

Phase Transition Gravitational Waves as a Unique Discriminant for Warm Inflation

Xiao-Bin Sui

School of Fundamental Physics and Mathematical Sciences, Hangzhou Institute for
Advanced Study, University of Chinese Academy of Sciences

October 19, 2025

Outline

1. Cold Inflation vs Warm Inflation
2. Thermal History of Warm Inflation
3. First-Order Phase Transitions & GWs
4. Summary

Why Inflation?

- Solves Big Bang cosmology puzzles: horizon, flatness, monopole problems
- Generates primordial perturbations for large-scale structure formation

comoving Hubble radius $1/(aH)$

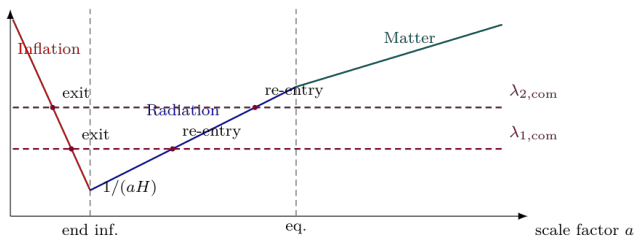


Fig. 1: Cosmic scale factor evolution

Fundamental Assumptions

- ① *Isolated Inflaton*: No interactions between inflaton ϕ and other fields during inflation
- ② *Potential Dominance*: Energy density dominated by inflaton potential: $\rho_\phi \approx V(\phi) \gg \rho_r$ (radiation negligible)
- ③ *Post-Inflation Reheating*: Particle production (reheating) occurs *only after* inflation ends

CI Inflaton Evolution Equation

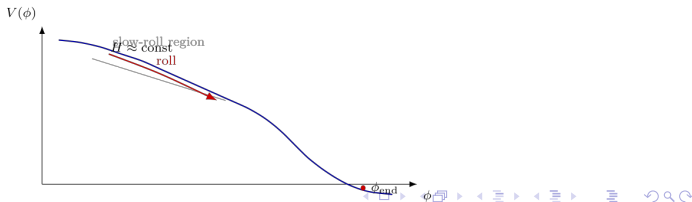
Inflaton Dynamics From Einstein equations + inflaton action, inflaton follows:

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0 \quad (1)$$

Where:

- $\ddot{\phi}$: Inflaton acceleration
- $3H\dot{\phi}$: Hubble damping
- $V_{,\phi}$: Potential force

To sustain inflation, $\ddot{\phi} \ll 3H\dot{\phi}$, $V_{,\phi} \rightarrow$ requires ultra-flat potential ($V_{,\phi} \ll 3H\dot{\phi}$).



1. η -Problem (Mass Crisis)

- Inflaton mass $m_\phi \sim \sqrt{V_{,\phi\phi}}$; quantum corrections easily push $m_\phi > H$
- Spoils slow-roll: $3H\dot{\phi}$ cannot balance $V_{,\phi}$, terminating inflation prematurely
- Example: Quadratic potential $V = \frac{1}{2}m_\phi^2\phi^2$ needs $m_\phi \ll H$, but corrections give $m_\phi \sim H$ (contradiction)

2. Super-Planckian Field Excursion

- Monomial potentials ($V \propto \phi^n$) require $\Delta\phi > M_{Pl}$ for $N \sim 60$ e-folds
- Violates EFT constraints (EFT breaks down above M_{Pl}) and swampland distance conjecture

3. Reheating Uncertainty

- CI needs inflaton decay to radiation *post-inflation*, but no robust microphysical model
- Reheating efficiency, temperature, and timescale are highly uncertain

Warm Inflation (WI): Motivation

Core Insight

Introduce *dissipative interactions* between inflaton and a thermal bath during inflation:

- Enables concurrent particle production \rightarrow no separate reheating phase
- Thermal bath provides extra damping

Key Distinction from cold inflation (CI)

CI

- $T \ll H$ (negligible thermal bath)
- Only quantum fluctuations
- Reheating required post-inflation

WI

- $T > H$ (sustained thermal bath)
- Thermal + quantum fluctuations
- Smooth radiation transition

WI Fundamental Equations

1. Inflaton Evolution

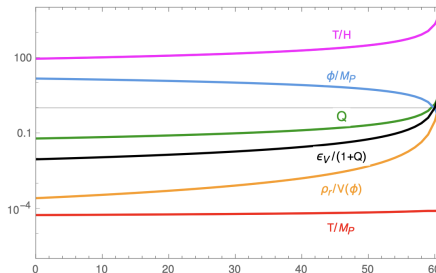
$$\ddot{\phi} + (3H + \Upsilon)\dot{\phi} + V_{,\phi} = 0 \quad (2)$$

The $\Upsilon\dot{\phi}$ term describes energy loss from inflaton to thermal bath.

2. Radiation Energy Conservation

$$\dot{\rho}_r + 4H\rho_r = \Upsilon\dot{\phi}^2 \quad (3)$$

Where ρ_r is radiation energy density during WI .



Dissipation & Regimes

1. Dissipation Ratio Q

$Q = \Upsilon / 3H$, where Υ = dissipative coefficient (energy transfer rate from ϕ to thermal bath).

2. Two Dissipative Regimes

Weak Dissipation ($Q < 1$)

- Hubble damping ($3H\dot{\phi}$) dominates
- Background dynamics similar to CI
- Thermal fluctuations affect perturbations

Strong Dissipation ($Q > 1$)

- Dissipative damping ($\Upsilon\dot{\phi}$) dominates
- Allows $m_\phi > H$ (solves η -problem)
- Sub-Planckian inflaton excursion ($\phi < M_{Pl}$)

Overview of Warm Inflation Models

- 1. Two-Stage Dissipation Model** Inflaton doesn't directly feed the thermal bath: first, it passes energy to heavy intermediate fields. These heavy fields then decay into light particles.
- 2. Warm Little Inflaton (WLI) Model** Inflaton is a "pseudo-Nambu-Goldstone boson" (from broken symmetry). It couples to fermions with bounded masses (masses never get too big). These fermions thermalize easily, keeping the bath warm.
- 3. Axion-Like Inflaton Model** Inflaton acts like an axion (has a "shift symmetry" that stops unwanted mass growth). It twists gauge fields (e.g., photon-like fields) via a special interaction.
- 4. Scalar-Driven Thermal Bath Model** Inflaton directly couples to light scalar fields. As the inflaton rolls, it excites these light scalars. .

Dissipation Coefficient Υ

1. Distributed Mass Model (DMM)

$$\Upsilon^F(T) = \sum_{j=1}^{N_{th}} C_T^F T,$$

2. Two-Stage Mechanism Model

$$\Upsilon = C_\phi \frac{T^3}{\phi^2},$$

3. Warm Little Inflaton (WLI) Model

$$\Upsilon = C_T T,$$

4. Axion-Like Inflaton Model

$$\Upsilon = C_\Upsilon \frac{T^3}{f^2},$$

Warm Inflation: Core Evolution Stages

1. Cold Inflation Initial Stage -

Inflaton field: $\phi \gg \phi_i$ - Thermal bath: $T = T_i \ll H_{inf}$ - No significant interactions; universe expands in cold regime

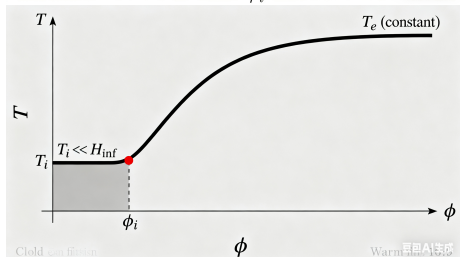
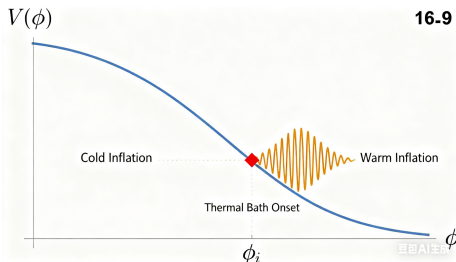
2. Transition at $\phi = \phi_i$ -

Interactions between inflaton and other fields become prominent - Thermal bath starts to form and heat up

3. Warm Inflation Final Stage

- Thermal bath: Stabilizes at constant $T = T_e$ - Warm inflation

$$\Upsilon(\phi, T) = C_\Upsilon T^n \phi^j M^{1-p-c}$$



Original Core Equations

$$\ddot{\phi} + (3H + \Upsilon)\dot{\phi} + V_{,\phi} = 0$$

$$\dot{\rho}_r + 4H\rho_r = \Upsilon\dot{\phi}^2$$

Reparametrization Definitions: $x = \frac{\dot{\phi}}{\dot{\phi}_s}$, $p = \frac{\bar{T}}{\sqrt{\dot{\phi}_s}}$, $y = \frac{\phi}{\phi_i}$, and

$$\tau = 3H_{\text{inf}}t, \quad (\epsilon_V = \frac{M_{Pl}^2}{2} \left(\frac{V_{,\phi}}{V} \right)^2)$$

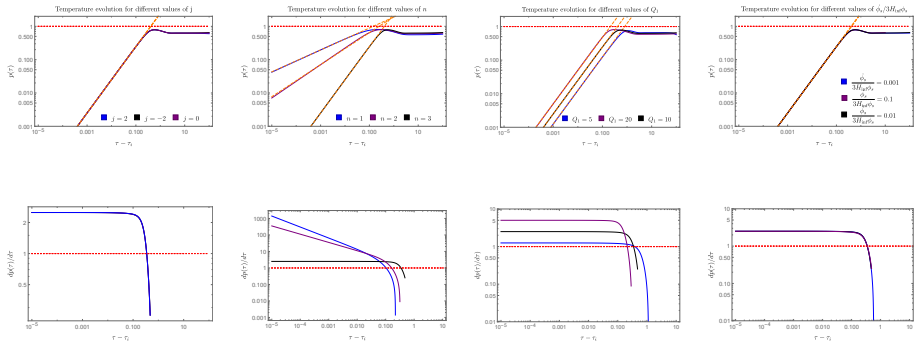
$$\ddot{\phi} + (3H + \Upsilon)\dot{\phi} + V_{,\phi} = 0 \implies \frac{dx}{d\tau} + (1 + Q)x + \frac{v_\phi}{3H_{\text{inf}}\dot{\phi}_s} = 0$$

$$\dot{\rho}_r + 4H\rho_r = \Upsilon\dot{\phi}^2 \implies \frac{dp}{d\tau} = \frac{1}{4} \frac{Qx^2}{p^3} - \frac{1}{3}p$$

$$\frac{dy}{d\tau} = x \frac{\dot{\phi}_s}{3H_{\text{inf}}\dot{\phi}_s}, \quad Q(\tau, p) = Q_1 y^j p^n$$

Heating Process During WI

Thermal Evolution Stages: $p(\tau)$ (Top) & $dp(\tau)/d\tau$ (Bottom)



*Note: Black solid line: $j = -2$, $n = 3$, $Q_1 = 10$, $\dot{\phi}_s/(3H_{inf}\phi_s) = 0.01$;
Blue/purple lines: Other parameter sets.*

Summary: Temperature Evolution Key Parameter Dependence

1. Temperature Evolution Pattern

- Heating phase: Temperature rises with *increasing rate*; - Near asymptotic maximum: Rise rate slows rapidly, then stabilizes;
- Most stages: Follows approximate *power-law behavior*.

2. Dominant Influential Parameters

- Q_1 (Energy Transfer Efficiency)
- Larger $Q_1 \rightarrow$ Faster temperature rise rate (higher energy transfer efficiency);
 - From $T_e^4 \propto \frac{Q}{(1+Q)^2}$ ($p \approx 1, y \approx 1$ post-heating): $Q_1 \approx 1 \rightarrow$ Max T_e ; $Q_1 > 1 \rightarrow$ Larger $Q_1 \rightarrow$ Lower T_e .

- n (Model-Specific Exponent) -
- Typical range: $0 < n \leq 3$ (most WI models);
- Smaller $n \rightarrow$ Faster temperature rise rate;
 - Special case: $n = 3 \rightarrow$ Constant temperature change rate (most heating stages).

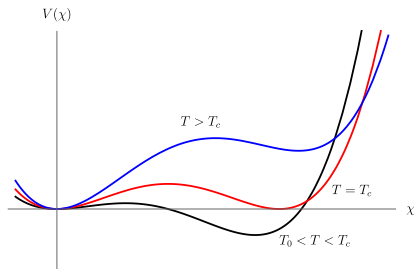
Finite-Temperature Potential for PTs

Potential Form

$$V(\chi, T) = \frac{\mu^2}{2}(T^2 - T_0^2)\chi^2 - \frac{A}{3}T\chi^3 + \frac{\lambda}{4!}\chi^4 \quad (4)$$

Where:

- μ, A, λ are model parameters; T_c : critical temperature



- *Heating Phase Transition (hPT)*: During warm inflation heating, temperature rises above T_c (from $T_s < T_c$ to $T_e > T_c$).
- *Cooling Phase Transition (cPT)*: During radiation-dominated era, temperature cools below T_c .

GW Generation from First-Order PTs

Three Primary Sources of GWs

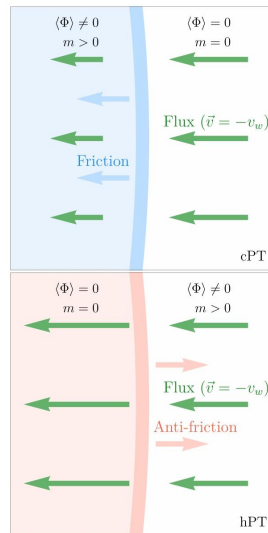
Bubble Collisions

- Energy derived from expanding bubble walls
- Dominant contribution for hPT (due to runaway bubble expansion)

Sound Waves

- Plasma pressure waves triggered by bubble motion
- Dominant contribution for cPT

hPT

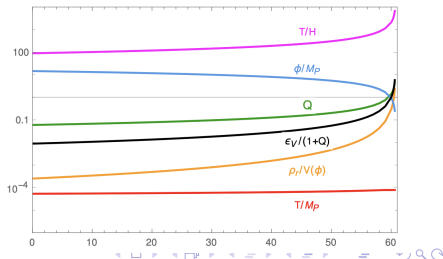
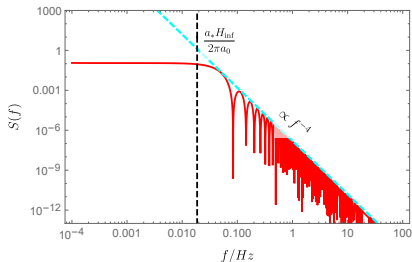


hPT GW Power Spectrum

$$h^2 \Omega_{GW,hPT}(f) = h^2 \hat{\Omega}_{GW}(f \exp(N_*)) \frac{H_{inf}^2}{\pi^2 g_*/90 \cdot T_e^4 / M_{Pl}^2} S(f) \quad (5)$$

Key Features

- Redshifted by inflation: $f \rightarrow f \exp(-N_*)$ (N_* = e-folds since hPT)
- Oscillatory structure: From $S(f)$
- Low-frequency band



Key Features

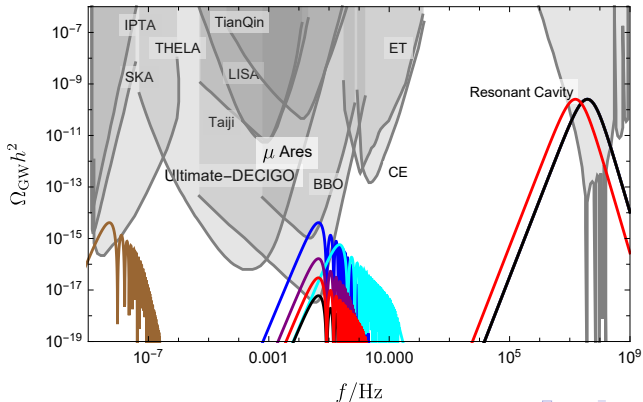
- No inflationary redshift (post-inflationary)
- Smooth peak: Dominated by sound waves
- High-frequency band

Spectrum Formula

$$h^2 \Omega_{GW,cPT}(f) = 2.56 \times 10^{-6} \left(\frac{H_*}{\beta_{cPT}} \right) \left(\frac{\kappa_{cPT} \alpha_{cPT}}{1 + \alpha_{cPT}} \right)^2 \\ \times \left(\frac{100}{g_*} \right)^{1/3} v_{cPT} \left(\frac{f}{f_{cPT}} \right)^3 \left(\frac{7}{4 + 3(f/f_{cPT})^2} \right)^{7/2} \quad (6)$$

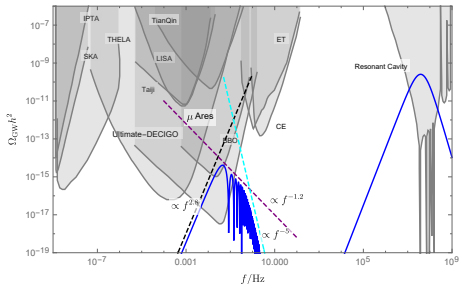
Double-Peak SGWB: Unique WI Signature

- hPT peak: Low frequency $\rightarrow T_e, N_*$ and H_{inf}
- cPT peak: High frequency $\rightarrow T_c$



GW Generation from First-Order PTs

- for $f < \frac{a_* H_{\text{inf}}}{2\pi a_0}$, $\Omega_{\text{GW,hPT}} \propto f^{2.8}$;
- for $\frac{a_* H_{\text{inf}}}{2\pi a_0} < f < f_{\text{hPT}} \exp(-N_*)$,
 $\Omega_{\text{GW,hPT}} \propto f^{-1.2}$
- for $f > f_{\text{hPT}} \exp(-N_*)$,
 $\Omega_{\text{GW,hPT}} \propto f^{-5}$.



two constraints

- $\frac{a_* H_{\text{inf}}}{2\pi a_0} \propto \exp(-N_*) \frac{T_0}{T_e} H_{\text{inf}}$ and
 $f_{\text{hPT}} \propto \frac{\beta_{\text{hPT}}}{H_{\text{inf}}} T_e$
- the peak of the GW signal from the hPT depends on

$$\frac{H_{\text{inf}}}{\beta_{\text{hPT}}} \frac{\alpha^2}{\mathcal{R}^2} \frac{H_{\text{inf}}^2 M_{\text{pl}}^2}{T_e^4}$$

- these constraints exactly help us determine the value of $\frac{\beta_{\text{hPT}}}{H_{\text{inf}}}$.
- distinguish whether inflation is WI but also gain deeper insights into the generation of the thermal bath.

Summary: WI Dual PTs GW Signatures

- 1. Phase transition gravitational waves can be used to determine inflation models, WI or CI.
- 2. Phase transition gravitational waves can be used to determine the warm inflation parameter $\frac{\beta_{\text{hPT}}}{H_{\text{inf}}}$.
- 3. The constraints on the not strong dissipation region, $Q \sim 1$, can be supplemented.

Thank You!