The On-Shell Construction of Effective Field Theory

Minglei Xiao

ITP, Beijing

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Why consider on-shell construction?

What is the dim-6 operator contribution to the $W^+\pi \rightarrow W^+\pi$ amplitude (massless limit v = 0)?

$$\mathcal{O}_{hw} = (D^{\mu}H^{\dagger})\tau^{i}(D^{\mu}H)W^{i}_{\mu\nu}$$
$$\mathcal{O}_{w} = (iH^{\dagger}\tau^{i}\overleftrightarrow{D}^{\mu}H)D^{\nu}W^{i}_{\mu\nu}$$



A One-line Proof: There is NO dim-6 on-shell amplitude basis $\mathcal{M}^{(6)}(V^+V^-SS)$.

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A Brief Intro to On-Shell Construction On-Shell Amplitudes of Massless Particles On-Shell Constructibility On-Shell Amplitudes of Massive Particles

2 Effective Field Theory The Basis of EFT Constructing EFT

3 Conclusion & Outlook

Massless Helicity Spinors I

Rep of Lorentz Vector: $p_{\mu} \in \left(\frac{1}{2}, \frac{1}{2}\right), \quad A_{\mu} \in (1, 0) \oplus (0, 1).$

• When $p^2 = m^2 = 0$, momentum can be decomposed into "helicity spinors":

$$p_{i\mu} = [i|_{\dot{\alpha}} (\sigma_{\mu})^{\alpha \dot{\alpha}} |i\rangle_{\alpha}$$

with LG transformation $|i\rangle \rightarrow e^{-i\phi/2}|i\rangle, |i] \rightarrow e^{i\phi/2}|i\rangle$.

• But the gauge boson does not decompose in the same way:

$$F_{i\mu\nu} = \langle i|^{\alpha} (\sigma_{\mu\nu})^{\ \beta}_{\alpha} |i\rangle_{\beta} + [i|_{\dot{\alpha}} (\bar{\sigma}_{\mu\nu})^{\dot{\alpha}}_{\ \beta} |i]^{\dot{\beta}}$$

• An on-shell amplitude of massless particle scattering should only carry appropriate Little Group weights:

$$\mathcal{A} \sim C^{\alpha...}_{\dot{\alpha}...} \prod_{i,h_i < 0} (|i\rangle_{\alpha})^{2|h_i|} \prod_{j,h_j > 0} (|j|^{\dot{\alpha}})^{2|h_j|}$$

Massless Helicity Spinors II

• 3-pt on-shell amplitude has special kinematics:

either
$$\langle ij \rangle = 0$$
 or $[ij] = 0$

As a result, all minimal gauge couplings have "spurious poles"

$$\begin{aligned} \mathcal{A}(V^+SS) &= g \frac{[12][31]}{[23]}, \\ \mathcal{A}(V^+\psi^+\psi^-) &= g \frac{[12]^2}{[23]}, \\ \mathcal{A}(V^+V^+V^-) &= g \frac{[12]^3}{[23][31]} \end{aligned}$$

• A famous example: MHV amplitudes in Yang-Mills theory

$$\mathcal{A}_{\text{color-ordered}} = \frac{\langle ij \rangle^4}{\langle 12 \rangle \langle 23 \rangle \dots \langle n-1, n \rangle \langle n, 1 \rangle}.$$

Recursion Relation

- Amplitude defined as function of *complex* momentum has the property of analyticity except for poles and branch cuts.
- Amplitude factorizes on poles and branch cuts due to unitarity where on-shell particles could be generated in the process.

Make complex momentum shift $\Delta p \propto z$:

$$\oint_{z=0} \frac{\mathcal{A}(z)}{z} + \sum_{I} \frac{1}{z_{I}} \oint_{z=z_{I}} \mathcal{A}(z) = \oint_{z=\infty} \frac{\mathcal{A}(z)}{z}$$
$$\Rightarrow \mathcal{A}_{\text{phy}} + \sum_{I} \frac{1}{s_{I}} \left(\mathcal{A}_{L}(z_{I}) \cdot \mathcal{A}_{R}(z_{I}) \right) = \mathcal{B}$$

- BCFW (2-line) shift $[i, j\rangle$: $|i] \rightarrow |i] + z|j], \ |j\rangle \rightarrow |j\rangle z|i\rangle$.
- All-line shift: $|i\rangle \rightarrow |i\rangle + c_i z |\zeta\rangle$ or $|i] \rightarrow |i] + c_i z |\zeta]$.

On-Shell Constructibility

The amplitudes with $\mathcal{B} = 0$ are constructible iff

$$\lim_{z \to \infty} \mathcal{A}(z) \sim z^{\gamma} \to 0.$$

- All renormalizable massless theories are at least 5-line constructible. An additional U(1) gauge group makes them 3-line constructible.
- Non-renormalizable massless theories are m-line constructible for at most (m-1)-valent interactions (seed amplitudes) without derivatives.
- The criterion for all-line constructibility is

$$\gamma = d - n - |\sum_{i} h_i| < 0$$

Massive Helicity Spinors

• When $p^2 = m^2 \neq 0$, Little Group is now SO(3) and we need an additional LG index $I = \pm$ to represent spins:

$$\begin{split} \mathsf{Spin-0}: \quad p_{i\mu} &= \epsilon_{IJ} [i|^{I}_{\dot{\alpha}}(\sigma_{\mu})^{\alpha \dot{\alpha}} |i\rangle^{J}_{\alpha} \\ \mathsf{Spin-1}: \quad \epsilon_{i\mu} &= \frac{1}{M} [i|^{\{I}_{\dot{\alpha}}(\sigma_{\mu})^{\alpha \dot{\alpha}} |i\rangle^{J\}}_{\alpha} \end{split}$$

General amplitude with massive external state

$$\mathcal{A}^{\{I_1\dots I_{2j}\}_i} = \mathcal{A}^{\{\alpha_1\dots\alpha_{2j}\}} |i\rangle_{\alpha_1}^{I_1}\dots |i\rangle_{\alpha_{2j}}^{I_{2j}}.$$

• Factorization on massive channels:

$$\mathcal{A} \to \frac{\mathcal{A}_L^{\{I_1\dots I_{2j}\}}(\epsilon_{IJ})^{2j}\mathcal{A}_R^{\{J_1\dots J_{2j}\}}}{P^2 - M^2}$$

Using $|i\rangle^I_lpha\epsilon_{IJ}\langle i|^J_eta=-m\epsilon_{lphaeta}$, the numerator becomes

$$\mathcal{A}_{L}^{\{\alpha_{1}\ldots\alpha_{2j}\}}(-m\epsilon_{\alpha\beta})^{2j}\mathcal{A}_{R}^{\{\beta_{1}\ldots\beta_{2j}\}}.$$

Angular Momentum

• The 2j power of $\epsilon_{\alpha\beta}$ eventually generate $n_{\times} = 2j$ spinor products "across the channel"! In other words

$$j = n_{\times}/2$$

is the total angular momentum of the channel.

• In case of "mixed pole" *i.e.* the residues contain pole in other channel, there are spinor products in the denominators

$$n_{\times} = (\# \text{ in numerator}) - (\# \text{ in denominator})$$

Symmetrization is important

[13][24] - [14][23] = [12][34] (Schouten Identity)



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Operator Basis

A general effective field theory is described by Wilson coefficients

$$\mathcal{L} = \sum_i c_i \mathcal{O}_i$$

where \mathcal{O}_i are independent gauge invariant operators.

How to choose the basis?

• Integration By Part (IBP)

$$\mathcal{O}_i \sim \mathcal{O}_i + d\Omega$$

• Equation Of Motion (EOM)

$$\mathcal{O}_i \sim \mathcal{O}_i + E \quad \text{if } \langle E \rangle = 0$$

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Equation Of Motion (EOM) ⇔ (on-shell condition)

$$\mathcal{O}_i \sim \mathcal{O}_i + E \quad \text{if } \langle E \rangle = 0$$

Only on-shell information is important!

Amplitude Basis

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Goal: Using Local On-Shell Amplitudes to describe EFT.

Amplitudes in the language of helicity spinors are manifestly gauge invariant and satisfy on-shell conditions.

• What is the dim-6 contribution to the $W^+\pi\to W^+\pi$ process, i.e. $\mathcal{A}(V^+SV^-S)$?

$$\mathcal{A}(V^+SV^-S) = [1|p_2 - p_4|3\rangle^2 \left(\frac{g^2}{su} + K(s,u)\right)$$

Amplitude dimension: $d = n + N_p = 4 - [g]$.

Amplitude Basis

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• What is the dim-6 contribution to the $W^+\pi\to W^+\pi$ process, i.e. $\mathcal{A}(V^+SV^-S)$?

$$\mathcal{A}(V^+SV^-S) = \mathcal{M}^{(8)}(V^+SV^-S)\left(\frac{g^2}{su} + K(s,u)\right)$$

No dim-6 amplitude basis can be written.

• Dimension 6 operator $\mathcal{O}_{HW} = H^{\dagger}HW_{\mu\nu}W^{\mu\nu}$ only contributes to helicity changing process.

$$\mathcal{M}^{(6)}(V^+SV^+S) = [13]^2$$

SMEFT

All dim-6 amplitudes:

h = 3	$\mathcal{M}^{(6)}(V^+V^+V^+) = [12][23][31]$
	$\mathcal{M}^{(6)}(V^+SV^+S) = [13]^2$
h=2	$\mathcal{M}^{(6)}(V^+\psi^+\psi^+S) = [12][13]$
	$\mathcal{M}^{(6)}(V^+\psi^+\psi^+S) = [12][13]$ $\mathcal{M}^{(6)}(\psi^+\psi^+\psi^+\psi^+) = [13][24] \pm [14][23]$
	$\mathcal{M}^{(6)}(SSSS) = t \pm u$
h = 0	$\mathcal{M}^{(6)}(\psi^{+}\psi^{-}SS) = [1 (p_{2}-p_{4}) 3\rangle \\ \mathcal{M}^{(6)}(\psi^{+}\psi^{+}\psi^{-}\psi^{-}) = [12]\langle 34\rangle$
	$\mathcal{M}^{(6)}(\psi^+\psi^+\psi^-\psi^-) = [12]\langle 34 \rangle$

+Group Factor + Spin Statistics \Leftrightarrow Warsaw Basis!

Operator-Amplitude Correspondence:

$$|i] \rightarrow \bar{\psi}^{\dot{\alpha}}, |i\rangle \rightarrow \psi_{\alpha}, |i][i| \rightarrow (F_{i}^{\mu\nu}\bar{\sigma}_{\mu\nu})^{\dot{\beta}}_{\dot{\alpha}}, |i\rangle[i| \rightarrow \sigma^{\mu}_{\alpha\dot{\alpha}}D_{\mu}\Psi_{i}$$

• Example: $\mathcal{M}^{(6)}(V^+\psi^+\psi^+S) = [12][13] \rightarrow F^{\mu\nu}(\bar{\psi}\bar{\sigma}_{\mu\nu}\bar{\psi})\phi.$

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On-Shell Non-Constructible?

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How about the gauge field in covariant derivatives $\mathcal{O}^{\mu}D_{\mu}\Psi$?

$$\mathcal{A}(\mathcal{O}\Psi\gamma) = \langle \mathcal{O}|\mathcal{O}^{\mu}(-igA_{\mu})\Psi|\Psi\gamma\rangle + \langle \mathcal{O}|(\mathcal{O}^{\mu}\partial_{\mu}\Psi^{\dagger})(J_{\Psi}^{\mu}A_{\mu})|\Psi\gamma\rangle$$

The gauge invariant amplitude involves pole of the charged particle, whose residue factorize due to unitarity

$$\mathcal{A}(\mathcal{O}\Psi\gamma) \to \langle \mathcal{O}|\mathcal{O}^{\mu}\partial_{\mu}\Psi|\Psi\rangle \times \langle \Psi|J_{\Psi}^{\mu}A_{\mu}|\Psi\gamma\rangle.$$

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Does recursion relation reconstruct $\mathcal{A}(\mathcal{O}\Psi\gamma)$ from amplitude basis?

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Does recursion relation reconstruct $\mathcal{A}(\mathcal{O}\Psi\gamma)$ from amplitude basis?

$$\gamma = d - n - |h| = N_p - |h| \ge 0$$

The answer is NO without additional information: symmetry ...

Single Particle Resonance: Reproducing CDE

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The real problem of recursion relation:

Full Amplitude = Unitarity modulo Res=0 contributions

 $\mathcal{B} = 0$ is often not a physical way to fix the Res=0 contributions.

This ambiguity corresponds to some operator identities

$$D^2(\bar{\psi}\psi) = 2D_\mu\bar{\psi}D^\mu\psi + \bar{\psi}D^2\psi - gF^{\mu\nu}\bar{\psi}\sigma_{\mu\nu}\psi.$$

Single Particle Resonance: Reproducing CDE

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 Covariant Derivative Expansion (CDE) predicts which operator is generated.

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 Covariant Derivative Expansion (CDE) predicts which operator is generated.

If high-dim seed amplitude is generated through single particle resonance (SPR), the spin of the heavy particle fixes the angular momentum of certain channel, providing additional constraints on the full amplitude:

Full Amplitude $\stackrel{?}{=}$ Unitarity + Angular Momentum



• Starting with seed amplitude $\mathcal{M}^{(8)}(\psi^+\psi^+\psi^+\psi^+) = c_0[12][34]s_{12}$, compute the relevant contribution to $\mathcal{A}(\psi^+\psi^+\psi^+\psi^+\gamma^+)$.



Example

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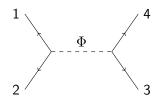
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$$\gamma = d - n - |h| = 0, \quad \mathcal{B} \neq 0$$

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- Starting with seed amplitude $\mathcal{M}^{(8)}(\psi^+\psi^+\psi^+\psi^+) = c_0[12][34]s_{12}$, compute the relevant contribution to $\mathcal{A}(\psi^+\psi^+\psi^+\psi^+\gamma^+)$.
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- Consider angular momentum of [12][34]s $(j = n_{\times}/2)$: $j_s = 0$.
- No amplitude basis $\mathcal{M}^{(8)}(\psi^+\psi^+\psi^+\psi^+\gamma^+)$ has $j_{12} = 0$ and $j_{34} = 0$, thus fixing the Res=0 contribution, and the full amplitude is determined.

$$\mathcal{A}(\psi^{+}\psi^{+}\psi^{+}\psi^{+}\gamma^{+})$$

$$= c_{0}g\left(\frac{[14]s_{12}s_{34}}{\langle 25\rangle\langle 35\rangle} + \frac{[12][45]s_{34}}{\langle 35\rangle} + \frac{[15][34]s_{12}}{\langle 25\rangle}\right)$$

$$+ c_{1}[12][35][45] + c_{2}[13][25][45] + c_{3}[14][25][35]$$

This is a result consistent with that based on effective operator $c_0(\bar{\psi}\psi)D^2(\bar{\psi}\psi)$, generated in CDE.



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Conclusion & Outlook

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- On-shell amplitude provides a better way to examine helicity amplitudes from effective operators than effective operators.
- We proposed a correspondence between operator basis and amplitude basis. Counting amplitude basis is a more intuitive alternative of counting operator basis.
- On-shell constructibility of EFT is essential for the validity of amplitude basis, but traditional recursion relation does not work in general EFT.
- Under SPR ansatz, angular momentum is an additional criterion for on-shell construction. It produces result consistent with CDE.

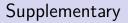
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- On-shell constructibility of EFT is essential for the validity of amplitude basis, but traditional recursion relation does not work in general EFT.
- Under SPR ansatz, angular momentum is an additional criterion for on-shell construction. It produces result consistent with CDE.
- Mass correction to amplitude basis, gauge symmetry breaking.
- Positivity bound for multi-particle scattering.

Thank You



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• Schouten Identity

[12][34] + [13][42] + [14][23] = 0

