

Searching for the critical point of finite density QCD from the heavy quark region

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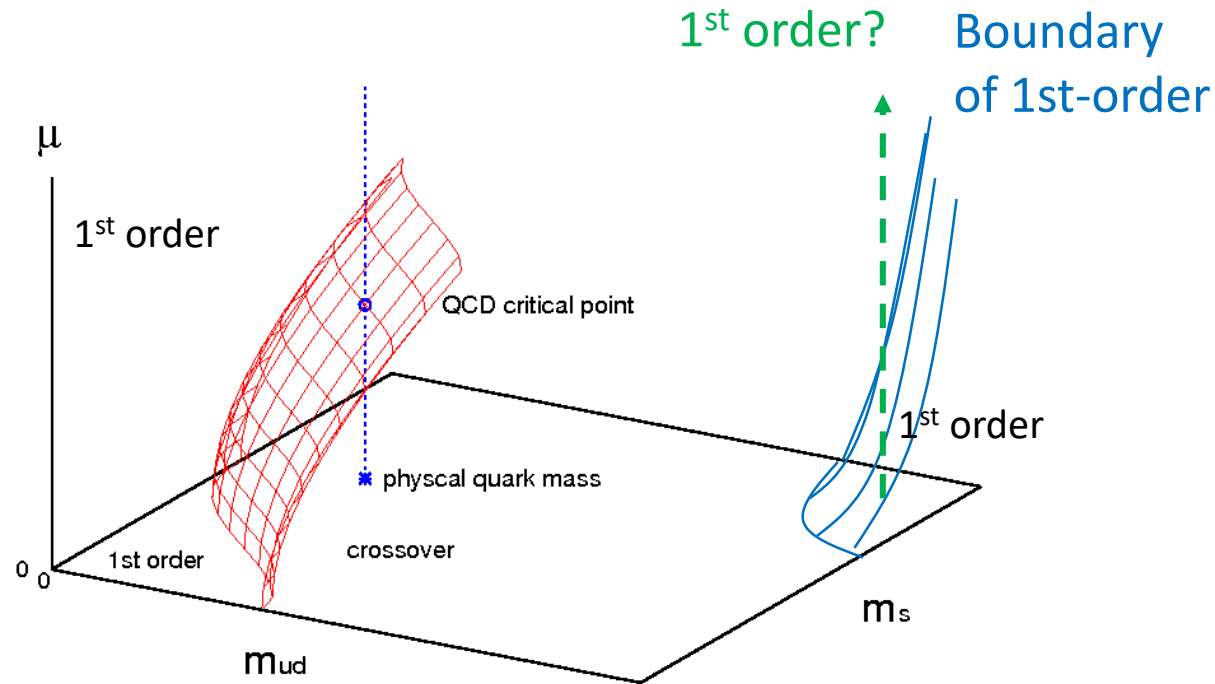


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Huizhou, 2025/10/13–14

QCD phase diagram with varying quark masses



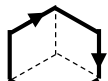
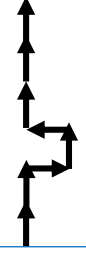
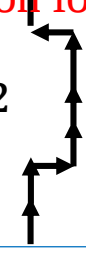
- We expect that the first order phase transition in the light mass region expands with increasing density.
- The first order phase transition region may expand into the heavy quark region.
- We discuss the appearance of first order phase transitions in the heavy and dense region.

Effective theory by hopping parameter expansion

- Expectation value of physical quantity O

$$\langle O \rangle(\beta, \kappa) = \frac{1}{Z} \int DU O[U] (\det M(\kappa))^{N_f} e^{-S_g} = \frac{1}{Z} \int DU O[U] e^{-S_{\text{eff}}}$$

- We expand the quark determinant in terms of the hopping parameter κ .

$$\begin{aligned} \ln(\det M(\kappa)) = & 288 N_{\text{site}} \kappa^4 P + [768 N_{\text{site}} \kappa^6 (3 \text{  + \text{  + 6 \text{ )] + \dots \\ & \text{plaquette} \qquad \qquad \qquad \text{6-step Wilson loop} \\ & + e^{\frac{\mu}{T}} 6 \times 2^{N_t} N_s^3 [\kappa^{N_t} \Omega + 6 N_t \kappa^{N_t+2} \text{  + 6 N_t \kappa^{N_t+2} \text{  + 3 N_t \kappa^{N_t+2} \text{ }] + \dots \\ & \text{Polyakov loop} \qquad \qquad \qquad \text{Next to leading terms} \qquad \text{(for } N_t=6\text{)} \\ & + e^{-\frac{\mu}{T}} 6 \times 2^{N_t} N_s^3 [\kappa^{N_t} \Omega^* + \dots] \\ & \text{Leading term} \end{aligned}$$

$$S_{\text{eff}} = -6 N_{\text{site}} \beta P - N_f \ln \det M(\kappa)$$

$$\ln \det M(\kappa) = N_{\text{site}} \sum_{n=1}^{\infty} \left(W(n) + \sum_{m=1}^{\infty} \underline{e^{m\mu/T}} L_m^+(N_t, n) + \sum_{m=1}^{\infty} \underline{e^{-m\mu/T}} L_m^-(N_t, n) \right) \kappa^n$$

- $L_m^{\pm}(N_t, n)$: The terms that wind around the periodic boundary in the time direction are important.

Effective theory based on the hopping parameter expansion

- Higher order expansion terms $L(N_t, n)$ are very strongly correlated with the leading term: Polyakov loop Ω .

$$L(N_t, n) = \sum_m L_m^+(N_t, n) + L_m^-(N_t, n)$$

$$L(N_t, n) \approx L^0(N_t, n) c_n \text{Re}\Omega, \quad \text{Arg } L_1^+(N_t, n) \approx \text{Arg } \Omega$$

(PTEP 2022, 033B05)

(arXiv:2311.11508)

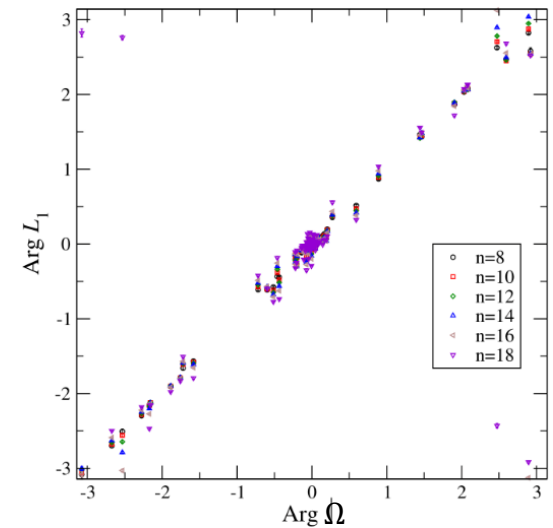
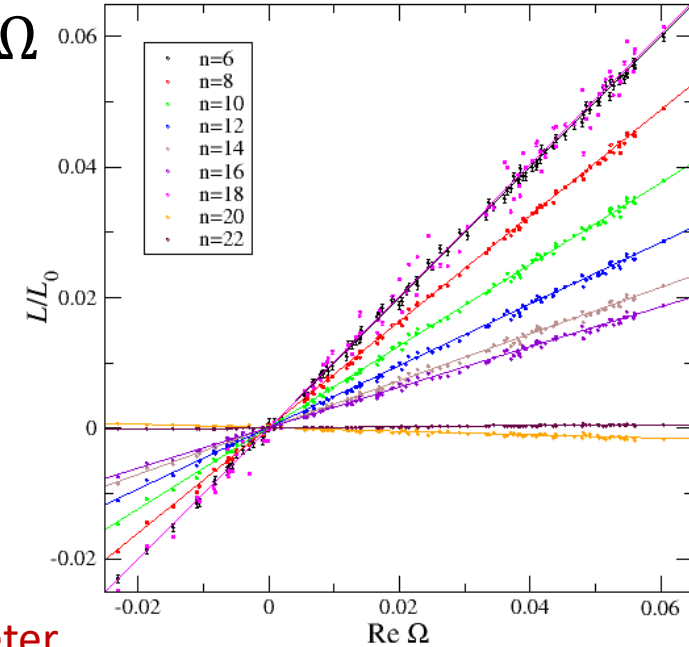
- $L_1(N_t, n)$ is dominant: $L_1(N_t, n) \approx L(N_t, n)$.
- Effective action in the heavy quark region

$$S_{\text{eff}} = -6N_{\text{site}}\beta^*P - \frac{N_s^3\lambda}{2} \left(e^{\frac{\mu}{T}}\Omega + e^{-\frac{\mu}{T}}\Omega^* \right)$$

$$\lambda = N_f N_t \sum_{n=N_t}^{n_{\text{max}}} L^0(N_t, n) c_n \kappa^n \equiv 12N_f 2^{N_t} \kappa_{\text{eff}}^{N_t}$$

Effective parameter

- Even if the number of expansion terms increases significantly, the effects of higher-order terms can be incorporated.
- However, as μ increases, the effect of $e^{m\frac{\mu}{T}}L_m(N_t, n)$ ($m \geq 2$) terms increases relatively. The $e^{m\frac{\mu}{T}}$ term is missing from S_{eff} .



Heavy quark and high-density effective theory

$\det M$ is represented by a Polyakov loop (without spatial links) for heavy quarks

$\leftrightarrow$ the effect of spatial link terms can be included by using κ_{eff} .

Partition function of QCD $Z_{\text{QCD}} = \int \mathcal{D}U \{\det M(U)\}^{N_f} e^{-S_g(U)}$

Wilson fermion kernel
$$M_{x,y} = \delta_{x,y} - \kappa \sum_{j=1}^3 [(1 - \gamma_j) U_{x,j} \delta_{y,x+\hat{j}} + (1 + \gamma_j) U_{y,j}^\dagger \delta_{y,x-\hat{j}}] \\ - \kappa(1 - \gamma_4) U_{x,4} e^{\mu a} \delta_{y,x+\hat{4}} - \kappa(1 + \gamma_4) U_{y,4}^\dagger e^{-\mu a} \delta_{y,x-\hat{4}}$$

Heavy quark, high-density region $\kappa \rightarrow 0$, $e^{\mu a} \rightarrow \infty$, $\kappa e^{\mu a} \rightarrow (\text{finite})$

e.g. Blum, Hetrick, Toussaint, Phys. Rev. Lett. 76, 1019 (1996), Aarts, Stamatescu, JHEP 0809 (2008) 018

Ignore the spatial link terms and the $e^{-\mu a}$ terms Heavy Dense QCD

$$M_{x,y} = \delta_{x,y} - \kappa_{\text{eff}}(1 - \gamma_4) U_{x,4} e^{\mu a} \delta_{y,x+\hat{4}} \\ \Rightarrow \det M = \prod_{\vec{x}} \{1 + 3C\Omega(\vec{x}) + 3C^2\Omega^*(\vec{x}) + C^3\}^2$$

$$C = (2\kappa_{\text{eff}})^{N_t} e^{\mu/T}, \text{ local Polyakov loop } \Omega(\vec{x}) = \frac{1}{3} \text{tr}(U_{(\vec{x},1),4} U_{(\vec{x},2),4} \cdots U_{(\vec{x},N_t),4})$$

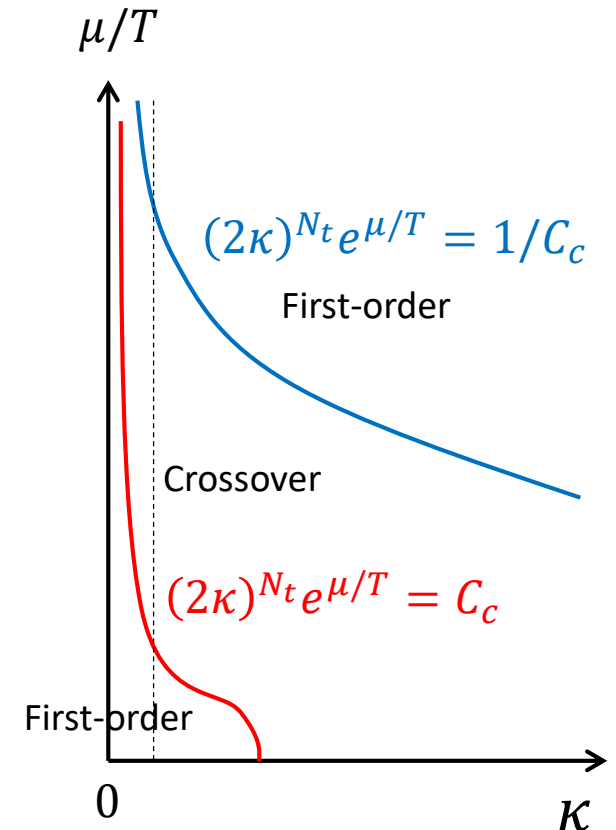
(N_t is an even number.)

Symmetries in heavy dense QCD

$$\det M = \prod_{\vec{x}} \{1 + 3C\Omega(\vec{x}) + 3C^2\Omega^*(\vec{x}) + C^3\}^2 = C^6 \prod_{\vec{x}} \{1 + 3C^{-1}\Omega^*(\vec{x}) + 3C^{-2}\Omega(\vec{x}) + C^{-3}\}^2$$

$$\Omega(\vec{x}) \rightarrow \Omega^*(\vec{x}), \quad C \rightarrow C^{-1}$$

- The theory remains unchanged under this transformation except for constant multiples.
- If κ is kept small, from $C = (2\kappa)^{N_t} e^{\mu/T}$,
 $C \approx 0 \Leftrightarrow \mu/T \approx O(1)$ $C^{-1} \approx 0 \Leftrightarrow \mu/T \approx \infty$
- This is a symmetry under transformations that exchange low density for high density and particles for antiparticles.
- If the critical point is C_c , the boundary of the first-order phase transition is $(2\kappa)^{N_t} e^{\mu/T} = C_c$ and $1/C_c$.



Z3 center symmetry breaking

Universal properties

- If the broken symmetry is the same, the fundamental properties of the phase transition are thought to be the same.

QCD without dynamical fermions

- The Polyakov loop is the order parameter of Z(3) center symmetry.
- The phase transition occurs when Z(3) center symmetry is broken spontaneously.
- The three-state Potts model is a model that breaks Z(3) symmetry.

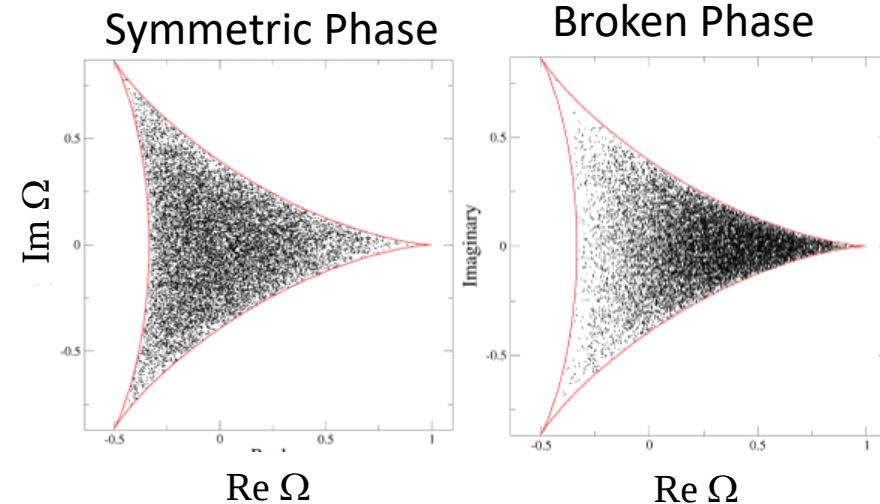
Explicit symmetry breaking terms

- The quark determinant for QCD.
- The external field term for 3-state Potts model.

We replace the Polyakov loop with a Z(3) spin.

Distribution in one configuration

Local Polyakov loop $\Omega(\vec{x})$



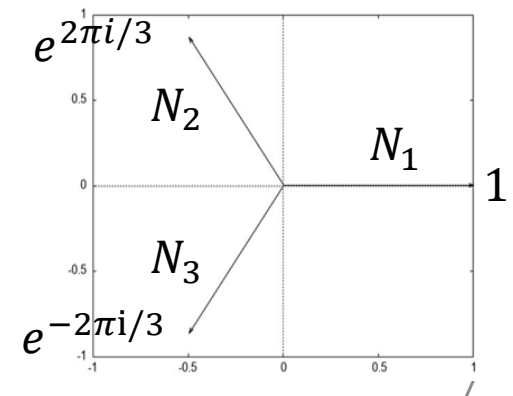
Z(3) spin $s(x)$

Symmetric Phase

$$N_1 \approx N_2 \approx N_3$$

Broken Phase

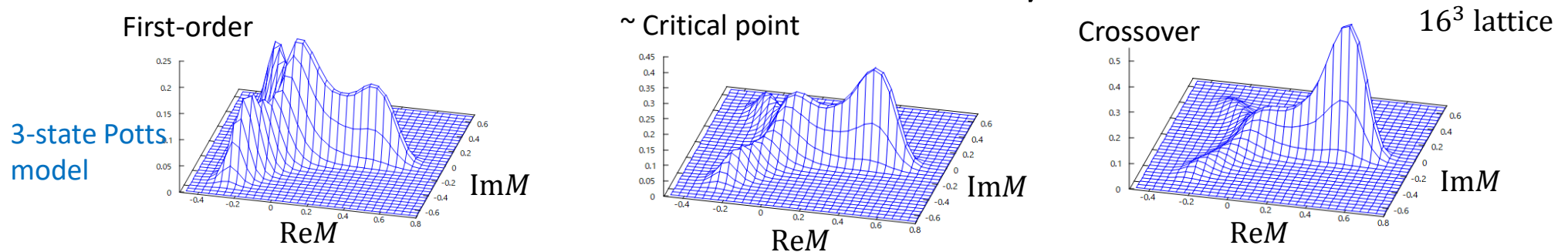
$$N_1 > N_2, N_3$$



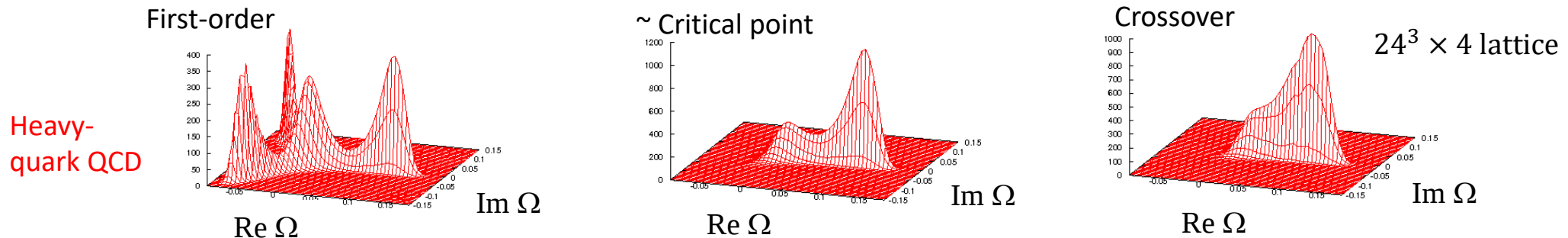
Histogram of the order parameter

- order parameter: spatial average of $s(x)$ or spatial average of $\Omega(\vec{x})$
- Symmetry breaking terms are added.
- Histograms of the order parameters are very similar.

3-state Potts model: magnetization $M = \frac{1}{V} \sum_x s(x)$ (spatial average)

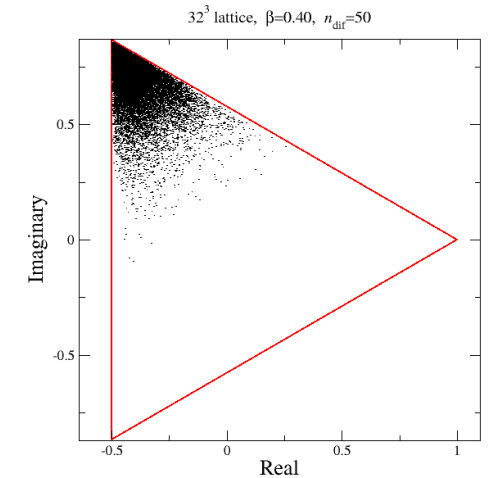
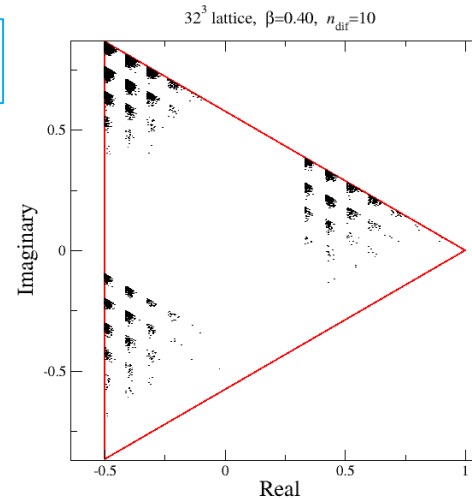
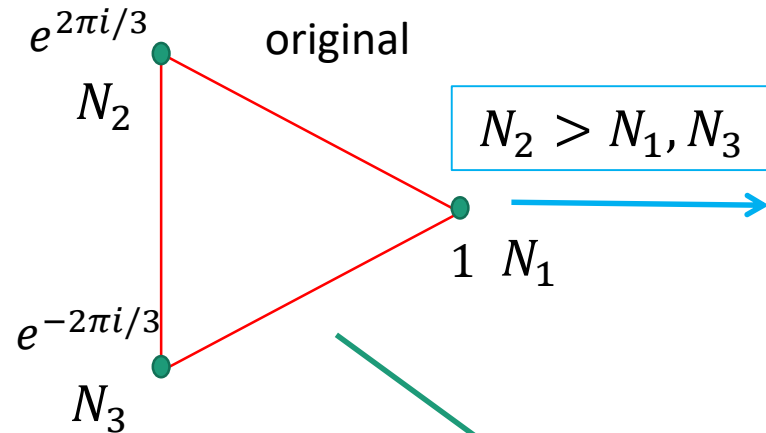


heavy-quark QCD: Polyakov loop $\Omega = \frac{1}{V} \sum_{\vec{x}} \Omega(\vec{x})$ (spatial average)



Distribution of coarse-grained spins

Coarse-grained
Broken Phase

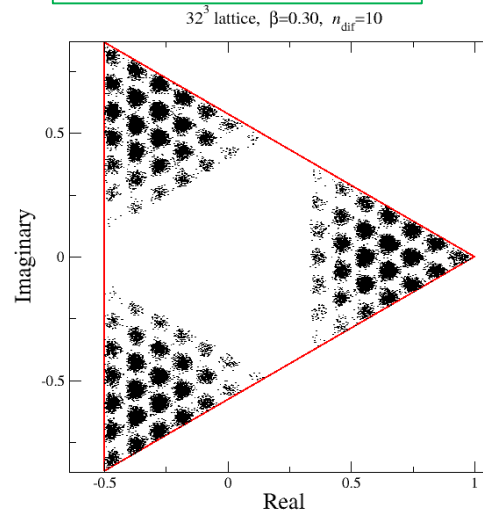


Coarse graining

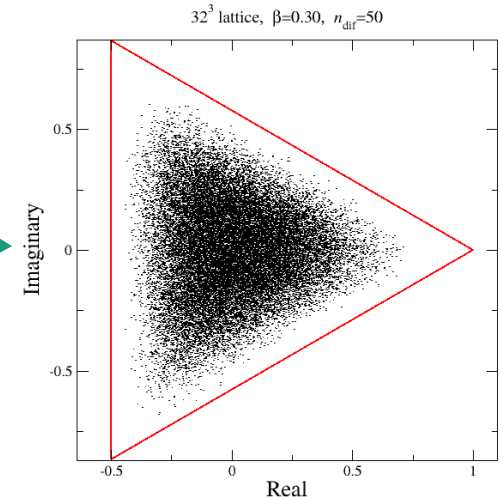
- Coarse-grained spin $\tilde{s}(x, t)$
- Diffusion equation

$$\frac{\partial}{\partial t} \tilde{s}(x, t) = D \frac{\partial^2}{\partial x^2} \tilde{s}(x, t)$$
 - D : diffusion constant
- The magnetization value does not change even when coarse-grained.
- Similar to the distribution of local Polyakov loops $\Omega(\vec{x})$

$N_1 \approx N_2 \approx N_3$



Symmetric Phase



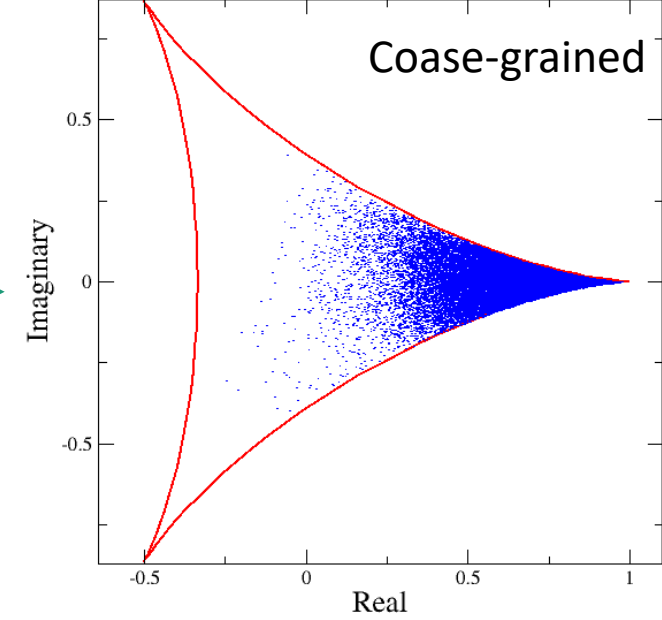
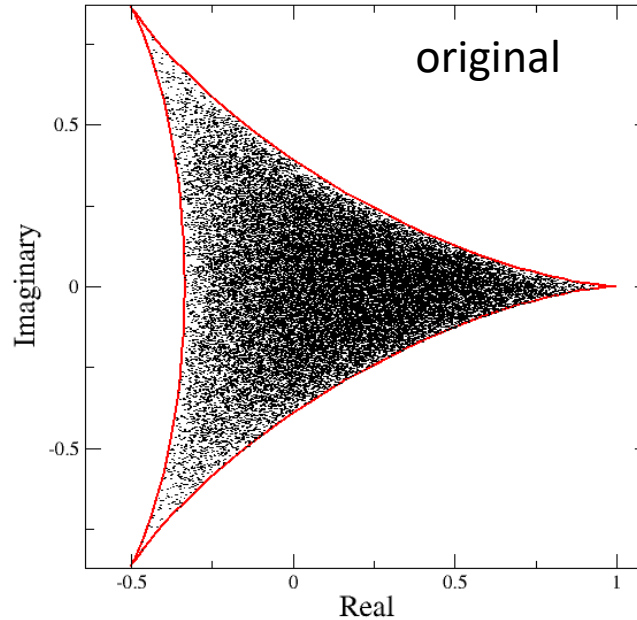
Coarse graining by Gradient flow in quenched QCD

Local Polyakov loop $\Omega(\vec{x})$

Broken Phase

$32^3 \times 6$ lattice, $\beta=6.10$, $t/a^2=0.0$

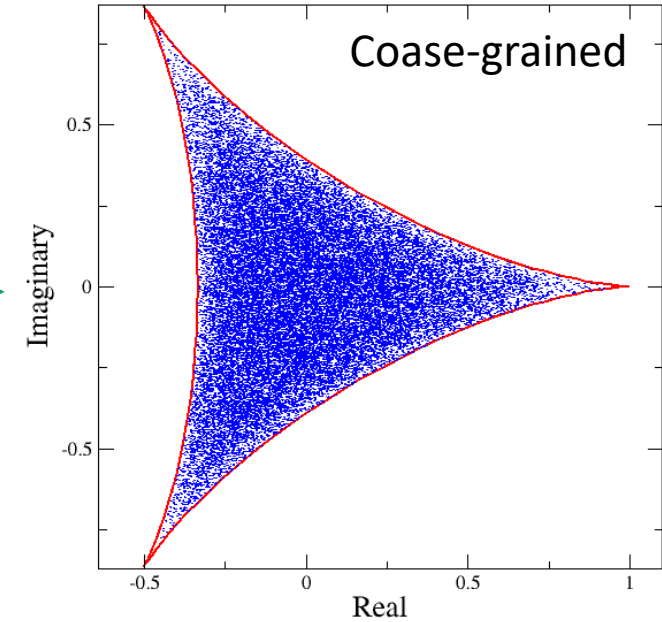
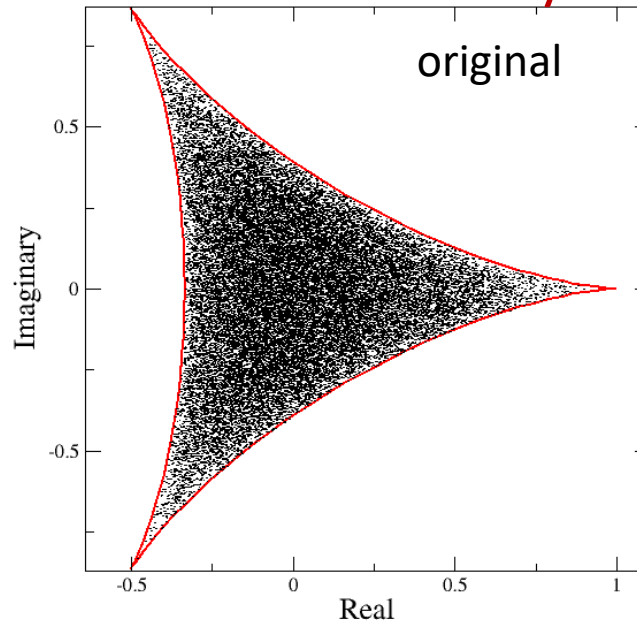
$32^3 \times 6$ lattice, $\beta=6.10$, $t/a^2=0.5$



Symmetric Phase

$32^3 \times 6$ lattice, $\beta=5.70$, $t/a^2=0.0$

$32^3 \times 6$ lattice, $\beta=5.70$, $t/a^2=0.5$



- Flow equation
$$\frac{\partial B_\mu^a}{\partial t}(t, x) = D_\nu G_{\mu\nu}^a(t, x)$$
$$B_\mu^a: \text{flowed gauge field}$$
$$G_{\mu\nu}^a: \text{flowed field strength}$$
- The symmetry break is hard to see in the original field, but it is emphasized in the flowed field.
- Coarse graining does not change the nature of the phase transition.

3-state Potts model as an effective model of dense QCD

$$Z_{\text{QCD}} = \int \mathcal{D}U e^{-S_g(U)} \prod_{\vec{x}} \{1 + 3C\Omega(\vec{x}) + 3C^2\Omega^*(\vec{x}) + C^3\}^{2N_f} \quad C = (2\kappa)^{N_t} e^{\mu/T}$$

$$3\Omega(\vec{x}) \rightarrow s(x)$$

The spin has three states. $s(x) = \{1, e^{2\pi i/3}, e^{-2\pi i/3}\}$

$$\prod_x [1 + Cs(x) + C^2 s^*(x) + C^3]^{2N_f} = \prod_x F(x) = \exp[N_1 A_1 + N_2 (A_2 + i\theta) + N_3 (A_2 - i\theta)]$$

$$F(x) = \begin{cases} (1 + C + C^2 + C^3)^{2N_f} & \text{for } s(x) = 1 \\ \left(1 + C\left(-\frac{1}{2} + \frac{\sqrt{3}}{2}i\right) + C^2\left(-\frac{1}{2} - \frac{\sqrt{3}}{2}i\right) + C^3\right)^{2N_f} & \text{for } s(x) = e^{2\pi i/3} \\ \left(1 + C\left(-\frac{1}{2} - \frac{\sqrt{3}}{2}i\right) + C^2\left(-\frac{1}{2} + \frac{\sqrt{3}}{2}i\right) + C^3\right)^{2N_f} & \text{for } s(x) = e^{-2\pi i/3} \end{cases} \equiv \begin{cases} e^{A_1} & \text{for } s(x) = 1 \\ e^{A_2 + i\theta} & \text{for } s(x) = e^{2\pi i/3} \\ e^{A_2 - i\theta} & \text{for } s(x) = e^{-2\pi i/3} \end{cases}$$

$$\sum_x \text{Re}(s(x)) = N_1 - \frac{1}{2}(N_2 + N_3) = \frac{3}{2}N_1 - \frac{1}{2}N_{\text{site}}, \quad \sum_x \text{Im}(s(x)) = \frac{\sqrt{3}}{2}(N_2 - N_3)$$

$$\longrightarrow Z_{\text{potts}} = \sum_{\{s\}} \exp \left\{ \beta \sum_{x,j} \text{Re}(s(x)s^*(x+j)) + h \sum_x \text{Re}(s(x)) + iq \sum_x \text{Im}(s(x)) \right\}$$

$$h(C) = \frac{4}{3}N_f \ln(1 + C + C^2 + C^3) - \frac{2}{3}N_f \ln \left\{ \left(1 - \frac{1}{2}C - \frac{1}{2}C^2 + C^3\right)^2 + \frac{3}{4}(C - C^2)^2 \right\}$$

$$q(C) = \frac{4}{\sqrt{3}}N_f \arctan \left\{ \frac{\frac{\sqrt{3}}{2}(C - C^2)}{1 - \frac{C}{2} - \frac{C^2}{2} + C^3} \right\}$$

complex external magnetic field terms with real parameters h and q .

3-D 3-state Potts model with a complex external magnetic field

$$C = (2\kappa)^{N_t} e^{\mu/T}$$

$$s(x) = \{1, e^{2\pi i/3}, e^{-2\pi i/3}\}$$

$$Z_{\text{QCD}} = \int \mathcal{D}U e^{-S_g(U)} \prod_{\vec{x}} \{1 + 3C\Omega(\vec{x}) + 3C^2\Omega^*(\vec{x}) + C^3\}^{2N_f}$$

$$Z_{\text{potts}} = \sum_{\{s\}} \exp \left\{ \beta \sum_{x,j} \text{Re}(s(x)s^*(x+j)) + h \sum_x \text{Re}(s(x)) + iq \sum_x \text{Im}(s(x)) \right\}$$

$$h(C) = \frac{4}{3} N_f \ln(1 + C + C^2 + C^3) - \frac{2}{3} N_f \ln \left\{ \left(1 - \frac{1}{2}C - \frac{1}{2}C^2 + C^3 \right)^2 + \frac{3}{4}(C - C^2)^2 \right\}$$

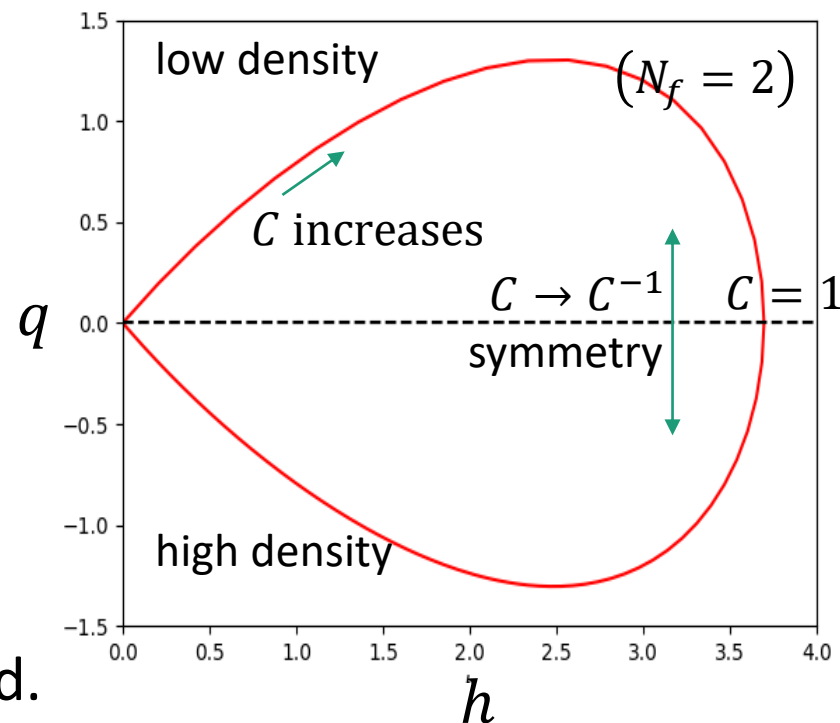
$$q(C) = \frac{4}{\sqrt{3}} N_f \arctan \left\{ \frac{\frac{\sqrt{3}}{2}(C - C^2)}{1 - \frac{C}{2} - \frac{C^2}{2} + C^3} \right\}$$

For real μ , simply h and q are real parameters.

The red curve is the parameter corresponding to HDQCD.

This argument can be extended to a complex chemical potential.

Roberge-Weiss singularity can also be discussed.



Phase structure of heavy dense QCD

The relationship between heavy quark QCD with $\mu=0$ and the standard three-state Potts model ($q=0$) has been well studied.

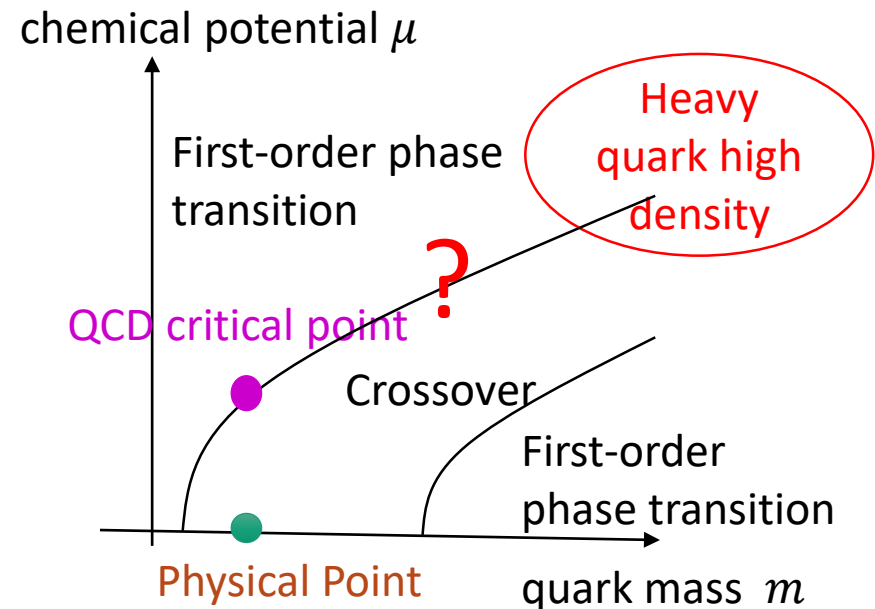
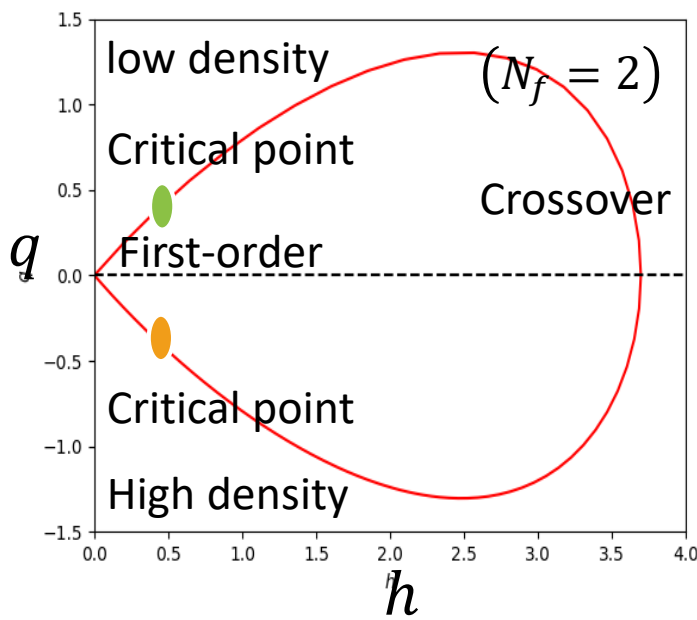
When $q=0$, there is a first-order phase transition at $h < h_c \approx 0.0005$ and crossover at $h > h_c$

Due to the symmetry $s \rightarrow s^*$, if (h_c, q_c) is a critical point, then $(h_c, -q_c)$ is also a critical point.

Our conclusions

- The phase transition is first order in the low-density region, changes to a crossover at the critical point, and then becomes first order again.
- The critical point belongs to the 3-D Ising universality class.
- As h increases, the changes in physical quantities become milder.

Parameters corresponding to HDQCD



Critical points where first-order phase transition end

- Binder cumulant: $B_4 = \frac{\langle (M - \langle M \rangle)^4 \rangle}{\langle (M - \langle M \rangle)^2 \rangle^2}$

- No volume dependence at the critical point

$$B_4(h - h_c, L^{-1}) = \frac{f_4((h - h_c)L^{1/\nu})}{(f_2((h - h_c)L^{1/\nu}))^2} + 3 \quad (V = L^3)$$

- With $q = 0$, the results were consistent with those by [Karsh et al, Phys.Lett.B 488(2000)].

$$h_c = 0.000510(8) \quad (q = 0)$$

- Near h_c , q is small. Using the reweighting method, complex terms can be incorporated.

- Dotted lines: Fitted results

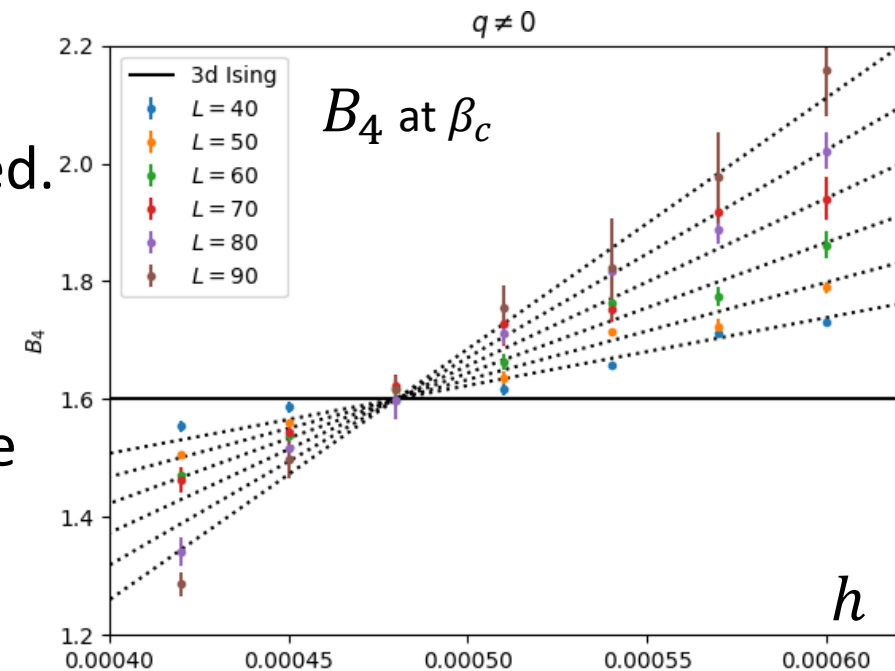
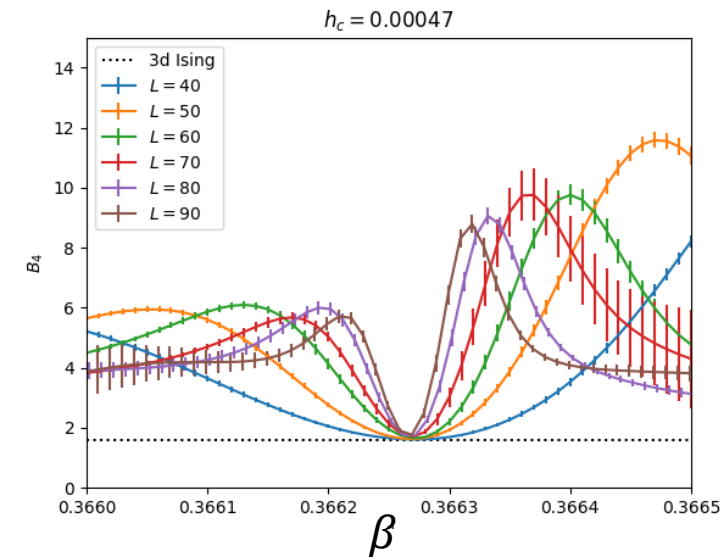
$$B_4 = B_{4c} + A(h - h_c)L^{1/\nu}$$

- h_c becomes smaller due to the effect of the complex external field.

$$h_c = 0.000470(2) \quad (q \neq 0)$$

- The universality class is the same as the 3D Ising model. ($B_{4c} \approx 1.60$, $\nu \approx 0.63$)

- $C_c = 0.0001195(7) \quad (= 1/C_c)$



$$B_{4c} = 1.601(6), A = 3.01(1), \\ h_c = 0.000473(3), \nu = 0.624(3), \\ q_c = 0.000478(3), \chi^2/\text{dof} = 1.805$$

Scaling law of the susceptibility peak

- Susceptibility: $\chi = V \langle (M - \langle M \rangle)^2 \rangle$ $V = L^3$
- Susceptibility peaks become higher as the volume increases.

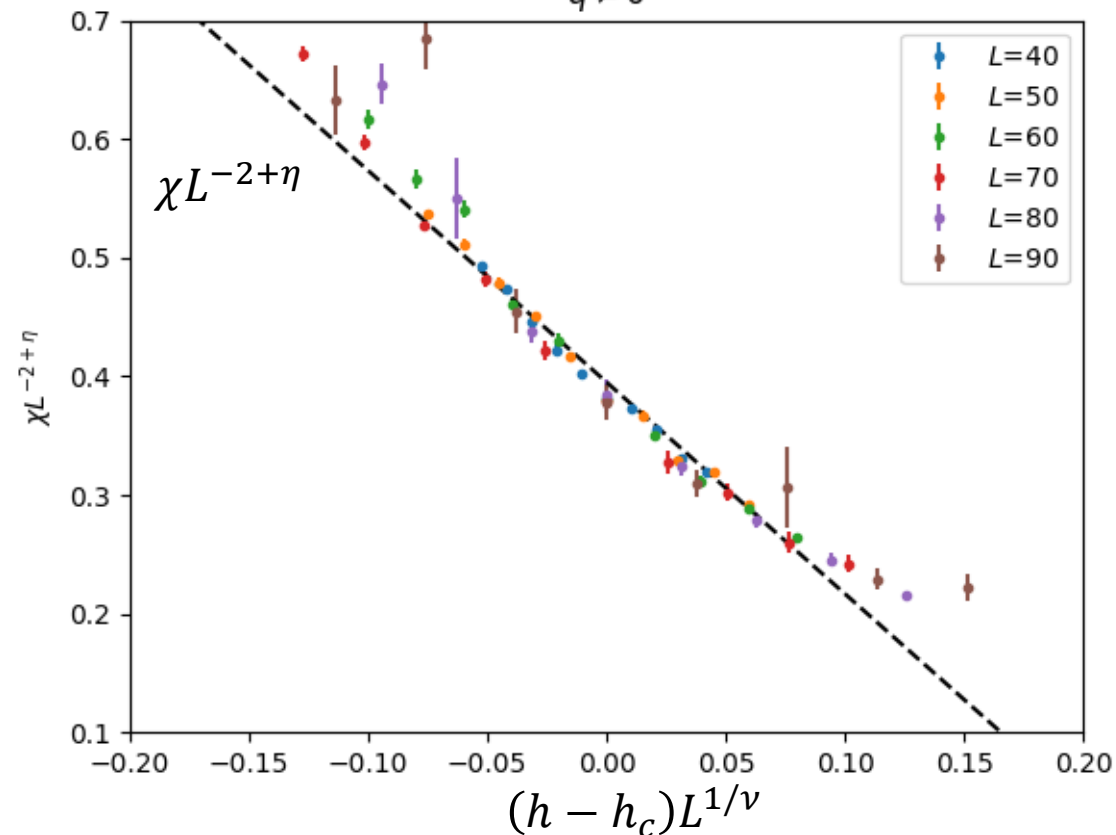
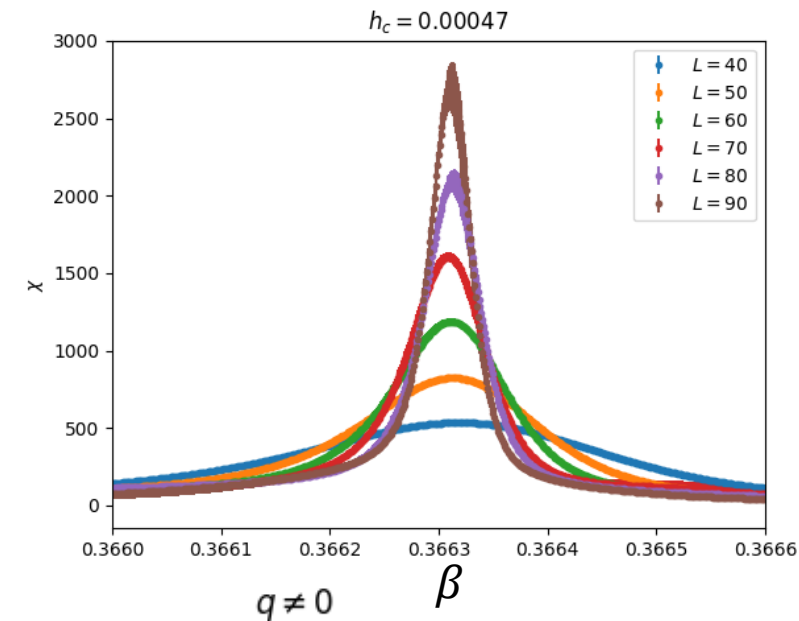
$$\chi(h - h_c, L^{-1}) = L^{2-\eta} f_2 \left((h - h_c) L^{1/\nu} \right)$$

- The reweighting method is used.
- We use $\eta = 0.0366$, $\nu = 0.630$ and $h_c = 0.00047$
- Dashed line: Fitted results

$$\chi L^{-2+\eta} = A(h - h_c) L^{1/\nu} + B$$

(Fit parameters A, B)

The universality class is the same as the 3D Ising model.

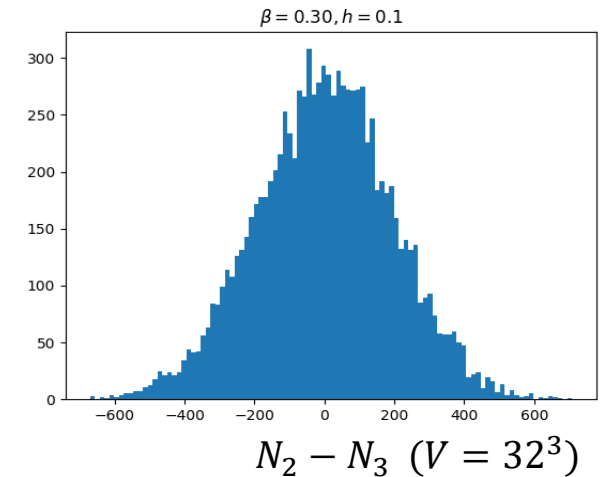


physical quantities in the crossover region

$$Z_{\text{potts}} = \sum_{\{s\}} \exp \left\{ \beta \underbrace{\sum_{x,j} \text{Re}(s(x)s^*(x+j))}_{=E} + h \sum_x \text{Re}(s(x)) + iq \sum_x \text{Im}(s(x)) \right\}$$

- We compute physical quantities in the crossover region by the reweighting method.
- When q is large and the volume is large, the sign problem is severe.
- The imaginary terms are expressed as the number of spins

$$q \sum_j \text{Im}(s_j) = q \frac{\sqrt{3}}{2} (N_2 - N_3)$$

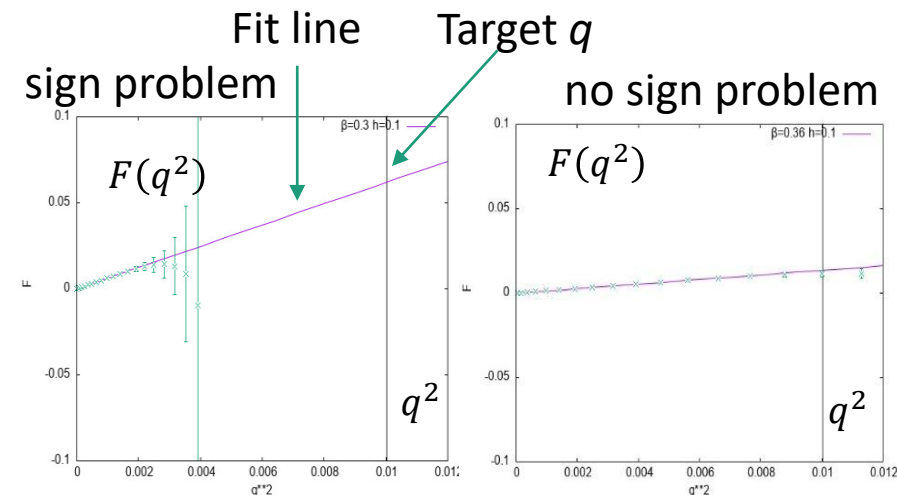


$N_2 - N_3$ is Gaussian distributed.

- Using the reweighting method, the energy incorporating the complex external field is

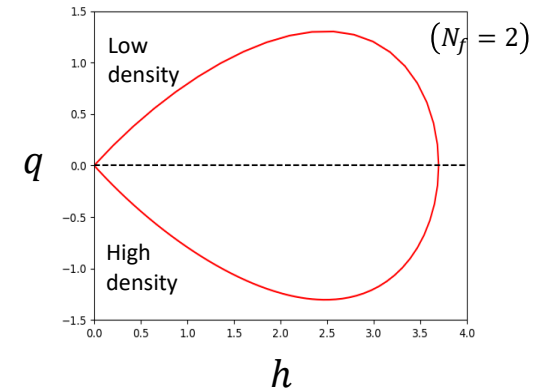
$$\langle E \rangle_q = \frac{\left\langle E \cos \left\{ q \frac{\sqrt{3}}{2} (N_2 - N_3) \right\} \right\rangle_0}{\left\langle \cos \left\{ q \frac{\sqrt{3}}{2} (N_2 - N_3) \right\} \right\rangle_0} \equiv \langle E \rangle_0 e^{F(q^2)}$$

- Assuming a Gaussian distribution, $F(q^2) \approx Aq^2$
- We extrapolate $F(q^2)$ and get $\langle E \rangle_q$

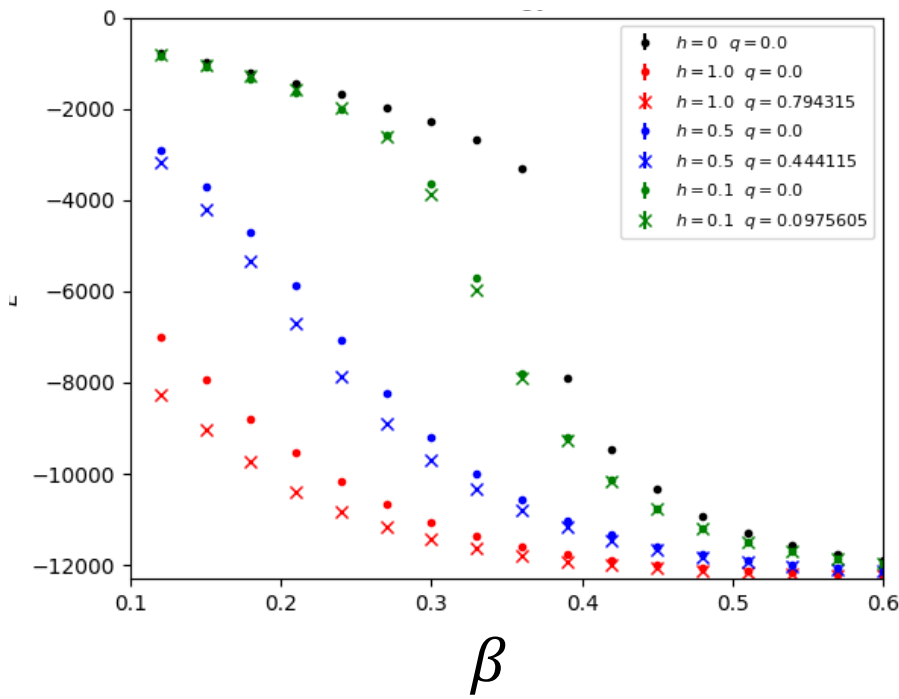


Energy and Magnetization by reweighting method

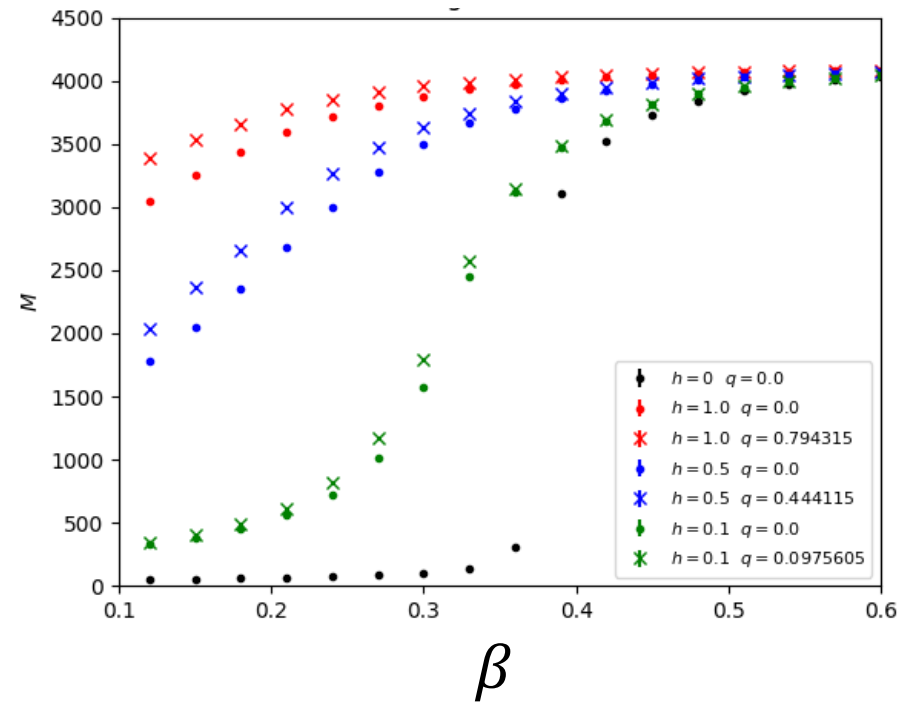
- Results by reweighting method
- The volume dependence is small, when h is large.
- As h increases, the change becomes milder.
- When h is large, the phase transition is a crossover.



Energy



Magnetization

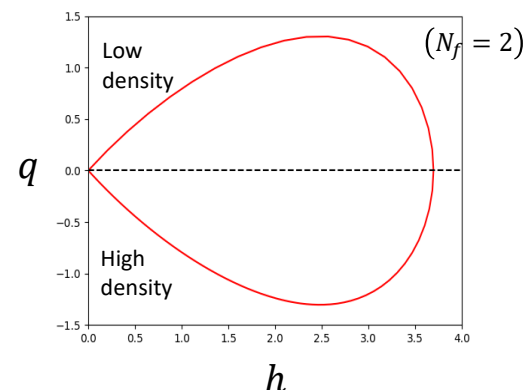


- Parameters ignoring the complex phase, $q = 0$.
- × Parameters corresponding to HDQCD

Volume: 16^3

Tensor renormalization group analysis

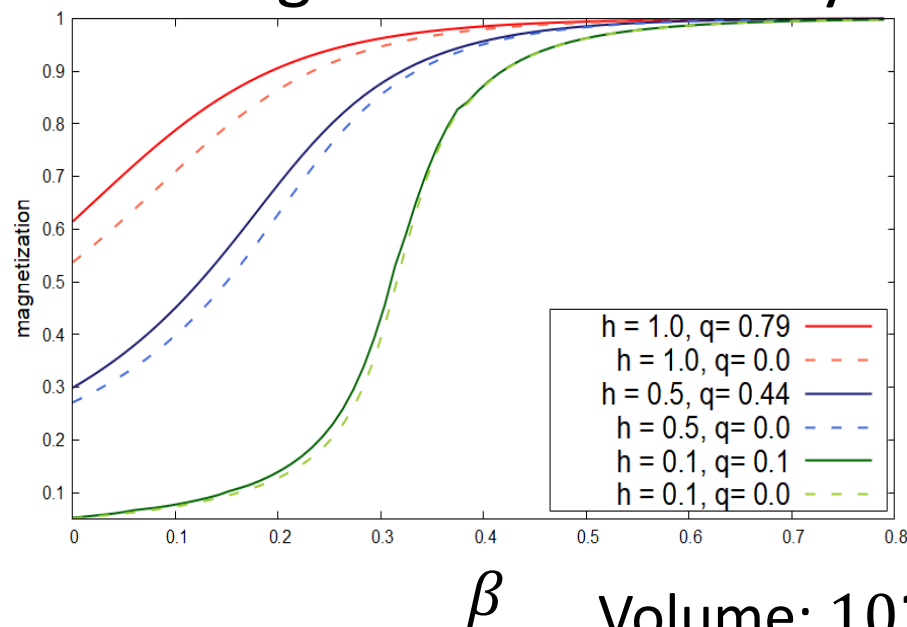
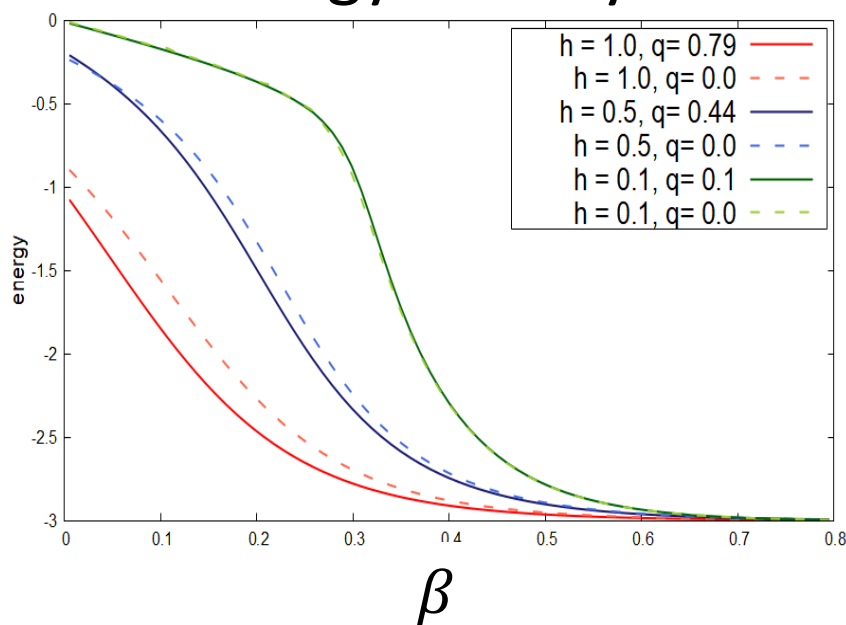
- 3-D 3-state Potts model with a complex external field
- TRG has nothing to do with the sign problem.
- Higher Order Tensor Renormalization Group (HOTRG)
- The truncation error of the singular value decomposition is small in the crossover region.



Energy density

HOTRG (Dcut=8)

Magnetization density



Volume: 1024^3

Solid line: Parameters corresponding to HDQCD

Dash line: Parameters ignoring the complex phase

Same result as the reweighting method. The larger h , the milder the change.

Phase quenched QCD (Ignore complex phase) (isospin μ)

$$(\Omega = \Omega_R + i\Omega_I)$$

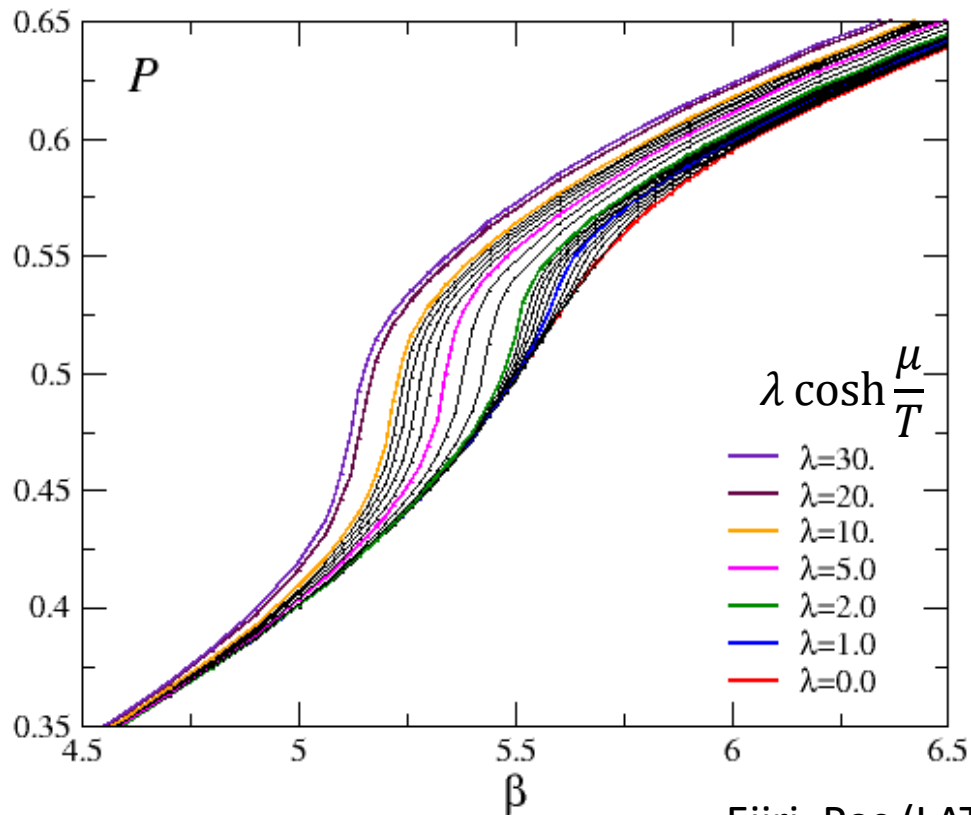
- Effective action for heavy quarks

$$S_{\text{eff}} = -6N_{\text{site}}\beta^*P - N_s^3\lambda \left(\cosh \frac{\mu}{T} \Omega_R + i \sinh \frac{\mu}{T} \Omega_I \right)$$

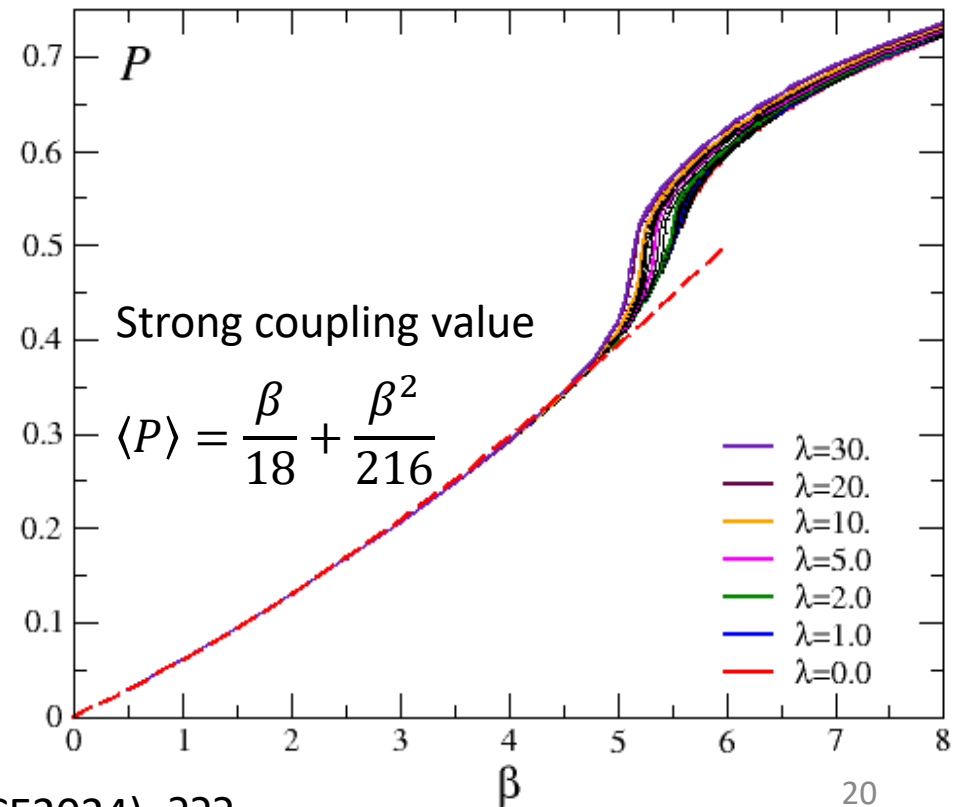
- The $e^{m\mu/T}$ terms ($\cosh(m\mu/T)$ terms) are missing from S_{eff} . ($m \geq 2$)
- However, $e^{m\mu/T}$ terms only contribute when the density is extremely high.
- If we ignore the complex phase, Monte-Carlo simulation is possible.
- For $N_f = 2$, this is QCD with an isospin chemical potential.
- Pion condensation is expected in the high-density region.
- The effect of the complex phase must be added, but there may be other phase structures present.
- There are no singularity in the large h region of 3-state Potts model.
- For large h , $Z(3)$ center symmetry is completely broken. The phase transition is irrelevant to the symmetry.
- We do not conclude that there is no further singularity in HDQCD.

Plaquette in phase quenched QCD (isospin QCD)

- As $\cosh(\mu/T)$ increases, the change in plaquette becomes steeper.
- This behavior is consistent with the existence of a pion-condensed phase at large μ .
- The strong coupling expansion of $\langle P \rangle$ does not depend on $\lambda \cosh(\mu/T)$.
- Confinement phase: $\langle P \rangle$ is consistent with the strong coupling expansion.
- The $\lambda \cosh(\mu/T)$ term forces the deconfinement phase. Lattice size: $30^3 \times 6$



Ejiri, Pos (LATTICE2024), ???

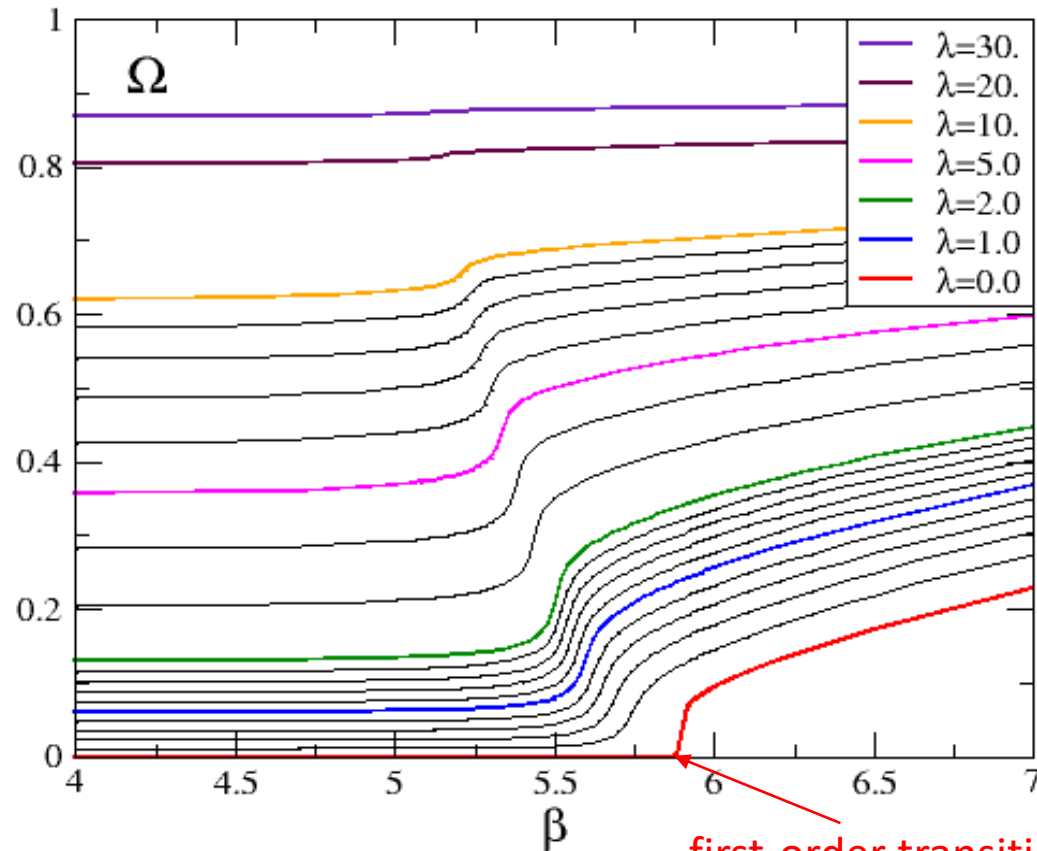


Polyakov loop in phase quenched QCD (isospin QCD)

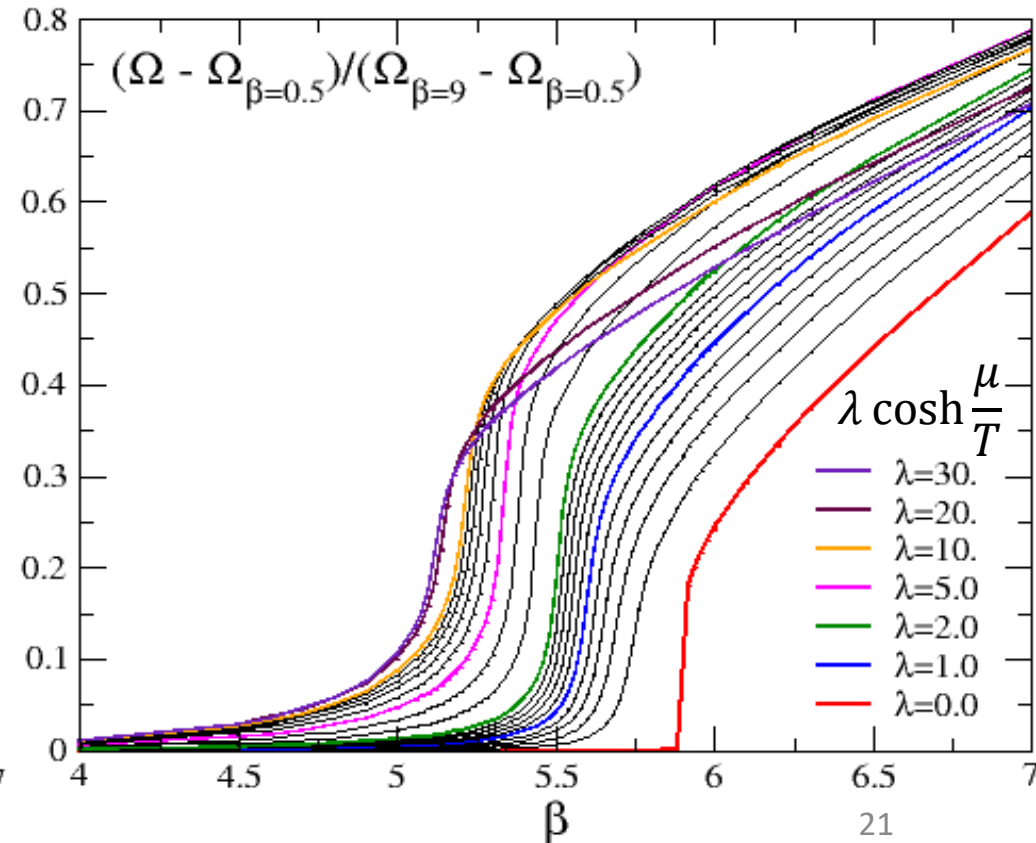
- First order phase transition at $\lambda = 0$
- Regarding the Polyakov loop $\langle \Omega_R \rangle$, if we look closely at the changing part, the change becomes steeper as μ increases.
- Effect from the complex phase may be important. [Ejiri, PoS (LATTICE2024), 162]

Discontinuity at large μ ? $\rightarrow$ first-order transition?

$$\frac{\langle \Omega_R \rangle - \langle \Omega_R \rangle_{\beta=0.5}}{\langle \Omega_R \rangle_{\beta=9} - \langle \Omega_R \rangle_{\beta=0.5}}$$



first-order transition



Summary

Phase structure of heavy dense QCD is discussed through the effective theory of the 3-state Potts model.

- The phase transition is first order in the low-density region, changes to a crossover at the critical point, and then becomes first order again.
- The critical point belongs to the 3-D Ising universality class.
- As h increases, the changes in physical quantities become milder.
- However, we do not conclude that there is no further singularity in HDQCD.

