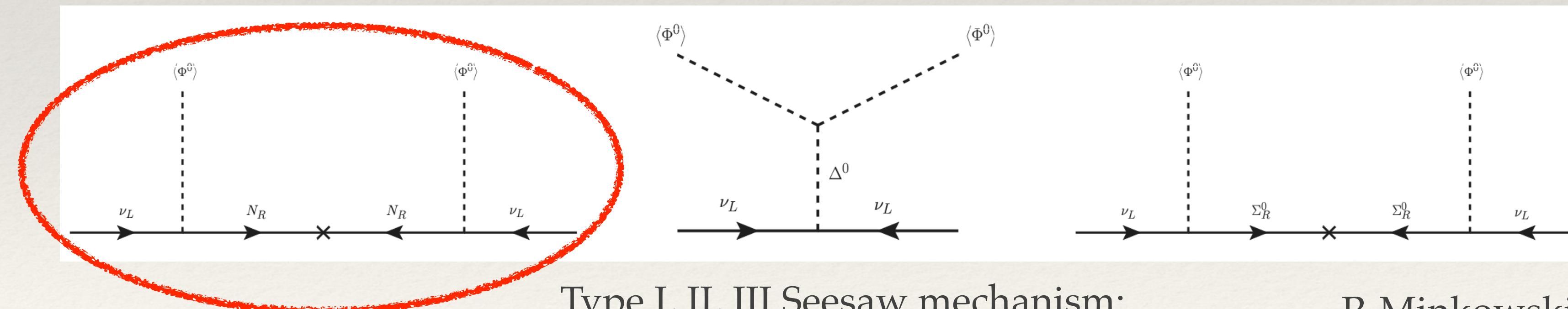
Consider different contracting,

Type I      Type II      Type III

Chulia, Srivastava and Valle,  
1802.05722

Add new fields to make renormalizable operators

*Simplest SM extension to accomodate  $m_\nu$*



P. Minkowski, 1977; T. Yanagida, 1979;  
J. Schechter and J. W. F. Valle, 1980

# Baryon-antibaryon Asymmetry of the Universe (BAU)

The observed baryon-antibaryon asymmetry (Planck 2018)

$$Y_B = \frac{n_B - n_{\bar{B}}}{s} = (8.72 \pm 0.08) \times 10^{-11}$$

To generate the BAU dynamically (**baryogenesis**), Sakharov (1967) proposes three conditions:

- Baryon number violation
- C and CP violation
- Deviation from equilibrium

Standard model confronts the Sakharov conditions

- Sphaleron process
- KM mechanism
- Electroweak phase transition (EWPT)

Existing baryogenesis mechanisms (incomplete list!):

- **GUT baryogenesis**: heavy boson out-of-equilibrium decay

A.Y. Ignatiev et al, 1978; M. Yoshimura, 1978; D. Toussaint et al, 1979; S. Dimopoulos, L. Susskind, 1978...

- **Leptogenesis**: heavy neutrino out-of-equilibrium decay

P. Minkowski 1977; T. Yanagida, 1979; S.L. Glashow, 1980; M. Gell-Mann et al, 1979; R. N. Mohapatra, G. Senjanovic, 1981...

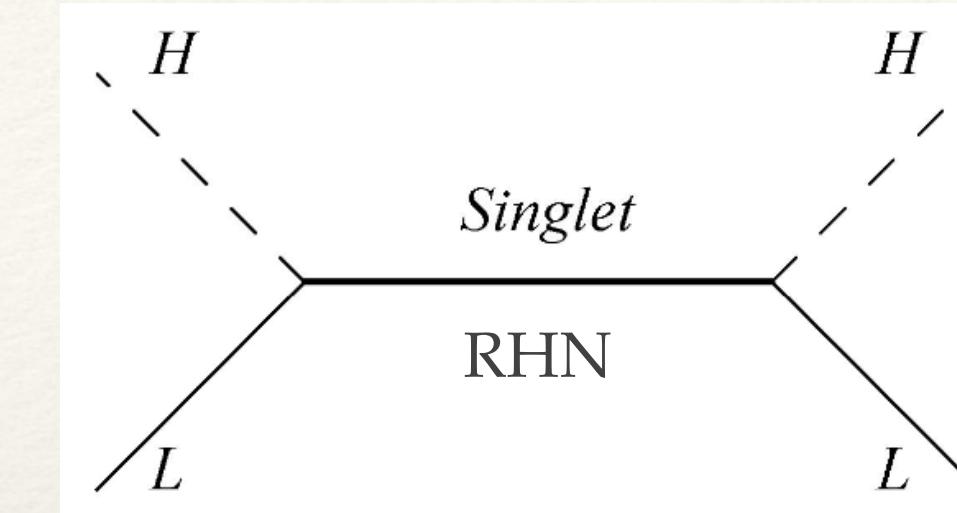
- **Electroweak baryogenesis**: EWPT V. A. Rubakov and M. E. Shaposhnikov, 1996; A. Riotto and M. Trodden, 1999; J. M. Cline, 2006...

- **The Affleck-Dine mechanism**: I. Affleck and M. Dine, 1985; M. Dine, L. Randall, and S. D. Thomas, 1996...

# Leptogenesis

## Introduce RHNs to SM

Light neutrino mass is explained through type-I seesaw



P. Minkowski, 1977; T. Yanagida, , 1979;  
J. Schechter and J. W. F. Valle, 1980

To generate the BAU dynamically (**baryogenesis**),  
Sakharov (1967) proposes three conditions:

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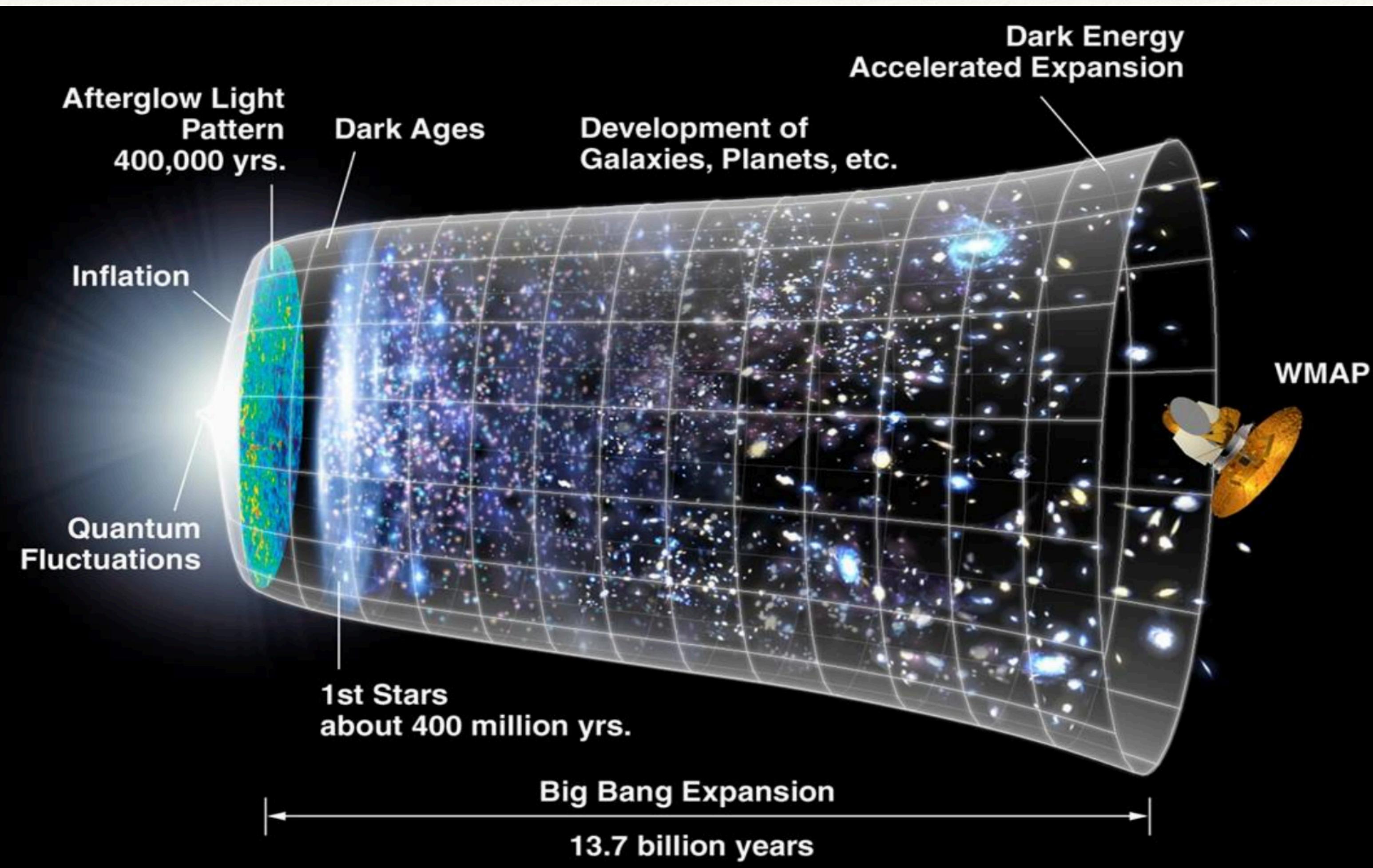
In (type-I seesaw) leptogenesis,  
RHNs decay out-of-equilibrium,  
generating a CP asymmetry (also a L asymmetry),  
which converts to a B asymmetry via SM sphalerons

*Address  $m_\nu$  and BAU at the same time*

- Realization in  $\nu$  models: e.g. Gehrlein, Petcov, Spinrath, and XYZ 1502.00110, 1508.07930; Xing, Zhao, 2008.12090; Zhao, 2205.01021; Zhao, Shi, Shao, 2402.14441; Zhao, Zhang, Wu, 2403.18630
- Connection to low energy CPV: e.g. Xing, Zhang, 2003.06312, 2003.00480; XYZ, Yu and Ma, 2008.06433
- Testability: e.g. Granelli, Moffat, Petcov, 2009.03166; Fong, Rahat, Saad, 2103.14691; Liu, Xie, Yi, 2109.15087

# Inflation

Standard model of cosmology /  $\Lambda$ CDM



Guth 1981  
Kazanas 1980  
Starobinsky 1980  
Sato 1981

2014 Kavli Prize  
for invention of inflation

- Theoretical support: flatness & horizon problem, quantum fluc  $\rightarrow$  LSS
- Observational support: near scale invariant power spectrum by CMB

For reviews, see e.g. Lyth & Riotto 1999,  
Bassett, Tsujikawa & Wands 2006, Martin,  
Ringeval & Vennin 2014

# Single-field slow-roll inflation

For a homogenous scalar field minimally coupled to gravity, we have the EOS

$$w_\phi \equiv \frac{p_\phi}{\rho_\phi} = \frac{\frac{1}{2}\dot{\phi}^2 - V}{\frac{1}{2}\dot{\phi}^2 + V}$$

Negative pressure achieved if the potential energy  $V$  dominates over the kinetic energy

The dynamics is governed by

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0$$

$$H^2 = \frac{1}{3} \left( \frac{1}{2}\dot{\phi}^2 + V(\phi) \right)$$

$$\dot{\phi}^2 \ll V(\phi)$$

Accelerated expansion sustained for a sufficiently long time when

Slow-roll parameters

$$\epsilon_v(\phi) \equiv \frac{M_{\text{pl}}^2}{2} \left( \frac{V_{,\phi}}{V} \right)^2$$

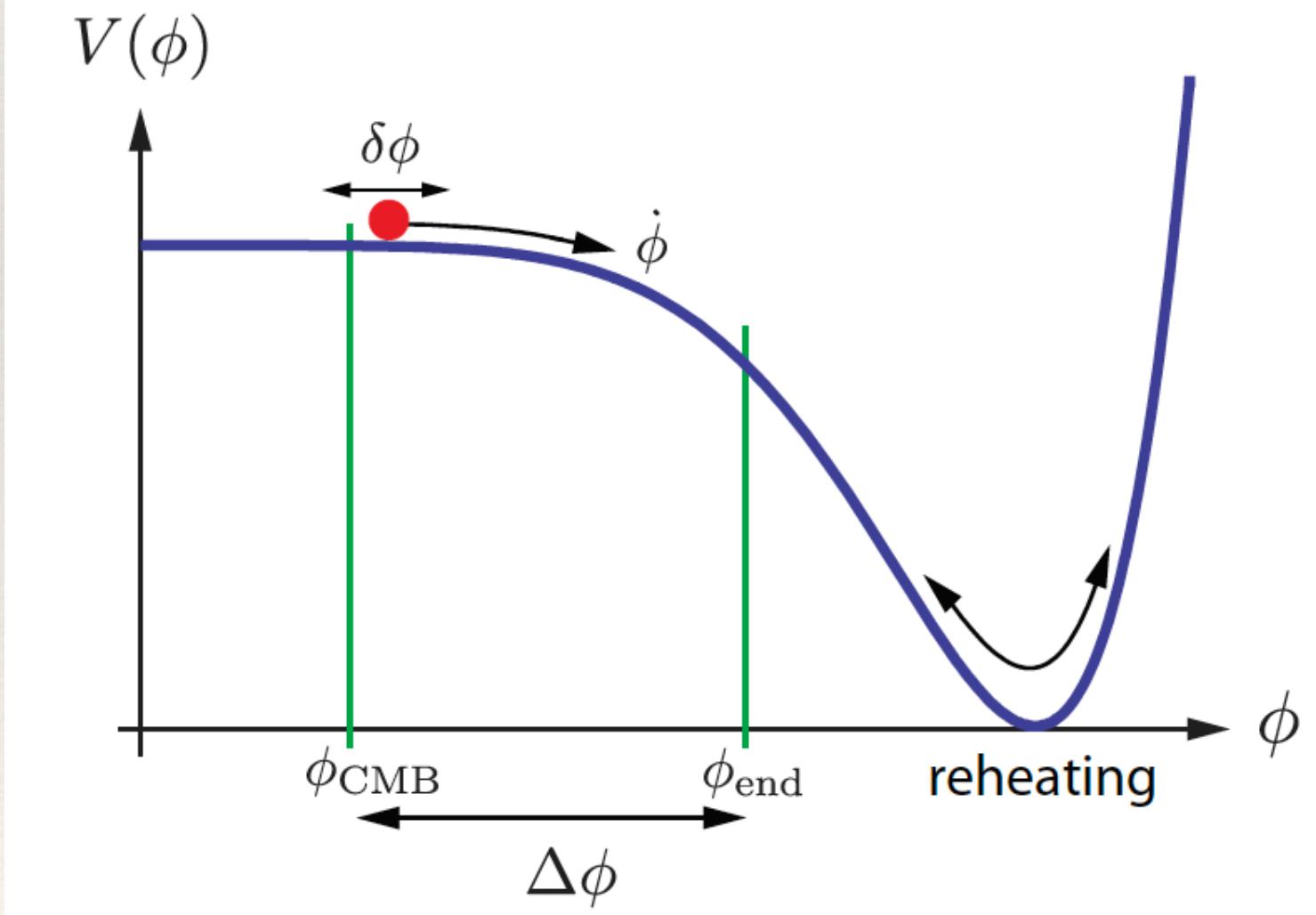
$$\eta_v(\phi) \equiv M_{\text{pl}}^2 \frac{V_{,\phi\phi}}{V}$$

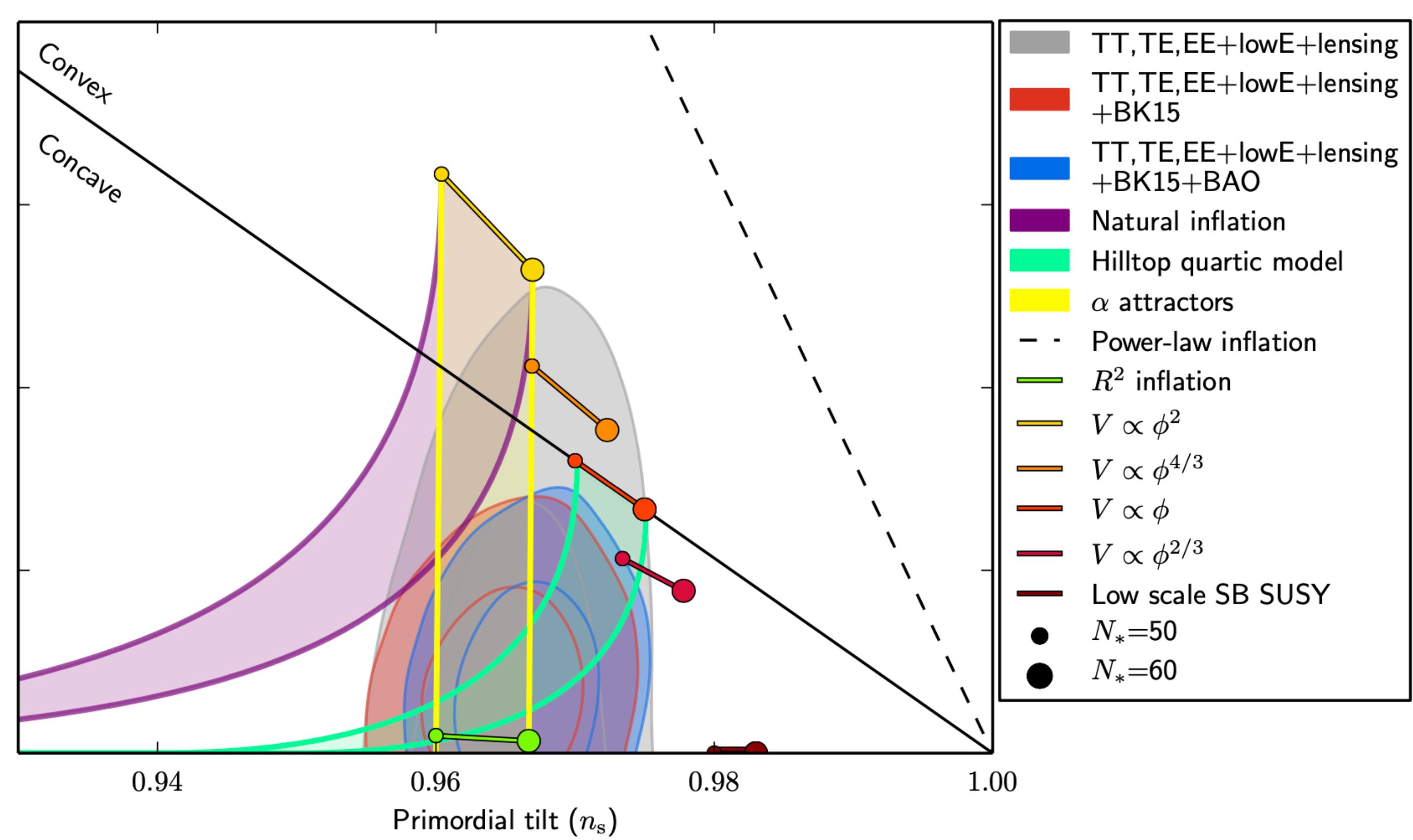
Slow-roll condition

$$\epsilon_v, |\eta_v| \ll 1$$

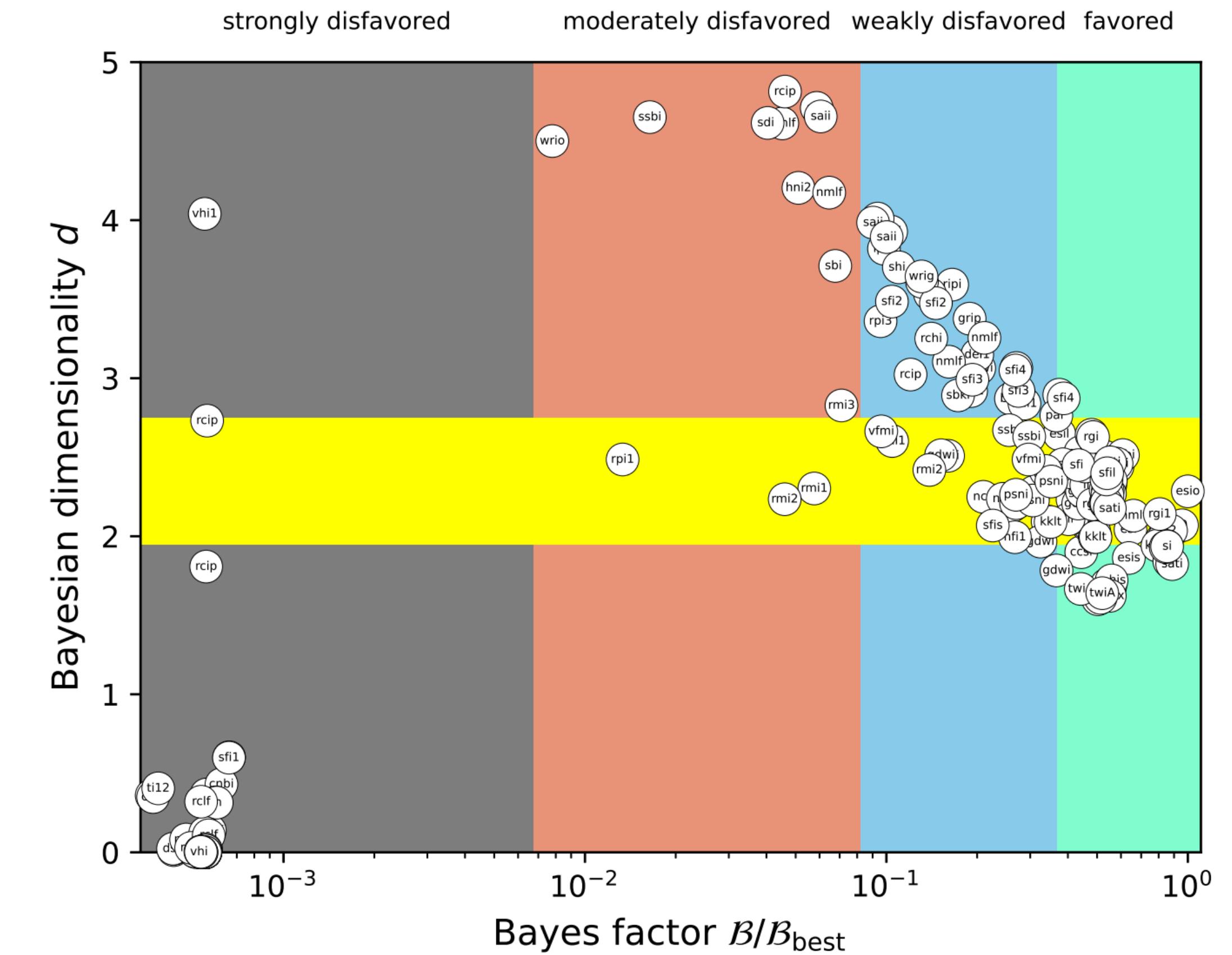
$$\eta = -\frac{\ddot{\phi}}{H\dot{\phi}} = \varepsilon - \frac{1}{2\varepsilon} \frac{d\varepsilon}{dN}$$

$$\varepsilon \approx \epsilon_v, \quad \eta \approx \eta_v - \epsilon_v.$$





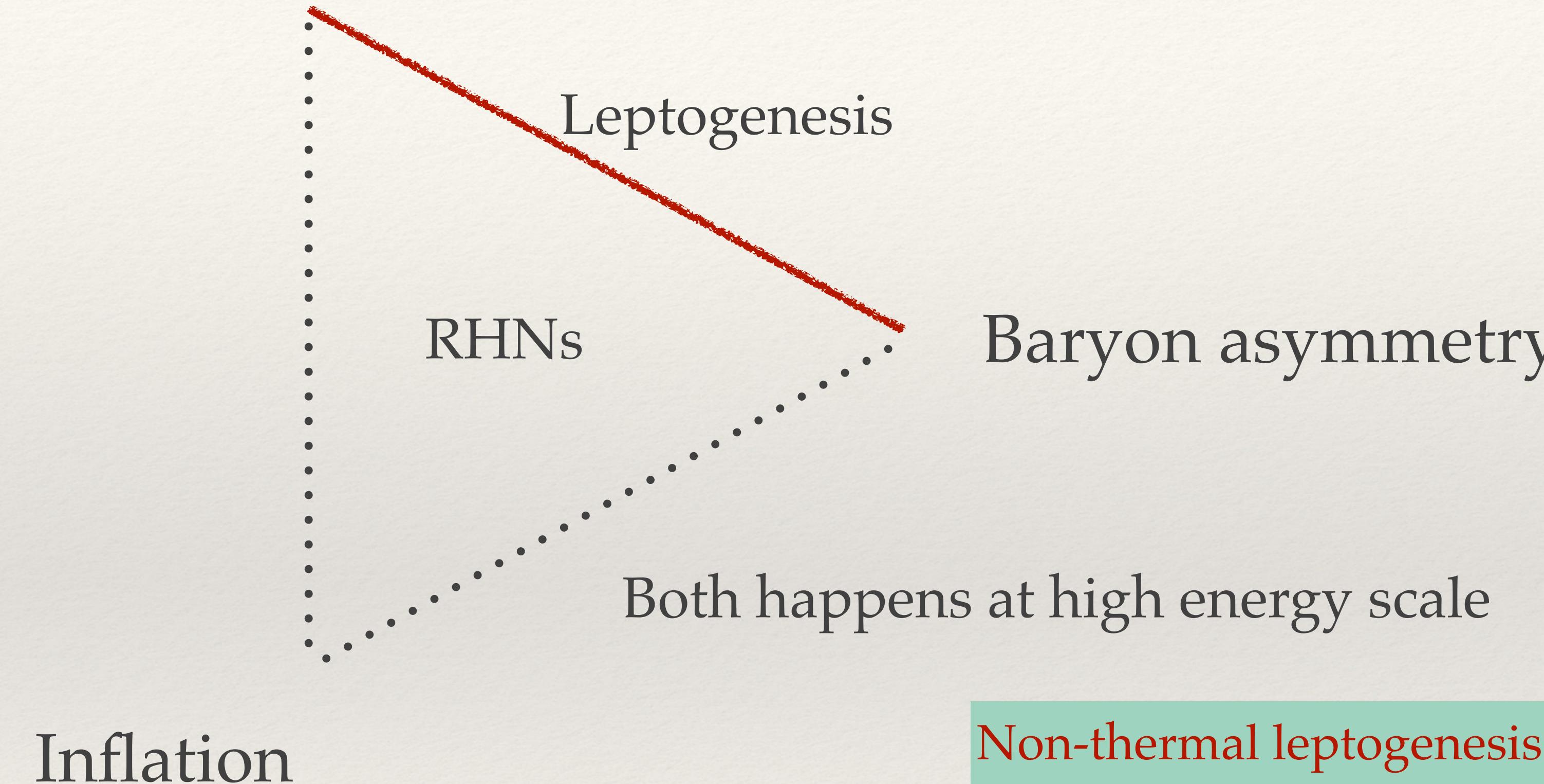
*Limited info*



Martin, Ringeval, Vennin, 2404.10647

*Model degeneracy*

## Neutrino physics ( $m_\nu$ )



Thermal leptogenesis:

RHNs are produced in thermal bath (zero initial abundance / thermal distribution)

Non-thermal leptogenesis:

RHNs are produced non-thermally  
(e.g., via **heavy particle decay**)

# $K \ll 1$ limit

Weak Yukawa



Cannot thermalize RHN

$$Y_B = \frac{n_B}{s} = \frac{c_{\text{sph}} \epsilon}{s} n_N$$

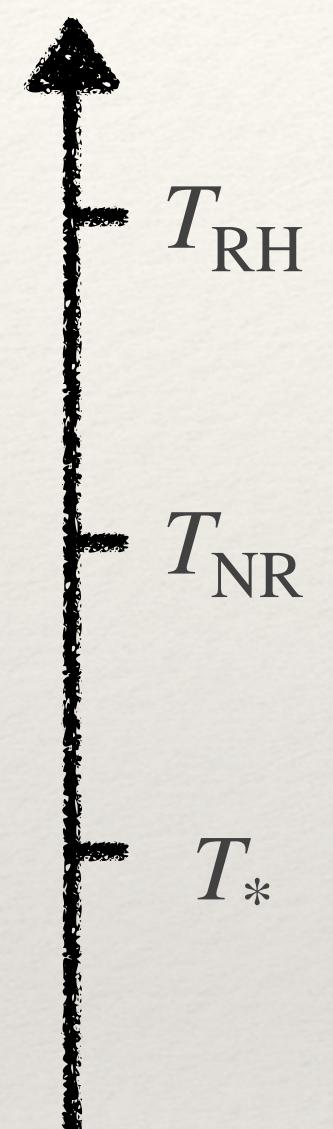
When the RHNs decay

Decay parameter

$$K = \tilde{m}_1/m_*$$

$$\tilde{m}_1 = \frac{(Y_\nu^\dagger Y_\nu)_{11} v^2}{M_1} = \frac{8\pi v^2}{M_1^2} \tilde{\Gamma}_N$$

$$m_* = \frac{8\pi v^2}{M_1^2} H(M_1),$$



For RHNs produced relativistically,

$$T_{\text{NR}} = T_{\text{RH}} M_1 / E_N \quad E_N \simeq M_\phi / 2$$

For RHNs produced non-relativistically,

$$T_{\text{NR}} \simeq T_{\text{RH}} \quad Y_B = \frac{3}{4} c_{\text{sph}} \epsilon \frac{T_*}{M_1}$$

*RHN dominance*

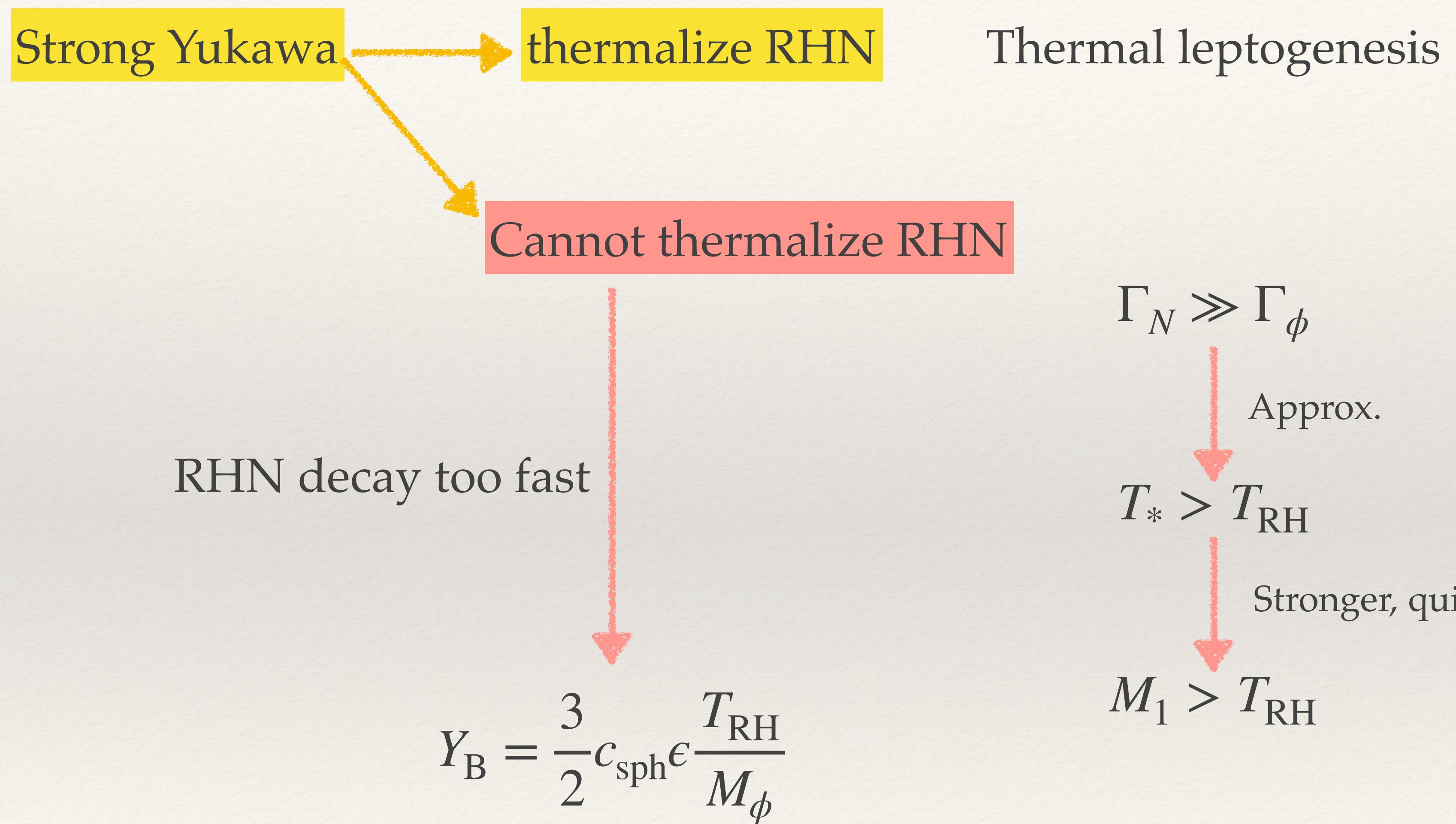
If RHNs decay instantly when produced

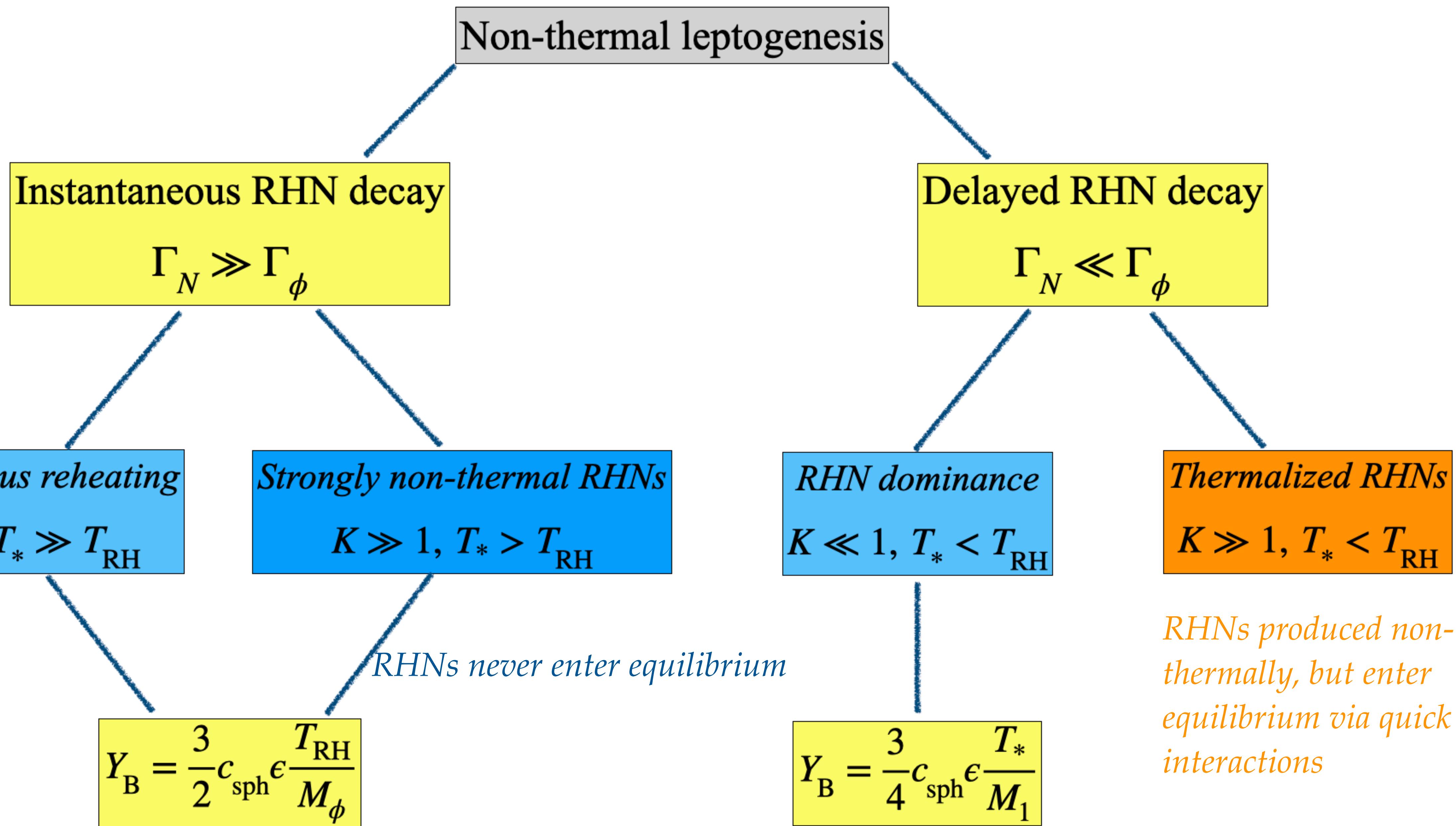
*Instantaneous reheating*

$$Y_B = \frac{3}{2} c_{\text{sph}} \epsilon \frac{T_{\text{RH}}}{M_\phi}$$

Chung, Kolb and Riotto 1999

# $K \gg 1$ limit





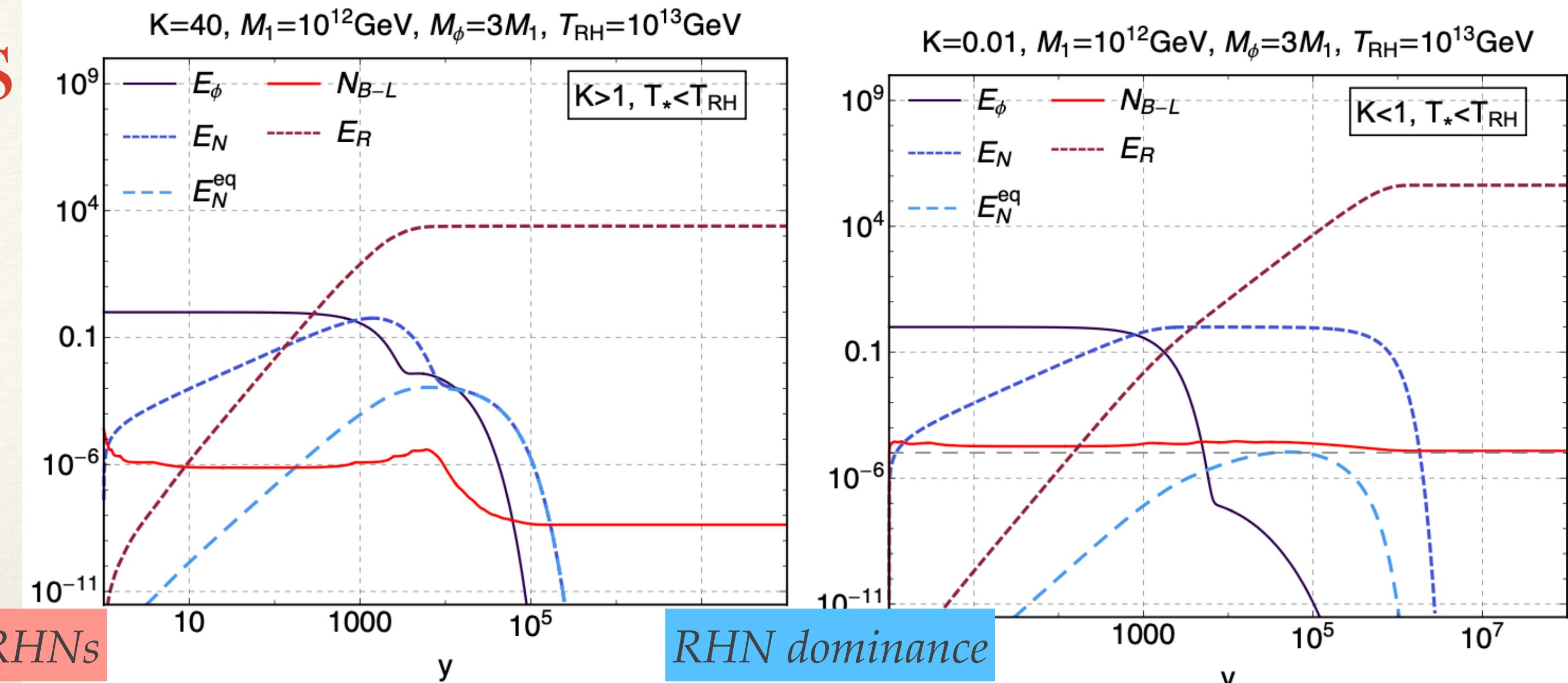
# Numeric results

*Enter equilibrium*

*Thermalized RHNs*

*Yukawa strong, but  
RHNs decay before  
entering equilibrium*

*Strongly non-thermal RHNs*

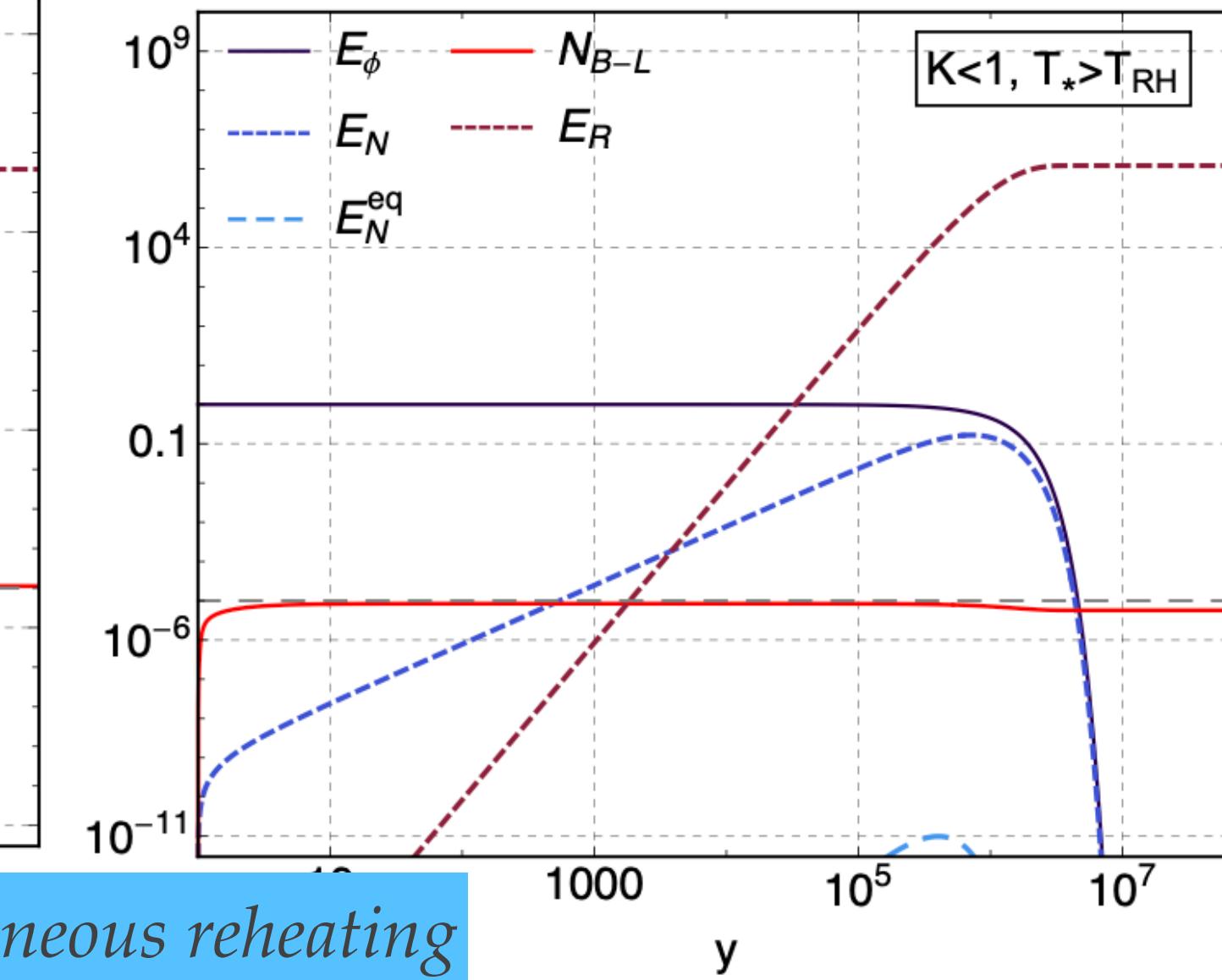


*RHN dominance*

$\phi$  decay out first,  
decayed RHN decay

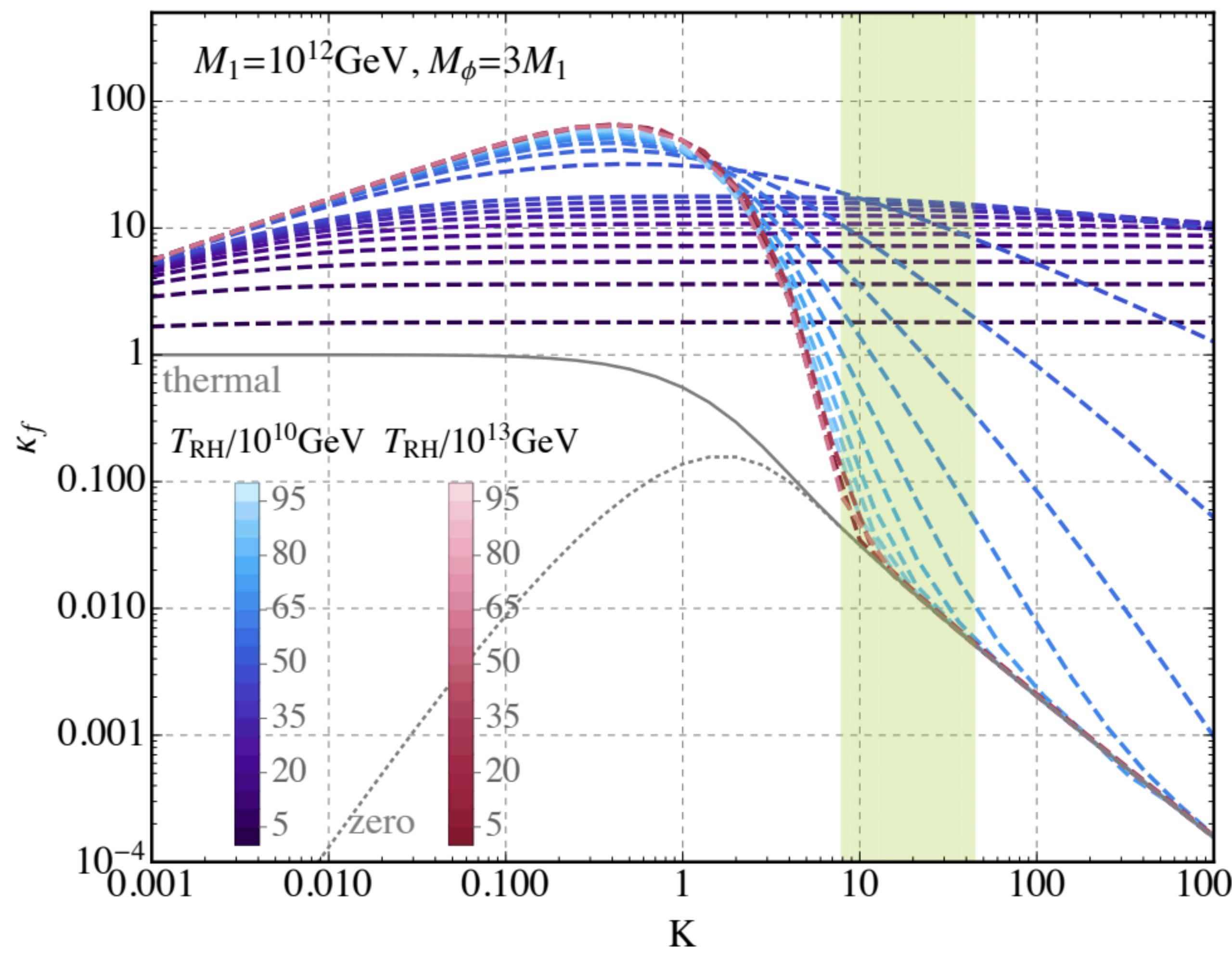
*Instantaneous reheating*

$K=0.01, M_1=10^{12} \text{ GeV}, M_\phi=3M_1, T_{\text{RH}}=5\times 10^{10} \text{ GeV}$



*RHN decay once it's  
produced, before  $\phi$  decay  
out*

# Final efficiency



$$\{K, M_1, M_\phi, T_{\text{RH}}\}$$

$$\eta_{\text{B-L}} = \frac{n_{\text{B-L}}}{n_\gamma^{\text{eq}}} = -\frac{3}{4}\epsilon\kappa_f$$

final efficiency factor  $\kappa_f$

Have larger efficiency than thermal leptogenesis

Expand parameter space compared  
with thermal leptogenesis

# Coleman-Weinberg potential

*Connects to inflation limits parameter space in  $(M_1, K)$*

$$V(\phi) = A\phi^4 \left[ \ln\left(\frac{\phi}{v_\phi}\right) - \frac{1}{4} \right] + \frac{1}{4}Av_\phi^4$$

Inflation-observation-compatible benchmark values

$$A = 2.41 \times 10^{-14}, v_\phi = 22.1 M_{\text{pl}}$$

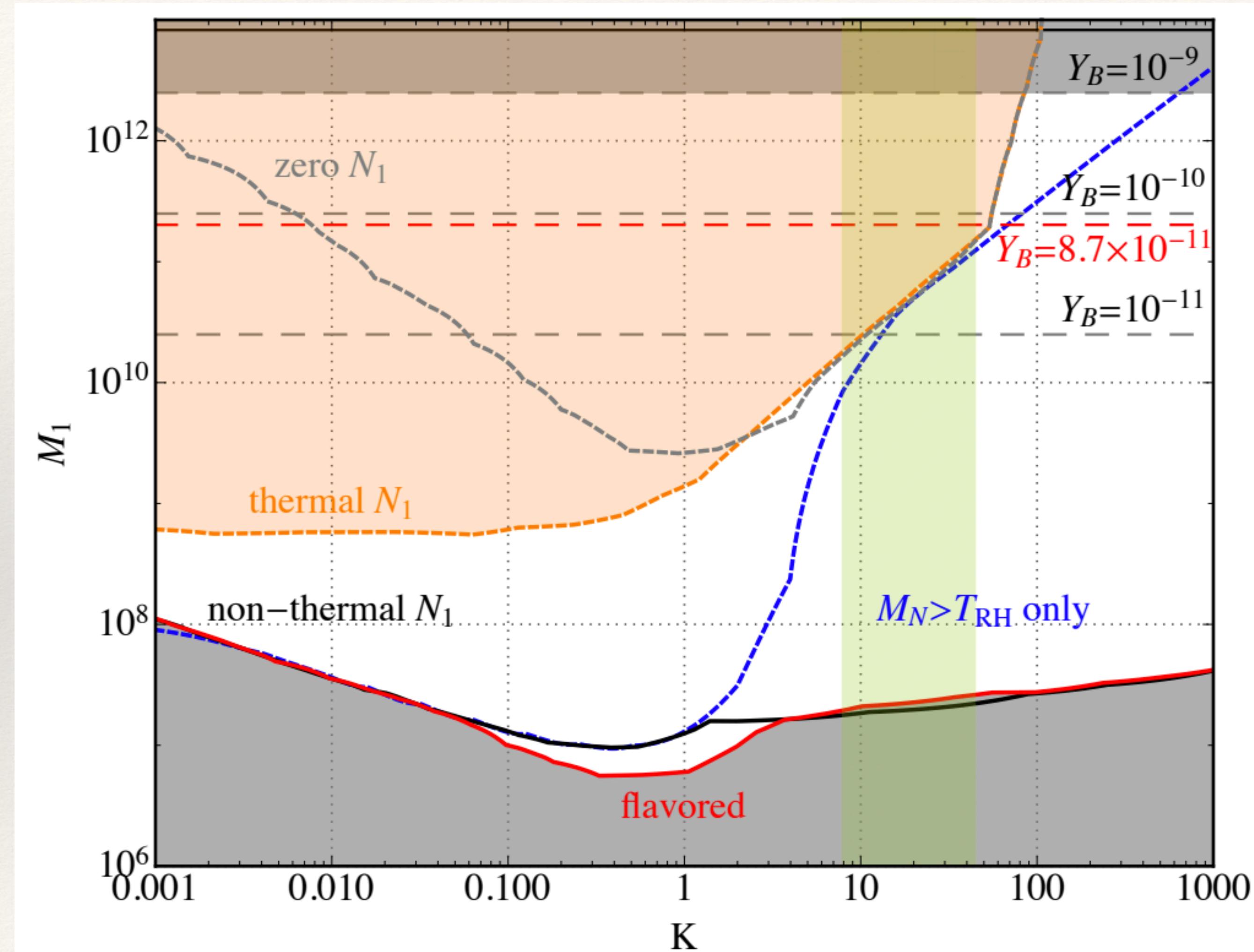
$$\rightarrow M_\phi = 1.65 \times 10^{13} \text{ GeV}$$

$$M_N = y_N v_\phi$$

Viable parameter space satisfy

$$T_{\text{RH}} \simeq 10^{-5} M_1$$

*Strongly non-thermal RHNs preferred*



# Natural inflation potential

*Connects to inflation limits parameter space in  $(M_1, K)$*

$$V(\phi) = \Lambda (1 + \cos \phi/f)$$

Inflation-observation-compatible benchmark values

$$\Lambda \leq 10^{16} \text{ GeV} \quad f \geq 10^{19} \text{ GeV}$$

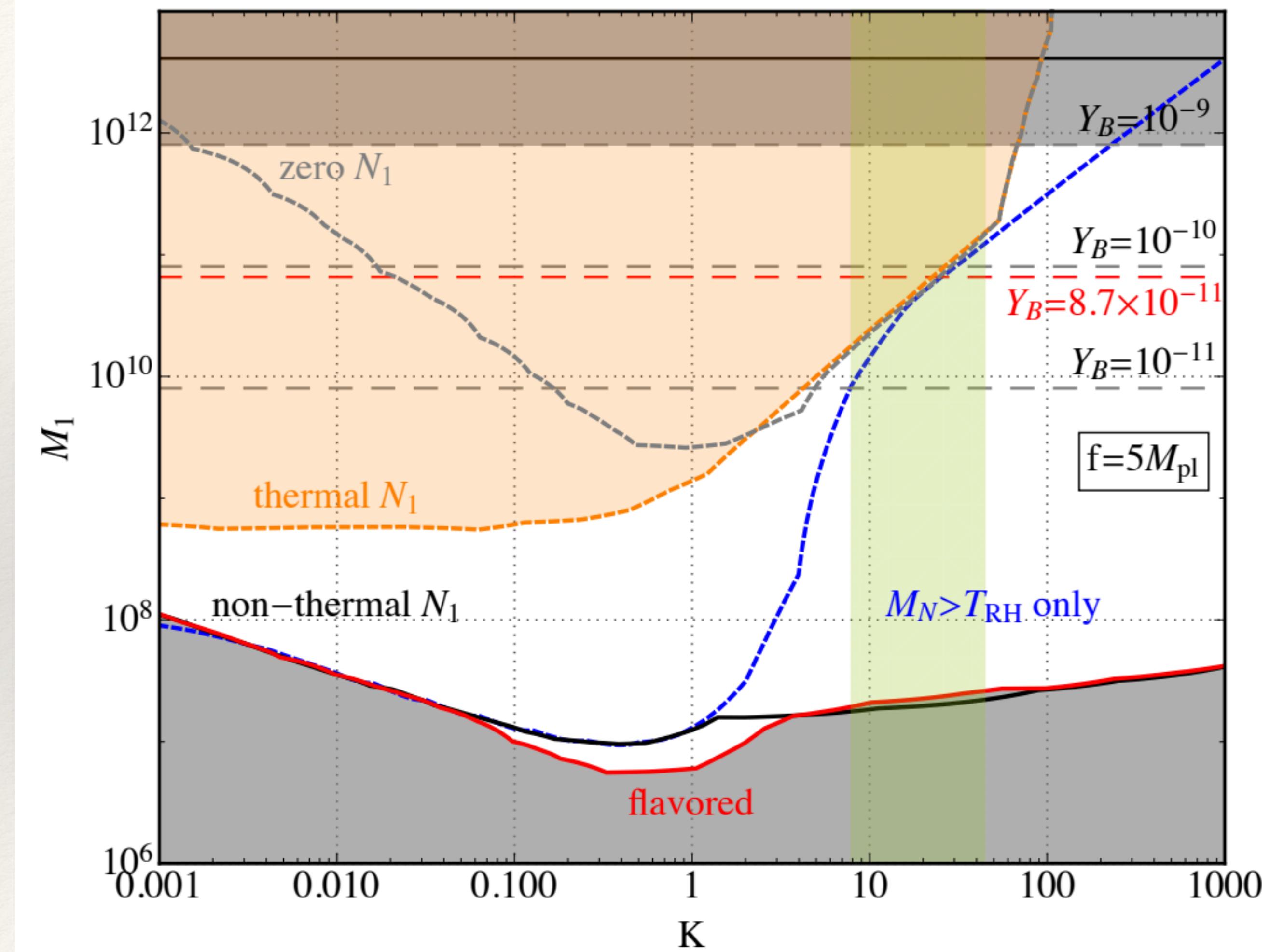
$$\rightarrow M_\phi \leq 10^{13} \text{ GeV}$$

$$M_\phi^2 = \frac{d^2 V}{d\phi^2} \Big|_{\min} = \frac{\Lambda^4}{f^2}$$

$$\text{Take } M_\phi = 10^{13} \text{ GeV} \quad f = 5M_{\text{pl}}$$

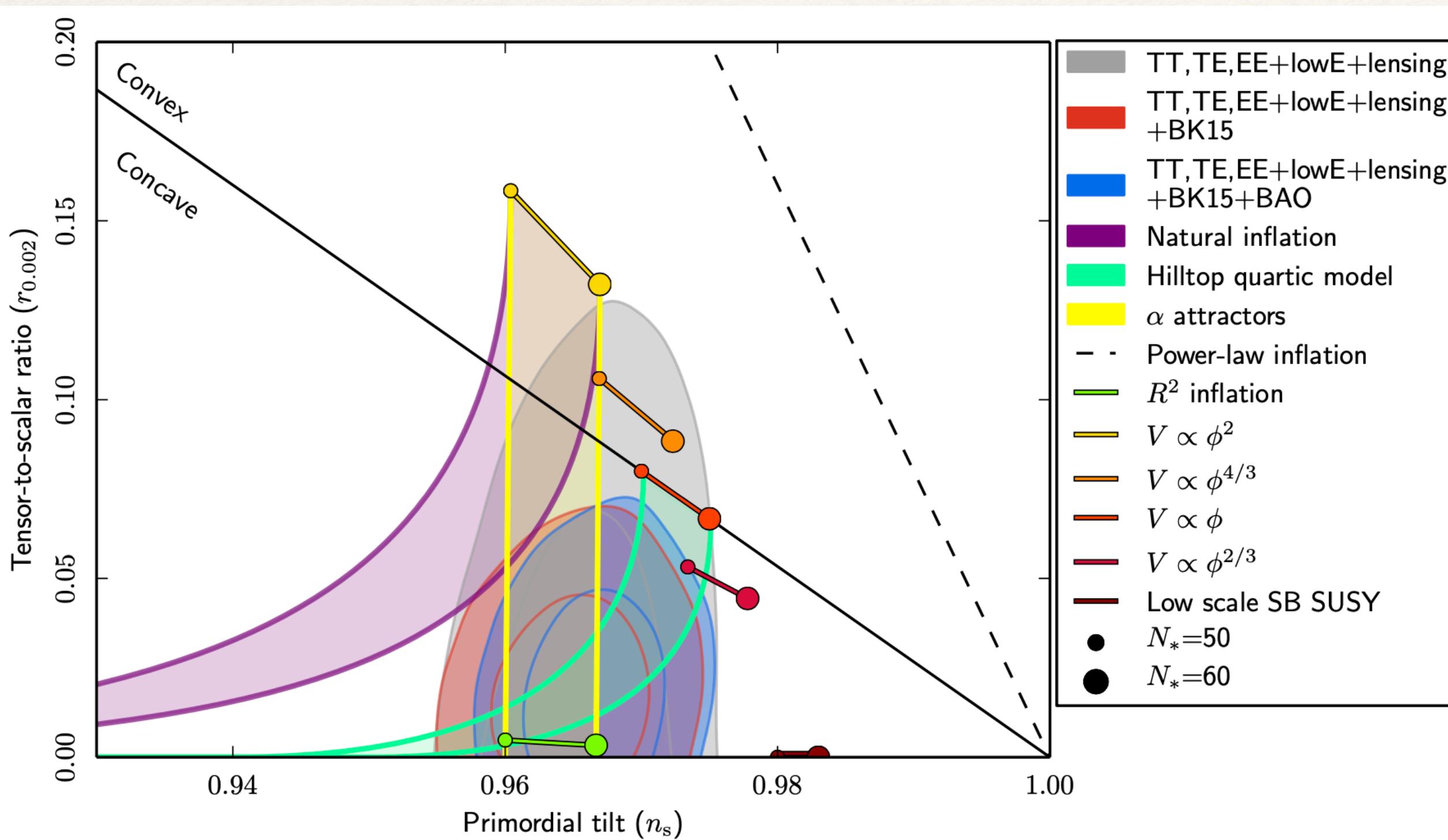
$$M_N = y_N f \rightarrow T_{\text{RH}} \simeq 6 \times 10^{-5} M_1$$

*Strongly non-thermal RHNs preferred*



*However, it is not the full story, something important is missing*

# $N_k(N_*) = 50?$



$$N_k \equiv \ln \frac{a_k}{a_{\text{end}}}$$

- Enough inflation for the horizon problem  
 $\rightarrow N_k \in [50, 70];$
- Depends on expansion history after inflation

*With specified after inflation expansion,  $N_k$  can be calculated*

# Reheating

Supercool state  
at the end of inflation



Hot, radiation dominated state

L. Abbott, E. Farm, M.B. Wise, PLB, 1982, *Particle production in the new inflationary cosmology*

For reviews, see:

R. Allahverdi, R. Brandenberger, F. Cyr-Racine, A. Mazumdar, 1001.2600

K. Lozano, 1907.04402

# Neutrino reheating

Assume direct inflaton RHN coupling

RHNs decay reheats the universe through their subsequent decays to SM particles

RHN responsible for reheating also in:

C. Cosme, F. Costa and O. Lebedev, 2402.04743

M.R. Haque, D. Maity and R. Mondal, 2311.07684, 2408.12450, *gravitational neutrino reheating*

# The $N_{\text{RH}} - N_k$ relation

$$N_{\text{RH}} \equiv \ln \frac{a_{\text{RH}}}{a_{\text{end}}} = \frac{1}{3(1 + \omega_{\text{RH}})} \ln \left( \frac{\rho_{\text{end}}}{\rho_{\text{RH}}} \right)$$

$$N_{\text{RH}} = \frac{4}{3(1 + \omega_{\text{RH}})} \left[ \ln \frac{V_{\text{end}}^{1/4}}{H_k} + \frac{1}{4} \ln \frac{45}{\pi^2 g_*} - \frac{1}{3} \ln \frac{43}{11g_{s,\text{RH}}} + \ln \frac{k}{a_0 T_0} + N_k + N_{\text{RH}} \right]$$

$$\omega_{\text{RH}} \neq 1/3 \quad N_{\text{RH}} = \frac{4}{(1 - 3\omega_{\text{RH}})} \left[ -\ln \frac{V_{\text{end}}^{1/4}}{H_k} - \frac{1}{4} \ln \frac{45}{\pi^2 g_*} + \frac{1}{3} \ln \frac{43}{11g_{s,\text{RH}}} - \ln \frac{k}{a_0 T_0} - N_k \right]$$

$$\omega_{\text{RH}} = 1/3 \quad 0 = \ln \frac{V_{\text{end}}^{1/4}}{H_k} + \frac{1}{4} \ln \frac{45}{\pi^2 g_*} - \frac{1}{3} \ln \frac{43}{11g_{s,\text{RH}}} + \ln \frac{k}{a_0 T_0} + N_k$$

*This relation decodes how reheating affects inflationary observations*

# Neutrino reheating and non-thermal leptogenesis

(1) Instantaneous RHN decay  $\Gamma_N \gg \Gamma_\phi$

$$0 = \ln \frac{V_{\text{end}}^{1/4}}{H_k} + \frac{1}{4} \ln \frac{45}{\pi^2 g_*} - \frac{1}{3} \ln \frac{43}{11 g_{s,\text{RH}}} + \ln \frac{k}{a_0 T_0} + N_k$$

$N_{\text{RH}} = 0$

$$N_{\text{RH}} \equiv \ln \frac{a_{\text{RH}}}{a_{\text{end}}} = \frac{1}{3(1 + \omega_{\text{RH}})} \ln \left( \frac{\rho_{\text{end}}}{\rho_{\text{RH}}} \right)$$

*Definite prediction of  $N_k \rightarrow (n_s, r)$*

$\rho_{\text{RH}} \rightarrow T_{\text{RH}}$  {inflationary parameters}

$$Y_B = \frac{3}{2} c_{\text{sph}} \epsilon \frac{T_{\text{RH}}}{M_\phi}$$

# Neutrino reheating and non-thermal leptogenesis

(2) Delayed RHN decay  $\Gamma_N < \Gamma_\phi$

$T_\phi$  ━ Inflaton effectively decay

$$\rho_N|_{T_\phi} = \rho_\phi|_{T_\phi} = E_N n_N$$

$T_{\text{NR}}$  ━ RHNs become non-relativistic

$$\rho_N|_{T_{\text{NR}}} = M_N n_N$$

$T_{\text{RH}}$  ━ RHNs effectively decay

$$\rho_N|_{T_{\text{RH}}} = \rho_R|_{T_{\text{RH}}}$$

$$N_I = \frac{1}{3(1 + \omega_I)} \ln \frac{\rho_N|_{T_\phi}}{\rho_N|_{T_{\text{NR}}}}$$

$$Y_B = \frac{3}{4} c_{\text{sph}} \epsilon \frac{T_{\text{RH}}}{M_1}$$

$$N_{\text{RH}} = N_I + N_{II}$$

$$N_{II} = \frac{1}{3(1 + \omega_{II})} \ln \frac{\rho_N|_{T_{\text{NR}}}}{\rho_N|_{T_{\text{RH}}}}$$

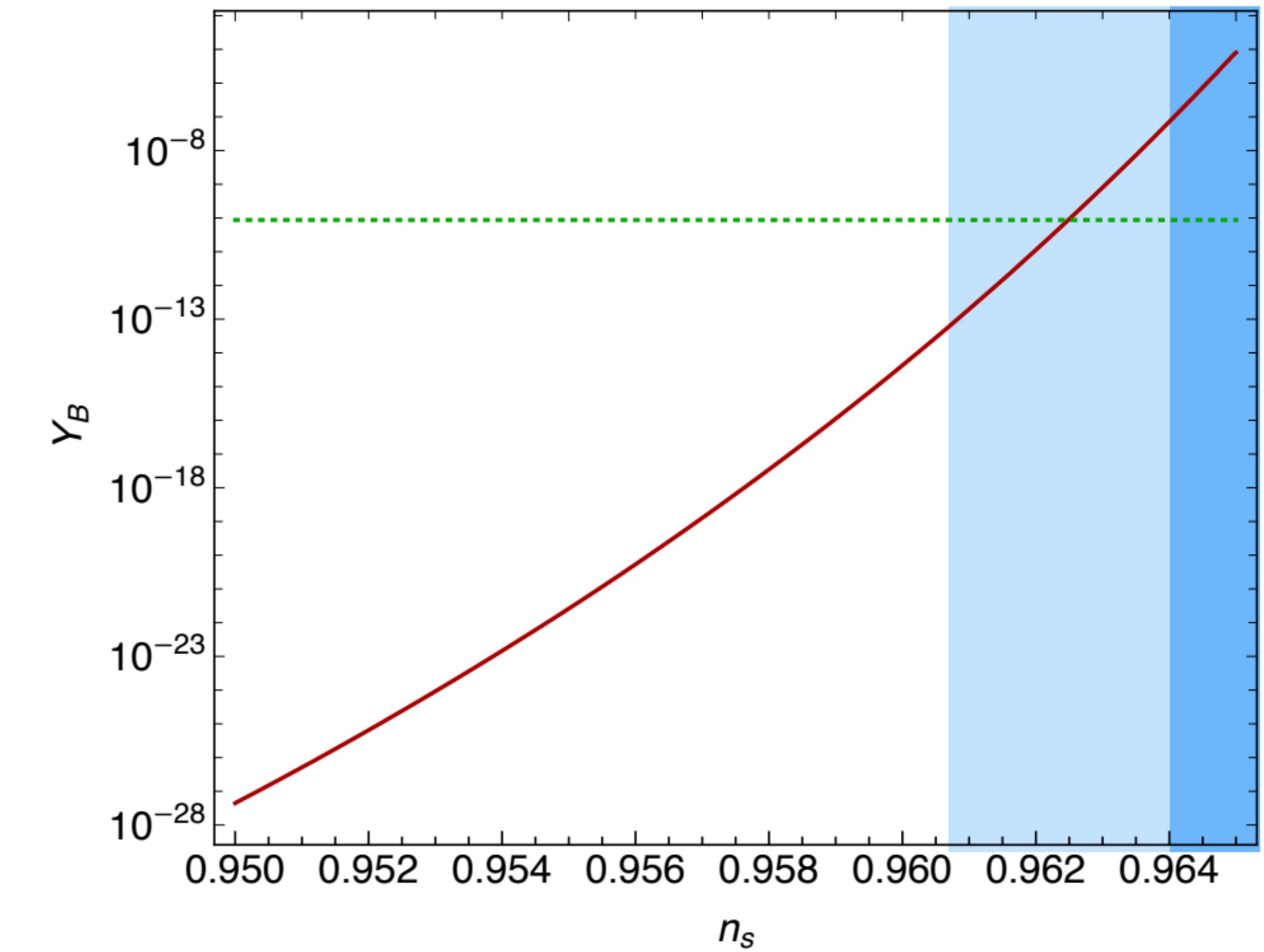
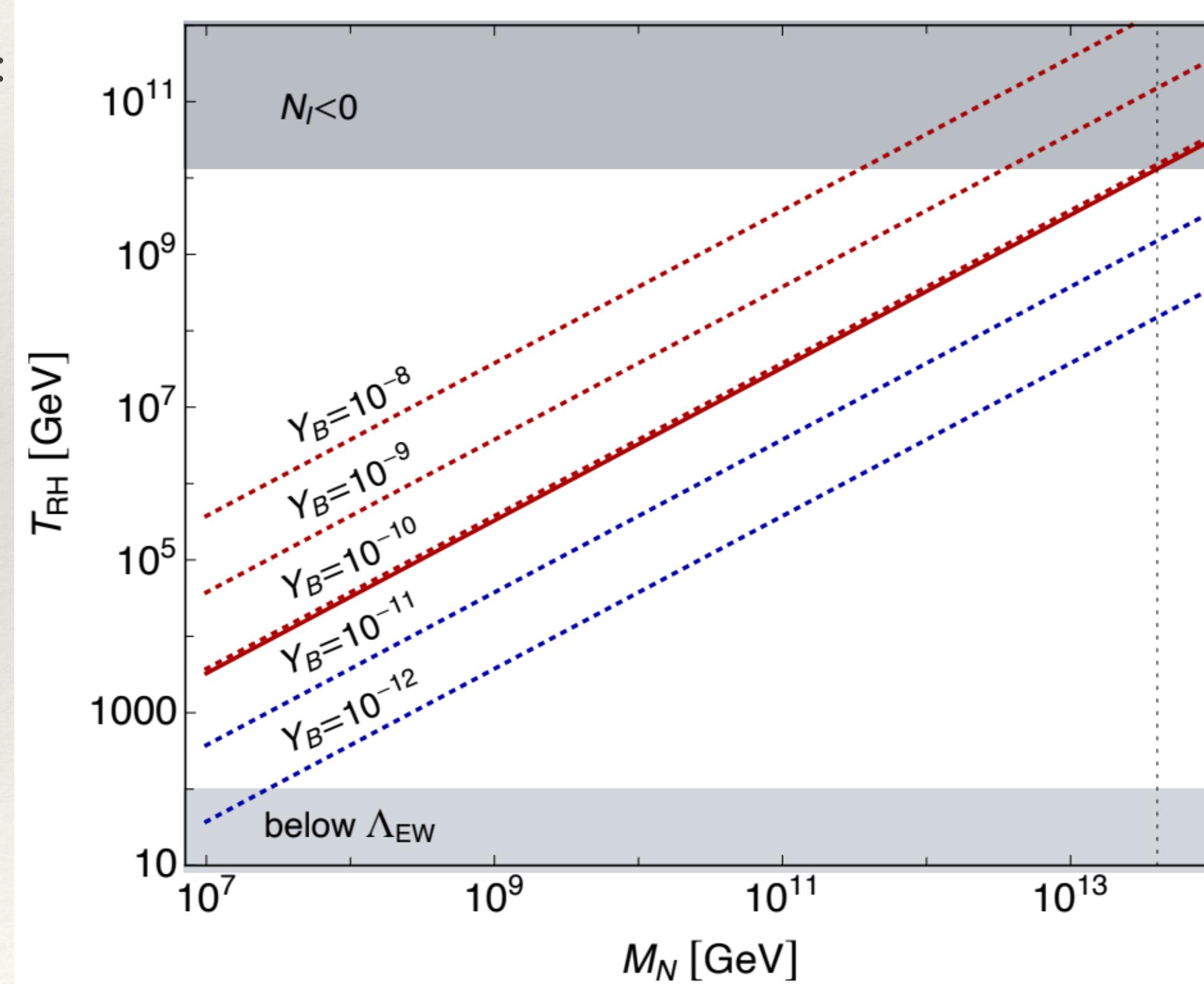
# A polynomial type potential

$$V = \frac{1}{2} m^{4-\alpha} \phi^\alpha$$

(1)  $\Gamma_N \gg \Gamma_\phi$ :

$$n_s = 0.9652, r = 0.139, T_{\text{RH}} = 2.19 \times 10^{15} \text{ GeV}, Y_B = 1.85 \times 10^{-5}$$

(2)  $\Gamma_N < \Gamma_\phi$ :

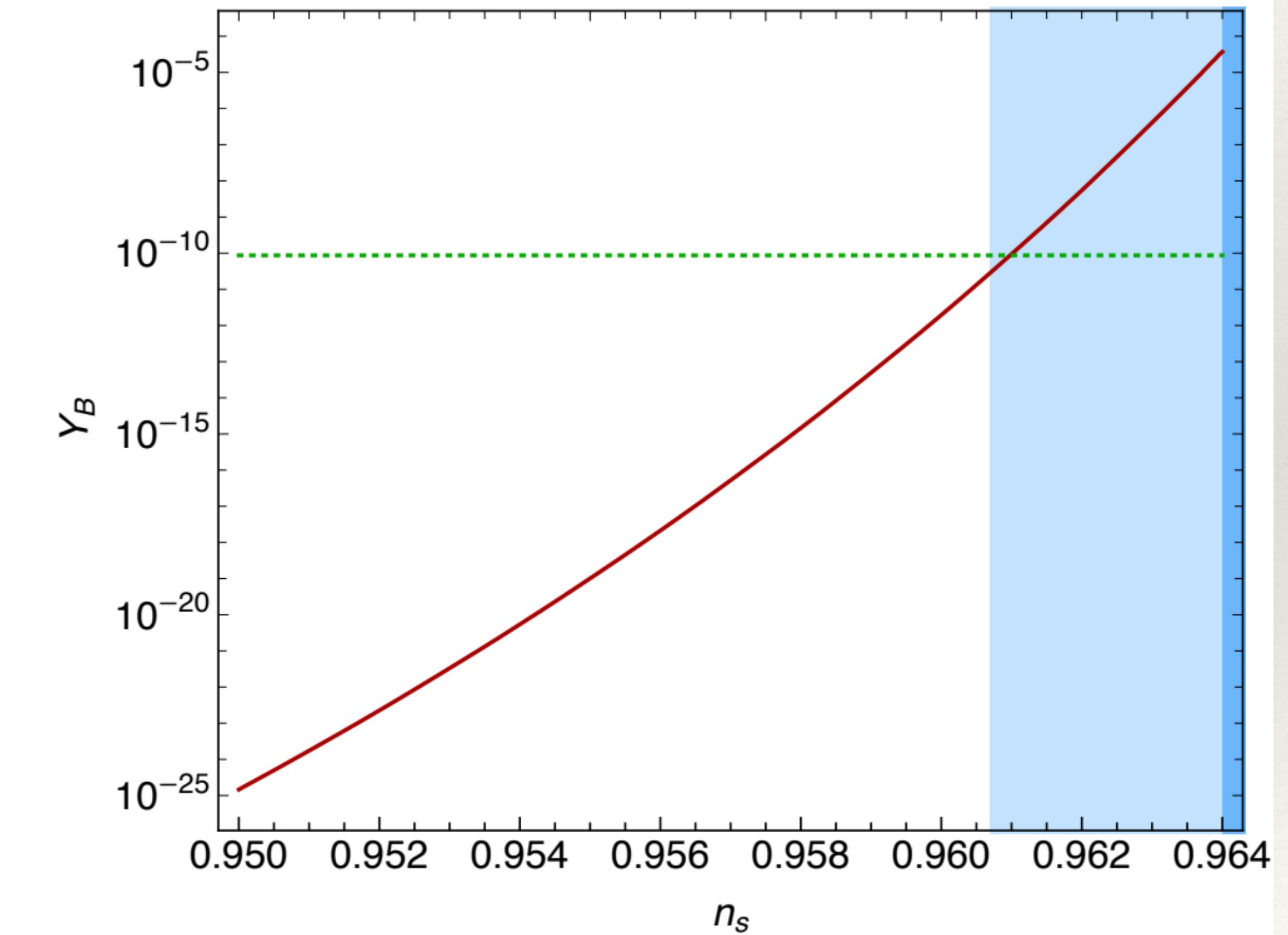
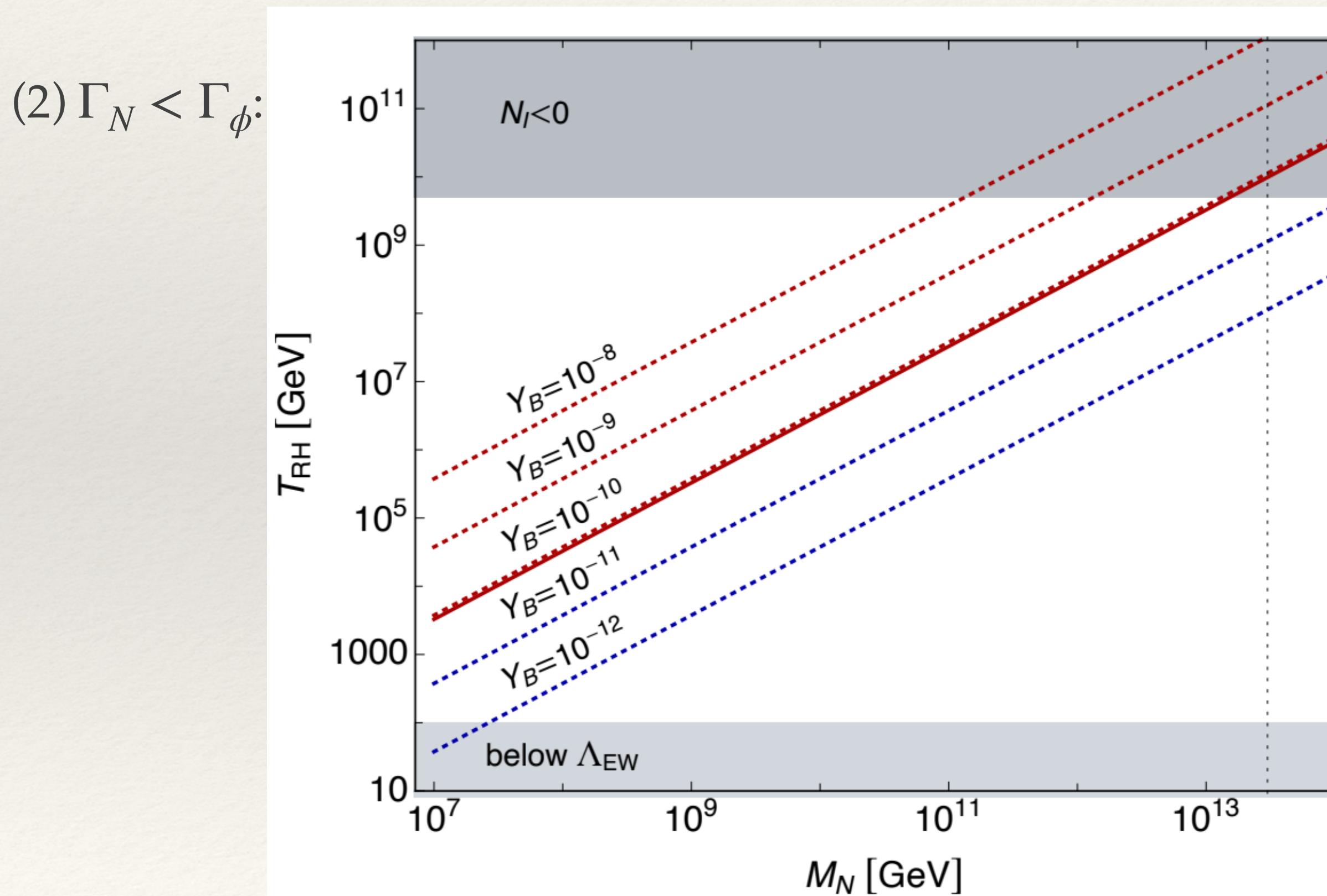


# Starobinsky model

$$V = \Lambda^4 \left( 1 - e^{-\sqrt{\frac{2}{3}} \frac{\phi}{M_P}} \right)^2$$

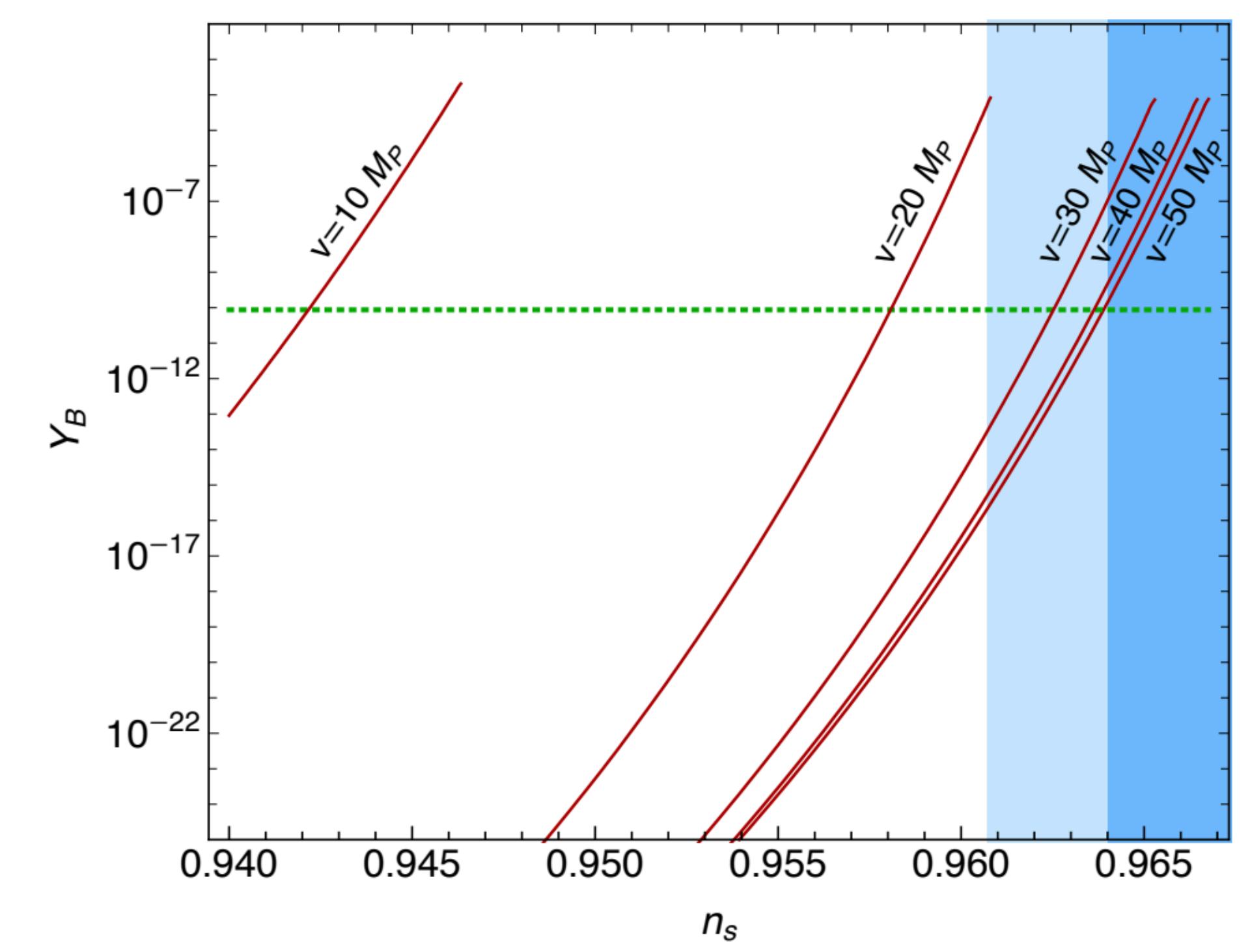
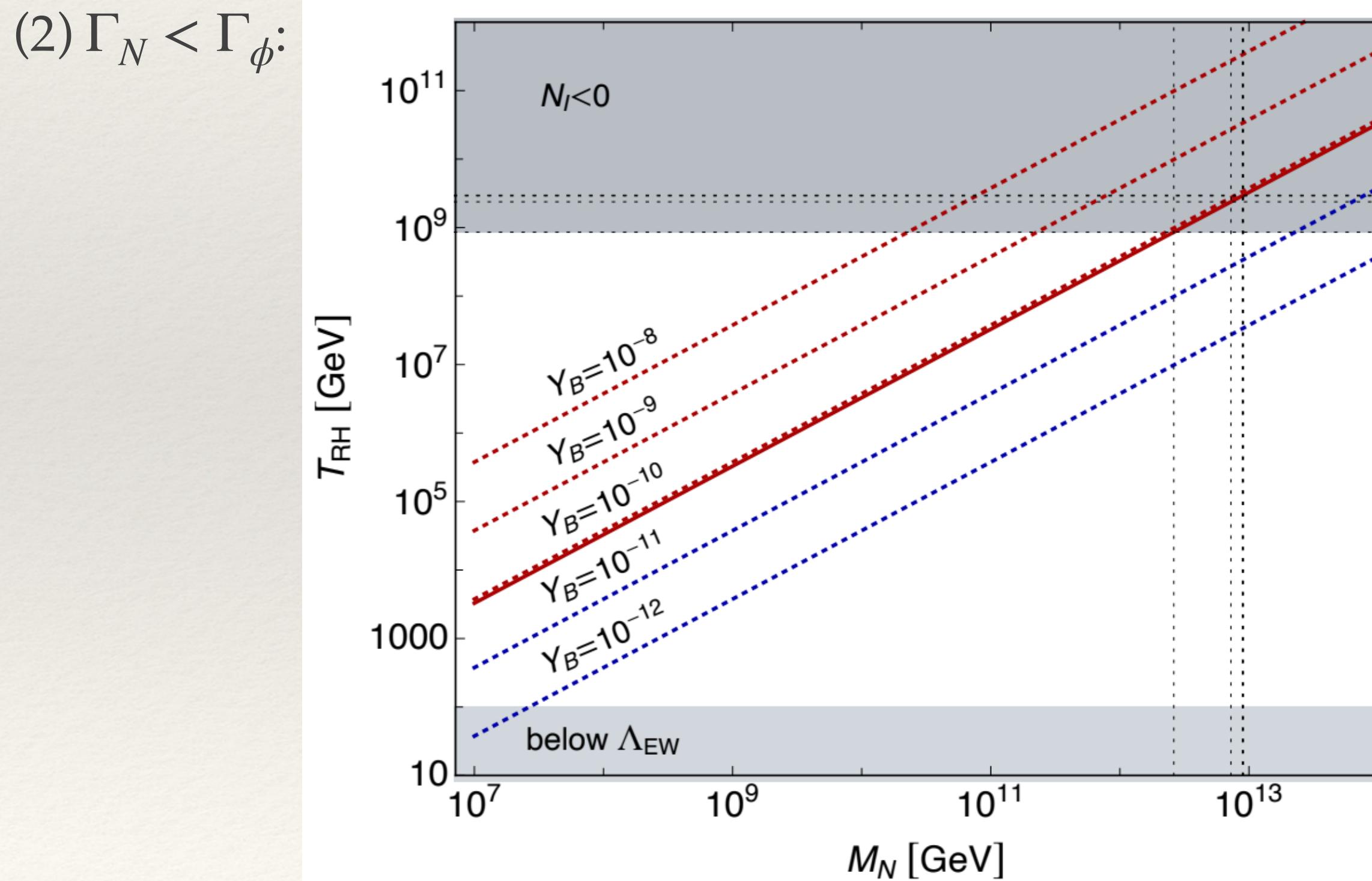
(1)  $\Gamma_N \gg \Gamma_\phi$ :

$$n_s = 0.964, r = 0.0039, T_{\text{RH}} = 2.75 \times 10^{15} \text{ GeV}, Y_B = 4.67 \times 10^{-5}$$



# Coleman-Weinberg inflation

$$V = A\phi^4 \left[ \ln\left(\frac{\phi}{v}\right) - \frac{1}{4} \right] + \frac{1}{4}Av^4 \quad \begin{array}{l} (1) \Gamma_N \gg \Gamma_\phi: \\ v \in [13,47]M_P, T_{\text{RH}} \in [2.47,2.91] \times 10^{15} \text{GeV}, M_\phi \in [1.40,1.71] \times 10^{13} \text{GeV} \\ Y_B \in [9.05,9.39] \times 10^{-5} \end{array}$$

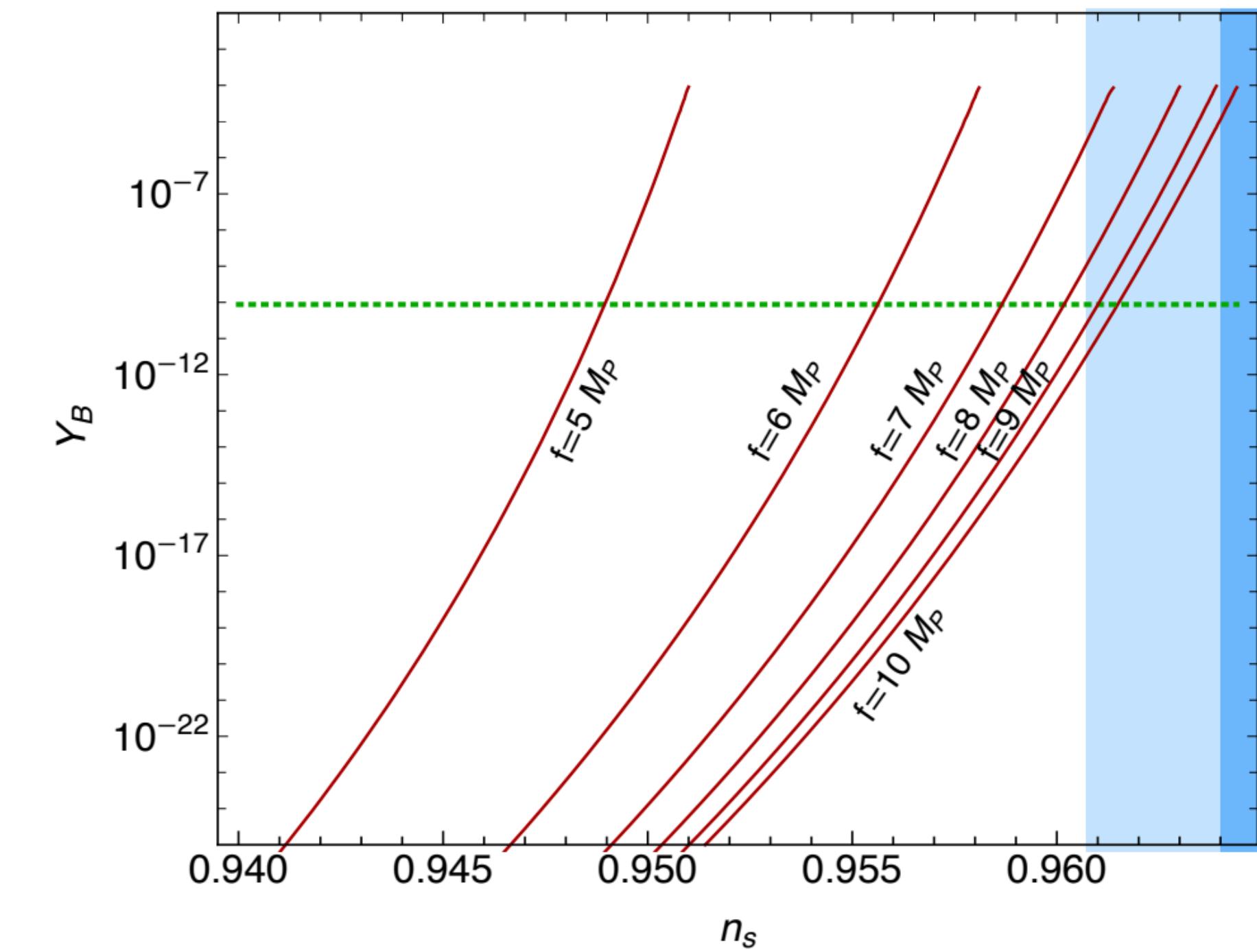
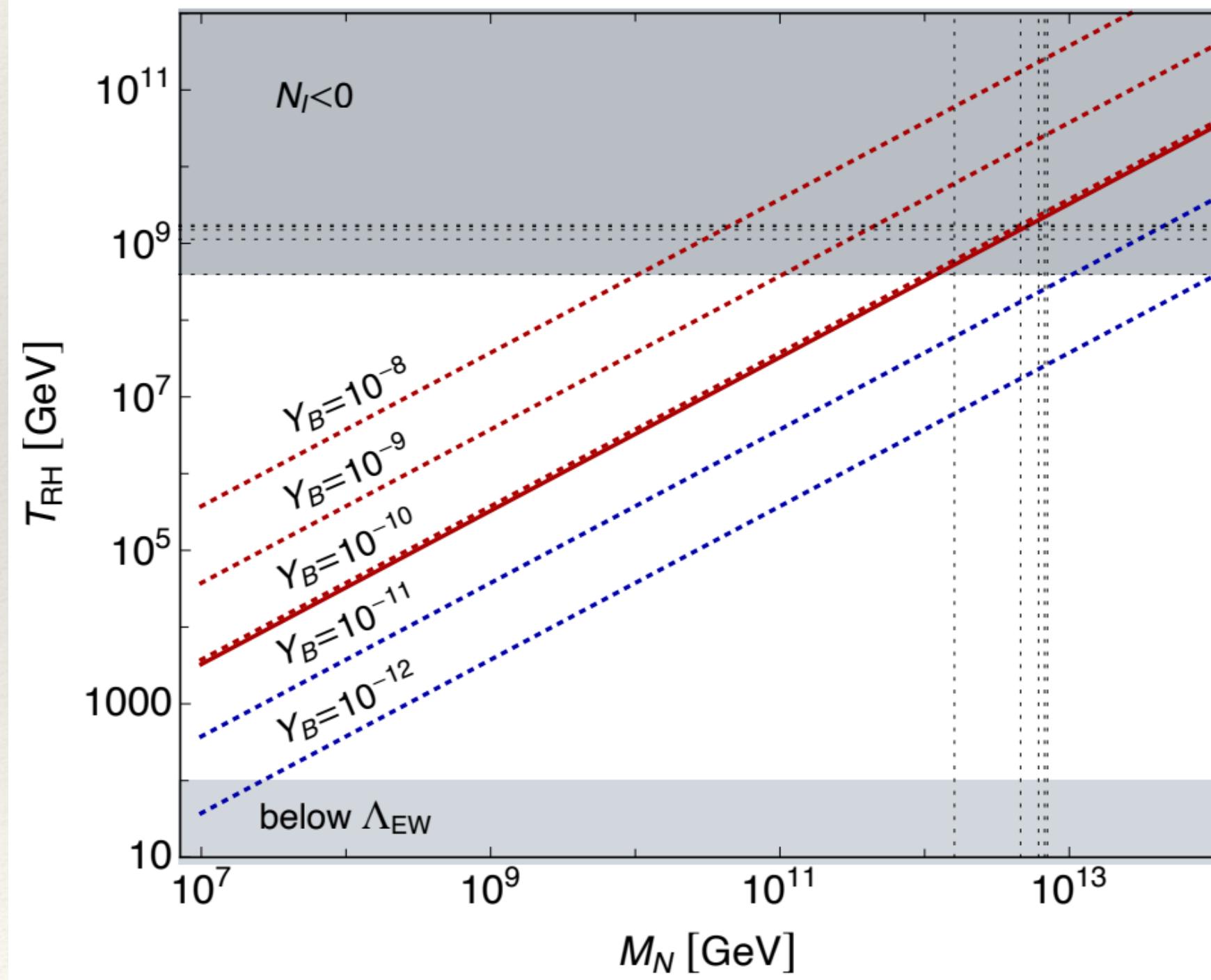


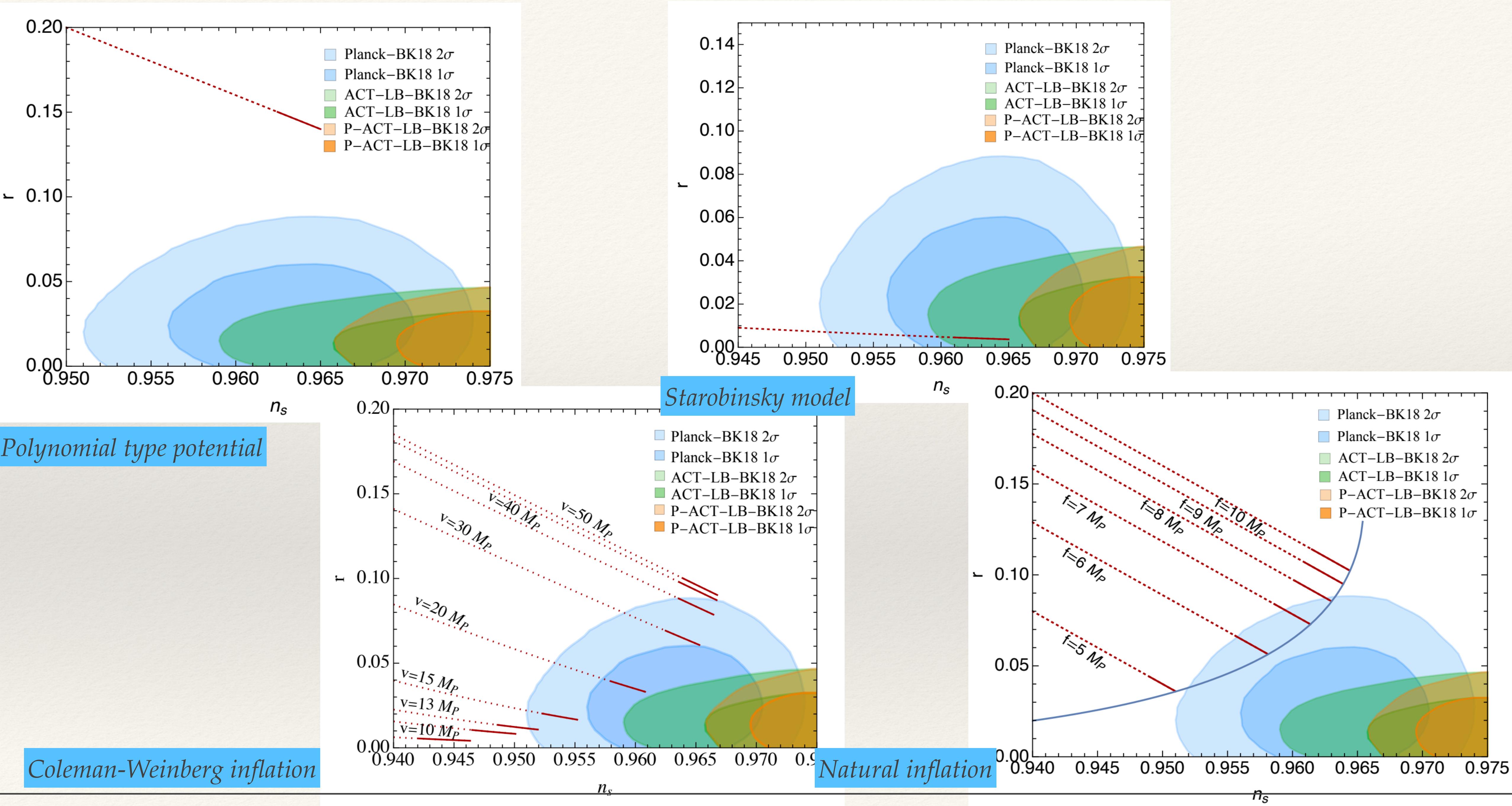
# Natural inflation

$$V = \Lambda^4 \left( 1 + \cos \frac{\phi}{f} \right)$$

(1)  $\Gamma_N \gg \Gamma_\phi$ :  
 $f \in [5.09, 8.26] M_P$ ,  $T_{\text{RH}} \in [2.52, 2.74] \times 10^{15} \text{GeV}$ ,  $M_\phi \in [1.23, 1.44] \times 10^{13} \text{GeV}$   
 $Y_B \in [1.00, 1.09] \times 10^{-4}$

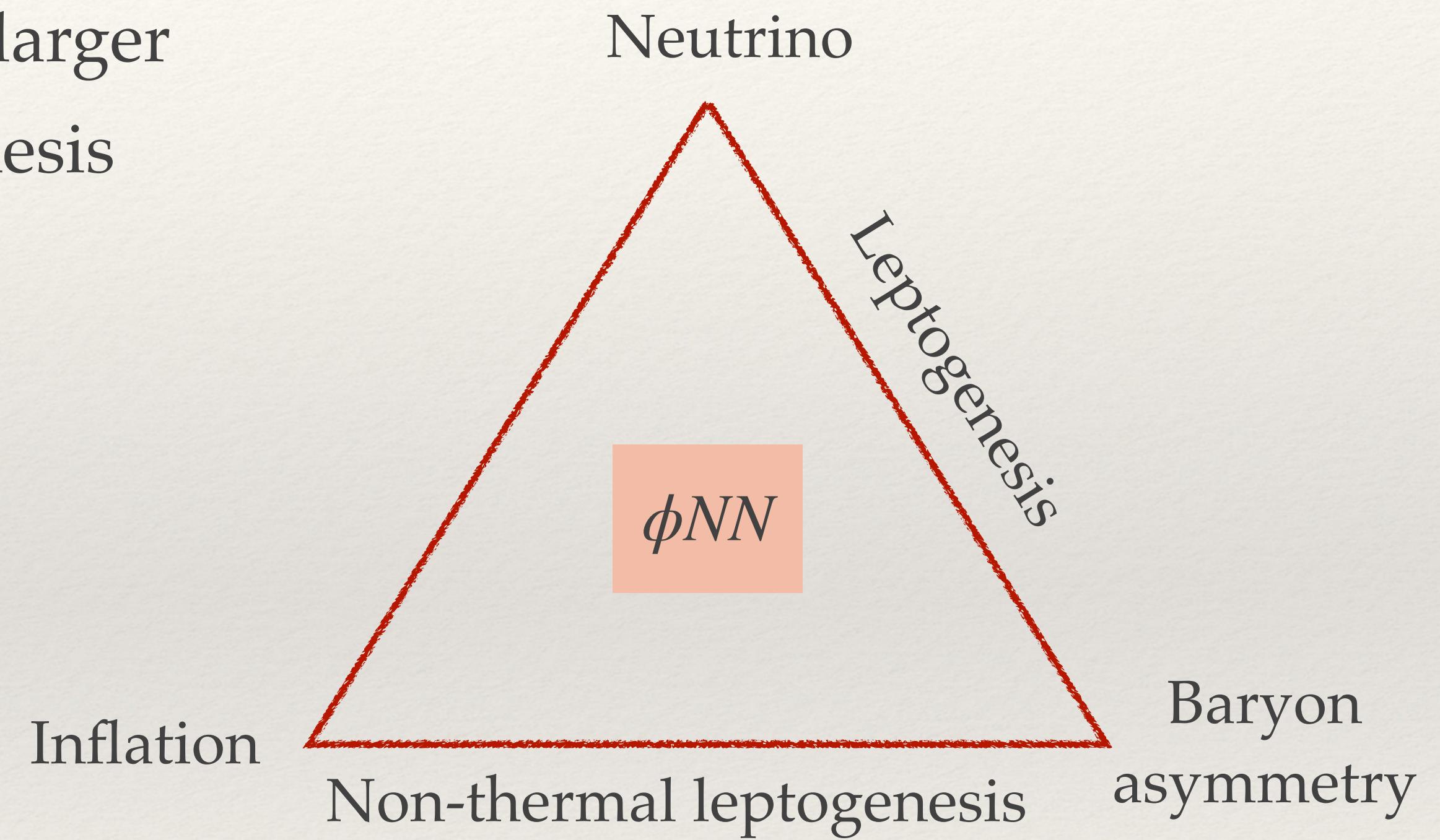
(2)  $\Gamma_N < \Gamma_\phi$ :





# Conclusions

- Non-thermal leptogenesis allows for a larger parameter space than thermal leptogenesis
- Neutrino reheating + non-thermal leptogenesis:  $Y_B$  grows with  $n_s$ 
  - ✓ Helps break model degeneracy
  - ☐ Still model dependent
  - ☐ Full parameter space
  - ☐ Preheating



*Thanks and stay tuned!*