

# Majorana中微子物理



李玉峰

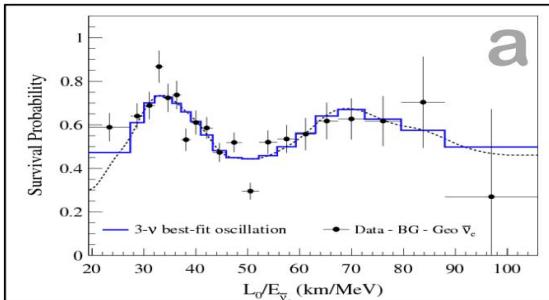
中科院高能所/中国科学院大学

2022-08-02

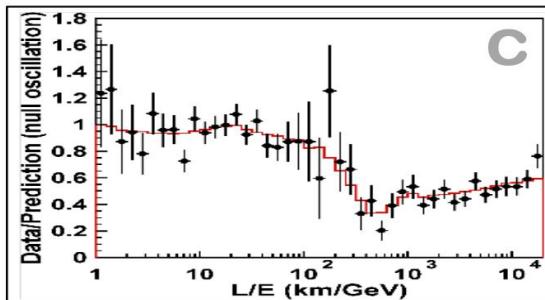
第十一届威海新物理研讨会

# $\nu$ Oscillations

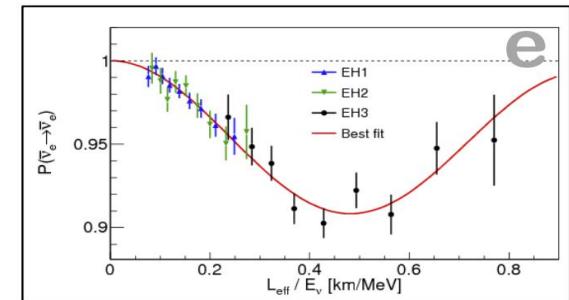
$e \rightarrow e$



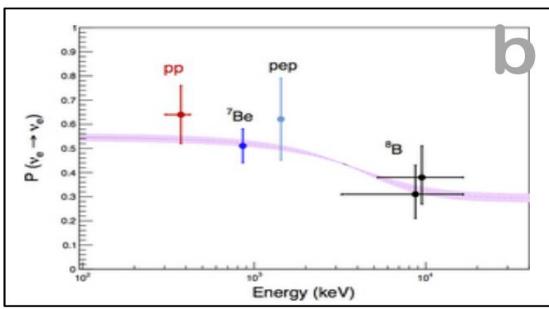
$\mu \rightarrow \mu$



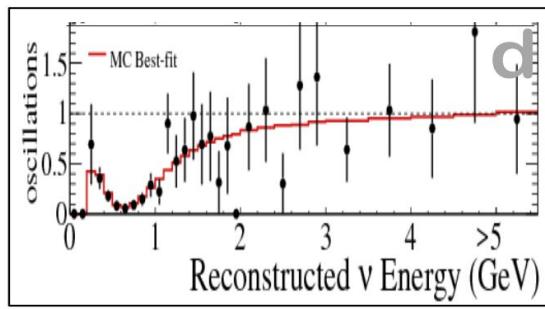
$e \rightarrow e$



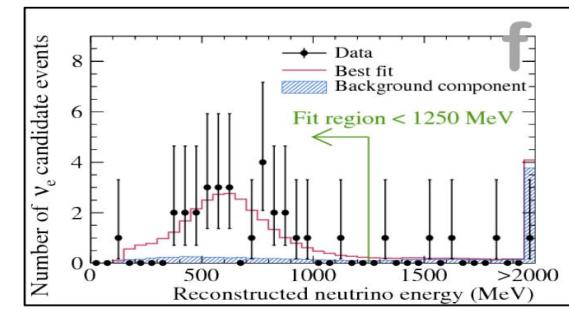
$e \rightarrow e$



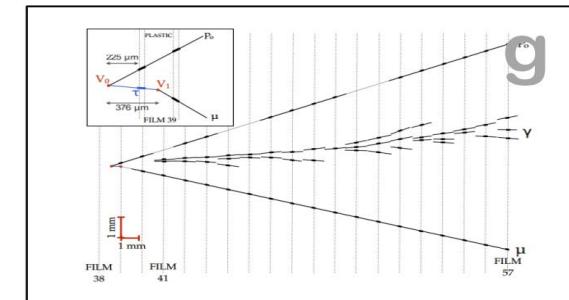
$\mu \rightarrow \mu$



$\mu \rightarrow e$



$\mu \rightarrow \tau$

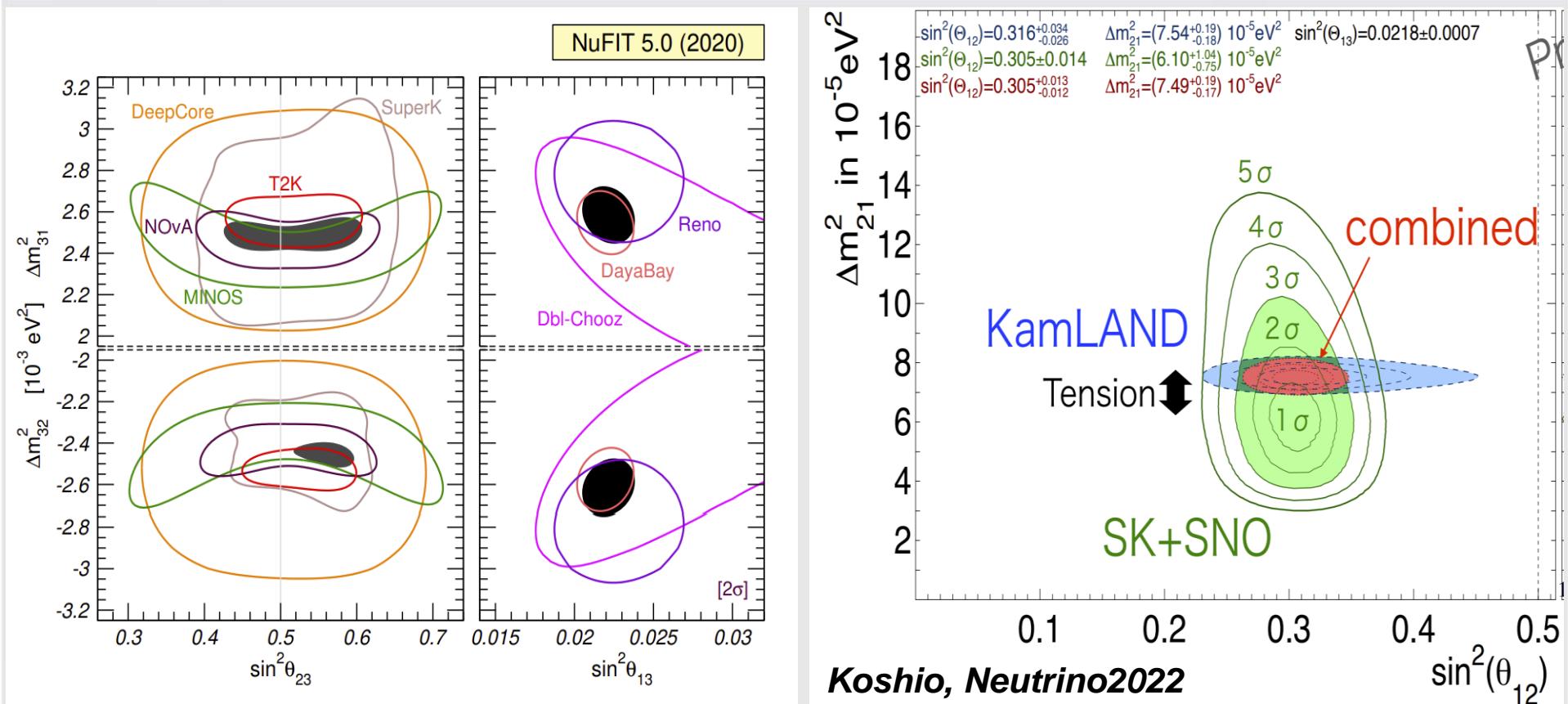


Data from various types of neutrino experiments: (a) solar, (b) long-baseline reactor, (c) atmospheric, (d) long-baseline accelerator, (e) short-baseline reactor, (f,g) long baseline accelerator (and, in part, atmospheric).

(a) KamLAND [plot]; (b) Borexino [plot], Homestake, Super-K, SAGE, GALLEX/GNO, SNO; (c) Super-K atmosph. [plot], DeepCore, MACRO, MINOS etc.; (d) T2K (plot), MINOS, K2K; (e) Daya Bay [plot], RENO, Double Chooz; (f) T2K [plot], MINOS, NOvA; (g) OPERA [plot], Super-K atmospheric.

From E. Lisi

# $\nu$ Oscillations: robustness

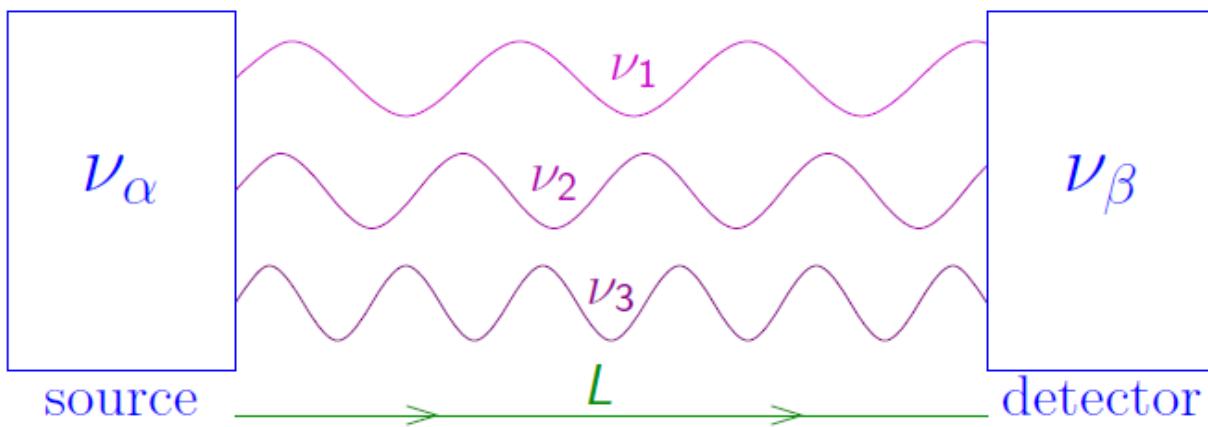


## Neutrino Oscillations:

- Different neutrino sources and oscillation channels point to the same set of mass and mixing parameters → 3 flavor mixing

# Quantum mechanical v Oscillations

$$|\nu(t=0)\rangle = |\nu_\alpha\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle$$



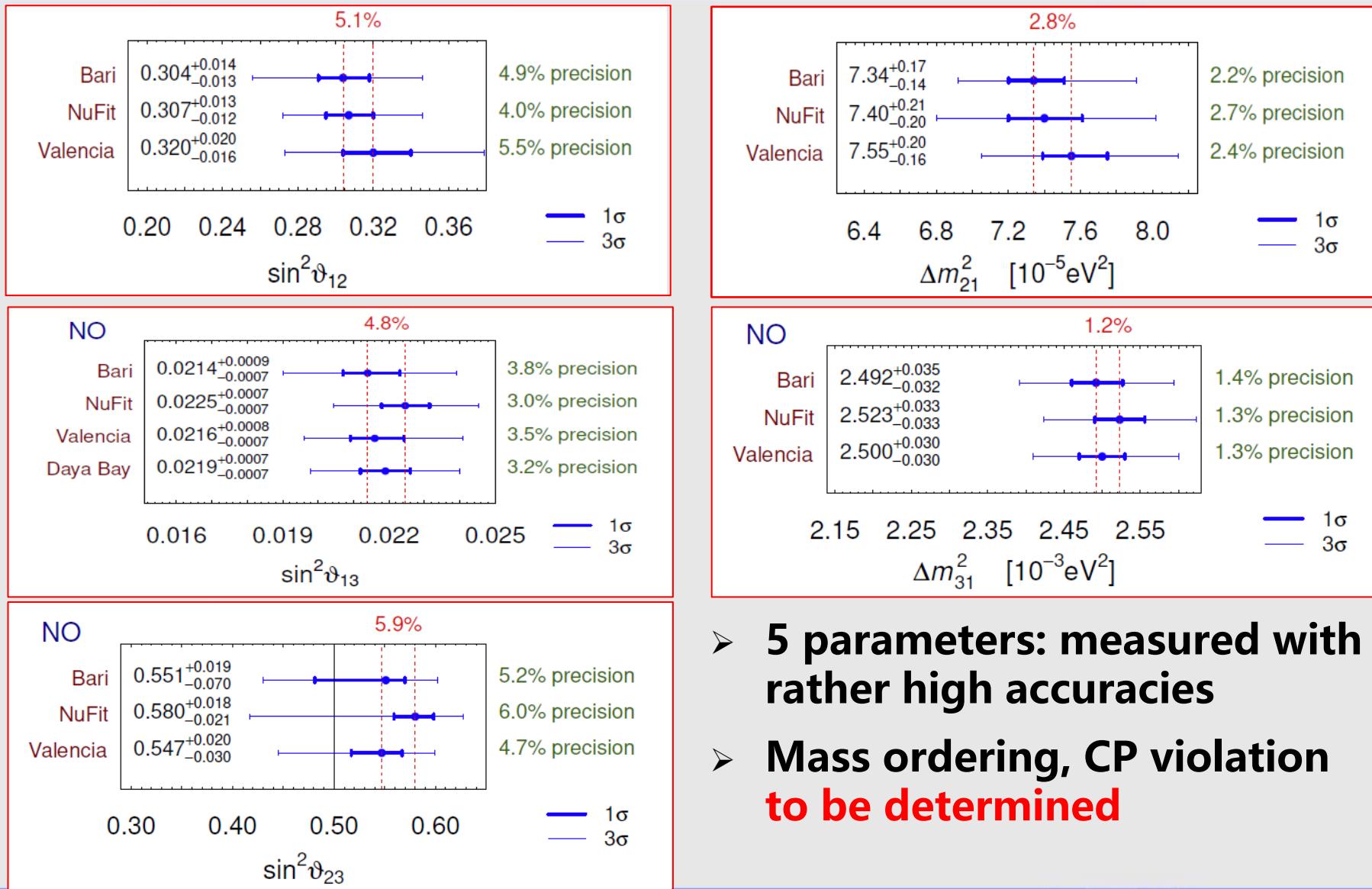
$$|\nu(t > 0)\rangle = U_{\alpha 1} e^{-iE_1 t} |\nu_1\rangle + U_{\alpha 2} e^{-iE_2 t} |\nu_2\rangle + U_{\alpha 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\alpha\rangle$$

$$E_k^2 = p^2 + m_k^2 \quad t = L$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\beta | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

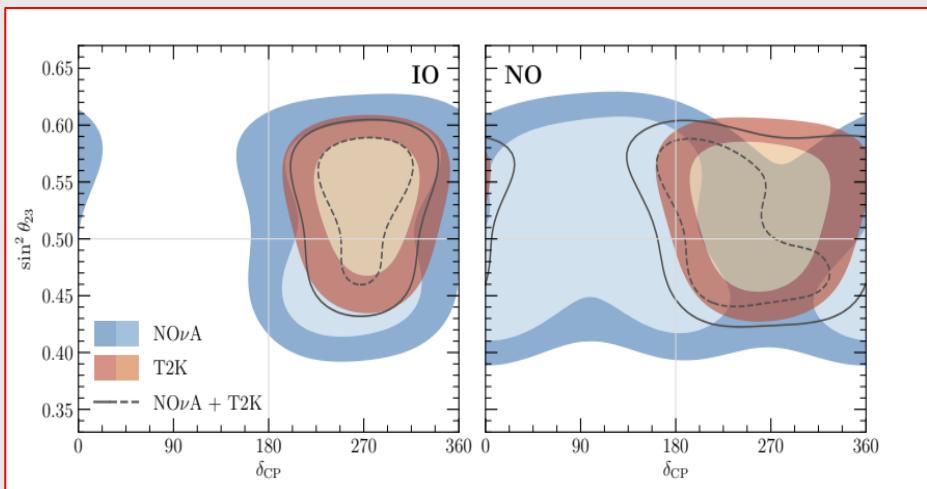
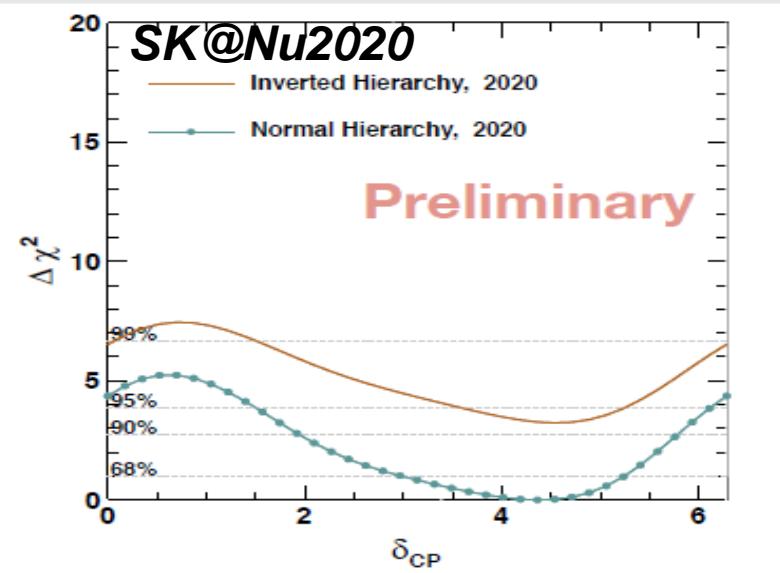
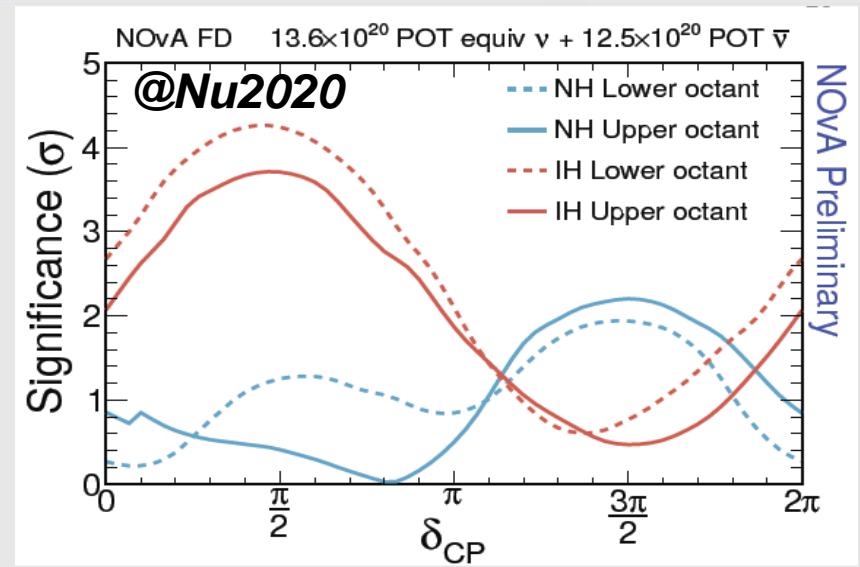
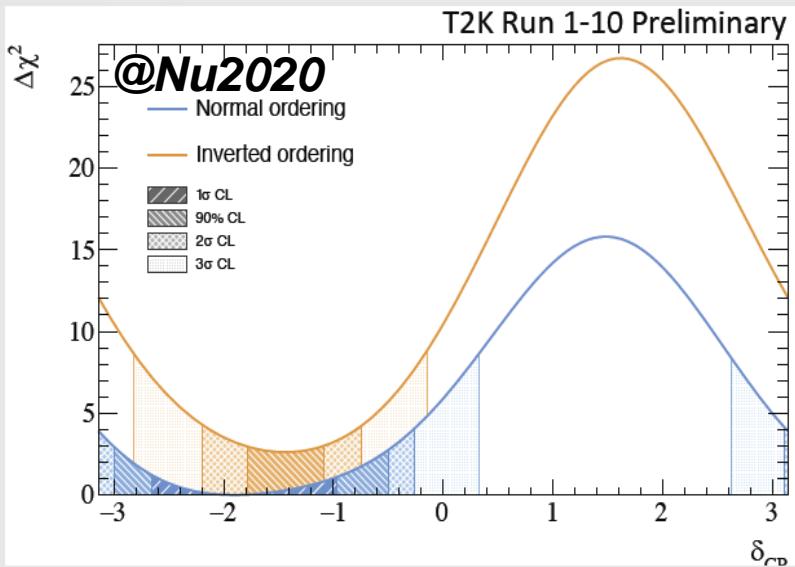
The oscillation probabilities depend on  $U$  and  $\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$

# Global picture



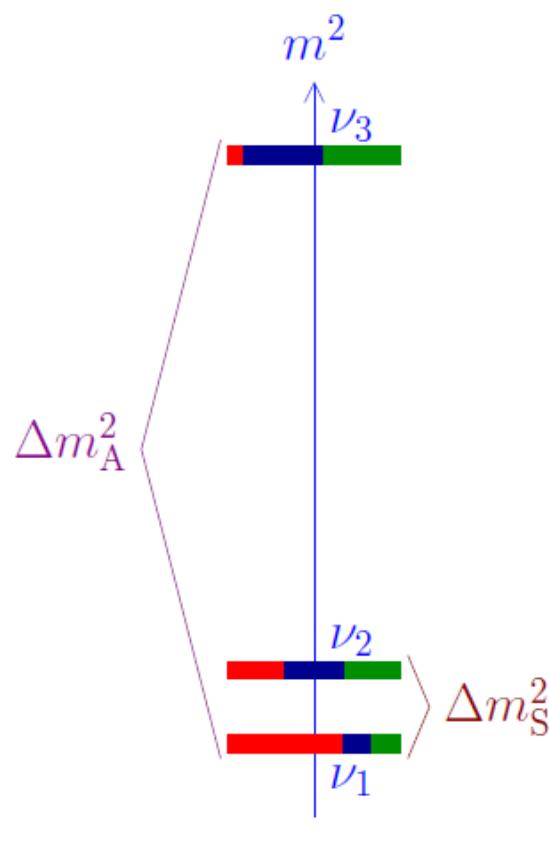
- **5 parameters: measured with rather high accuracies**
- **Mass ordering, CP violation to be determined**

# Neutrino mass ordering & CP



**Schwetz@Nu2022**

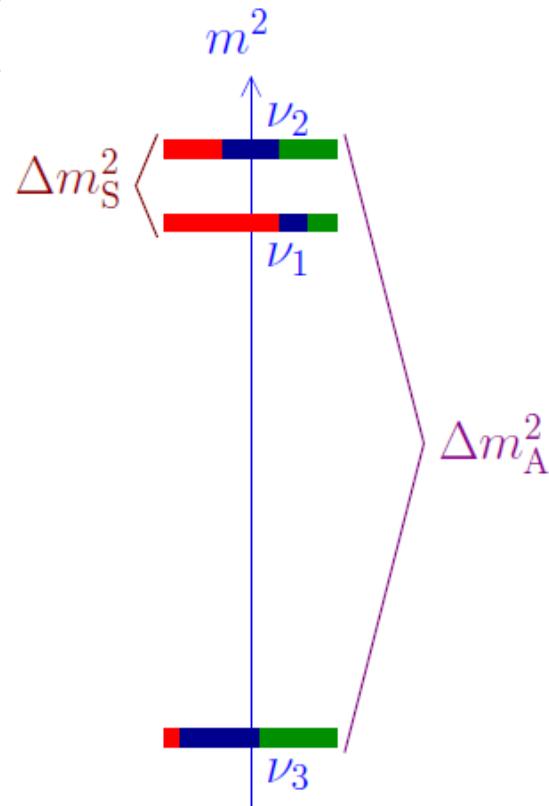
# Neutrino mass spectrum



Normal Ordering

$$\Delta m_{31}^2 > \Delta m_{32}^2 > 0$$

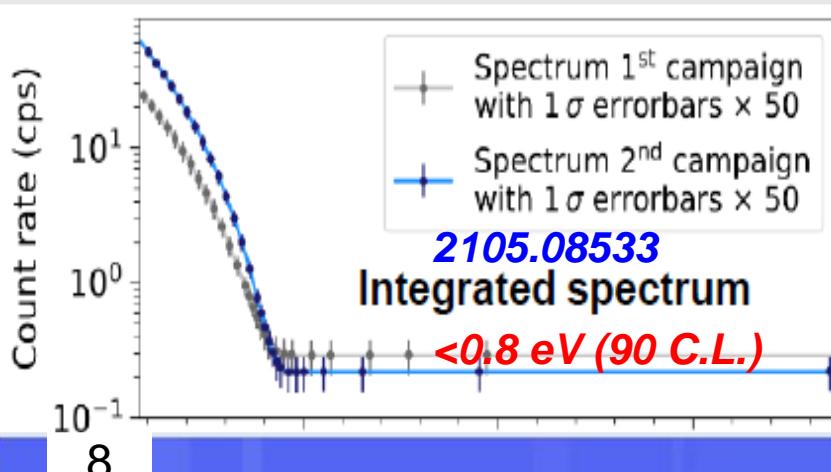
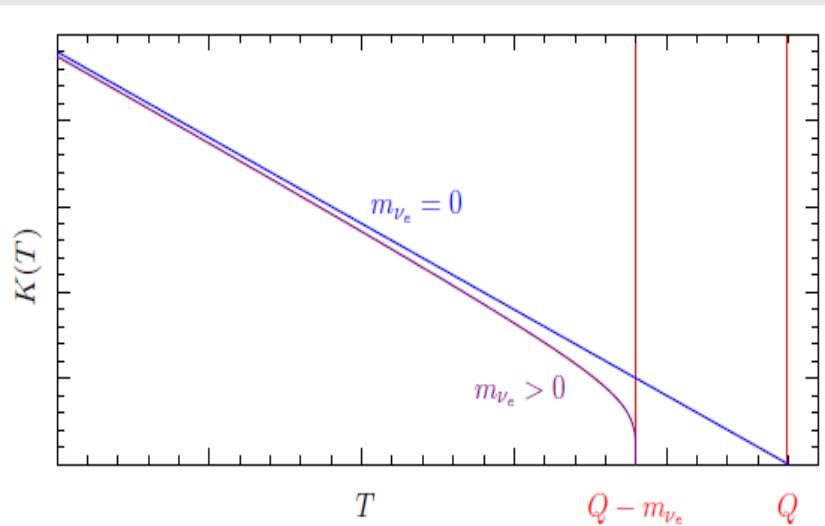
absolute scale is not determined by neutrino oscillation data



Inverted Ordering

$$\Delta m_{32}^2 < \Delta m_{31}^2 < 0$$

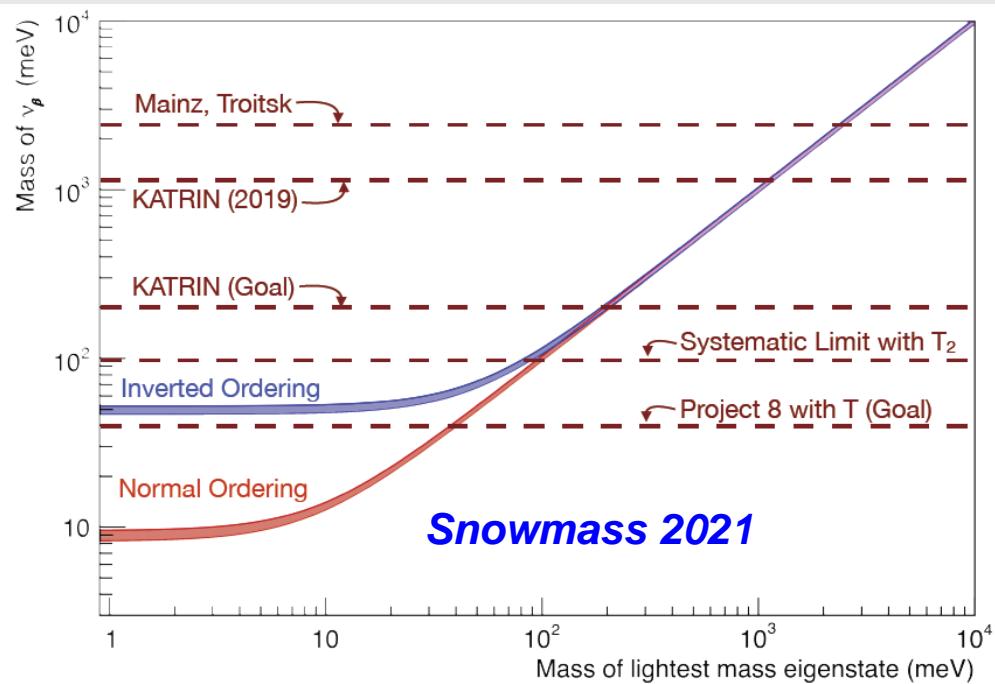
# Absolute neutrino masses: beta-decay



## Future Prospect:

$$m_\beta^2 = \sum_k |U_{ek}|^2 m_k^2$$

- **KATRIN: 200 meV**
- **Systematic limit:  $\sim 100$  meV**
- **Project 8: 40 meV**

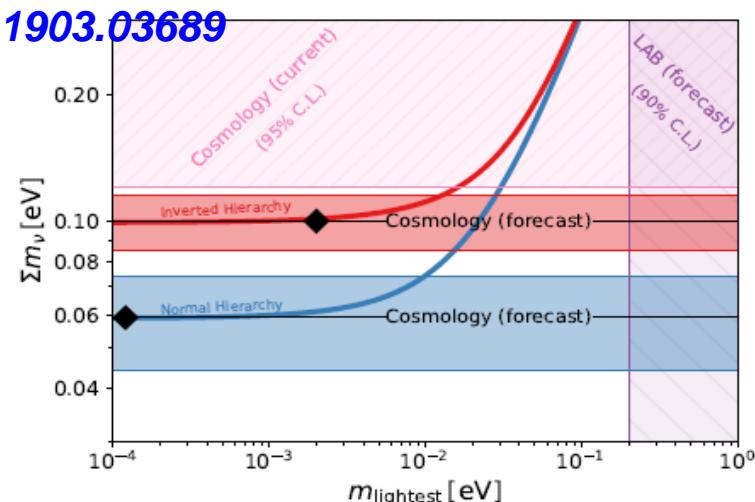


# Absolute neutrino masses: cosmology

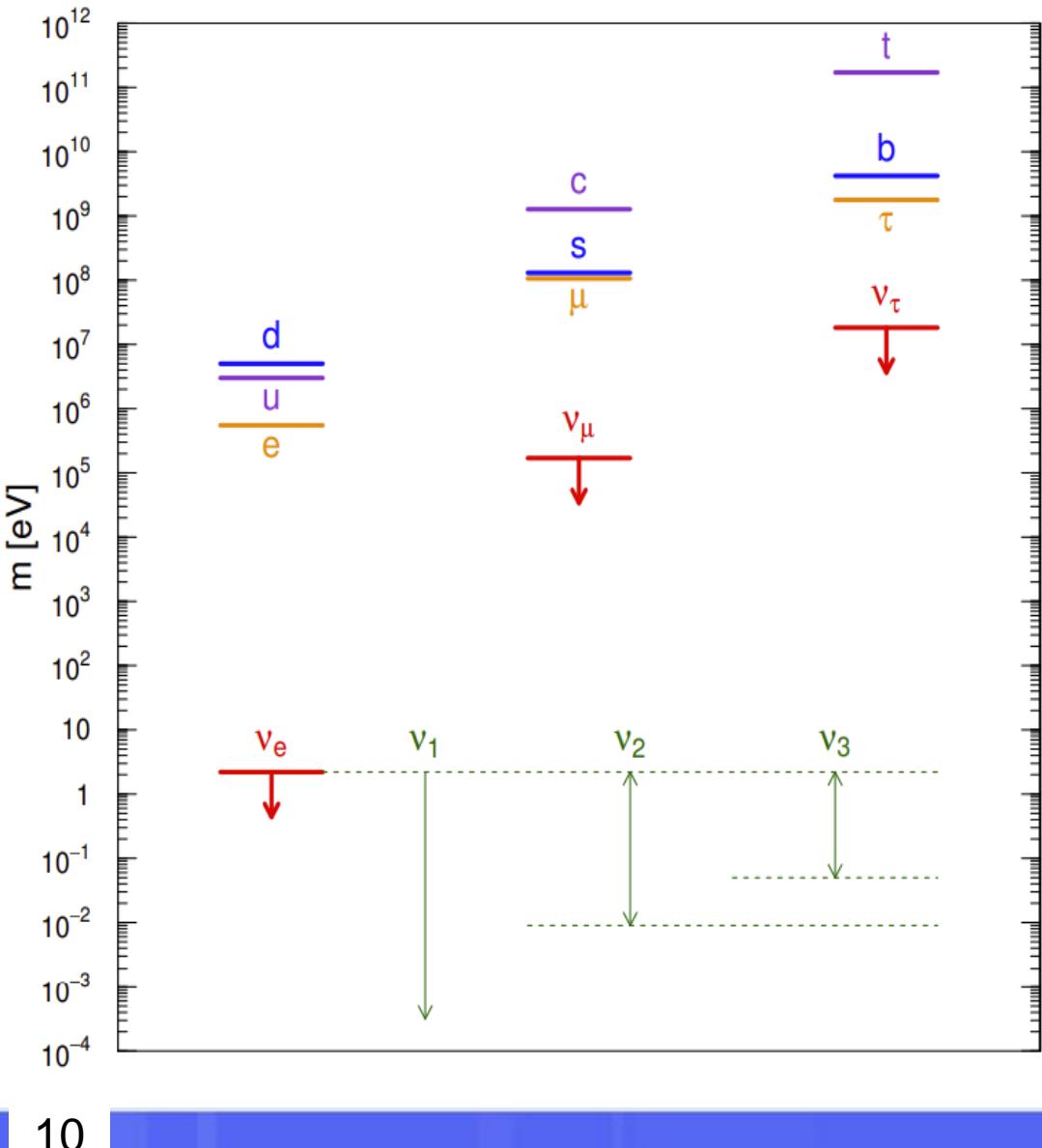
<b>PDG 2020</b>	Model	95% CL (eV)	Ref.
<b>CMB alone</b>			
Pl18[TT+lowE]	$\Lambda$ CDM + $\sum m_\nu$	< 0.54	[16]
Pl18[TT,TE,EE+lowE]	$\Lambda$ CDM + $\sum m_\nu$	< 0.26	[16]
<b>CMB + probes of background evolution</b>			
Pl18[TT+lowE] + BAO	$\Lambda$ CDM + $\sum m_\nu$	< 0.16	[16]
Pl18[TT,TE,EE+lowE] + BAO	$\Lambda$ CDM + $\sum m_\nu$	< 0.13	[16]
Pl18[TT,TE,EE+lowE]+BAO	$\Lambda$ CDM + $\sum m_\nu$ + 5 params.	< 0.515	[18]
<b>CMB + LSS</b>			
Pl18[TT+lowE+lensing]	$\Lambda$ CDM + $\sum m_\nu$	< 0.44	[16]
Pl18[TT,TE,EE+lowE+lensing]	$\Lambda$ CDM + $\sum m_\nu$	< 0.24	[16]
<b>CMB + probes of background evolution + LSS</b>			
Pl18[TT+lowE+lensing] + BAO	$\Lambda$ CDM + $\sum m_\nu$	< 0.13	[16]
Pl18[TT,TE,EE+lowE+lensing] + BAO	$\Lambda$ CDM + $\sum m_\nu$	< 0.12	[16]
Pl18[TT,TE,EE+lowE+lensing] + BAO+Pantheon	$\Lambda$ CDM + $\sum m_\nu$	< 0.11	[16]

## Cosmology: sum of neutrino masses

- Data sets and model dependence
- Current best limit: ~120 meV
- Future projection → 60 meV

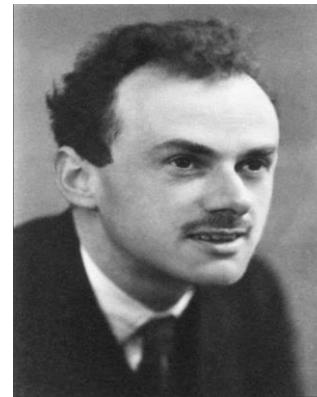


# $\nu$ masses: Dirac versus Majorana



Two possibilities to define neutrino mass:

- **Dirac mass**



Left & right handed  $\nu$ 's

Lepton number conservation

- **Majorana mass**



Only left handed  $\nu$ 's

Lepton number violation

# $\nu$ masses: Dirac versus Majorana

	1 <sup>st</sup> Generation	2 <sup>nd</sup> Generation	3 <sup>rd</sup> Generation
Quarks:	$\begin{pmatrix} u_L \\ d_L \end{pmatrix} \begin{matrix} u_R \\ d_R \end{matrix}$	$\begin{pmatrix} c_L \\ s_L \end{pmatrix} \begin{matrix} c_R \\ s_R \end{matrix}$	$\begin{pmatrix} t_L \\ b_L \end{pmatrix} \begin{matrix} t_R \\ b_R \end{matrix}$
Leptons:	$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \begin{matrix} \nu_{eR} \\ e_R \end{matrix}$	$\begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix} \begin{matrix} \nu_{\mu R} \\ \mu_R \end{matrix}$	$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix} \begin{matrix} \nu_{\tau R} \\ \tau_R \end{matrix}$

Standard Model extension:  $\nu_R \Rightarrow$  Dirac mass Lagrangian

$$\mathcal{L}_D \sim m_D \bar{\nu}_L \nu_R$$

$$\mathcal{L}_Y \sim y \bar{L}_L \tilde{\Phi} \nu_R \xrightarrow[\text{Breaking}]{\text{Symmetry}} y v \bar{\nu}_L \nu_R$$

Extremely small Yukawa couplings are needed to get  $m_D \lesssim 1 \text{ eV}$ :

$$y \lesssim 10^{-11}$$

It is considered unnatural, unless there is a protecting BSM symmetry.

# $\nu$ masses: Dirac versus Majorana

	1 <sup>st</sup> Generation	2 <sup>nd</sup> Generation	3 <sup>rd</sup> Generation
<b>Quarks:</b>	$\begin{pmatrix} u_L \\ d_L \end{pmatrix} \begin{matrix} u_R \\ d_R \end{matrix}$	$\begin{pmatrix} c_L \\ s_L \end{pmatrix} \begin{matrix} c_R \\ s_R \end{matrix}$	$\begin{pmatrix} t_L \\ b_L \end{pmatrix} \begin{matrix} t_R \\ b_R \end{matrix}$
<b>Leptons:</b>	$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \begin{matrix} \nu_{eR} \\ e_R \end{matrix}$	$\begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix} \begin{matrix} \nu_{\mu R} \\ \mu_R \end{matrix}$	$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix} \begin{matrix} \nu_{\tau R} \\ \tau_R \end{matrix}$

Majorana Mass Lagrangian for SM  $\nu_L$

$$\mathcal{L}_L^M \sim m_L \overline{\nu_L^c} \nu_L = -\nu_L^T \mathcal{C}^\dagger \nu_L$$

No Majorana Neutrino Mass in the SM

$$\nu_L^T \mathcal{C}^\dagger \nu_L \text{ has } I_3 = 1 \text{ and } Y = -2 \implies$$

Needs  $Y=+2$  Higgs triplet (type II), or (type I)

The introduction of  $\nu_R$  leads

$$\mathcal{L}_R^M \sim m_R \overline{\nu_R^c} \nu_R \quad \text{singlet under SM symmetries!}$$

# Dirac and Majorana mass Lagrangian

$$\mathcal{L}_{\text{mass}}^{\text{D+M}} = \mathcal{L}_{\text{mass}}^{\text{D}} + \mathcal{L}_{\text{mass}}^{\text{R}}$$

$$= -m_{\text{D}} (\overline{\nu_L} \nu_R + \overline{\nu_R} \nu_L) - \frac{1}{2} m_R (\overline{\nu_R^c} \nu_R + \overline{\nu_R} \nu_R^c)$$

$$= -\frac{1}{2} (\overline{\nu_L} \quad \overline{\nu_R^c}) \begin{pmatrix} 0 & m_{\text{D}} \\ m_{\text{D}} & m_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} - \frac{1}{2} (\overline{\nu_L^c} \quad \overline{\nu_R}) \begin{pmatrix} 0 & m_{\text{D}} \\ m_{\text{D}} & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix}$$

Seesaw  $m_R \gg m_{\text{D}}$

$$\mathcal{L}_{\text{mass}}^{\text{D+M}} = -\frac{1}{2} \overline{n_L^c} U^T M U n_L + \text{H.c.}$$

$$U^T M U = \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix} \quad \text{with real } m_k \geq 0$$

$$\nu \simeq -i(\nu_L - \nu_L^c) \quad N \simeq \nu_R + \nu_R^c$$

$$\mathcal{L}_{\text{mass}}^{\text{D+M}} = -\frac{1}{2} \sum_{k=1,2} m_k (\overline{\nu_{kL}^c} \nu_{kL} + \overline{\nu_{kL}} \nu_{kL}^c) = -\frac{1}{2} \sum_{k=1,2} m_k \overline{\nu_k} \nu_k$$

$$\nu_k = \nu_{kL} + \nu_{kL}^c \quad \Rightarrow \quad$$

$$\boxed{\nu_k = \nu_k^c}$$

Massive neutrinos are Majorana!

# Low energy 3v Majorana mixing

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad \text{for } \alpha = e, \mu, \tau \quad \text{with} \quad \boxed{\nu_k = \nu_k^c}$$

Standard Parameterization of Mixing Matrix (as CKM)

$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta_{13}} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta_{13}} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta_{13}} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta_{13}} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta_{13}} & c_{23} c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

$$c_{ab} \equiv \cos \vartheta_{ab} \quad s_{ab} \equiv \sin \vartheta_{ab} \quad 0 \leq \vartheta_{ab} \leq \frac{\pi}{2} \quad 0 \leq \delta_{13}, \lambda_{21}, \lambda_{31} < 2\pi$$

OSCILLATION  
PARAMETERS

- { 3 Mixing Angles:  $\vartheta_{12}$ ,  $\vartheta_{23}$ ,  $\vartheta_{13}$
- 1 CPV Dirac Phase:  $\delta_{13}$
- 2 independent  $\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$ :  $\Delta m_{21}^2$ ,  $\Delta m_{31}^2$

2 CPV Majorana Phases:  $\lambda_{21}$ ,  $\lambda_{31} \iff |\Delta L| = 2$  processes

# In v Oscillations Dirac = Majorana

Evolution of Amplitudes:  $i \frac{d\psi_\alpha}{dx} = \frac{1}{2E} \sum_\beta \left( UM^2 U^\dagger + 2EV \right)_{\alpha\beta} \psi_\beta$

difference:  $\begin{cases} \text{Dirac:} & U^{(D)} \\ \text{Majorana:} & U^{(M)} = U^{(D)} D(\lambda) \end{cases}$

$$D(\lambda) = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & e^{i\lambda_{21}} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & e^{i\lambda_{N1}} \end{pmatrix} \Rightarrow D^\dagger = D^{-1}$$

$$M^2 = \begin{pmatrix} m_1^2 & 0 & \cdots & 0 \\ 0 & m_2^2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & m_N^2 \end{pmatrix} \implies DM^2 = M^2 D \implies DM^2 D^\dagger = M^2$$

$$U^{(M)} M^2 (U^{(M)})^\dagger = U^{(D)} D M^2 D^\dagger (U^{(D)})^\dagger = U^{(D)} M^2 (U^{(D)})^\dagger$$

# Majorana-Dirac confusion theorem

Majorana neutrinos and their electromagnetic properties

Boris Kayser

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(Received 29 January 1982)

Phys.Rev.D 26 (1982) 1662

$SU(2)_L \times U(1)$  to one-loop order. Lastly, we compare the electromagnetic interactions of a Majorana and a Dirac neutrino in the massless limit. We find that they conform to what seems to be a general rule: If all weak currents are left-handed, then the difference between a Majorana and a Dirac neutrino becomes invisible as the mass goes to zero. This occurs in spite of gross differences between these particles when the mass is not negligible.

The practical Majorana-Dirac confusion theorem

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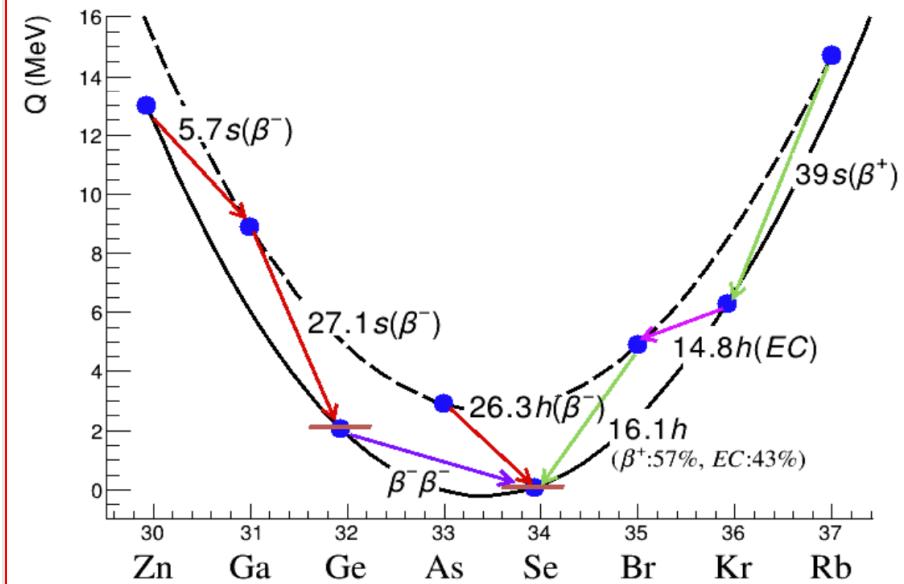
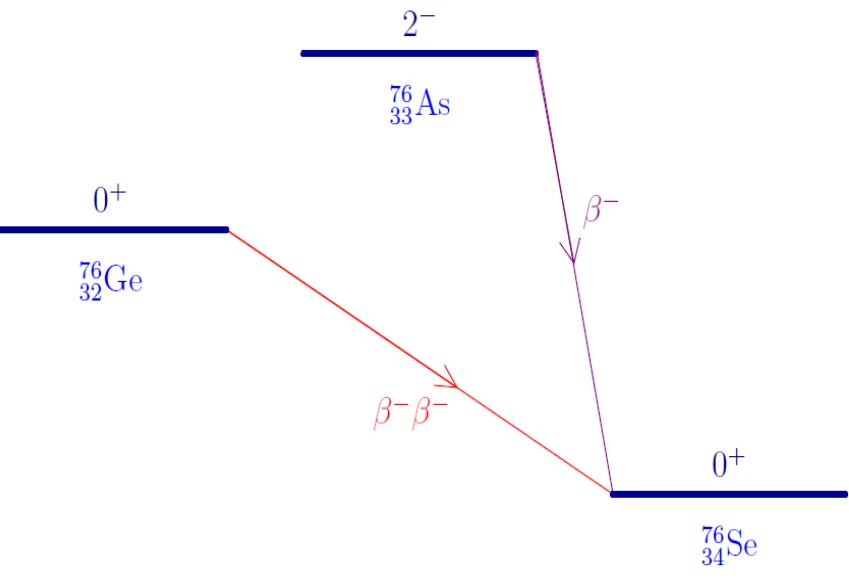
## Practical Majorana-Dirac Confusion Theorem

- Only left-handed weak interactions exist
- Experiments with neutrinos of negative helicity and antineutrinos of positive helicity
- The Majorana Dirac difference  $\sim m_\nu^2$

$$|\nu_e\rangle \sim |L\rangle + \left(\frac{m}{E}\right) |R\rangle.$$

- Best Bet:  $0\nu2\beta$ -decay

# Neutrinoless Double-Beta Decay



$$M = Zm_p + Nm_n - E_B(Z, N) \quad \leftarrow \quad \text{Binding Energy}$$

$$E_B(Z, N) = a_V A - a_S A^{2/3} - a_C \frac{Z(Z-1)}{A^{1/3}} - a_A \frac{(N-Z)^2}{A} + \delta_P(Z, N)$$

Pairing term due to spin-coupling:

$$\delta_P(Z, N) = \begin{cases} a_P A^{k_P} & \text{if both } Z \text{ and } N \text{ are even } (A \text{ is even}) \\ -a_P A^{k_P} & \text{if both } Z \text{ and } N \text{ are odd } (A \text{ is even}) \\ 0 & \text{if } A \text{ is odd} \end{cases}$$

## Two-Neutrino Double- $\beta$ Decay: $\Delta L = 0$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^- \\ + \bar{\nu}_e + \bar{\nu}_e$$

$$(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$$

**Goeppert Mayer (1935)**

second order weak interaction  
process  
in the Standard Model

## Neutrinoless Double- $\beta$ Decay: $\Delta L = 2$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^-$$

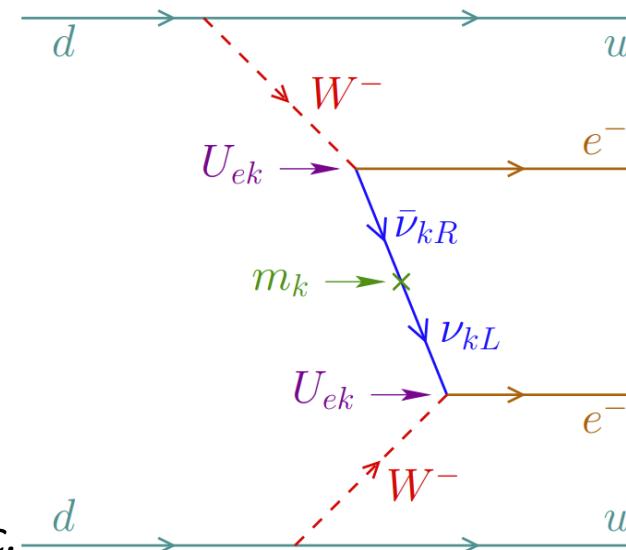
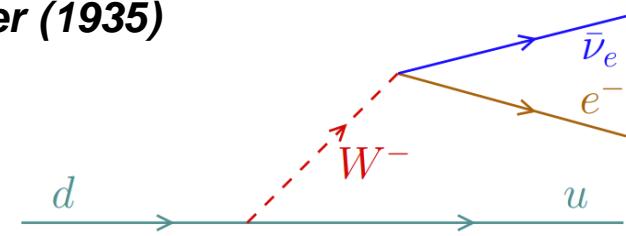
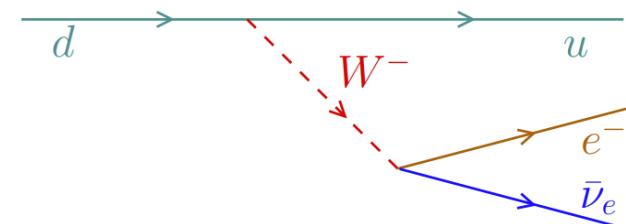
$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$$

**Furry (1939)**

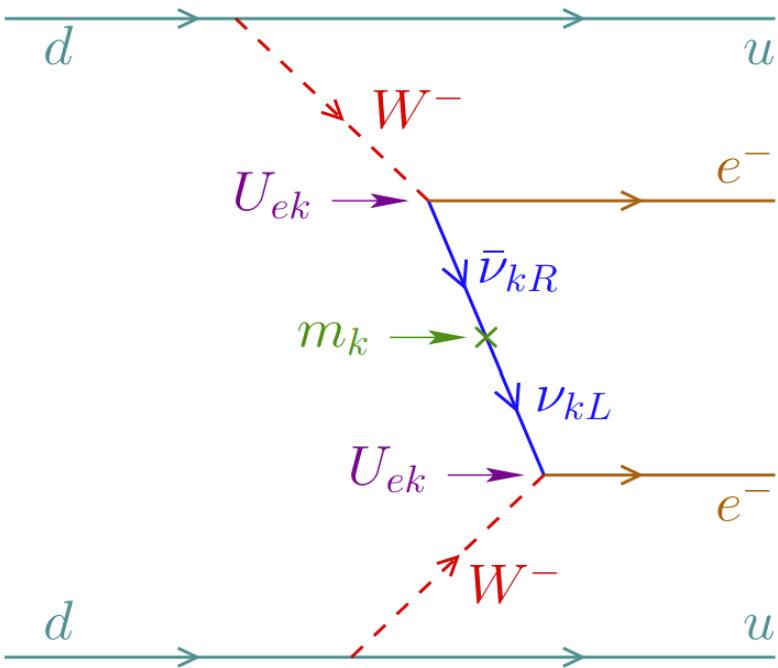
effective  
Majorana  
mass

$$|m_{\beta\beta}| = \left| \sum_k U_{ek}^2 m_k \right|$$

[arXiv:2203.12169](https://arxiv.org/abs/2203.12169), [2203.12169](https://arxiv.org/abs/2203.12169), [1902.04097](https://arxiv.org/abs/1902.04097) etc.



# The $0\nu2\beta$ -decay rate



- $\nu_k(x)\bar{\nu}_j^c(y)$  gives a propagator only if  $\mathbf{v}$  and  $\mathbf{v}^c$  are the same field

→ Majorana neutrinos

→  $m_k > 0$  needed!

→ For light active neutrinos:

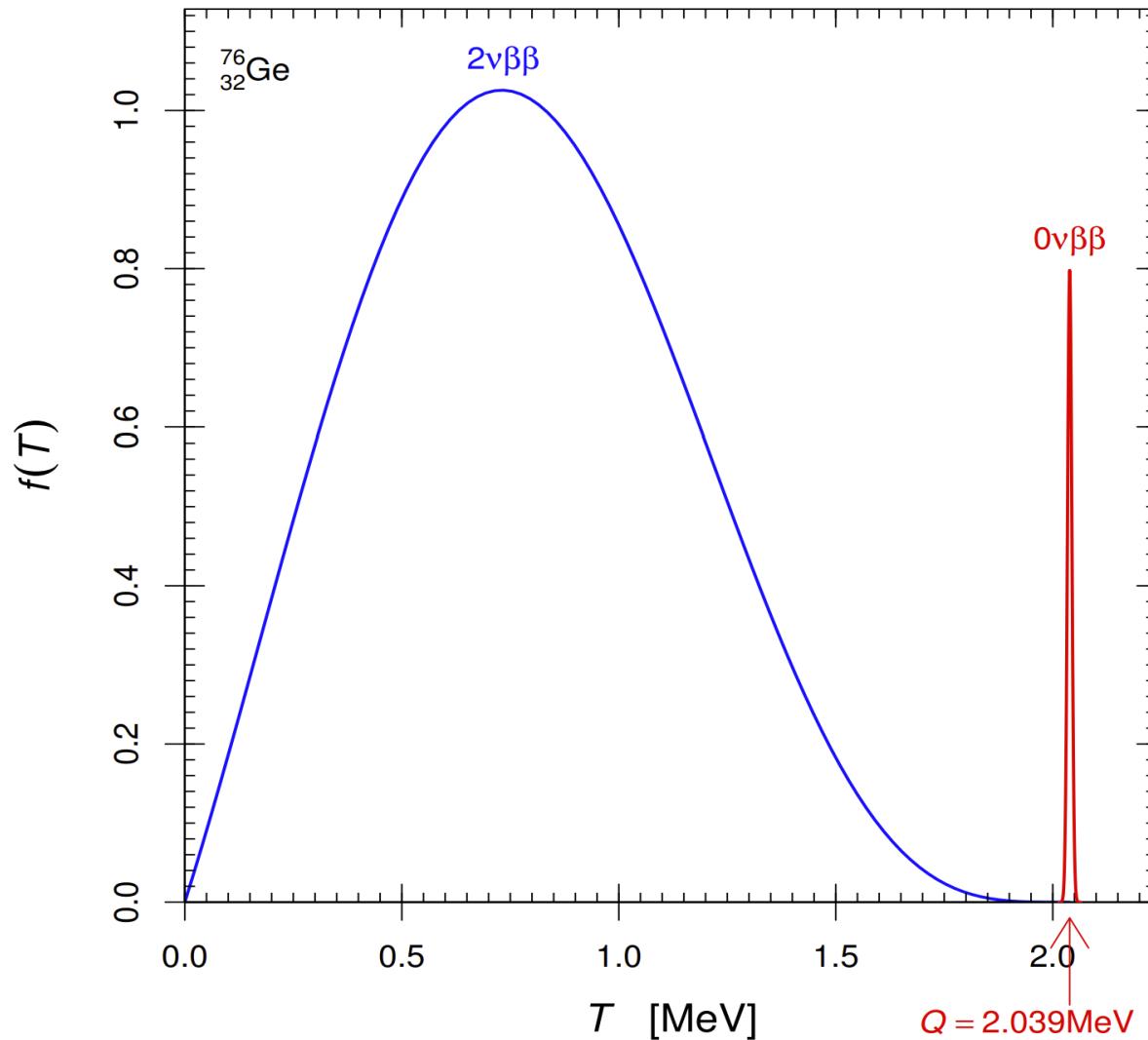
$$(\tau_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$$

Leptonic tensor in the  $\beta\beta_{0\nu}$  amplitude:

$$A_{\mu\nu} = - \sum_{k,j} \bar{e}(x) \gamma_\mu (1 - \gamma_5) U_{ek} \nu_k(x) \bar{\nu}_j^c(y) U_{ej} (1 - \gamma_5) \gamma_\nu e^c(y)$$

$$A_{\mu\nu} \propto \sum_k U_{ek}^2 \int \frac{d^4 p}{(2\pi)^4} \bar{e}(x) \gamma_\mu (1 - \gamma_5) \frac{\not{p} + m_k}{p^2 - m_k^2} (1 - \gamma_5) \gamma_\nu e^c(y) e^{-ip \cdot (x-y)}$$

# The $0\nu2\beta$ -decay signature



# Nuclear Matrix Elements

Light- $\nu$  Exchange in a Nucleus

$$[T_{1/2}^{O\nu}]^{-1} = G(Z, N) |M_{O\nu}|^2 m_{\beta\beta}^2$$

Phase-space factor      Nuclear matrix element

“Traditional” part of matrix element:

$$M_{O\nu} = M_{O\nu}^{GT} - \frac{g_V^2}{g_A^2} M_{O\nu}^F + \dots \times g_A^2$$

with

$$M_{O\nu}^{GT} = \langle F | \sum_{i,j} H(r_{ij}) \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$M_{O\nu}^F = \langle F | \sum_{i,j} H(r_{ij}) \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$H(r) \approx \frac{2R}{\pi r} \int_0^\infty dq \frac{\sin qr}{q + \bar{E} - (E_i + E_f)/2} \quad \text{roughly } \propto 1/r$$

Corrections are from “forbidden” terms, weak nucleon form factors, many-body currents, other effects of high-energy physics that depend on framework.

[Jonathan Engel © Mini Workshop: Nuclear Theory of Neutrinoless Double-Beta Decay, 22 July 2020]

*See also review, arXiv:1610.06548.*

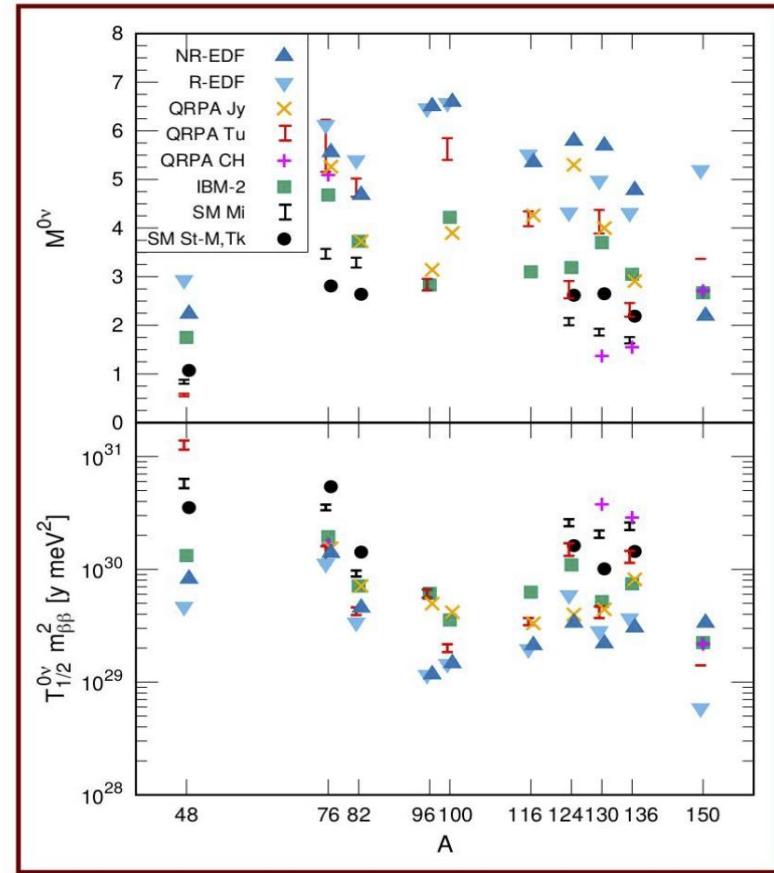
# Nuclear Matrix Elements

Recent Values

## Light- $\nu$ -Exchange Matrix Elements

Significant spread. And all the models may miss important physics.

Uncertainty hard to quantify.



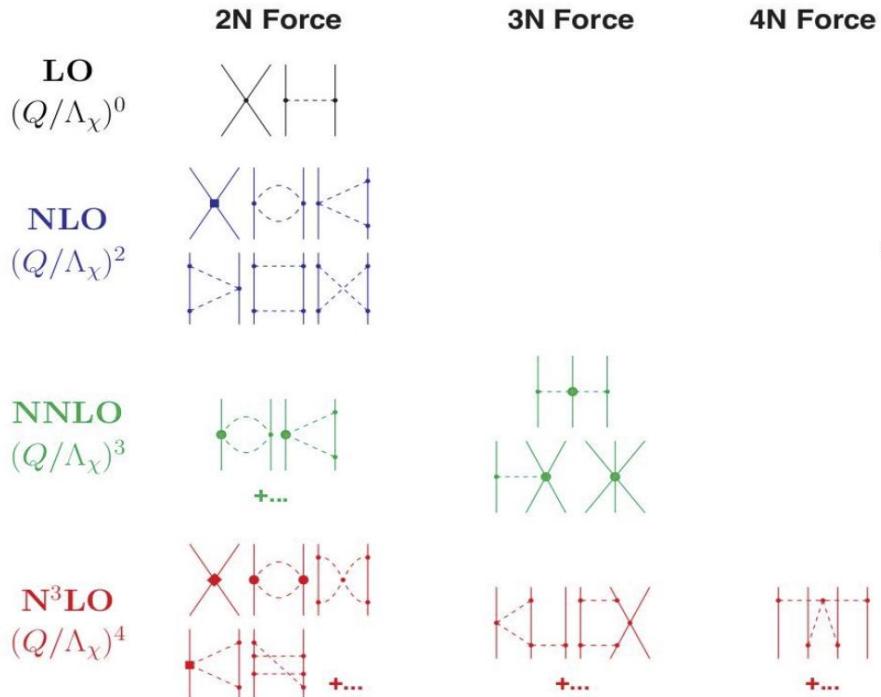
[Jonathan Engel © Mini Workshop: Nuclear Theory of Neutrinoless Double-Beta Decay, 22 July 2020]

# Nuclear Matrix Elements

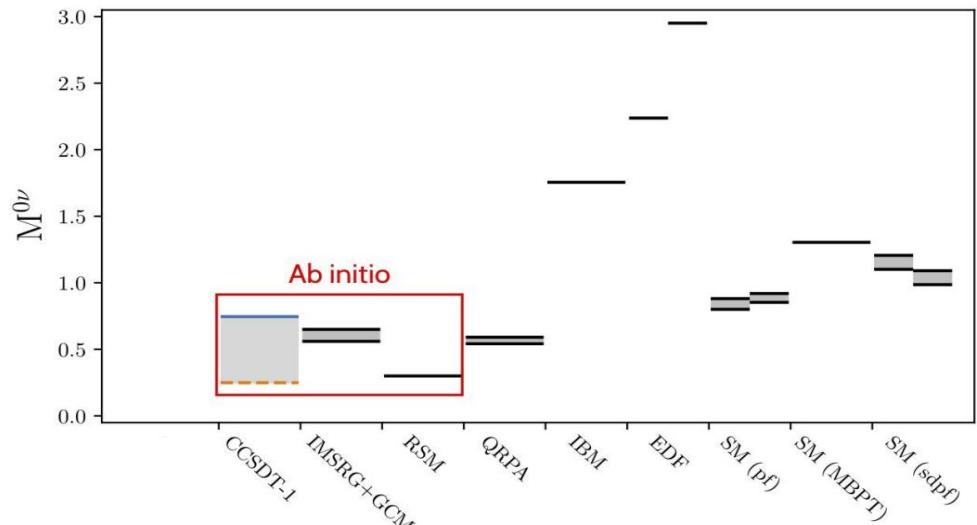
## The Way Forward: Ab Initio Nuclear Theory

Starts with chiral effective field theory.

Nucleons, pions sufficient below chiral-symmetry breaking scale.



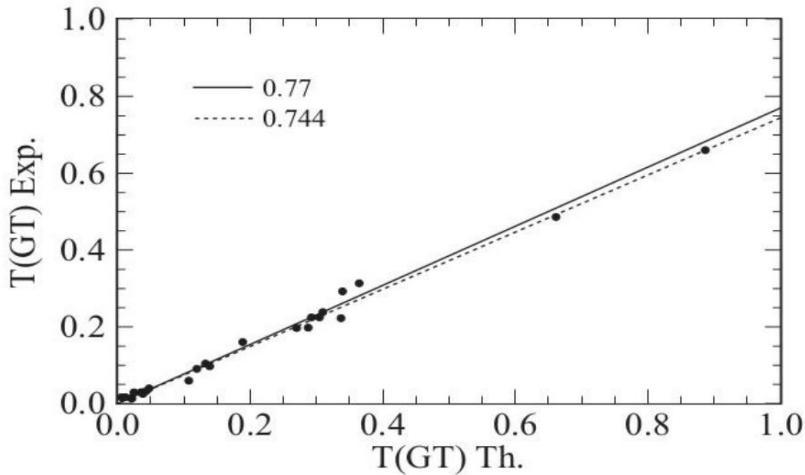
<sup>48</sup>Ca: Ab-Initio Ov $\beta\beta$  Matrix Elements vs. Older Ones



[Jonathan Engel © Mini Workshop: Nuclear Theory of Neutrinoless Double-Beta Decay, 22 July 2020]

# Nuclear Matrix Elements

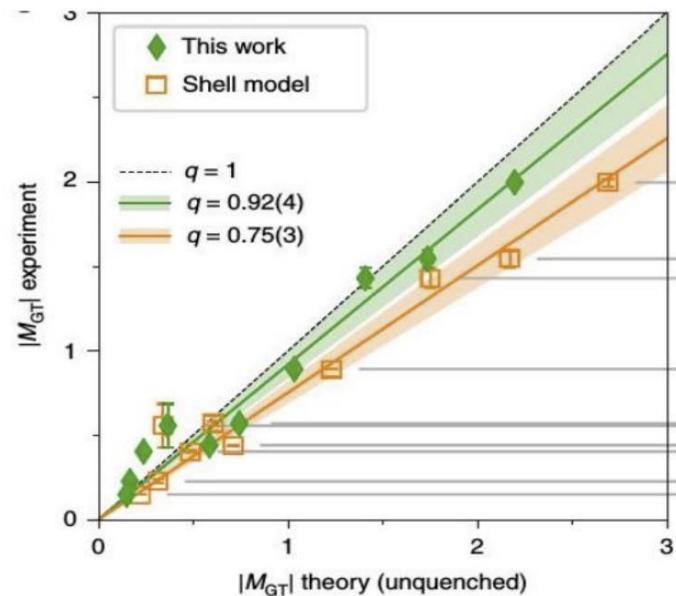
$\beta$  decays ( $e^-$  capture) challenge for nuclear theory



Martinez-Pinedo et al. PRC53 2602(1996)

$$\langle F | \sum_i [g_A \sigma_i \tau_i^-]^{\text{eff}} | I \rangle, \quad [\sigma_i \tau]^{\text{eff}} \approx 0.7 \sigma_i \tau$$

Phenomenological models  
need  $\sigma_i \tau$  “quenching”



Gysbers et al. Nature Phys. 15 428 (2019)

Ab initio calculations including  
meson-exchange currents  
do not need any “quenching”

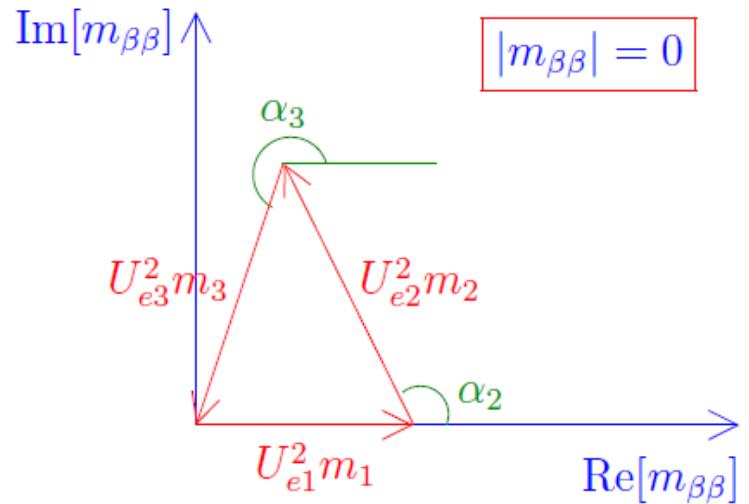
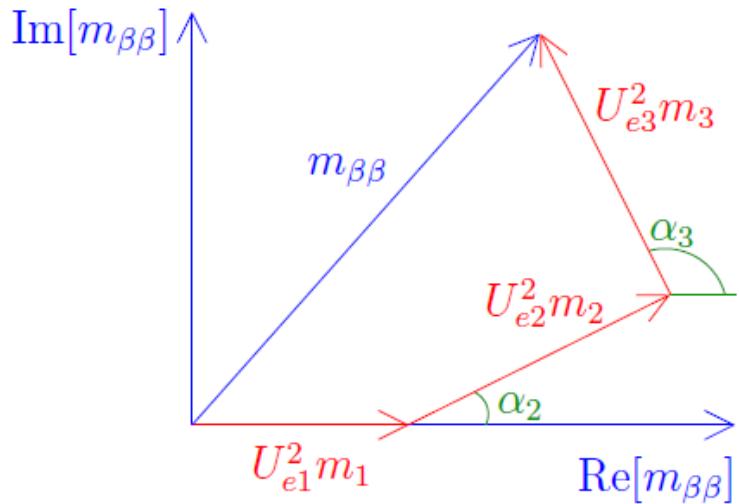
# Effective Majorana Neutrino Mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$



$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$

$$\alpha_2 = 2\lambda_2 \quad \alpha_3 = 2(\lambda_3 - \delta_{13})$$



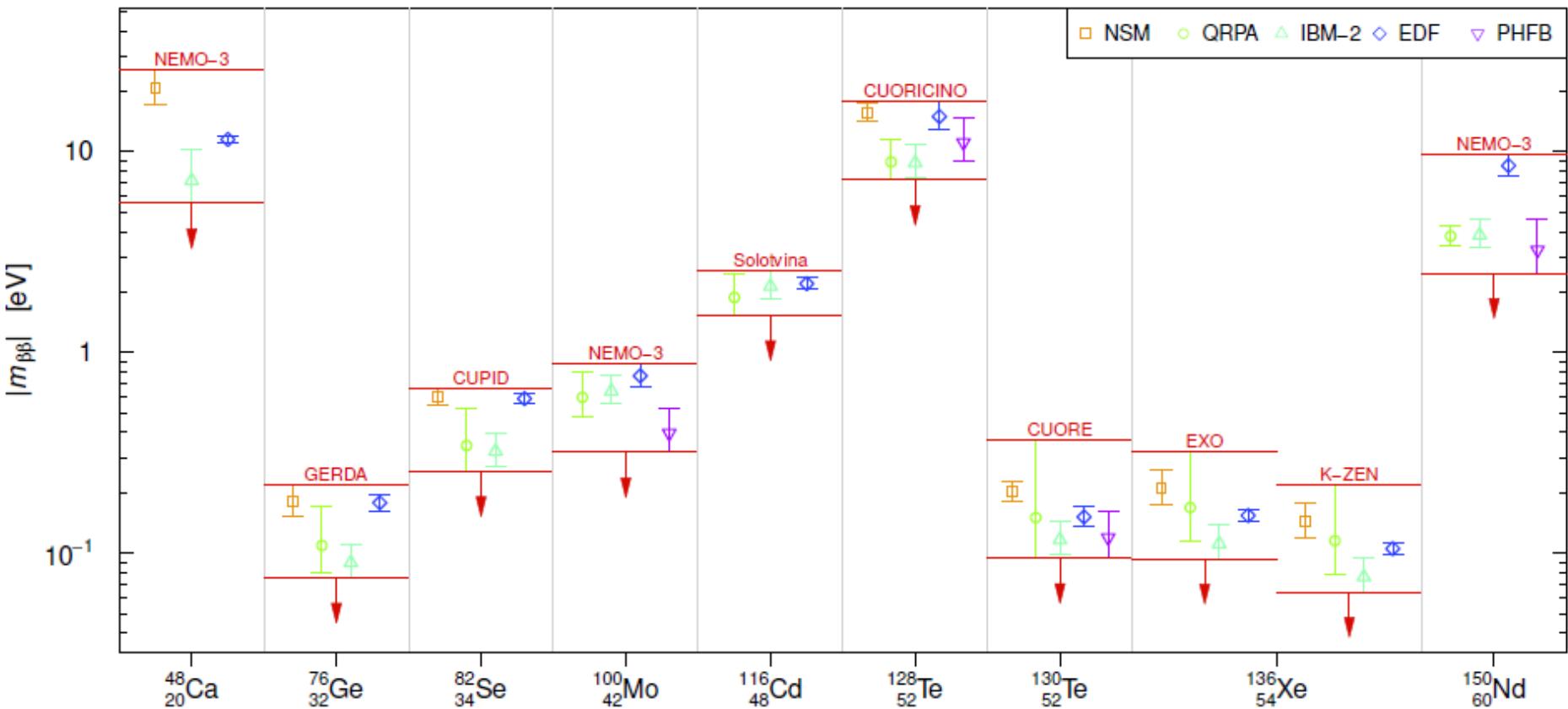
- 7 out of 9 parameters of light Majorana neutrinos !
- Neutrino oscillation and non-oscillation measurements contribute to the prediction of  $m_{\beta\beta}$  !

# Experimental Bounds (90 C.L.)

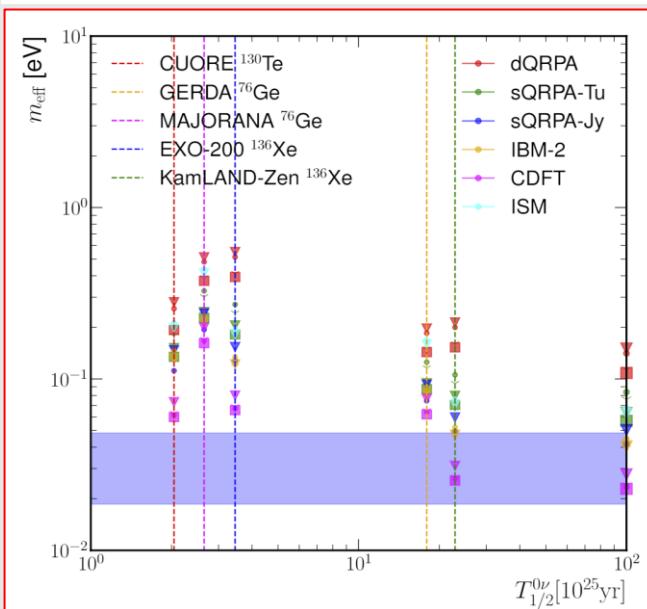
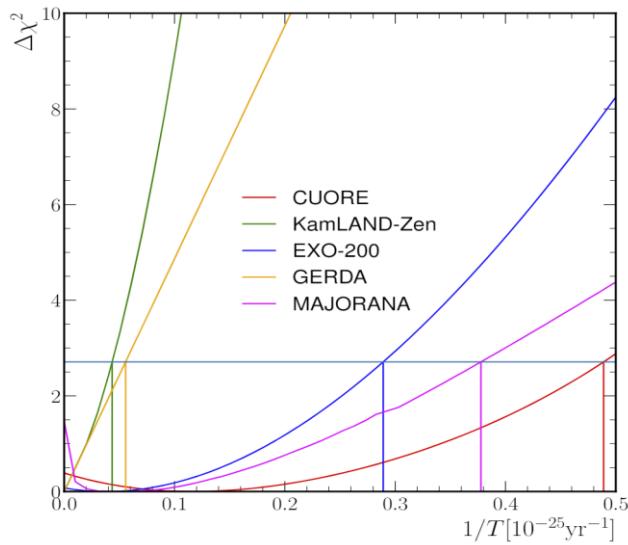
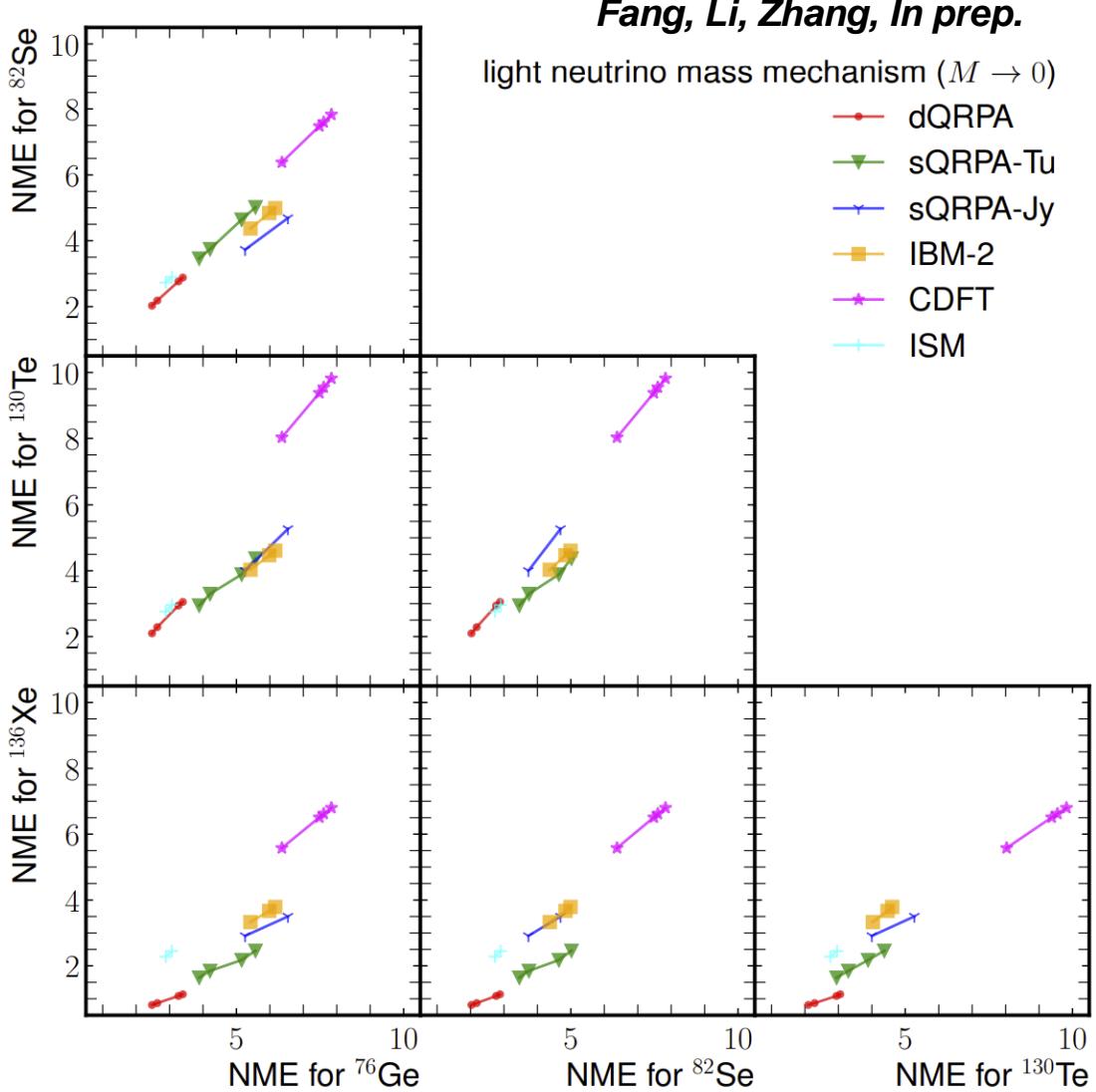
$\beta\beta^-$ decay	experiment	$T_{1/2}^{0\nu}$ [y]	$m_{\beta\beta}$ [eV]
${}^{48}_{20}\text{Ca} \rightarrow {}^{48}_{22}\text{Ti}$	ELEGANT-VI	$> 1.4 \times 10^{22}$	$< 6.6 - 31$
${}^{76}_{32}\text{Ge} \rightarrow {}^{76}_{34}\text{Se}$	Heidelberg-Moscow	$> 1.9 \times 10^{25}$	$< 0.23 - 0.67$
	IGEX	$> 1.6 \times 10^{25}$	$< 0.25 - 0.73$
	Majorana	$> 4.8 \times 10^{25}$	$< 0.20 - 0.43$
	GERDA	$> 8.0 \times 10^{25}$	$< 0.12 - 0.26$
${}^{82}_{34}\text{Se} \rightarrow {}^{82}_{36}\text{Kr}$	NEMO-3	$> 1.0 \times 10^{23}$	$< 1.8 - 4.7$
${}^{100}_{42}\text{Mo} \rightarrow {}^{100}_{44}\text{Ru}$	NEMO-3	$> 2.1 \times 10^{25}$	$< 0.32 - 0.88$
${}^{116}_{48}\text{Cd} \rightarrow {}^{116}_{50}\text{Sn}$	Solotvina	$> 1.7 \times 10^{23}$	$< 1.5 - 2.5$
${}^{128}_{52}\text{Te} \rightarrow {}^{128}_{54}\text{Xe}$	CUORICINO	$> 1.1 \times 10^{23}$	$< 7.2 - 18$
${}^{130}_{52}\text{Te} \rightarrow {}^{130}_{54}\text{Xe}$	CUORE	$> 1.5 \times 10^{25}$	$< 0.11 - 0.52$
${}^{136}_{54}\text{Xe} \rightarrow {}^{136}_{56}\text{Ba}$	EXO	$> 1.1 \times 10^{25}$	$< 0.17 - 0.49$
	KamLAND-Zen	$> 1.1 \times 10^{26}$	$< 0.06 - 0.16$
${}^{150}_{60}\text{Nd} \rightarrow {}^{150}_{62}\text{Sm}$	NEMO-3	$> 2.1 \times 10^{25}$	$< 2.6 - 10$

# Effective neutrino mass from $0\nu 2\beta$ -decay

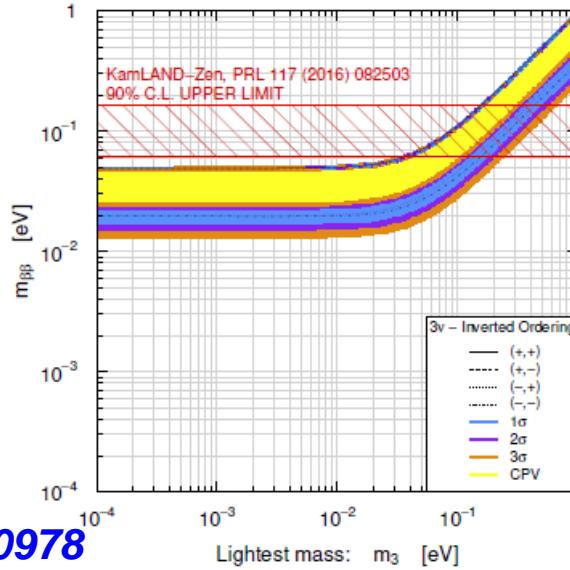
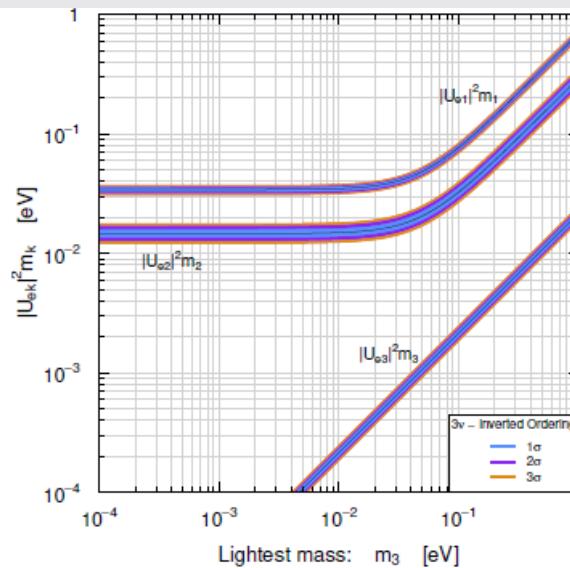
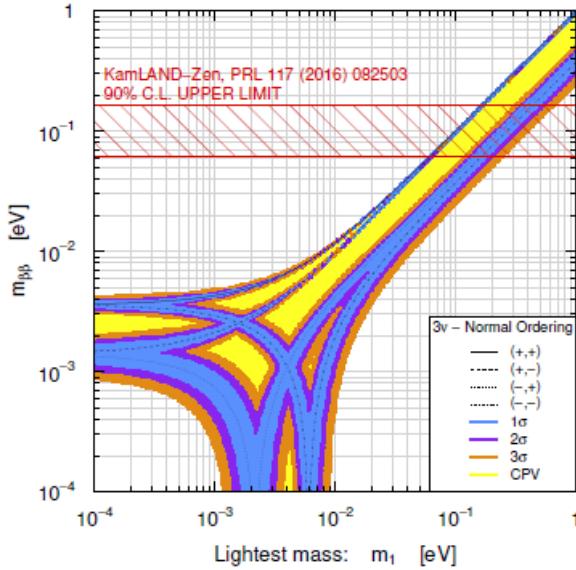
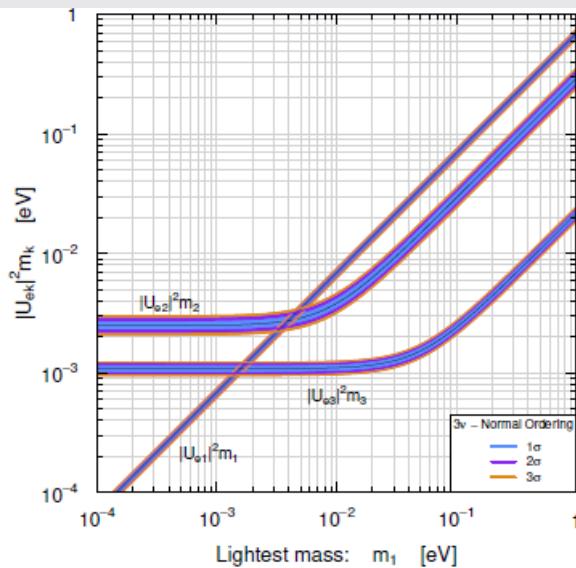
$0\nu 2\beta$ -decay: effective neutrino mass limit as in 2021



# Light v mechanism: current limits



# $m_{\beta\beta}$ : Decomposition



Three different regions:

➤ QD:  
 $m_{1/3} > 10$  meV

➤ Hierarchical:  
 $m_{1/3} < 1$  meV

➤ Cancelation:  
[1, 10] meV

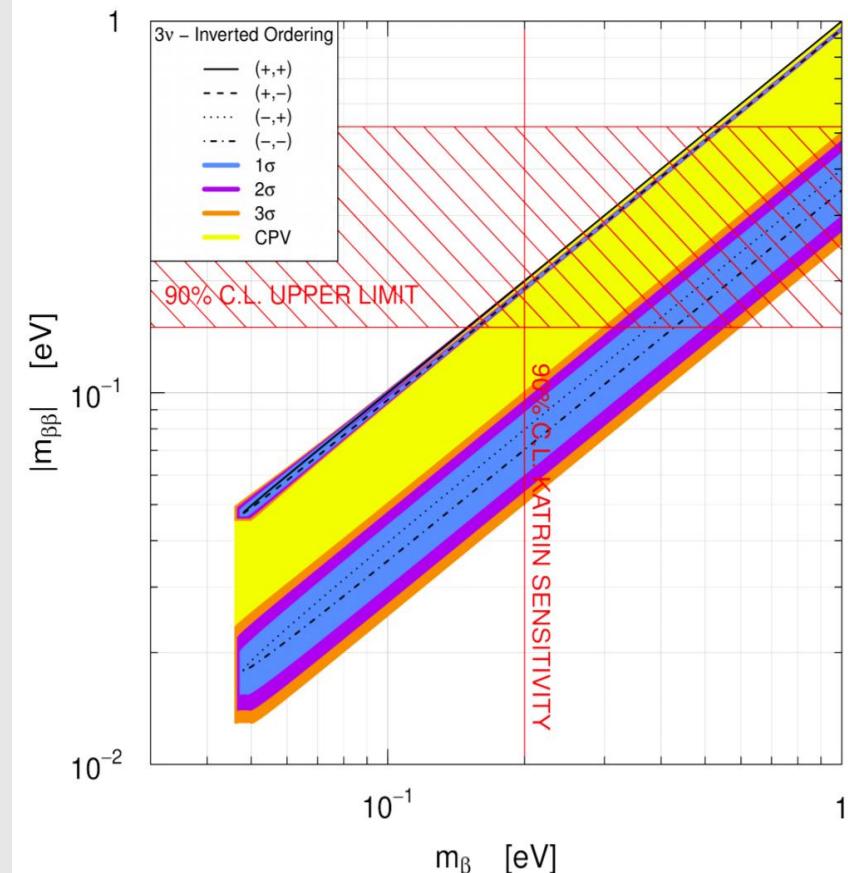
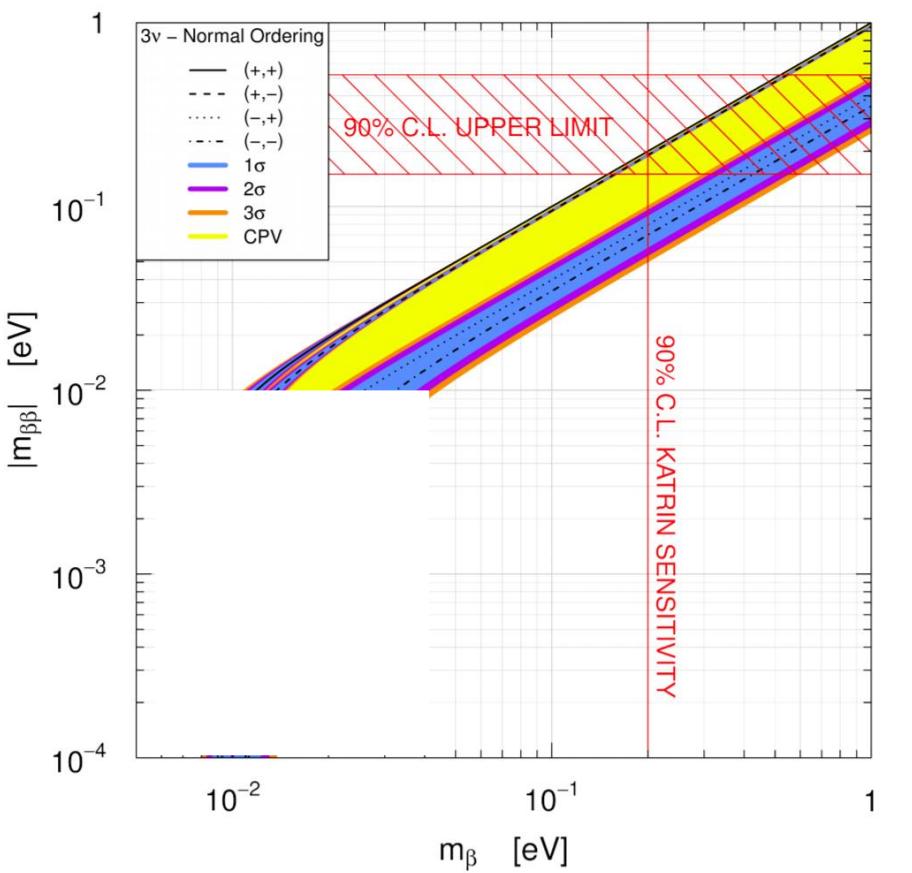
1505.00978

# I: Quasi-Degenerate region

$$|m_{\beta\beta}| \simeq m_\nu \sqrt{1 - s_{2\vartheta_{12}}^2 s_{\alpha_2}^2}$$



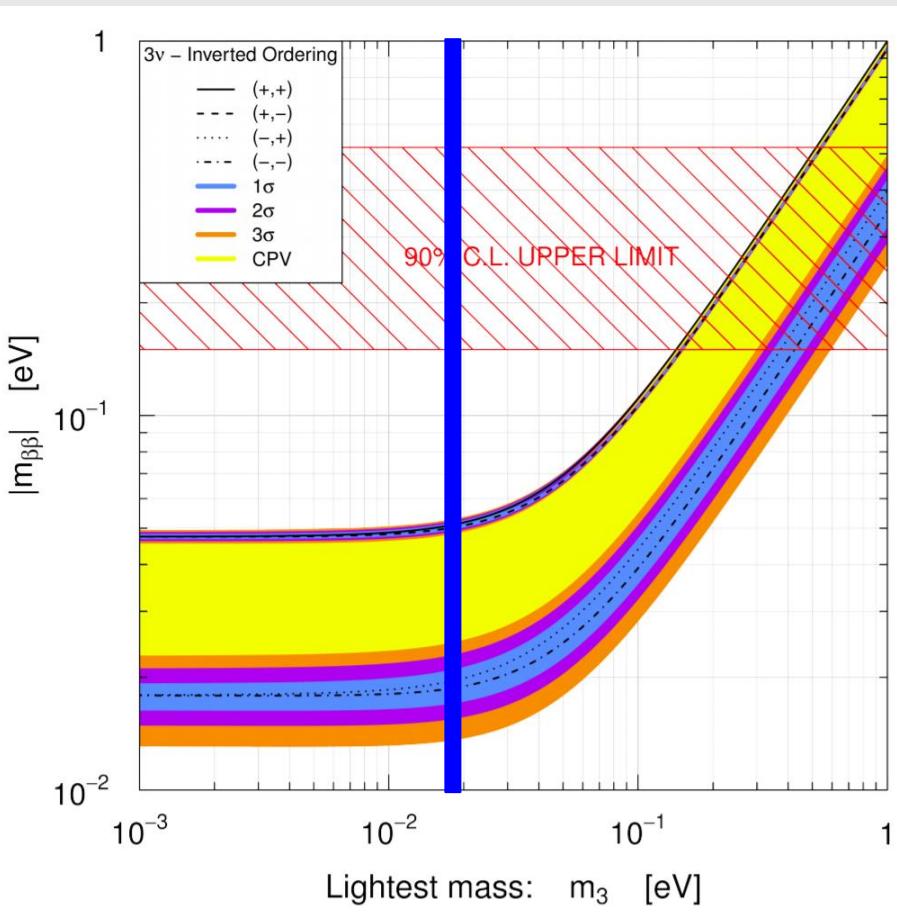
➤ Extraction of the CP phase by comparing with beta decay or cosmology probe



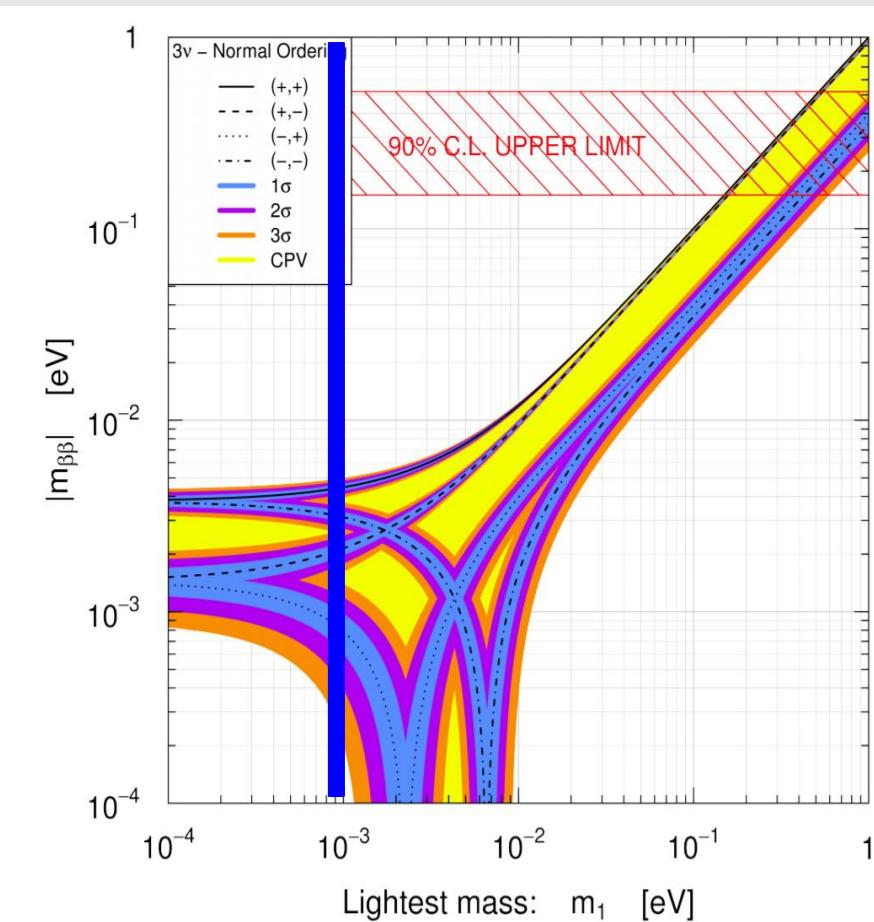
## II: Hierarchical Region

- Independent of the absolute neutrino masses (NO & IO)

$$|m_{\beta\beta}| \simeq \sqrt{\Delta m_A^2 (1 - s_{2\vartheta_{12}}^2 s_{\alpha_2}^2)}$$

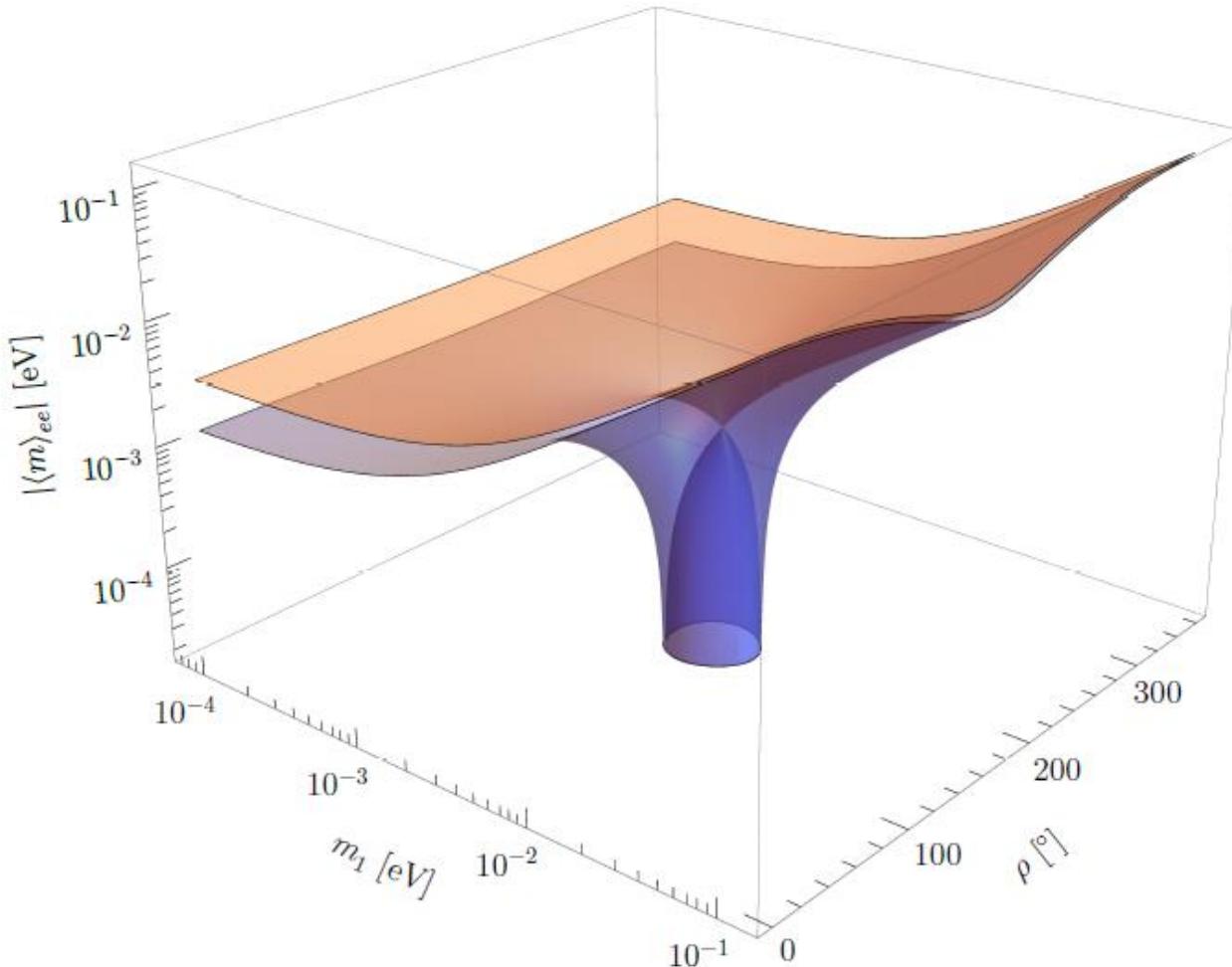


$$|m_{\beta\beta}| \simeq |s_{12}^2 \sqrt{\Delta m_S^2} + e^{i\alpha} s_{13}^2 \sqrt{\Delta m_A^2}|$$



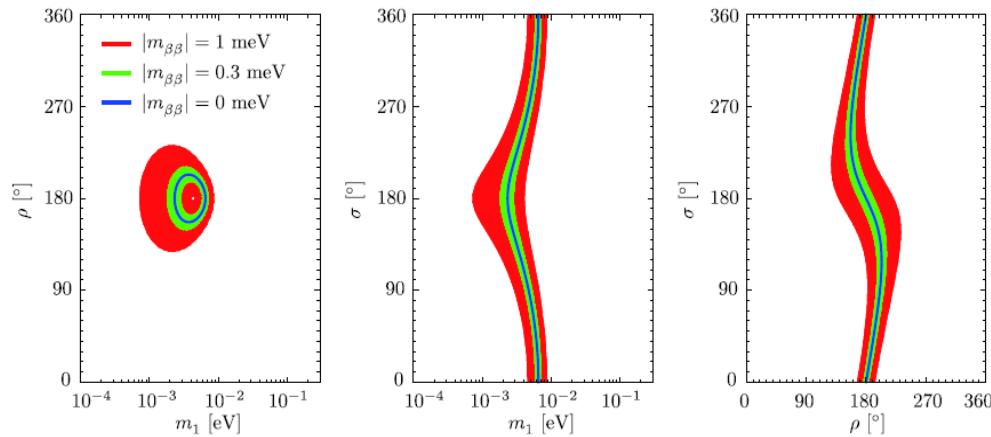
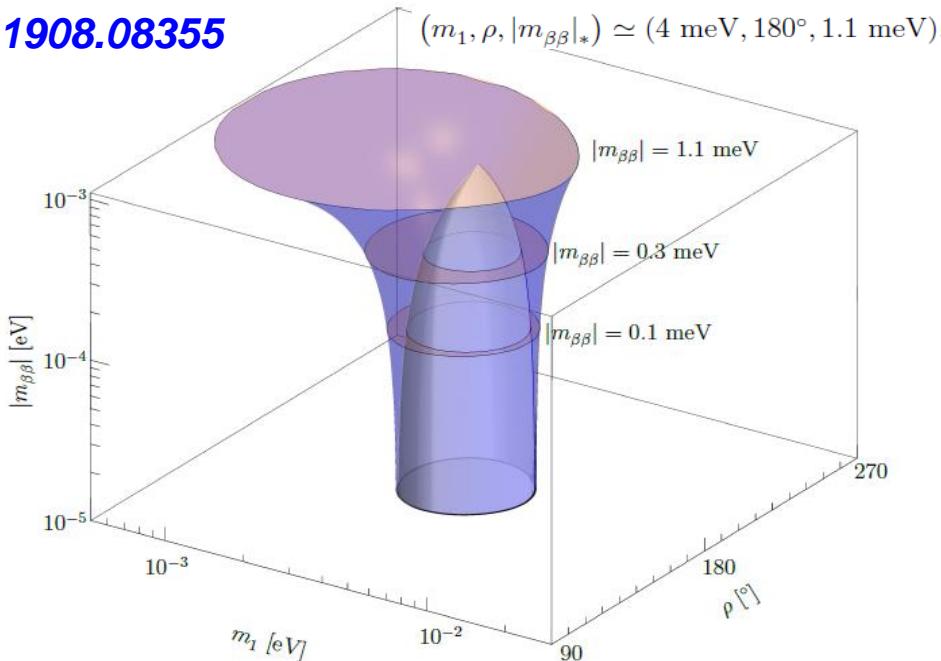
### III: Cancelation region

Xing & Zhao, 1612.08538: The critical threshold point is just  $\sim 1$  meV !

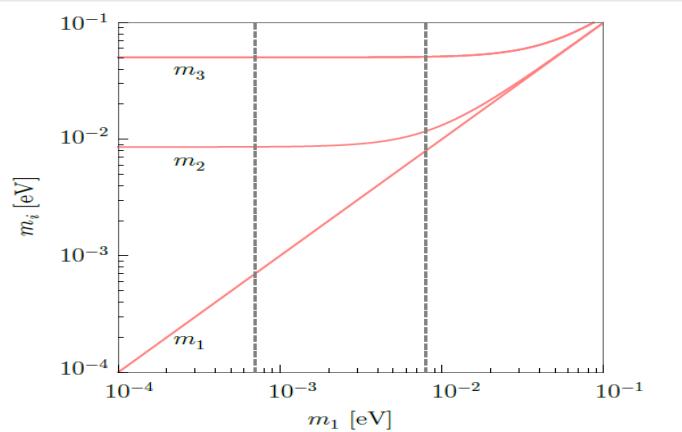


# Fine structure: towards the meV goal

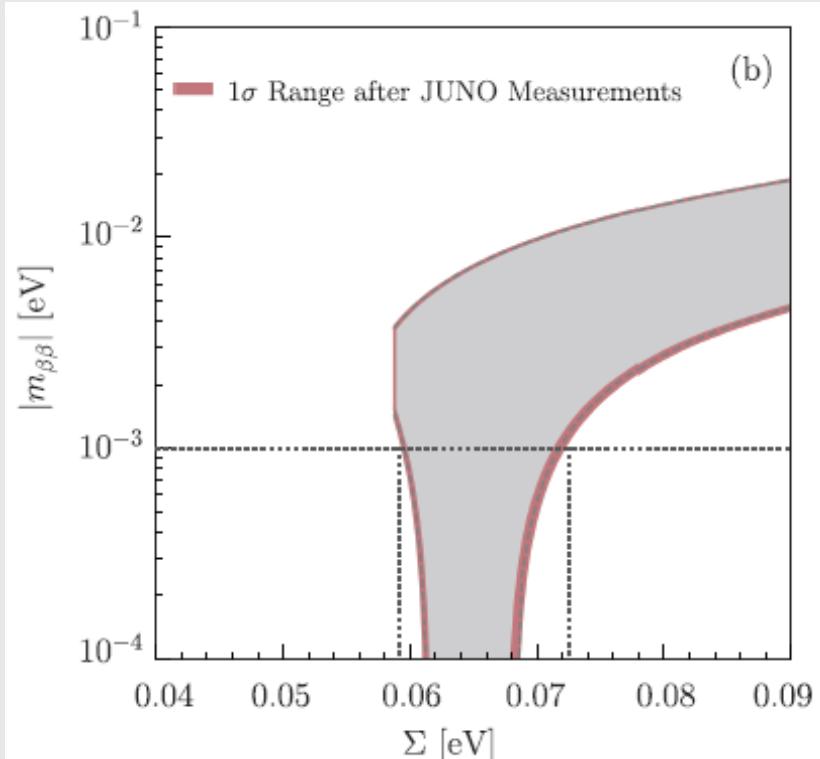
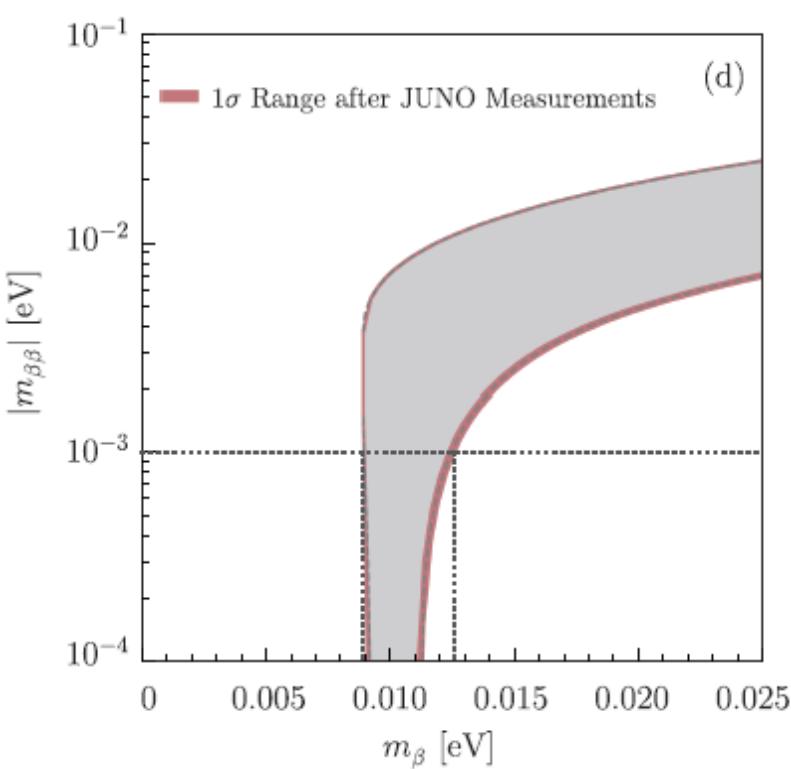
1908.08355



- The critical threshold point could serve as the **ultimate goal** for  $0\nu 2\beta$  searches.
- The possibility of **falling into the well** is very small.
- Have **unique (otherwise impossible) constraints** on non-oscillation parameters



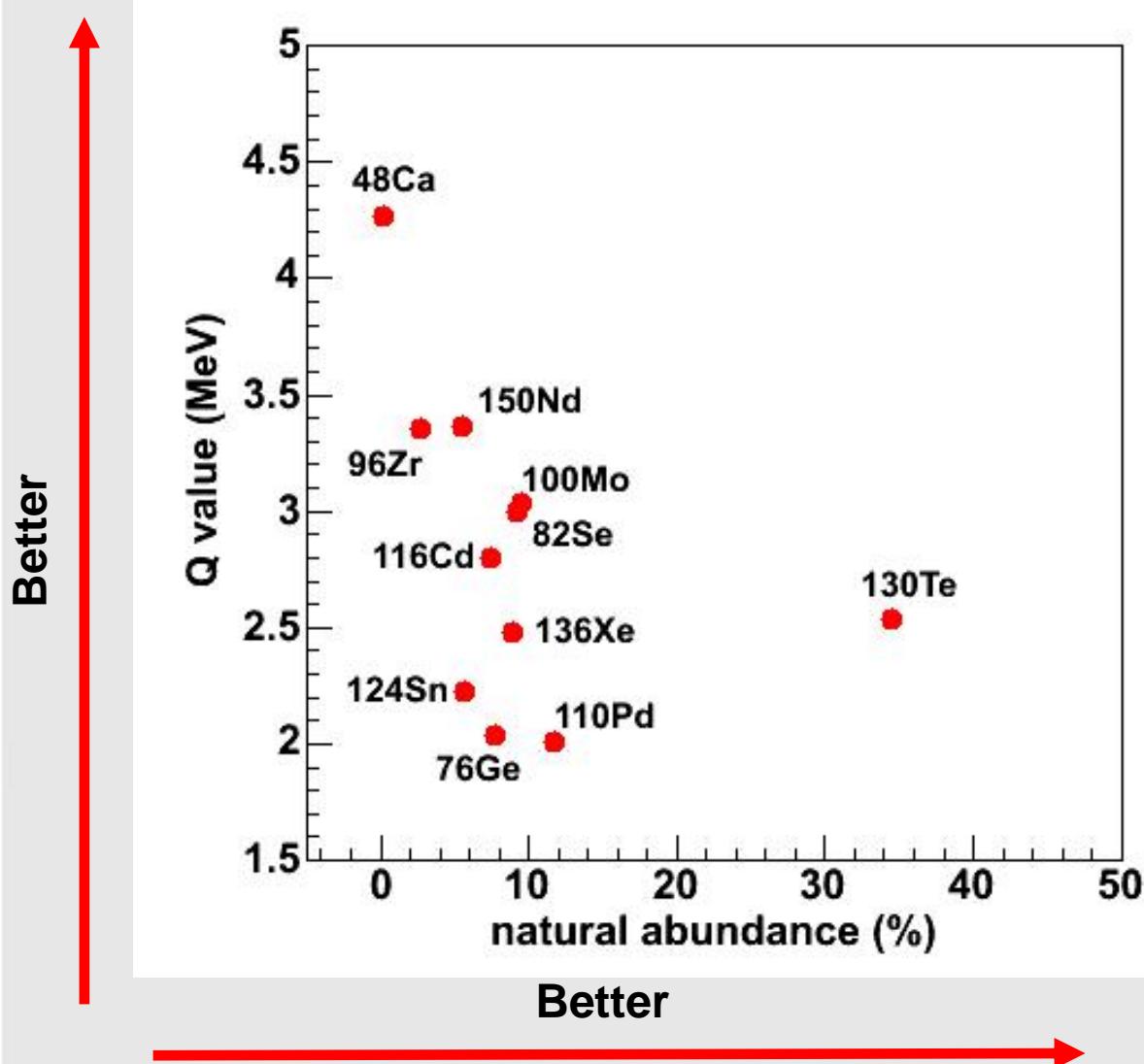
# Implications for beta-decay and cosmology



$$8.9 \text{ meV} \leq m_\beta \leq 12.6 \text{ meV}, \quad 59.2 \text{ meV} \leq \Sigma \leq 72.6 \text{ meV}$$

- (much) better than the projected sensitivities of future beta decay and cosmology probes!

# Experimental Design



## Sensitivity:

$$(T_{1/2}^{0\nu})^{-1} \propto \begin{cases} a M \varepsilon t \\ a \varepsilon \sqrt{\frac{M t}{B \Delta E}} \end{cases}$$

Background free  
Background dominate

- A factor of 2 on the mass needs **factor of 16** in  $M \times t \times B \times \Delta E$
- Very challenging improvement for ton-scale experiments or even more

# A brief history

- 1935 Mayer proposed double beta decay
- 1937 Majorana fermion proposed
- 1939 Furry proposed neutrinoless double beta decay
- 1948 – first counter experiment (Geiger counters,  
 $T_{1/2}(0\nu) > 3 \cdot 10^{15} \text{ y}$ )
- 1950 – first evidence for  $2\beta 2\nu$  decay of  $^{130}\text{Te}$  in first geochemical experiment:  $T_{1/2} \sim 1.4 \cdot 10^{21} \text{ y}$
- 1950-1965 – a few tens experiments with sensitivity  
 $\sim 10^{16}-10^{19} \text{ y}$
- $T_{1/2}(^{76}\text{Ge}) > 5 \cdot 10^{21} \text{ y}$ ; Ge(Li) detector, 1973 (E. Fiorini et al.)
- $T_{1/2}(^{48}\text{Ca}) > 2 \cdot 10^{21} \text{ y}$ ; streamer chamber + magnetic field + plastic scint., 1970 (C. Wu et al.)
- $T_{1/2}(^{82}\text{Se}) > 3.1 \cdot 10^{21} \text{ y}$ ; streamer chamber + magnetic field + plastic scint., 1975 (C. Wu et al.)
- 1987 first detection of evidence for  $2\beta 2\nu$  decay  $^{82}\text{Se}$

# Beijing experiment

Physics Letters B 265 (1991) 53-56  
North-Holland

PHYSICS LETTERS B

## A search for neutrinoless double $\beta$ decay of $^{48}\text{Ca}$ $\star$

Ke You <sup>a</sup>, Yucan Zhu <sup>a</sup>, Junguang Lu <sup>a</sup>, Hanseng Sun <sup>a</sup>, Weihua Tian <sup>a</sup>, Wenheng Zhao <sup>a</sup>, Zhipeng Zheng <sup>a,b</sup>, Minghan Ye <sup>a,b</sup>, Chengrui Ching <sup>b,c</sup>, Tsohsiu Ho <sup>b,c</sup>, Fengzhu Cui <sup>d</sup>, Changjiang Yu <sup>d</sup> and Guojing Jiang <sup>d</sup>

<sup>a</sup> Institute of High Energy Physics, Academia Sinica, P.O. Box 918, Beijing 100039, China

<sup>b</sup> China Center of Advanced Science and Technology (World Laboratory), P.O. Box 8730, Beijing 100080, China

<sup>c</sup> Institute of Theoretical Physics, Academia Sinica, P.O. Box 2735, Beijing 100080, China

<sup>d</sup> Institute of Optics and Fine Mechanics, Academia Sinica, Changchun, China

Received 10 December 1990; revised manuscript received 5 June 1991

A search for the neutrinoless double  $\beta$  decay of  $^{48}\text{Ca}$  is carried out in a coal mine near Beijing. Large scintillation crystals of natural  $\text{CaF}_2$  were used as both detector and  $\beta$  source. Results obtained after a total of 7588.5 h of data taking give  $9.5 \times 10^{21} \text{ yr}$  (76% confidence level) as the lower limit of the half-life of neutrinoless double  $\beta$  decay of  $^{48}\text{Ca}$ .

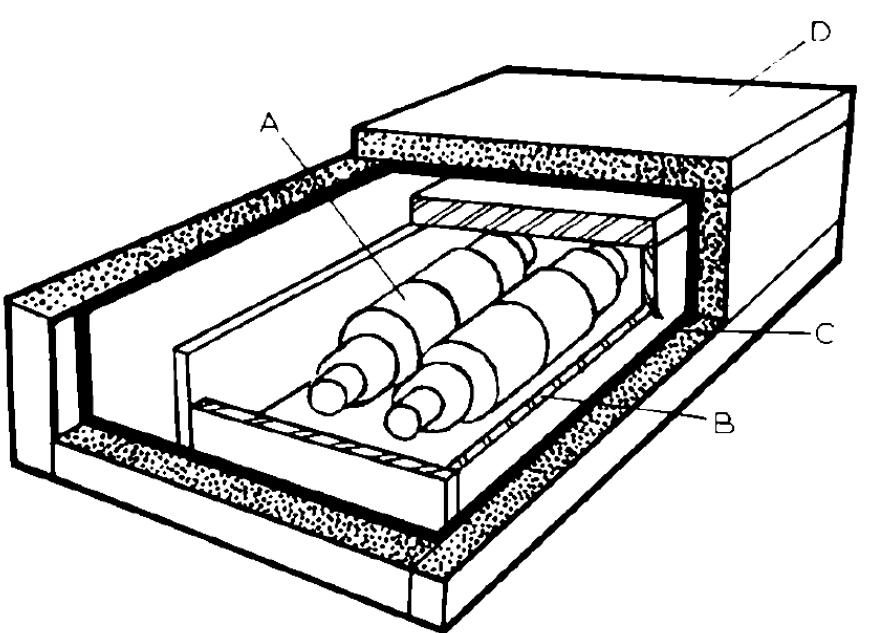


Fig. 1. Schematic drawing of detector assembly. A:  $\text{CaF}_2$  crystal, B: plastic scintillator, C: steel shielding, D: lead shielding.

$$\left. \begin{array}{l} T_{1/2} \geq 1.4 \cdot 10^{22} \quad 95\% \text{ c.l.} \\ \text{or} \quad T \geq 2.0 \cdot 10^{22} \quad 68\% \text{ c.l.} \end{array} \right\} \quad (3)$$

## ICHEP 90, proceedings

Table 1.

Authors	Goldhaber <sup>[4]</sup>	C.S.Wu <sup>[5]</sup>	of this paper
Quantity of $^{48}\text{Ca}$	11.4 g	10.6 g	43.0 g
Data taking hours	689	1150	7588.5
Detector	$\text{CaF}_2(Eu)$	streamer Chamber	$\text{CaF}_2$
$T_{1/2}(y)$	$\geq 2 \times 10^{20}$	$\geq 2 \times 10^{21}$	$\geq 1.4 \times 10^{22}$

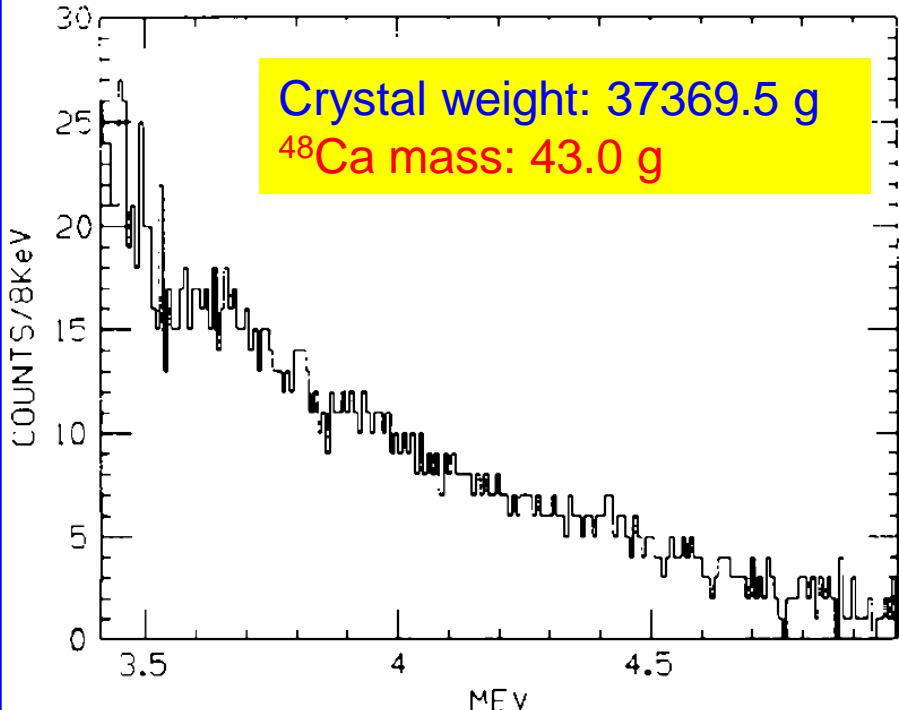


Fig. 3. Energy spectrum near 4.27 MeV underground.

Table 2

Phys. Lett. B 265 (1991) 53-56

The light neutrino mass  $\langle m_\nu \rangle$  and the right handed current mixing parameter  $\eta$ .

Experiment	Life-time [yr]	$\langle m_\nu \rangle(\eta=0)$ [eV]	$\eta(\langle m_\nu \rangle=0)$
Goldhaber	$2 \times 10^{20}$	$\leq 59.5$	$\leq 5.09 \times 10^{-5}$
Wu	$2 \times 10^{21}$	$\leq 18.8$	$\leq 1.61 \times 10^{-5}$
this experiment	$9.5 \times 10^{21}$	$\leq 8.3$	$\leq 0.74 \times 10^{-5}$

# Latest $^{48}\text{Ca}$

Table I: Present results on neutrinoless DBD

Ettore Fiorini, Nuclear Physics B (Proc. Suppl.) 168 (2007) 11–16

Nucleus	Experiment	%	$Q_{\beta\beta}$	Enrich (%)	Technique	$T_{0\nu}$ (y)	$\langle m_\nu \rangle$
$^{48}\text{Ca}$	Elegant IV	0.19	4271		scintillator	$>1.4 \times 10^{22}$	7-45
$^{76}\text{Ge}$	Heidelberg-Moscow	7.8	2039	87	ionization	$>1.9 \times 10^{25}$	0.12 - 1
$^{76}\text{Ge}$	IGEX	7.8	2039	87	Ionization	$>1.6 \times 10^{25}$	0.14 – 1.2
$^{76}\text{Ge}$	KATRIN	7.8	2039	87	ionization	$1.2 \times 10^{25}$	0.44

Search for neutrino-less double beta decay of  $^{48}\text{Ca}$   
by  $\text{CaF}_2$  scintillator

I. Ogawa <sup>a,\*</sup>, R. Hazama <sup>a</sup>, H. Miyawaki <sup>a</sup>, S. Shiomi <sup>a</sup>, N. Suzuki <sup>a</sup>,  
Y. Ishikawa <sup>a</sup>, G. Kunitomi <sup>a</sup>, Y. Tanaka <sup>a</sup>, M. Itamura <sup>a</sup>,  
K. Matsuoka <sup>a</sup>, S. Ajimura <sup>a</sup>, T. Kishimoto <sup>a</sup>, H. Ejiri <sup>b</sup>, N. Kudomi <sup>b</sup>,  
K. Kume <sup>b</sup>, H. Ohsumi <sup>c</sup>, K. Fushimi <sup>d</sup>

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Received 24 March 2003; received in revised form 21 October 2003; accepted 27 October 2003

Nuclear Physics A 730 (2004) 215–222

## Abstract

A  $\text{CaF}_2$  scintillation detector system (ELEGANT VI) has been operating at Oto Cosmo Observatory to study double beta decays of  $^{48}\text{Ca}$ . No events were observed around the  $Q$ -value energy region after the analysis of 4.23 kg yr data. To derive the lower limit for the half-life of the neutrino-less double beta decay of  $^{48}\text{Ca}$ , the expected number of background events in that energy region was estimated by a Monte Carlo simulation using the measured activities of  $^{214}\text{Bi}$  and  $^{220}\text{Rn}$  inside  $\text{CaF}_2$  crystals. A new lower limit is obtained to be  $1.4 \times 10^{22}$  yr at the 90% C.L. An experimental sensitivity is  $5.9 \times 10^{21}$  yr at the 90% C.L.

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The most stringent lower limit for the half-life of  $0\nu\text{DBD}$  ( $T_{1/2}^{0\nu}$ ) of  $^{48}\text{Ca}$  was obtained by Beijing group [6] using 37 kg of  $\text{CaF}_2$  scintillation crystals. They derived the limit of  $9.5 \times 10^{21}$  yr at the 76% C.L. from the statistical error of background (365 events in signal window). For the  $2\nu\text{DBD}$  of  $^{48}\text{Ca}$ , there are two experiments that have measured the half-life with  $(4.3^{+2.4}_{-1.1} [\text{stat}] \pm 1.4 [\text{syst}]) \times 10^{19}$  yr [7] and  $(4.2^{+3.3}_{-1.3}) \times 10^{19}$  yr [8], respectively. The lower limits of DBD to the excited states of  $^{48}\text{Ti}$  and single  $\beta$  decay to  $^{48}\text{Sc}$  have been obtained to be around  $10^{20}$  yr by the experiment [9].

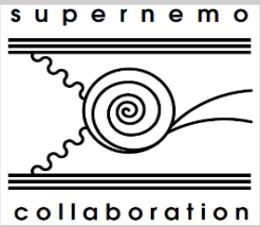
# An active and competitive community (2010s-2020s)

## Tracking Detector

$^{136}\text{Xe}$



PANDAX  
PARTICLE AND ASTROPHYSICAL XENON TPC



Feature: Topological information

Challenge: very large size

$^{82}\text{Se}$  ( $^{130}\text{Te}$ ,  $^{116}\text{Cd}$ ,  $^{48}\text{Ca}$ ,  
 $^{96}\text{Zr}$ ,  $^{150}\text{Nd}$ ,  $^{100}\text{Mo}$ )



Feature: excellent energy resolution  
Challenge: very large size; segmented



## Crystals

$^{136}\text{Xe}$



$^{130}\text{Te}$



Feature: existing large clean detector; self-shielding

Challenge:  $2\nu\beta\beta$  background, internal purity

## Liquid Scintillator

Feature: homogeneous; decent energy resolution; 3D topography

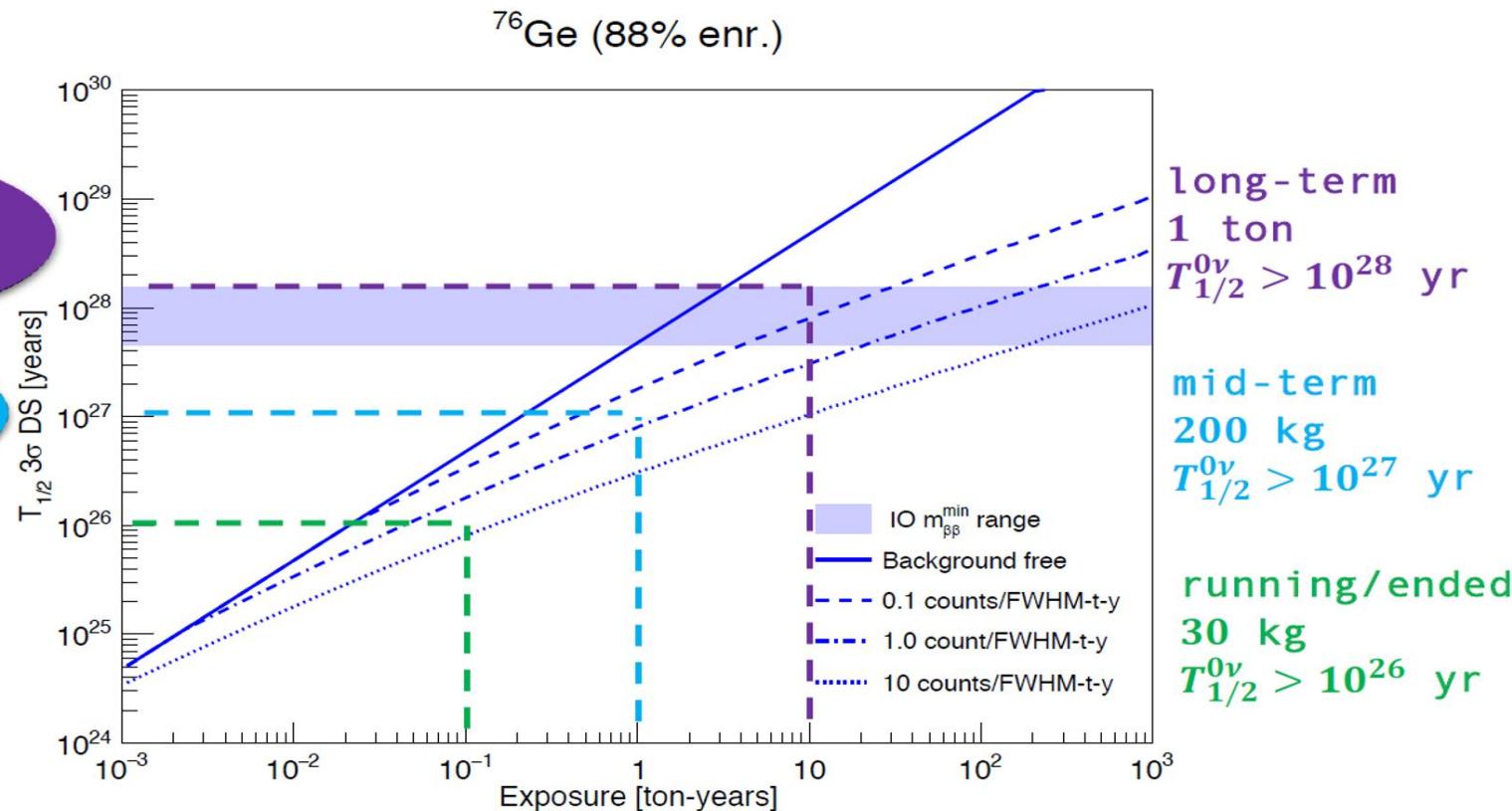
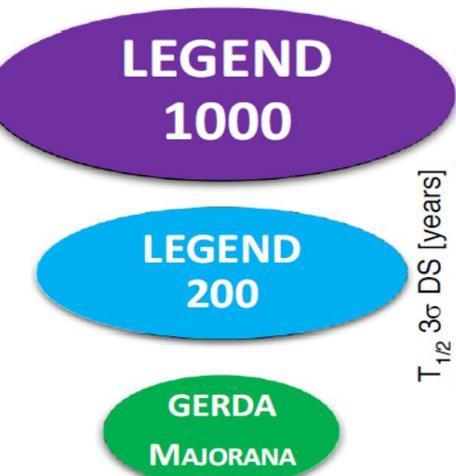
Challenge:  $2\nu\beta\beta$  background, internal purity



CUPID ( $\text{Zn}^{82}\text{Se}$ ,  $\text{Li}_2^{100}\text{MoO}_4$ ,  $\text{TeO}_2$ ), AMoRE ( $^{100}\text{Mo}$ ), CANDLES ( $^{48}\text{Ca}$ ), ZICOS ( $^{96}\text{Zr}$ ), AXEL ( $^{136}\text{Xe}$ ), DCBA ( $^{100}\text{Mo}/^{150}\text{Nd}$ ), COBRA ( $\text{CdZnTe}$ ), ...

## Liquid TPC

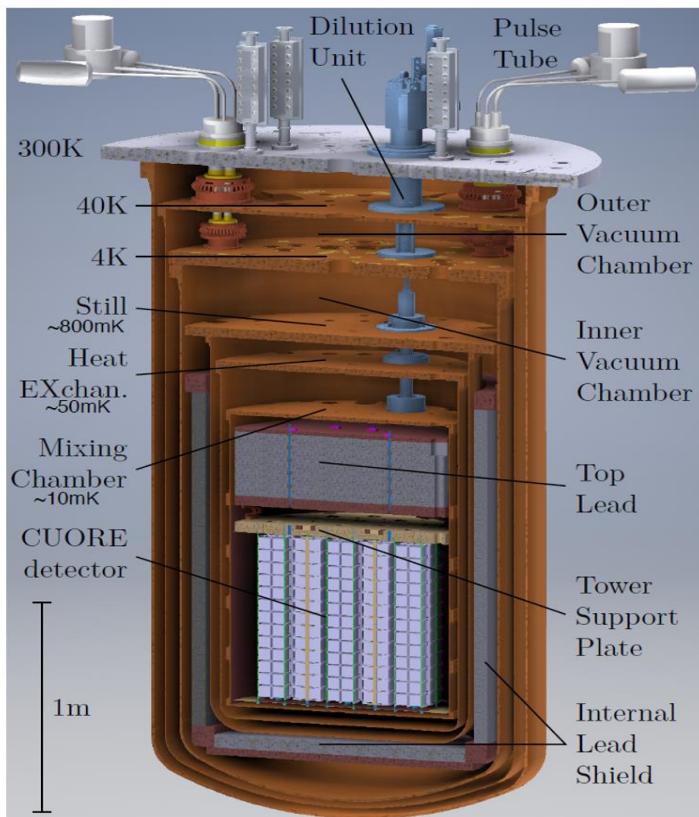
# Ge Roadmap



# $^{100}\text{Mo}$

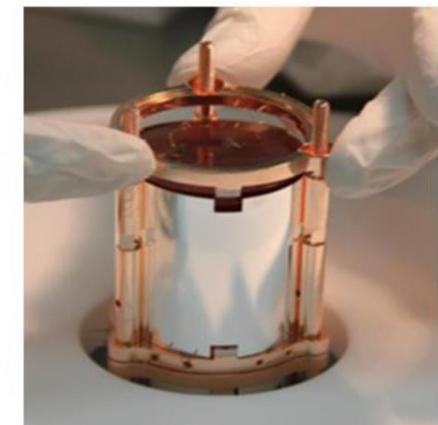
CUORE:  $> 3.2 \times 10^{25}$  yrs (90% C.L.)

- Enriched to >95% in  $^{100}\text{Mo}$
- $^{100}\text{Mo}$  Q-value: 3034 keV



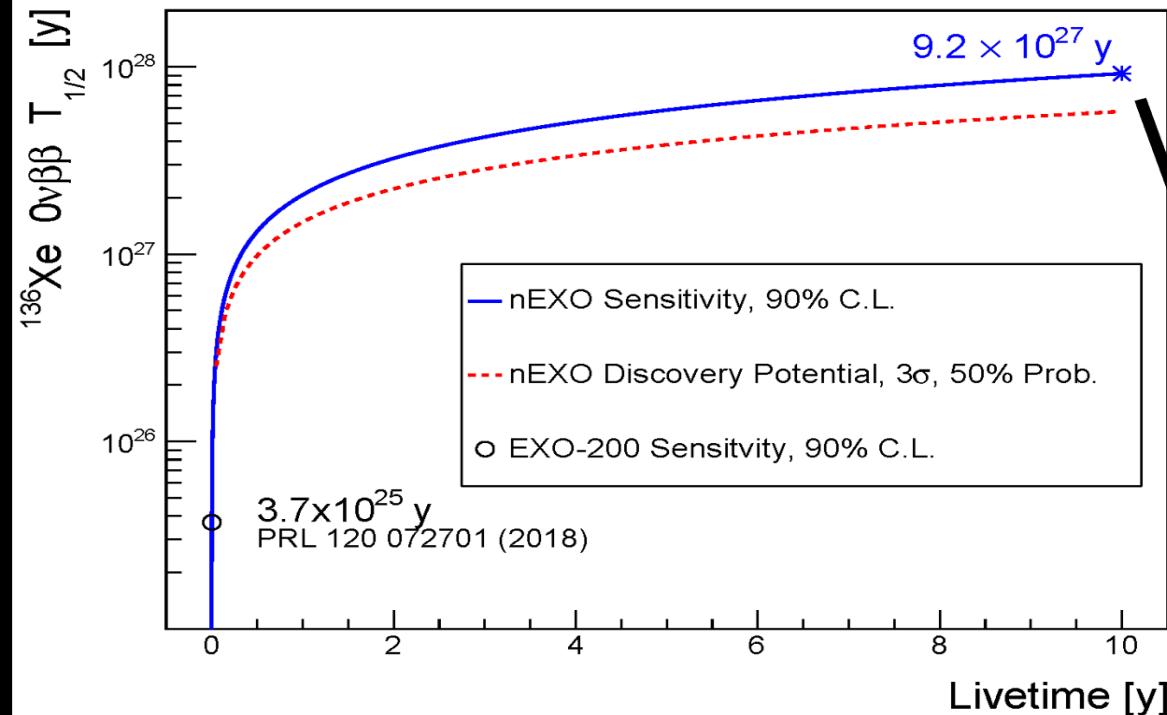
**CUPID preCDR**  
<https://arxiv.org/abs/1907.09376>

Parameter	CUPID Baseline
Crystal	$\text{Li}_2^{100}\text{MoO}_4$
Detector mass (kg)	472
$^{100}\text{Mo}$ mass (kg)	253
Energy resolution FWHM (keV)	5
Background index (counts/(keV·kg·yr))	$10^{-4}$
Containment efficiency	79%
Selection efficiency	90%
Livetime (years)	10
Half-life exclusion sensitivity (90% C.L.)	$1.5 \times 10^{27}$ y
Half-life discovery sensitivity ( $3\sigma$ )	$1.1 \times 10^{27}$ y
$m_{\beta\beta}$ exclusion sensitivity (90% C.L.)	10–17 meV
$m_{\beta\beta}$ discovery sensitivity ( $3\sigma$ )	12–20 meV

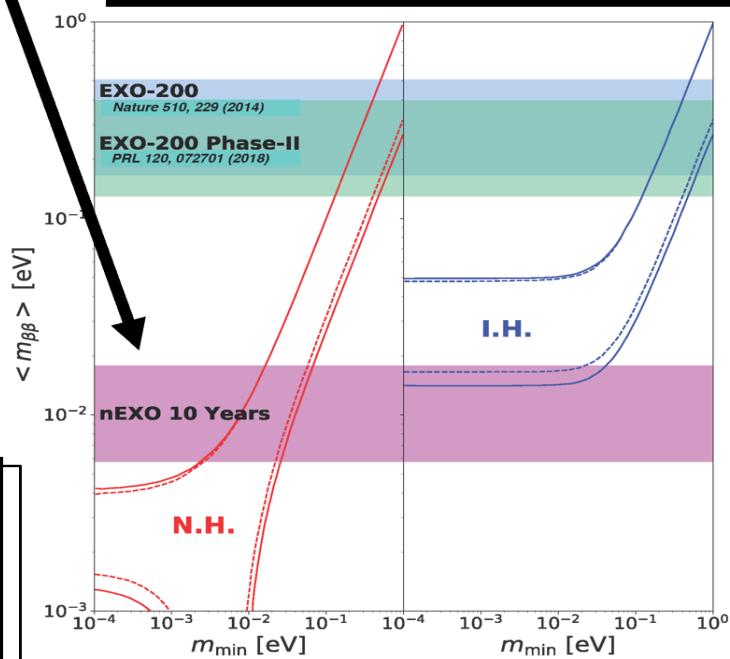


# nEXO Sensitivity

Sensitivity as a function of time for the baseline design



Phys. Rev. C 97.065503



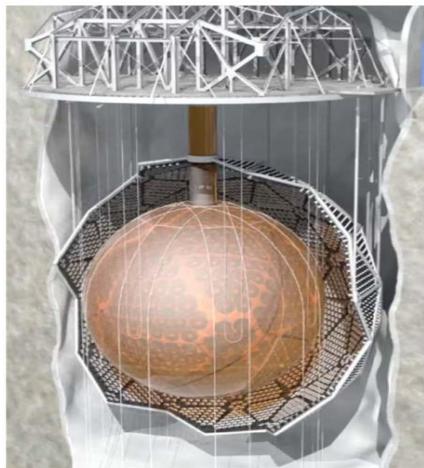
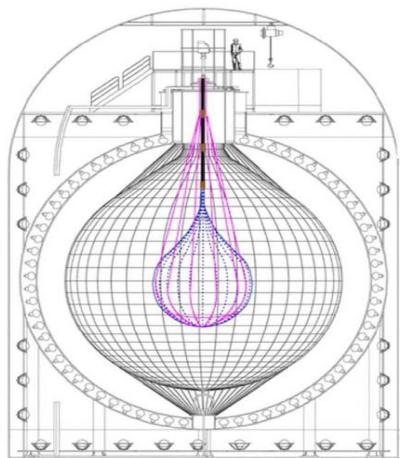
-  $g_A = g_A^{\text{free}} = -1.2723$

- Band is the envelope of NME:

- EDF: T.R. Rodríguez and G. Martínez-Pinedo, PRL 105, 252503 (2010)
- ISM: J. Menéndez et al., Nucl Phys A 818, 139 (2009)
- IBM-2: J. Barea, J. Kotila, and F. Iachello, PRC 91, 034304 (2015)
- QRPA: F. Šimkovic et al., PRC 87 045501 (2013)
- SkyrmeQRPA: M.T. Mustonen and J. Engel PRC 87 064302 (2013)

# $^{136}\text{Xe}$ or $^{130}\text{Te}$

## Present



### KamLAND-Zen

**KLZ-800 (2020):**  
 $> 8 \times 10^{25} \text{ yrs}$

**KLZ-800 (5 yrs):**  
 $> 5 \times 10^{26} \text{ yrs}$

**KamLAND2-Zen:**  
 $> 2 \times 10^{27} \text{ yrs}$

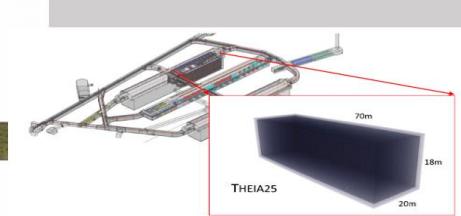
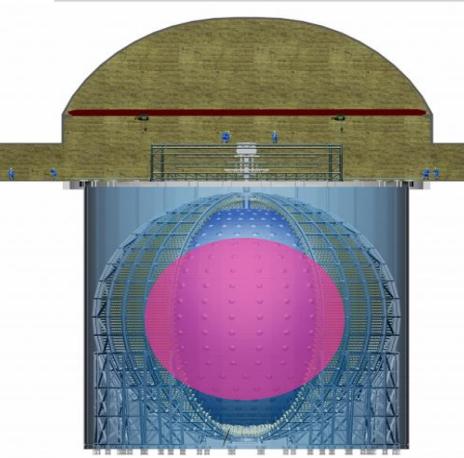
### SNO+

**Commissioning**  
(47% full@2020.04)

**0.5% loading (3 yrs):**  
 $> 2 \times 10^{26} \text{ yrs}$

**2.5% loading (4 yrs):**  
 $> 1 \times 10^{27} \text{ yrs}$

## Future



### JUNO- $\beta\beta$

**Chin. Phys. C 41 (2017) 053001**

**50 tons  $^{136}\text{Xe}$  (5 yrs):**  
 $> 1.8 \times 10^{28} \text{ yrs}$

**JUNO can hold**  
**>100 tons  $^{130}\text{Te}$**

### THEIA

**(THEIA-25: 25 kton)**  
**(THEIA-100: 100 kton)**

**Eur. Phys. J. C (2020) 80:416**

**49.5 tons  $^{136}\text{Xe}$  (10 yrs):**  
 $> 2.0 \times 10^{28} \text{ yrs}$

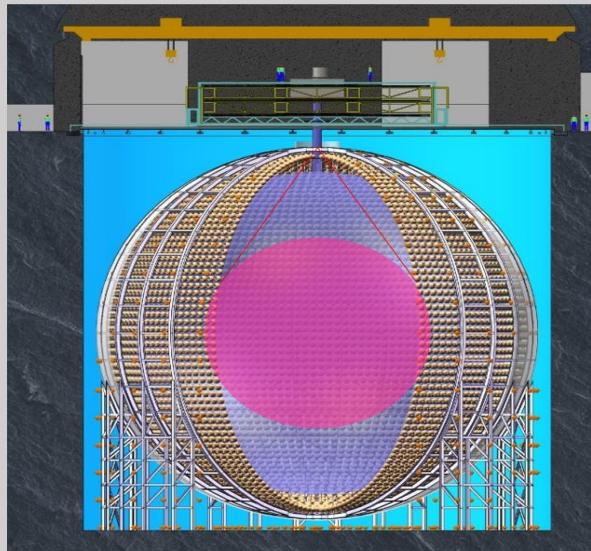
**~31.4 tons  $^{130}\text{Te}$  (10 yrs):**  
 $> 1.1 \times 10^{28} \text{ yrs}$

# JUNO- $\beta\beta$

## Future prospect of JUNO

After the completion of the primary physics goals, JUNO can be upgraded by loading  $^{136}\text{Xe}$  or  $^{130}\text{Te}$  into LS, for searching for  $0\nu\beta\beta$  ( $\sim 2030$ )

The most sensitive to probe the Majorana nature of neutrinos, aiming at a sensitivity level of  $|m_{\beta\beta}| \sim \text{meV}$



Chin. Phys. C 41 (2017) 053001

$\sim 10^2$  tons of  $0\nu\beta\beta$  target;  
best LS shielding;  
excellent energy resolution ( $3\%/\sqrt{E}$ );  
ultra-low background

	Isotope	mass (ton)	$\langle m_{\beta\beta} \rangle, \text{ meV}$
KamLAND-Zen	$^{136}\text{Xe}$	1	61-165
EXO	$^{136}\text{Xe}$	0.2	93-286
nEXO	$^{136}\text{Xe}$	5	7-22
GERDA	$^{76}\text{Ge}$	1	10-40
Majorana	$^{76}\text{Ge}$	1	10-40
SNO+	$^{130}\text{Te}$	8	19-46
JUNO- $\beta\beta$	$^{136}\text{Xe}$	50	4-12
	$^{130}\text{Te}$	100-200	2-6 ?

# 国内研究



第268期双清论坛  
“深地前沿物理研讨会”，2020.11

## 无中微子双β衰变 (NLDBD) - 国内研究

From Liangjian Wen

- 低温晶体量热器 ( $^{100}\text{Mo}$ )
- 高压气体TPC ( $^{82}\text{Se}$ ,  $^{136}\text{Xe}$ )
- 高纯锗 ( $^{76}\text{Ge}$ )
- 液体闪烁体 ( $^{130}\text{Te}$ )

CJPL

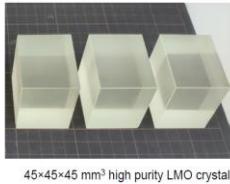
JUNO

国内实验结果  $T_{1/2}^{0\nu}$  (90% C.L.)

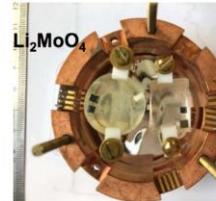
- PandaX-II (2019, CPC):  
 $> 2.1 \times 10^{23} \text{ yrs}$
- CDEX (2017, Sci.China) :  
 $> 6.4 \times 10^{22} \text{ yrs}$

### CUPID-CJPL

地面/地下晶体测试  
(2021-2022)  
6-12自然丰度晶体



CUPID-CJPL-Demo样机实验  
(2022-2024)  
10 kg, 36块富集晶体

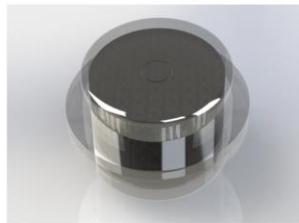


CUPID-CJPL-200  
(2024+)  
>1000块富集晶体

Sens:  $9 \times 10^{26} \text{ yrs} @ 5 \text{ yrs}$

### CDEX-300v

- BEGe和ICPC探测器自主研制
- 富集Ge探测器研制，首批2021底到CJPL



### PandaX-III

- 高压气氙TPC，原型探测器验证阶段
- 灵敏度分析:  $2.7 \times 10^{26} \text{ yrs}$  (90% CL, 140 kg\*5 yrs)

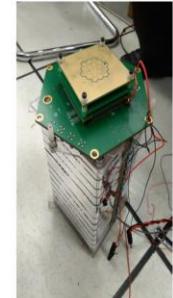
JHEP 06 (2021) 106

- PandaX-4T暗物质探测器也可寻找 $0\nu\beta\beta$ ，预期灵敏度  $10^{25} \text{ yrs}$ 水平

### NvDEx

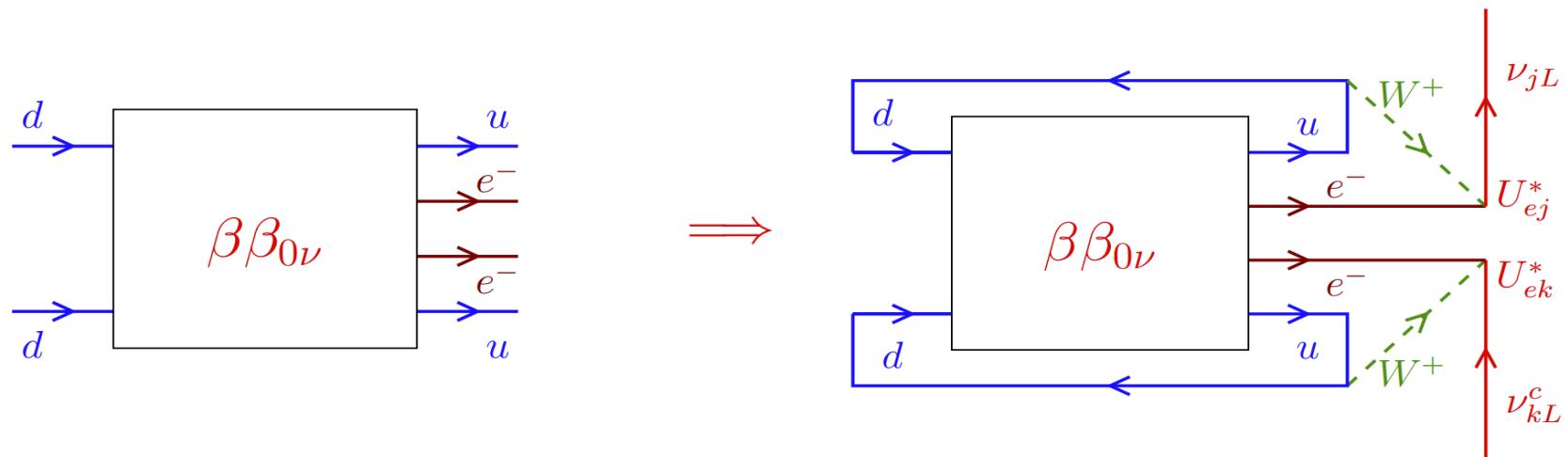
- 关键技术:  
Topmetal-S芯片
  - 目标: 30 e-
  - 实测:  $\sim 50$  e-

■ 100 kg样机 (2022)



# Schechter Valle theorem

- ▶  $|m_{\beta\beta}|$  can vanish because of unfortunate cancellations among the  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$  contributions or because neutrinos are Dirac particles.
- ▶ However,  $\beta\beta_{0\nu}$  decay can be generated by another BSM mechanism.
- ▶ In this case, Majorana masses are generated by radiative corrections:



[Schechter, Valle, PRD 25 (1982) 2951; Takasugi, PLB 149 (1984) 372]

- ▶ Majorana Mass Lagrangian:

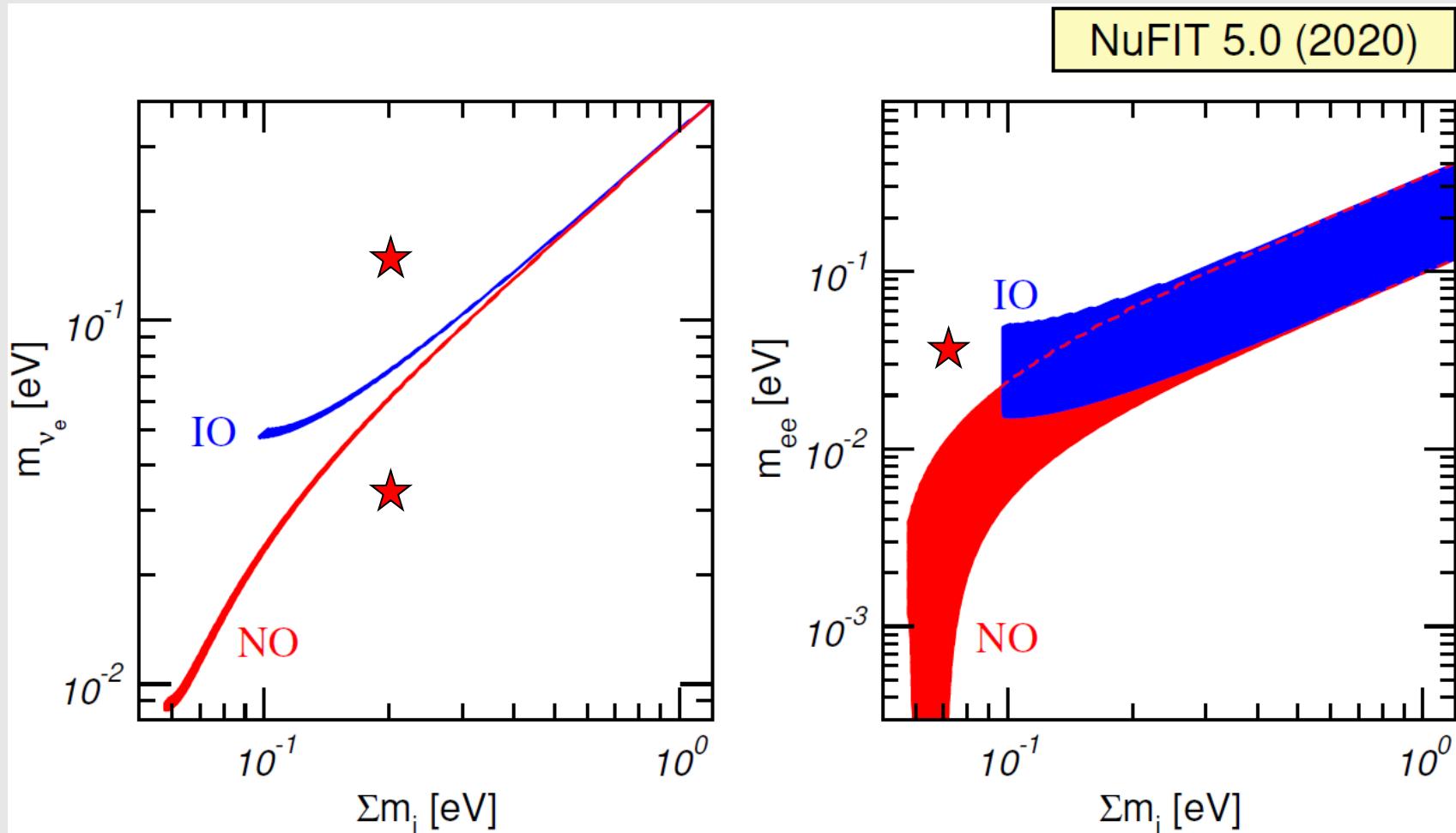
$$\mathcal{L}_{\text{mass}}^M = -\frac{1}{2} m_{\text{box}} \sum_{j,k} U_{ej}^* U_{ek}^* \bar{\nu}_{jL} \nu_{kL}^c + \text{H.c.}$$

- ▶ Very small four-loop diagram contribution:  $m_{\text{box}} \sim 10^{-24} \text{ eV}$

Liu, Zhang, Zhou 10<sup>-28</sup> eV (2016)

[Duerr, Lindner, Merle, arXiv:1105.0901]

# Mass probe correlation



- What is the interpretation if out of the standard region?

# Non-Standard Interpretations

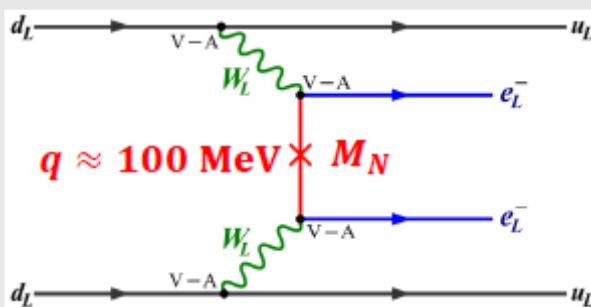
mechanism	physics parameter	current limit	test
light neutrino exchange	$ U_{ei}^2 m_i $	0.2 eV	oscillations, cosmology, neutrino mass
heavy neutrino exchange	$\left  \frac{S_{ei}^2}{M_i} \right $	$2 \times 10^{-8} \text{ GeV}^{-1}$	LFV, collider
heavy neutrino and RHC	$\left  \frac{V_{ei}^2}{M_i M_{W_R}^4} \right $	$4 \times 10^{-16} \text{ GeV}^{-5}$	flavor, collider
Higgs triplet and RHC	$\left  \frac{(M_R)_{ee}}{m_{\Delta_R}^2 M_{W_R}^4} \right $	$10^{-15} \text{ GeV}^{-1}$	flavor, collider $e^-$ distribution
$\lambda$ -mechanism with RHC	$\left  \frac{U_{ei} S_{ei}}{M_{W_R}^2} \right $	$1.4 \times 10^{-10} \text{ GeV}^{-2}$	flavor, collider, $e^-$ distribution
$\eta$ -mechanism with RHC	$\tan \zeta \left  U_{ei} \tilde{S}_{ei} \right $	$6 \times 10^{-9}$	flavor, collider, $e^-$ distribution
short-range $\mathcal{R}$	$\frac{ \lambda'_{111} }{\Lambda_{\text{SUSY}}^5}$ $\Lambda_{\text{SUSY}} = f(m_{\tilde{g}}, m_{\tilde{u}_L}, m_{\tilde{d}_R}, m_{\chi_i})$	$7 \times 10^{-18} \text{ GeV}^{-5}$	collider, flavor
long-range $\mathcal{R}$	$\left  \sin 2\theta^b \lambda'_{131} \lambda'_{113} \left( \frac{1}{m_{\tilde{b}_1}^2} - \frac{1}{m_{\tilde{b}_2}^2} \right) \right $ $\sim \frac{G_F}{q} m_b \frac{ \lambda'_{131} \lambda'_{113} }{\Lambda_{\text{SUSY}}^3}$	$2 \times 10^{-13} \text{ GeV}^{-2}$ $1 \times 10^{-14} \text{ GeV}^{-3}$	flavor, collider
Majorons	$ \langle g_\chi \rangle  \text{ or }  \langle g_\chi \rangle ^2$	$10^{-4} \dots 1$	spectrum, cosmology

From Rodejohann

# Heavy $\nu$ mechanism: different probes

- with mass larger than  $\approx 100$  MeV

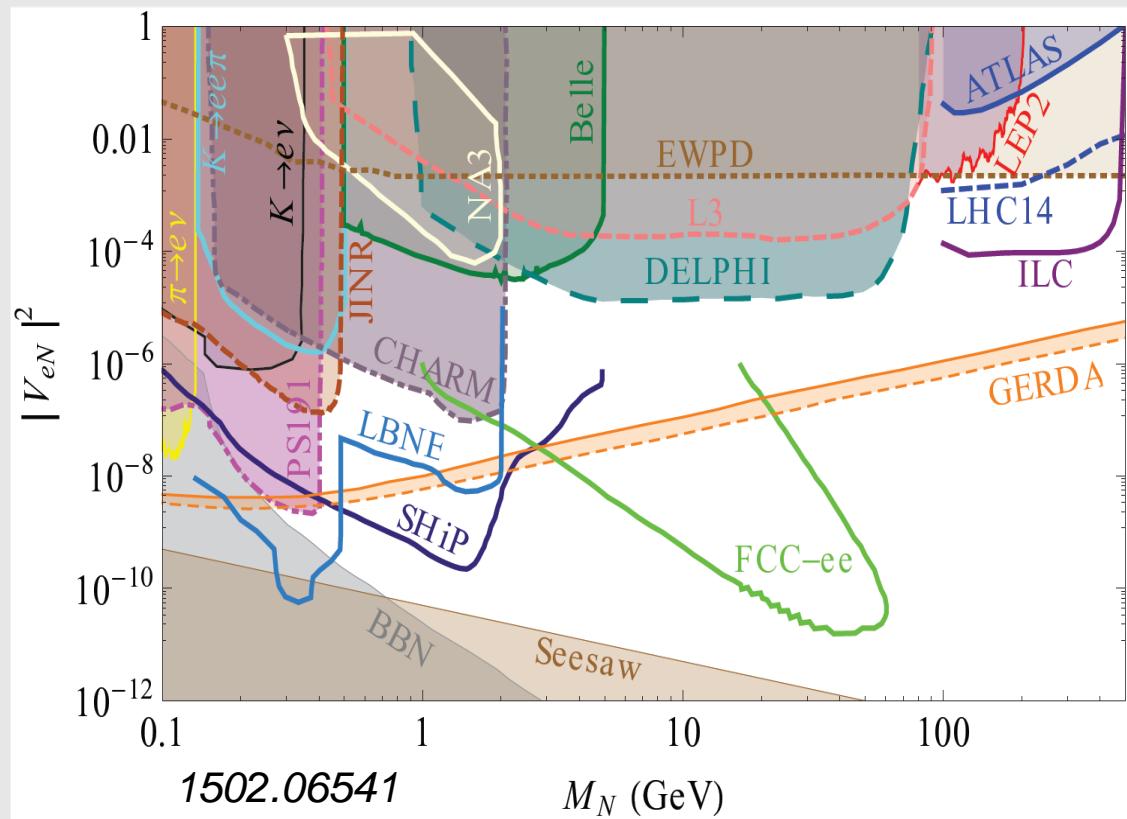
$$\mathcal{A}_{\mu\nu}^{lep} = \frac{1}{4} \sum_{i=1}^3 \mathbf{V}_{ei}^2 \gamma_\mu (1 + \gamma_5) \frac{\cancel{q} + M_{N_i}}{q^2 - M_{N_i}^2} \gamma_\nu (1 - \gamma_5) \approx \frac{-\gamma_\mu (1 + \gamma_5) \gamma_\nu}{4} \sum_{i=1}^3 \frac{\mathbf{V}_{ei}^2}{M_{N_i}} \rightarrow \left( \frac{1}{M_N} \right)_{\beta\beta}$$



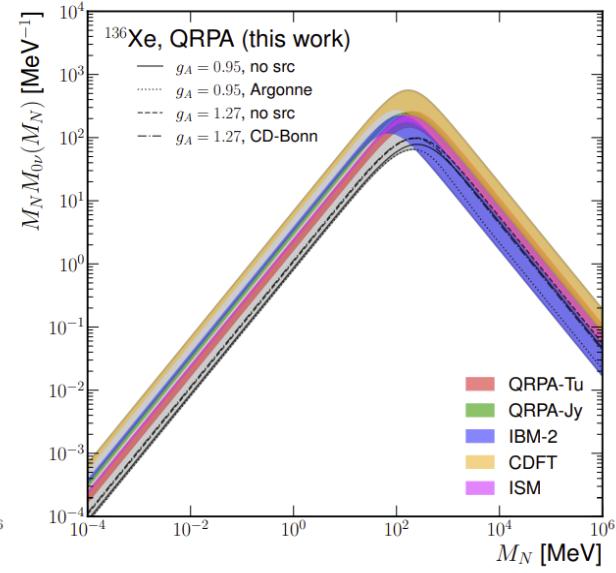
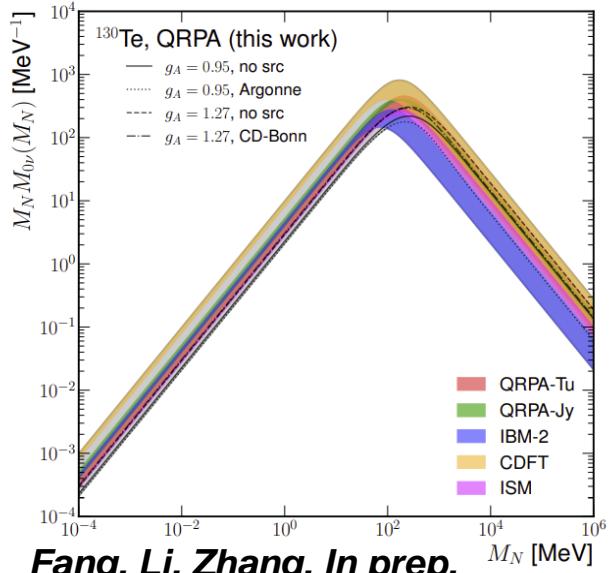
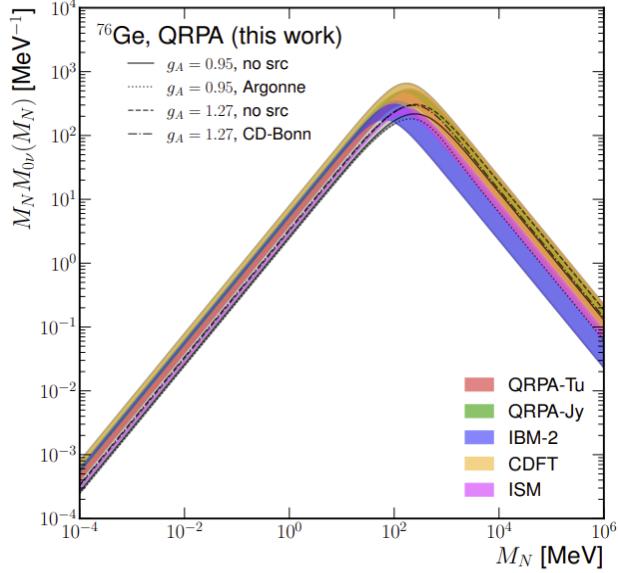
**Nuclear matrix element exchange:  
Short range operators**

**Can also be probed in other experiments.**

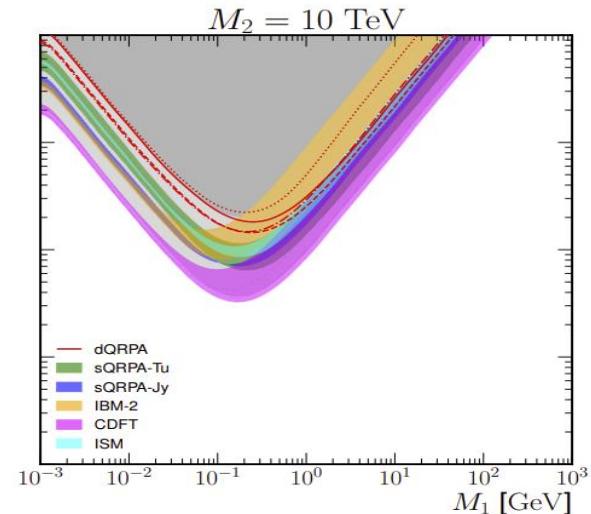
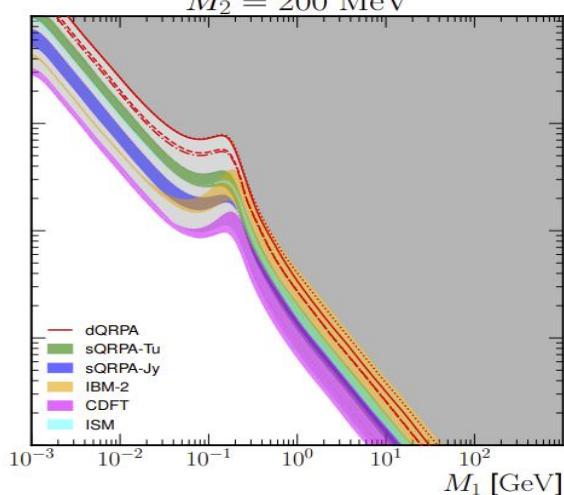
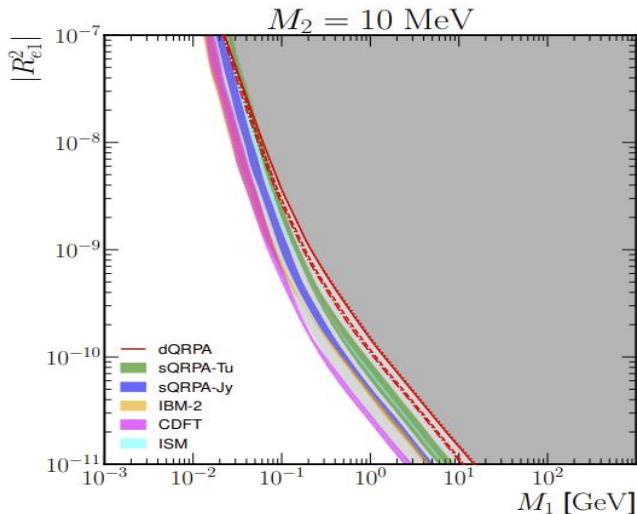
**Seesaw relation gives another constraint.**



# Heavy v mechanism: current limits



Fang, Li, Zhang, In prep.



# LNV process beyond $0\nu\beta\beta$ ?

*Other process may test the Majorana-Dirac properties:*

(1) *LNV rare decay of B and D mesons*

(2) *Neutrino-antineutrino oscillation process (Pontecorvo's initial dream)*

(3) *LNV same-sign di-lepton signal at collider*

(4) *Cosmic neutrino background detection*

(5) *Neutrino electro-magnetic properties*

(6) *Atomic process*

# Ultra-relativistic nus always with $(m/E)^2$

- $Z$ -decay:

$$\frac{\Gamma(Z \rightarrow \nu_D \bar{\nu}_D)}{\Gamma(Z \rightarrow \nu_M \bar{\nu}_M)} \simeq 1 - 3 \frac{m_\nu^2}{m_Z^2}$$

- Meson decays

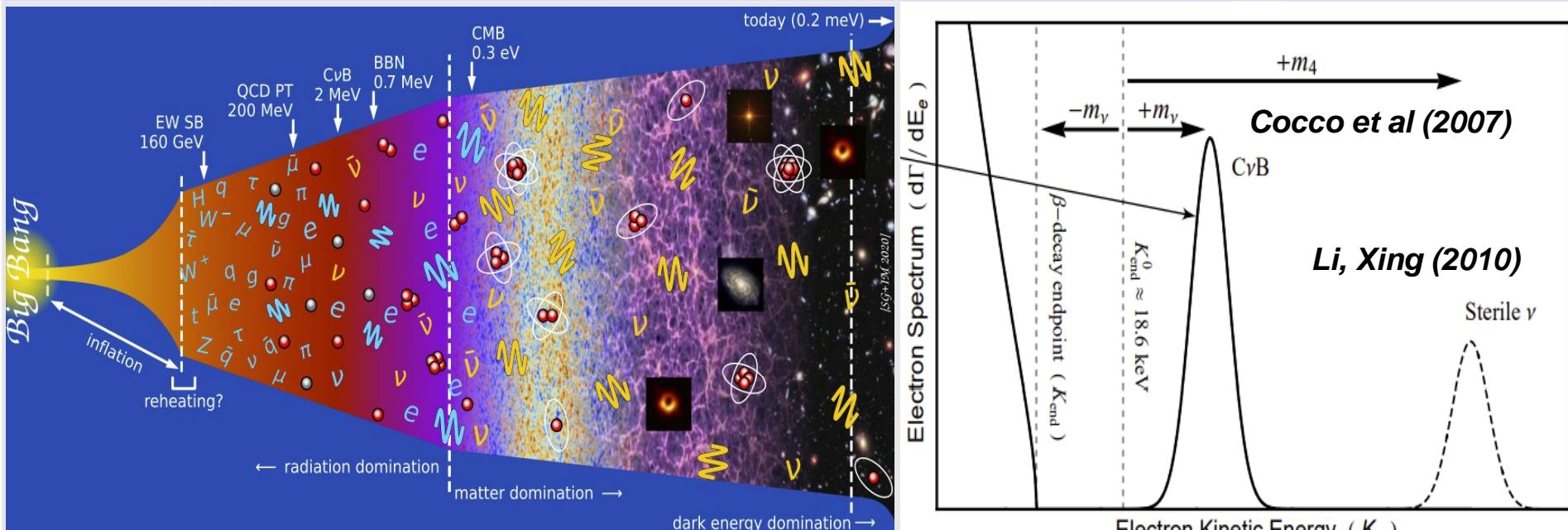
$$\text{BR}(K^+ \rightarrow \pi^- e^+ \mu^+) \propto |m_{e\mu}|^2 = \left| \sum U_{ei} U_{\mu i} m_i \right|^2 \sim 10^{-30} \left( \frac{|m_{e\mu}|}{\text{eV}} \right)^2$$

- neutrino-antineutrino oscillations

$$P(\nu_\alpha \rightarrow \bar{\nu}_\beta) = \frac{1}{E^2} \left| \sum_{i,j} U_{\alpha j} U_{\beta j} U_{\alpha i}^* U_{\beta i}^* m_i m_j e^{-i(E_j - E_i)t} \right|$$

From W. Rodejohann

# Detection of CNB



How to directly detect non-relativistic neutrinos?

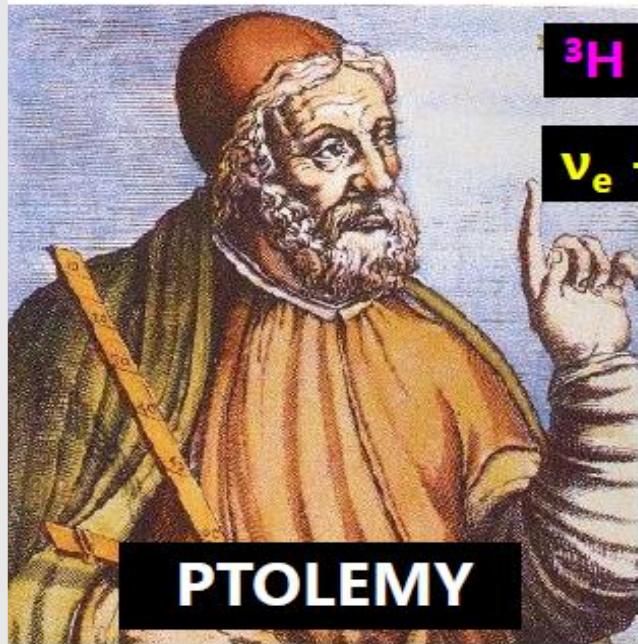
Remember that  
 $\langle E_\nu \rangle \simeq \mathcal{O}(10^{-4}) \text{ eV today}$

a process without energy threshold is necessary

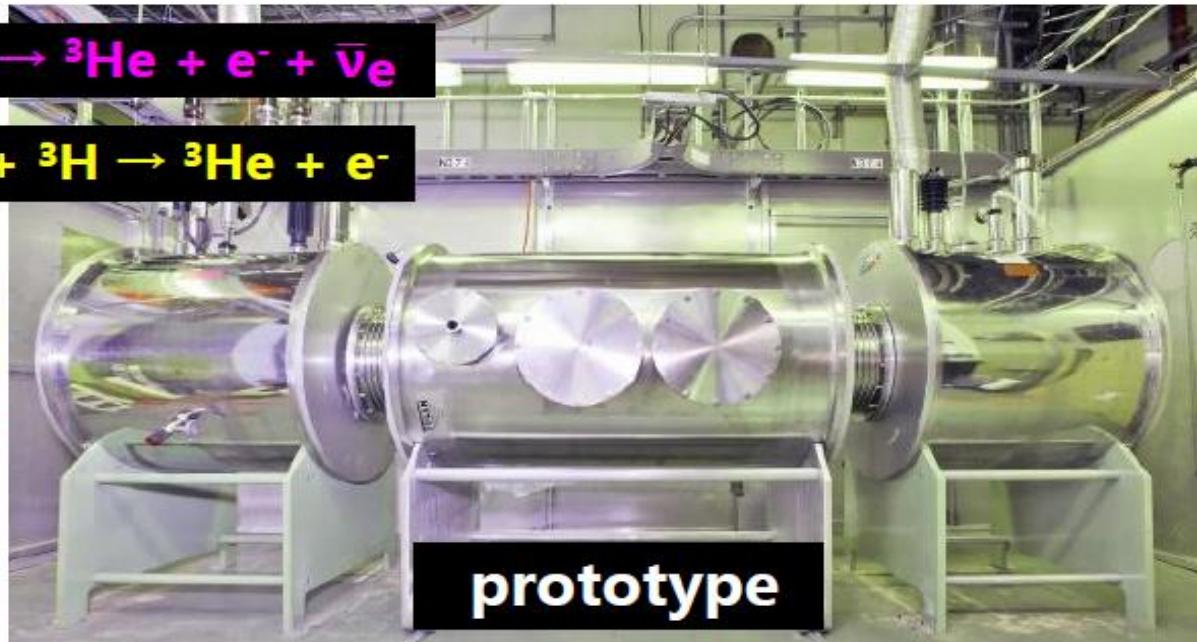
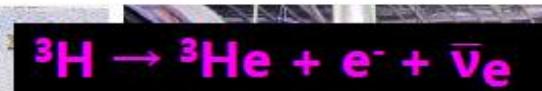
[Weinberg, 1962]: neutrino capture in  $\beta$ -decaying nuclei  $\nu + n \rightarrow p + e^-$

Main background:  $\beta$  decay  $n \rightarrow p + e^- + \bar{\nu}!$

# PTOLEMY



PTOLEMY



prototype

- ★ first experiment
- ★ 100 g of tritium
- ★ graphene target
- ★ planned energy resolution 0.15 eV

## ★ CvB capture rate

$$\Gamma_{C\nu B}^D \sim 4 \text{ yr}^{-1}$$

$$\Gamma_{C\nu B}^M \sim 8 \text{ yr}^{-1}$$

D = Dirac

M = Majorana

PTOLEMY  
Princeton Tritium  
Observatory for  
Light, Early-  
Universe, Massive-  
Neutrino Yield  
(Betts et al,  
arXiv:1307.4738)

# PTOLEMY

## PTOLEMY

[PTOLEMY Lol, arxiv:1808.01892]

Pontecorvo Tritium Observatory for Light, Early-universe, Massive-neutrino Yield (PTOLEMY)

expected resolution  $\Delta \simeq 0.1 \text{ eV}?$   
 $0.05 \text{ eV}?$

can probe  $m_\nu \simeq 1.4\Delta \simeq 0.1 \text{ eV}$

built mainly for CNB

$M_T = 100 \text{ g of atomic } {}^3\text{H}$

enhancement from  
 $\nu$  clustering in the galaxy?

enhancement from  
other effects?

$$\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 [\textcolor{red}{n_i}(\nu_{h_R}) + \textcolor{red}{n_i}(\nu_{h_L})] N_T \bar{\sigma}$$

$\sim \mathcal{O}(10) \text{ yr}^{-1}$

$N_T$  number of  ${}^3\text{H}$  nuclei in a sample of mass  $M_T$

$\bar{\sigma} \simeq 3.834 \times 10^{-45} \text{ cm}^2$

$n_i$  number density of neutrino  $i$

➤ **Clustering effect:** [de Salas+, 2017] (without clustering)  
and

➤ **New physics effect:**  
Chen & Trautner (2015)  
Zhang & Zhou (2016)

# What if $0\nu2\beta$ is observed?

Neutrinoless double beta decay: **neutrino nature and masses!**

- After the discovery of  $0\nu2\beta$   
**Distinguishing Mechanisms:**
- Comparison of different mass probes: agreement or not ?
- Other contributions: light/heavy sterile neutrinos, and more ...
- Decay products  
individual electron energies, angular correlations, spectrum
- Nuclear aspects  
multiple isotopes, decay to excited states,  $0\nu\text{ECEC}$ ,

*Thank you !*

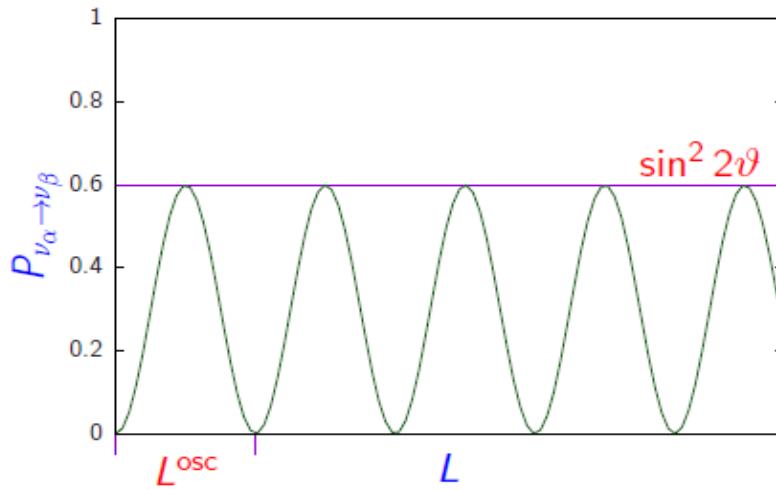


# Discussion

- a) Experimental efforts beyond  $10^{28}$  years  
isotope purchase, background etc.**
- b) Nuclear matrix elements**
- c) Beyond  $0\nu2\beta$**
- d) If observed, what mechanism?**

# Oscillation Types

2ν-mixing:  $P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\vartheta \sin^2\left(\frac{\Delta m^2 L}{4E}\right) \implies L^{\text{osc}} = \frac{4\pi E}{\Delta m^2}$



Tiny neutrino masses lead to observable macroscopic oscillation distances!

$$\frac{L}{E} \lesssim \begin{cases} 10 \frac{\text{m}}{\text{MeV}} \left( \frac{\text{km}}{\text{GeV}} \right) & \text{short-baseline experiments} \\ 10^3 \frac{\text{m}}{\text{MeV}} \left( \frac{\text{km}}{\text{GeV}} \right) & \text{long-baseline experiments} \\ 10^4 \frac{\text{km}}{\text{GeV}} & \text{atmospheric neutrino experiments} \\ 10^{11} \frac{\text{m}}{\text{MeV}} & \text{solar neutrino experiments} \end{cases} \quad \begin{aligned} \Delta m^2 &\gtrsim 10^{-1} \text{ eV}^2 \\ \Delta m^2 &\gtrsim 10^{-3} \text{ eV}^2 \\ \Delta m^2 &\gtrsim 10^{-4} \text{ eV}^2 \\ \Delta m^2 &\gtrsim 10^{-11} \text{ eV}^2 \end{aligned}$$

Neutrino oscillations are the optimal tool to reveal tiny neutrino masses!

# Categories of neutrino oscillations-I

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

Solar  $\nu_e \rightarrow \nu_\mu, \nu_\tau$

VLBL Reactor  $\bar{\nu}_e$  disappearance

$$\left( \begin{array}{l} \text{SNO, Borexino} \\ \text{Super-Kamiokande} \\ \text{GALLEX/GNO, SAGE} \\ \text{Homestake, Kamiokande} \\ \text{(KamLAND)} \end{array} \right) \rightarrow \left\{ \begin{array}{l} \Delta m_S^2 = \Delta m_{21}^2 \simeq 7.4 \times 10^{-5} \text{ eV}^2 \\ \sin^2 \vartheta_S = \sin^2 \vartheta_{12} \simeq 0.30 \end{array} \right.$$

# Categories of neutrino oscillations-II

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

Atmospheric

$$\nu_\mu \rightarrow \nu_\tau$$

Super-Kamiokande  
Kamiokande, IMB  
MACRO, Soudan-2  
IceCube, ANTARES

LBL Accelerator  
 $\nu_\mu$  disappearance

K2K, MINOS  
T2K, NO $\nu$ A

LBL Accelerator  
 $\nu_\mu \rightarrow \nu_\tau$

(OPERA)

$$\left\{ \begin{array}{l} \Delta m_A^2 \simeq |\Delta m_{31}^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2 \\ \sin^2 \vartheta_A = \sin^2 \vartheta_{23} \simeq 0.50 \end{array} \right.$$

# Categories of neutrino oscillations-III

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

LBL Accelerator

$$\nu_\mu \rightarrow \nu_e$$

(T2K, MINOS, NO $\nu$ A)

LBL Reactor

$$\bar{\nu}_e \text{ disappearance}$$

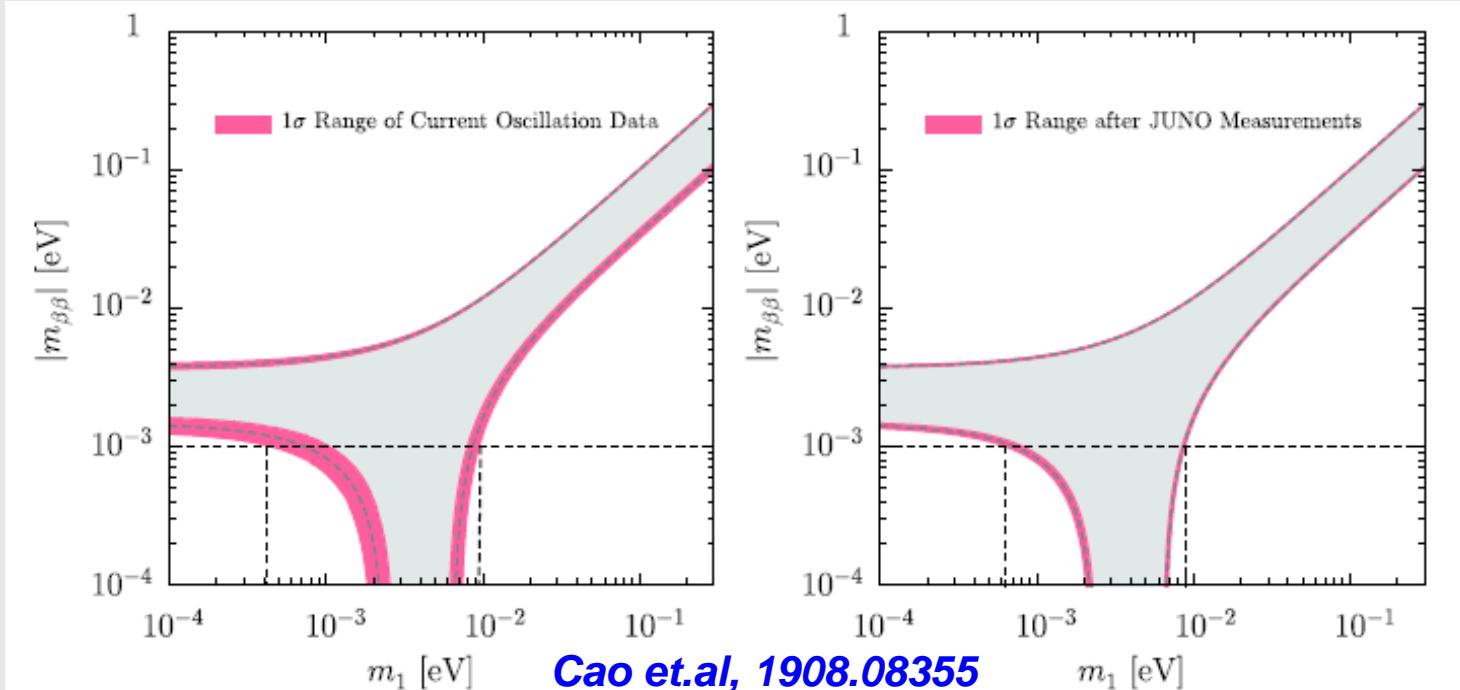
(Daya Bay, RENO  
Double Chooz)

$$\left. \begin{array}{c} \\ \\ \end{array} \right\} \rightarrow \left. \begin{array}{c} \Delta m_A^2 \simeq |\Delta m_{31}^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2 \\ \sin^2 \vartheta_{13} \simeq 0.022 \end{array} \right\}$$

# Role of Precision Measurement (JUNO)

JUNO Physics Book: 1507.056131

	Nominal	+ B2B (1%)	+ BG	+ EL (1%)	+ NL (1%)
$\sin^2 \theta_{12}$	0.54%	0.60%	0.62%	0.64%	0.67%
$\Delta m_{21}^2$	0.24%	0.27%	0.29%	0.44%	0.59%
$ \Delta m_{ee}^2 $	0.27%	0.31%	0.31%	0.35%	0.44%



- Precision measurement can eliminate (almost) all the uncertainties from oscillation parameters

# Global picture

with SK atmospheric data

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 7.1$ )	
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$
$\theta_{12}/^\circ$	$33.44^{+0.77}_{-0.74}$	$31.27 \rightarrow 35.86$	$33.45^{+0.78}_{-0.75}$	$31.27 \rightarrow 35.87$
$\sin^2 \theta_{23}$	$0.573^{+0.016}_{-0.020}$	$0.415 \rightarrow 0.616$	$0.575^{+0.016}_{-0.019}$	$0.419 \rightarrow 0.617$
$\theta_{23}/^\circ$	$49.2^{+0.9}_{-1.2}$	$40.1 \rightarrow 51.7$	$49.3^{+0.9}_{-1.1}$	$40.3 \rightarrow 51.8$
$\sin^2 \theta_{13}$	$0.02219^{+0.00062}_{-0.00063}$	$0.02032 \rightarrow 0.02410$	$0.02238^{+0.00063}_{-0.00062}$	$0.02052 \rightarrow 0.02428$
$\theta_{13}/^\circ$	$8.57^{+0.12}_{-0.12}$	$8.20 \rightarrow 8.93$	$8.60^{+0.12}_{-0.12}$	$8.24 \rightarrow 8.96$
$\delta_{\text{CP}}/^\circ$	$197^{+27}_{-24}$	$120 \rightarrow 369$	$282^{+26}_{-30}$	$193 \rightarrow 352$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.517^{+0.026}_{-0.028}$	$+2.435 \rightarrow +2.598$	$-2.498^{+0.028}_{-0.028}$	$-2.581 \rightarrow -2.414$

## Unknowns

- Mass ordering, CP violation, More new physics
- Dirac or Majorana Nature, Absolute neutrino masses, .....

$\text{Br}(K^+ \rightarrow \pi^- e^+ e^+) < 6.4 \times 10^{-10}$ ,  $\text{Br}(D^+ \rightarrow \pi^- e^+ e^+) < 1.1 \times 10^{-06}$ ;

$\text{Br}(K^+ \rightarrow \pi^- e^+ \mu^+) < 5.0 \times 10^{-10}$ ,  $\text{Br}(D^+ \rightarrow \pi^- e^+ \mu^+) < 2.0 \times 10^{-06}$ ;

$\text{Br}(K^+ \rightarrow \pi^- \mu^+ \mu^+) < 8.6 \times 10^{-11}$ ,  $\text{Br}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 \times 10^{-08}$ ;

$\text{Br}(B^+ \rightarrow K^- e^+ e^+) < 3.0 \times 10^{-08}$ ,  $\text{Br}(B^+ \rightarrow \pi^- e^+ e^+) < 2.3 \times 10^{-08}$ ;

$\text{Br}(B^+ \rightarrow K^- e^+ \mu^+) < 1.6 \times 10^{-07}$ ,  $\text{Br}(B^+ \rightarrow \pi^- e^+ \mu^+) < 1.5 \times 10^{-07}$ ;

$\text{Br}(B^+ \rightarrow K^- \mu^+ \mu^+) < 4.1 \times 10^{-08}$ ,  $\text{Br}(B^+ \rightarrow \pi^- \mu^+ \mu^+) < 4.0 \times 10^{-09}$ ;

$\text{Br}(\Xi^- \rightarrow p \mu^- \mu^-) < 4 \times 10^{-08}$ ,  $\text{Br}(\Lambda_c^+ \rightarrow \Sigma^- \mu^+ \mu^+) < 7.0 \times 10^{-04}$ .

# Why different?

**Conservation of Helicity:**  $[\hat{H}, \hat{h}] = 0$  for free particles after decoupling

$$\hat{H} \equiv \gamma^0 m + \gamma^0 \vec{\gamma} \cdot \vec{p} = \begin{pmatrix} m & \vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & -m \end{pmatrix} \quad \hat{h} \equiv \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} = \frac{1}{|\vec{p}|} \begin{pmatrix} \vec{\sigma} \cdot \vec{p} & 0 \\ 0 & \vec{\sigma} \cdot \vec{p} \end{pmatrix}$$

In the rest frame of CvB, the background neutrinos are isotropic

Long et al., 14;  
Zhang, Zhou, 16

Decoupling

$$n(\nu_L) = n(z), \\ n(\bar{\nu}_R) = n(z),$$

Nowadays

$$n(\nu_{hL}) = n_0, \\ n(\bar{\nu}_{hR}) = n_0,$$

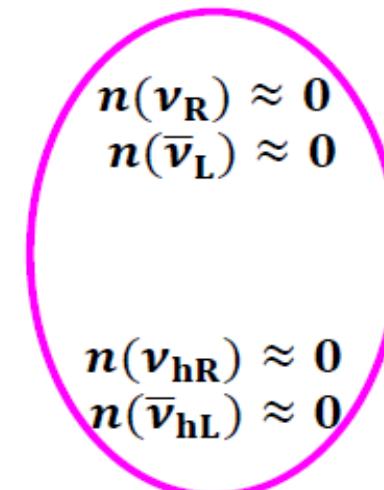
Total Rates

$$\Gamma_{\text{CvB}}^D = \bar{\sigma} n_0 N_T$$

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Dirac Neutrinos

Majorana Neutrinos



$$n(\nu_L) = n(z) \\ n(\nu_R) = n(z)$$

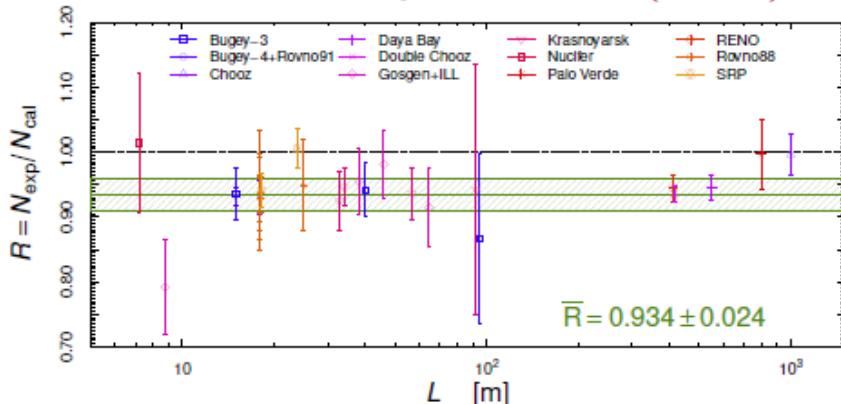
$$n(\nu_{hL}) = n_0 \\ n(\nu_{hR}) = n_0$$

$$\bar{\sigma} \approx 3.8 \times 10^{-45} \text{ cm}^2$$

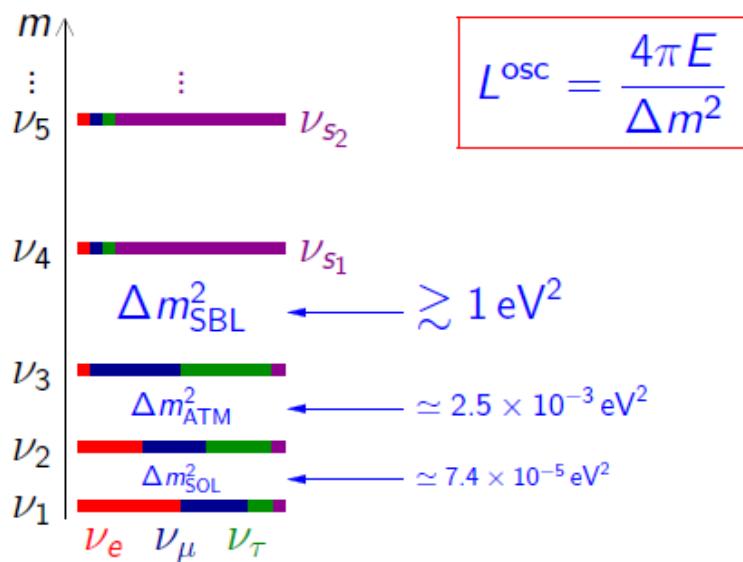
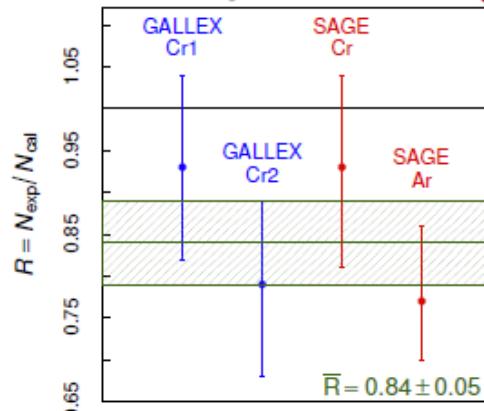
$$\Gamma_{\text{CvB}}^M = 2\bar{\sigma} n_0 N_T$$

# Short baseline oscillations: Anomalies?

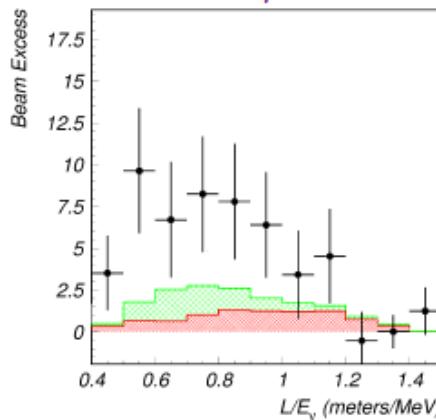
Reactor Anomaly:  $\bar{\nu}_e \rightarrow \bar{\nu}_x (\sim 3\sigma)$



Gallium Anomaly:  $\nu_e \rightarrow \nu_x (\sim 3\sigma)$



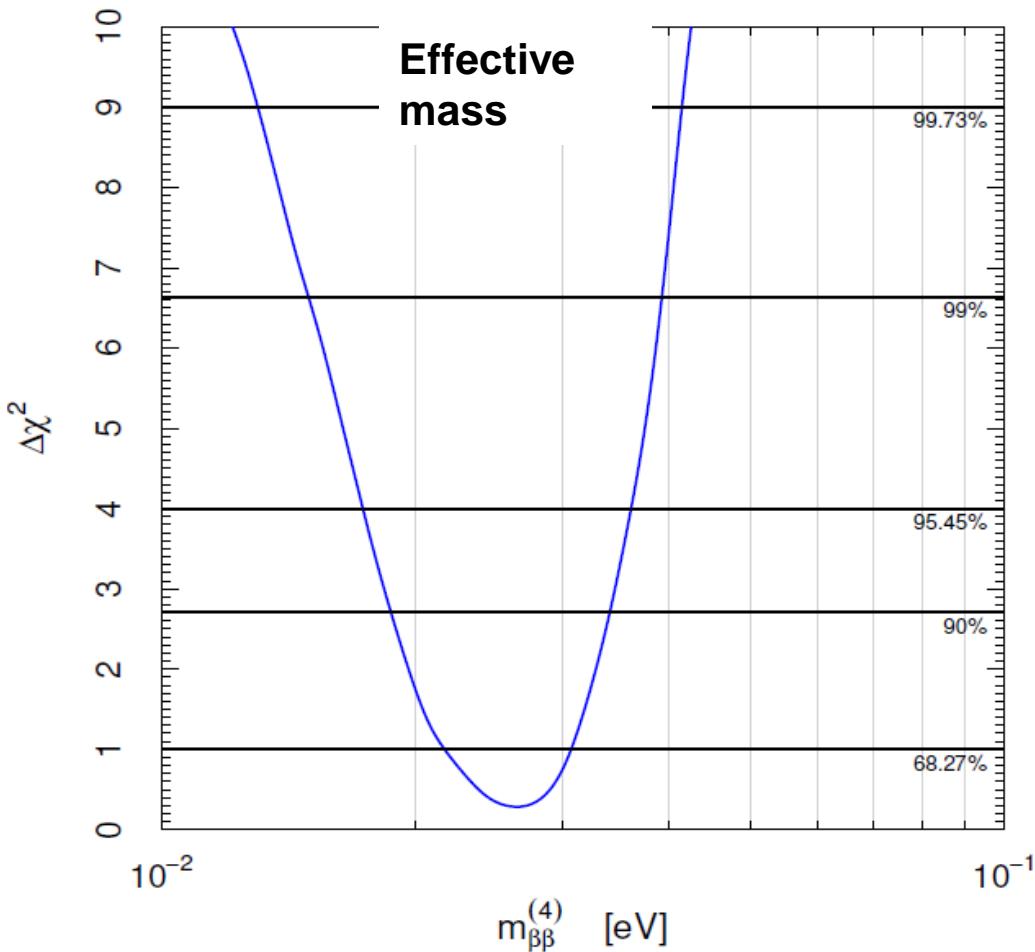
LSND Anomaly:  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e (\sim 4\sigma)$



Minimal perturbation of 3 $\nu$  mixing: effective 3+1 with  $|U_{e4}|, |U_{\mu 4}|, |U_{\tau 4}| \ll 1$

# 0v2 $\beta$ -decay: the effective mass

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$m_1 \ll m_4$$

$$m_{\beta\beta}^{(4)} \simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

warning:  
possible cancellation  
with  $m_{\beta\beta}^{(3\nu)}$

[Barry, Rodejohann, Zhang, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

[CG, Zavanin, JHEP 07 (2015) 171]

