

Neutrino Scattering: Theory, Experiment, Phenomenology (vSTEP 2024)

May 19, 2024





Tsinghua University Zhen Hu



Outline

- FASER and FASER ν
- FASER ν pilot result [PRD 104, L091101 (2021)]
- First direct observation of collider neutrinos
 [PRL 131, 031801 (2023)]
- First direct observation of collider electron neutrinos [Submitted to PRL in 2024]
- Summary

FASER collaboration

Founded in 2019 with 16 institutions



First FASER collaboration meeting in 2019

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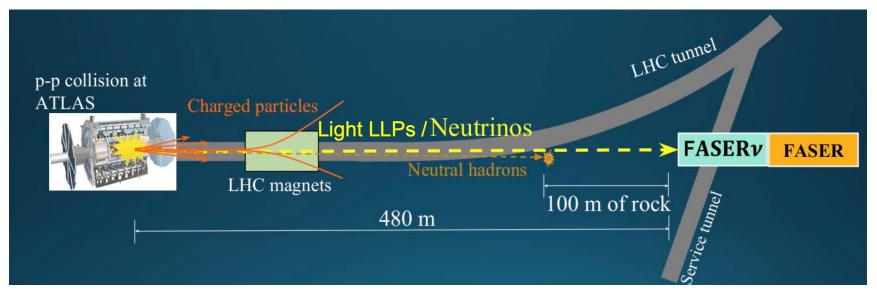
FASER collaboration

97 members from 26 institutions and 10 countries



FASER and FASER ν

• FASER is a detector to search for light, weakly coupled longlived particles and measure cross-sections of neutrinos, that are produced in pp collisions at ATLAS Interaction Point (IP), starting in 2022 together with LHC Run-3.

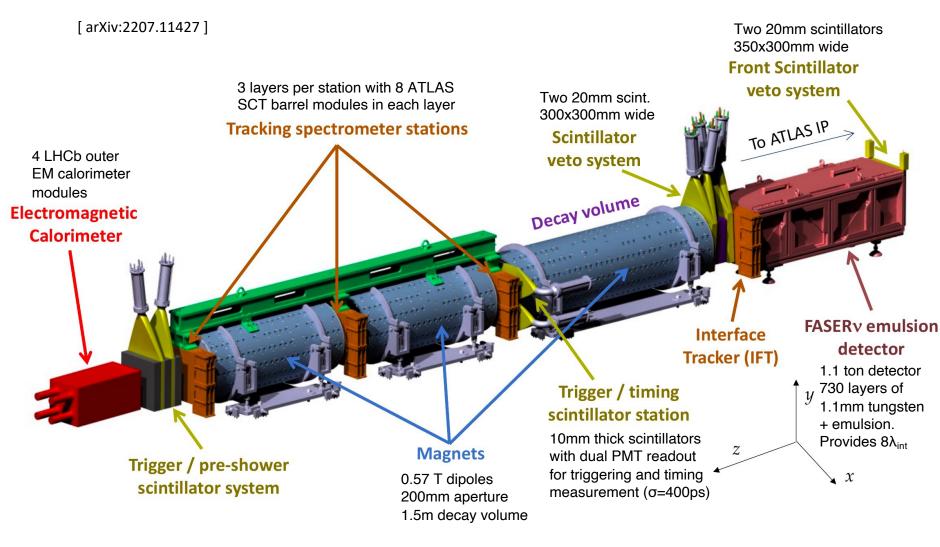


FASERv is a detector (part of FASER) for neutrino measurements. It makes the first measurements of neutrinos from a collider and in unexplored energy regime.
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FASER detector

Built from existing spare parts and some dedicated new components



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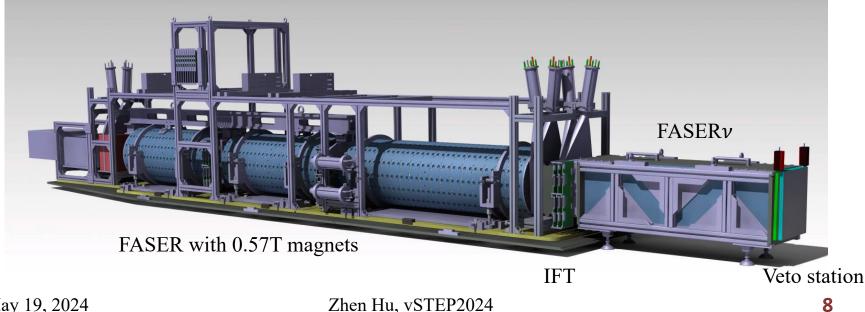
FASER ν detector in front of FASER

• FASER ν is a detector consisting of emulsion, tungsten, IFT and veto station

- Composed of 730 1.1-mm-thick tungsten plates, interleaved with emulsion films

- An area of 25×30 cm², 1.1 m long, 1.1 tons detector (220 X0)

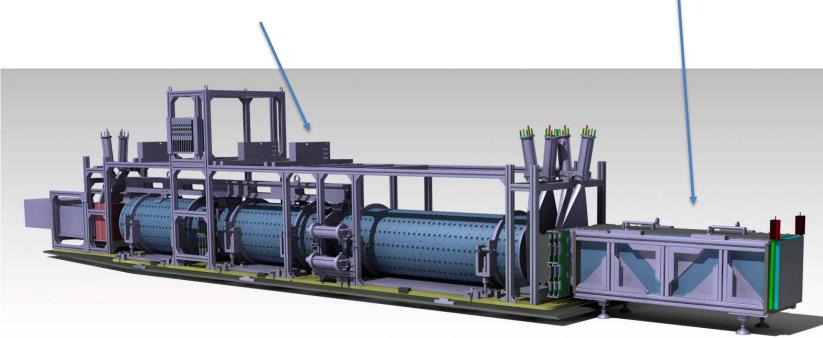
FASER ν is placed in front of the FASER main detector



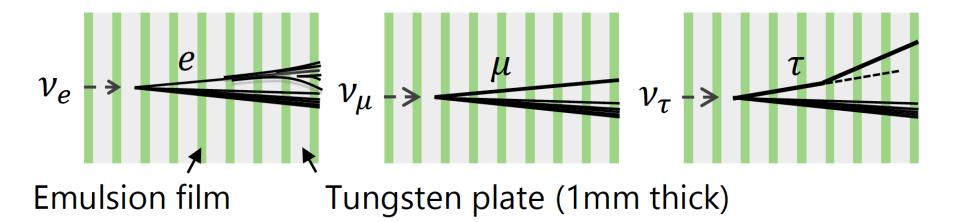
Detection of Neutrinos

- Two ways to study neutrinos at FASER
- Active FASER
 - Currently v_{μ} only
 - Can separate muon neutrinos from anti-muon neutrinos

- FASERv: Emulsion detector
 - No charge information
 - Sensitive to all 3 species



Neutrino detection in emulsions



- All flavors of neutrino interactions can be detected and distinguished from each other
 - ✓ Muon identification by its track length in the detector (8 λ_{int})
 - ✓ Muon charge identification with tracking stations distinguishing ν_{μ} and $\bar{\nu}_{\mu}$
 - ✓ Neutrino energy measurement with ANN by combining topological and kinematical variables

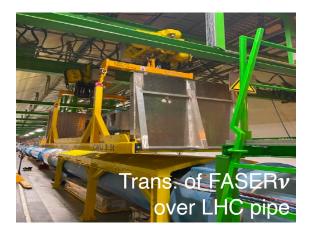
Preparation for Run-3

Installation fully completed in November 2021, ahead of Run 3





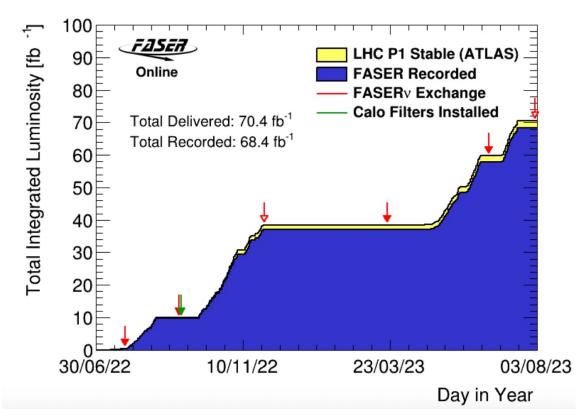






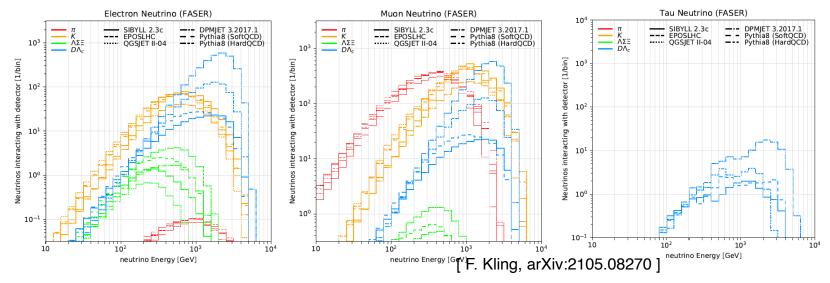
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Luminosity



- Successfully operated during all of 2022 and 2023 Physics trigger rates are on average 1 kHz
- 98% data taking efficiency since startup
 35 fb⁻¹ collected in 2022 and 33 fb⁻¹ in 2023
- FASER*v* emulsion box has been replaced 4 times to manage background occupancy First box was only partially filled with emulsion

Expected Neutrino event rates at Run-3,4



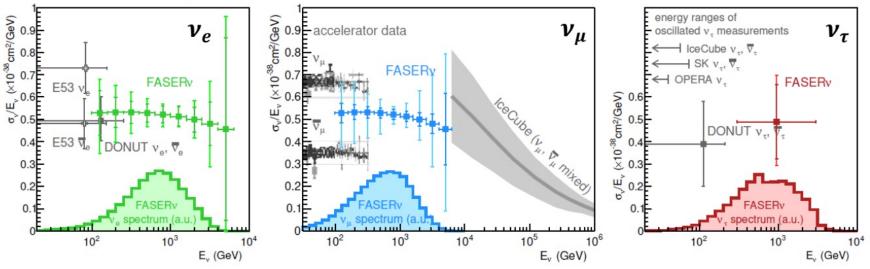
Expected number of CC interactions in FASERv during Run-3, Run-4 arXiv:2402.13318

Generators		$FASER\nu$ at Run 3			FASER ν at Run 4		
light hadrons	charm hadrons	$\nu_e + \bar{\nu}_e$	$ u_{\mu} + \bar{\nu}_{\mu} $	$\nu_{\tau} + \bar{\nu}_{\tau}$	$\nu_e + \bar{\nu}_e$	$ u_{\mu} + \bar{ u}_{\mu} $	$\nu_{\tau} + \bar{\nu}_{\tau}$
EPOS-LHC	_	1149	7996	_	3382	23054	_
SIBYLL 2.3d	_	1126	7261	_	3404	21532	_
QGSJET 2.04	_	1181	8126	_	3379	22501	_
PYTHIAforward	_	1008	7418	—	2925	20508	_
_	POWHEG Max	1405	1373	76	4264	4068	255
_	POWHEG	527	511	28	1537	1499	91
	POWHEG Min	294	284	16	853	826	51
Combi	Combination		8507^{+992}_{-962}	28^{+48}_{-12}	4919^{+2748}_{-1141}	24553^{+2568}_{-3219}	91^{+163}_{-41}

 FASERv's LOS maximizes fluxes of all neutrino flavors. Most abundant is v_µ

Charged Current interactions

Projected precision of FASERv measurement at 14-TeV LHC (150 fb⁻¹)



- FASERv will measure neutrino cross-sections at TeV scale which is uncovered by existing experiments
- Due to excellent position resolution of the emulsion detector, CC crosssections will be measured for all neutrino flavors
- The charge measurement in FASER tracking stations behind FASERv to separate v_{μ} and \bar{v}_{μ}

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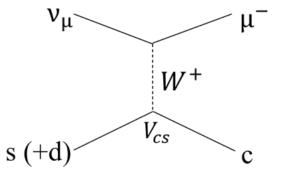
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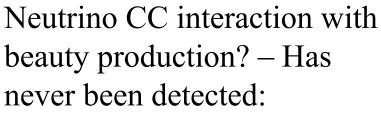
Proton PDF

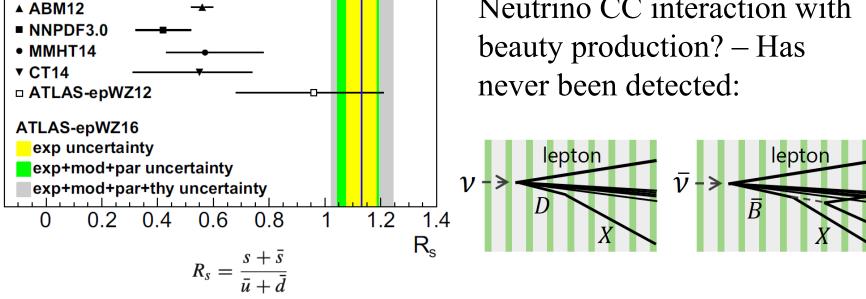
D meson production in CC v_{μ} interaction is sensitive to strange PDF in a proton where tension exists between ATLAS and PDF predictions

[Eur. Phys. J. C77 (2017) 367]

 $Q^2 = 1.9 \text{ GeV}^2$, x=0.023

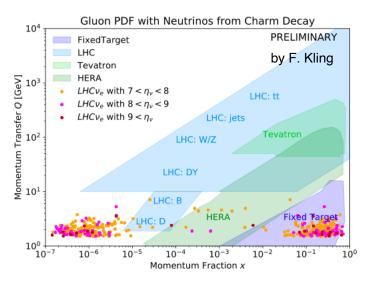




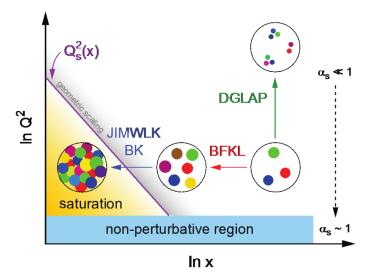


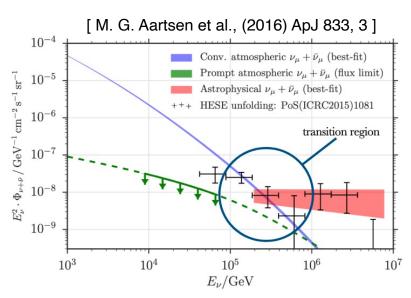
ATLAS

Forward physics

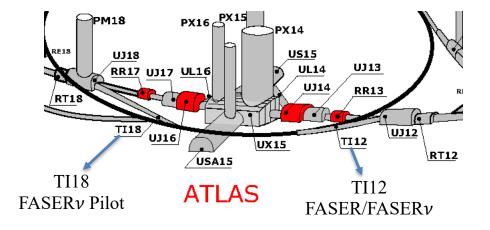


- Neutrino flux and its energy spectrum can allow to probe small-*x* PDF, effects of gluon saturation, and intrinsic charm
- Proton-proton collision at LHC corresponds to ~100 PeV proton interaction with fixed target. Cross-section of heavy mesons at LHC can provide constraint on the prompt atmospheric neutrino flux



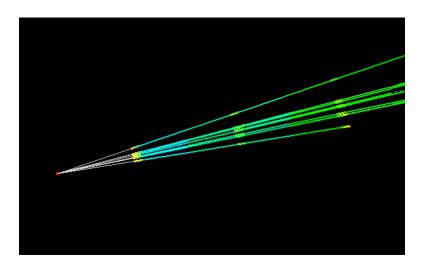


2018 FASERv pilot run



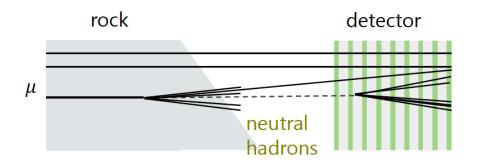
- The pilot runs were taken place for neutrino detection and flux measurement of charged particles at tunnels TI12 and TI18 in 2018
- TI18 is the tunnel at the same distance from ATLAS IP as TI12 but opposite side
- The neutrino detection was performed with a 30 kg emulsion detector installed at TI18, collecting 12.5 fb⁻¹ of data





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Pilot run background

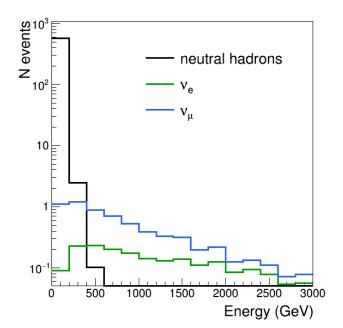


The production rates of neutral hadrons per incident muon [arXiv:2105.06197]

	Negative Muons	Positive Muons
K_L	$3.3 imes10^{-5}$	9.4×10^{-6}
K_S	$8.0 imes10^{-6}$	$2.3 imes 10^{-6}$
\boldsymbol{n}	$2.6 imes10^{-5}$	$7.7 imes 10^{-6}$
$ar{n}$	$1.1 imes 10^{-5}$	3.2×10^{-6}
Λ	$3.5 imes 10^{-6}$	$1.8 imes 10^{-6}$
$\bar{\Lambda}$	2.8×10^{-6}	8.7×10^{-7}

 Energy of upstream neutral hadrons are low → can suppress them by vertex topology

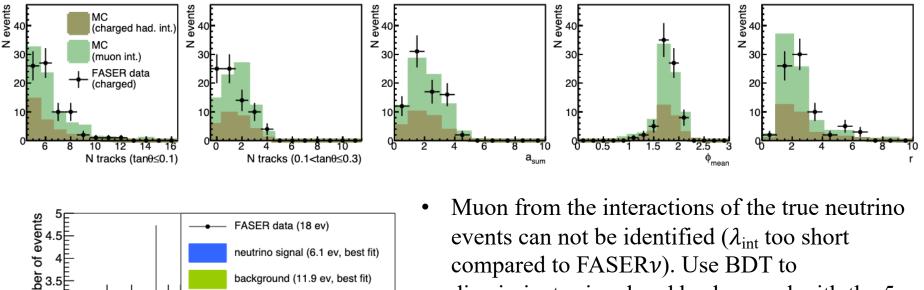
- The largest background are muons, which can be vetoed by emulsion vertices with a charged parent
- Muons produce neutral hadrons in upstream rock, which can mimic neutrino interaction vertices – use Geant4 to simulate

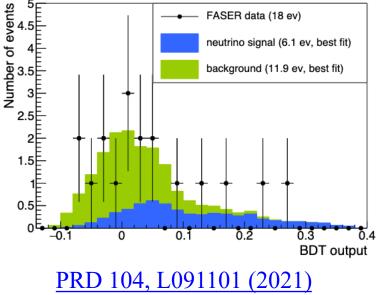


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Pilot data analysis

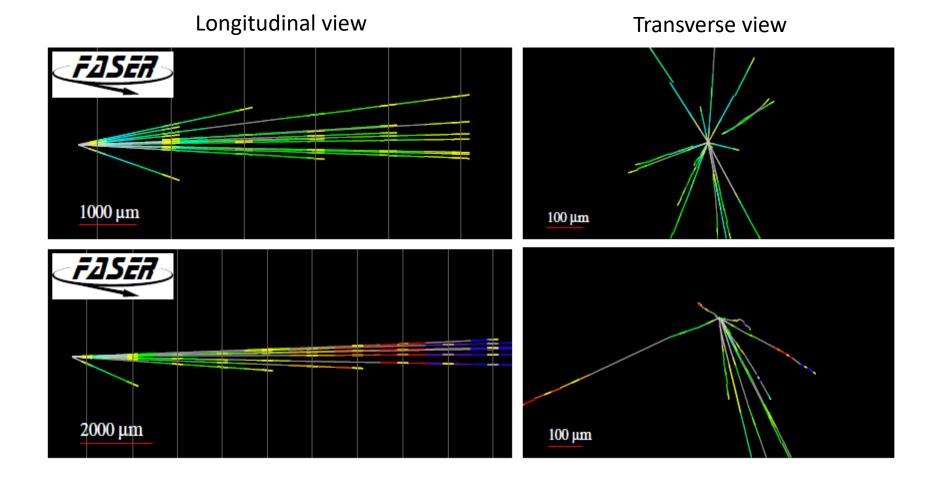
To validate the MC modeling of the BDT input variables, charged vertices from muons and hadrons are checked





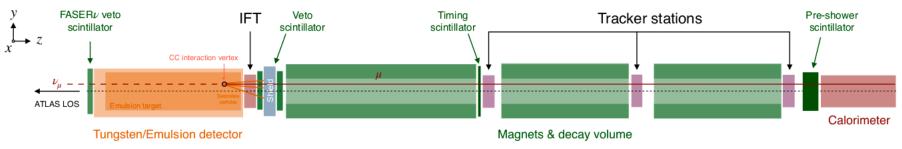
- discriminate signal and background with the 5 input variables
- Out of 18 neutral vertices, 6.1 signal events ٠ (2.7 σ) are obtained from the fit, while 3.3^{+1.7}_{-0.9} is expected. This result demonstrates detection of neutrinos at the LHC

Neutrino candidates in pilot data



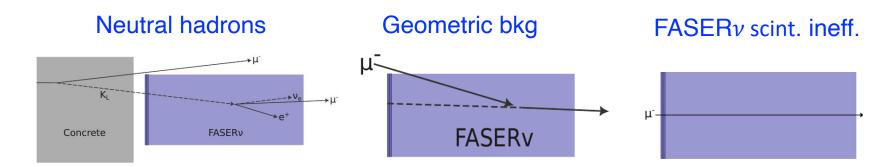
Neutrino electronic event with 2022 data

PRL 131, 031801 (2023)



- Selection criteria:
 - ✓ Events in collision bunches, during good physics data periods (35.4 fb⁻¹)
 - ✓ No signal in FASER ν scintillators with more than 40 pC
 - ✓ Signal in the scintillators downstream of the lead wall and in the calorimeter should be consistent with a MIP (e.g., last two veto layers >40pC)
 - Exactly one good quality spectrometer track with p>100 GeV with at least 11 silicon hits (out of 18)
 - ✓ Track extrapolated to IFT r_{max}<95mm</p>
 - ✓ Track extrapolated to FASER ν scintillators r_{veto ν} <120mm
 - ✓ Track polar angle less than 25 mrad
- Based on simulation expect 151±41 neutrino events, uncertainty given by difference between two event generators. Not separating neutrinos and antineutrinos. Currently not trying to make cross section measurement

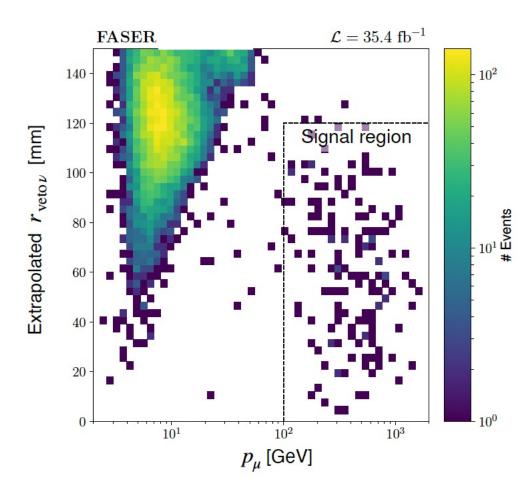
Neutrino event background



Neutral hadrons estimated from MC simulation

- Expect O(300) neutral hadrons with E>100 GeV from concrete to reach FASER ν
- Most will be accompanied by muon, but conservatively assume it is missed
- Most neutral hadrons absorbed in tungsten without producing highmomentum track
- In total expect just 0.11 ± 0.06 events
- Geometric bkg estimated from control region of events with single track extrapolation within 90 mm<r_{max}<95 mm. Expect 0.08±1.83 events
- Veto inefficiency estimated from events with just one veto scintillator layer firing

Neutrino events observation

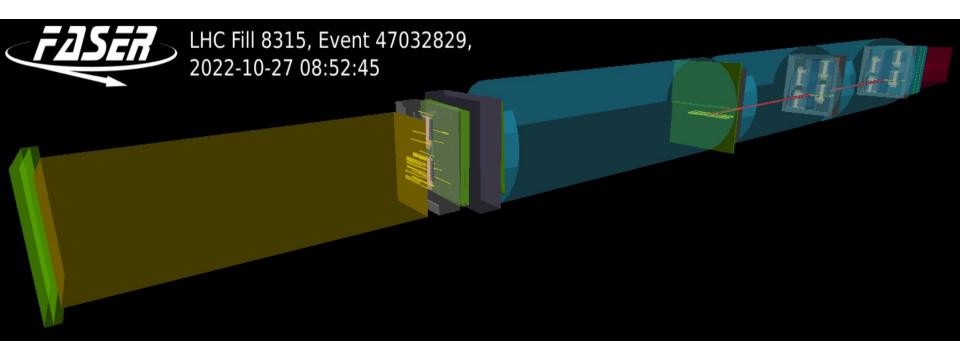


Use a binned extended maximum likelihood fit, and introduce nuisance parameters to constrain the estimated background events to their expectations using Gaussian priors. Fitted value:

$n_{\nu} = 153^{+12}_{-13}$ (stat.) $^{+2}_{-2}$ (bkg.)

Use a discovery test statistic to determine the significance of the observed signal over the background-only hypothesis. A significance of 16σ is observed based on asymptotic formulas

Neutrino candidate event display

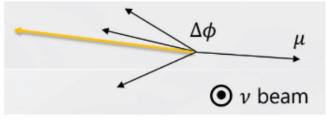


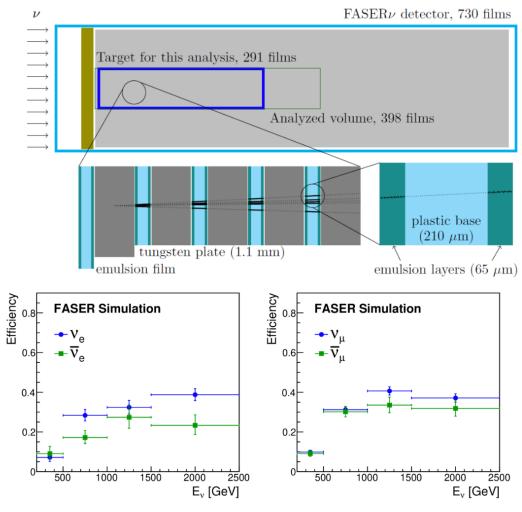
New FASERv Analysis

(Only small fraction of 2022 analyzed so far)

Submitted to PRL in 2024

- Data set:
 - 2022 second module \rightarrow 9.5 fb⁻¹;
 - Target mass: 128.6 kg;
 - \sim 1.7% of data collected to date.
- Selection criteria:
 - Vertex reconstruction:
 - $N_{track} \ge 5$
 - $N_{track}(tan\theta \le 0.1) \ge 4$
 - Lepton requirements:
 - E_e or p_µ > 200 GeV
 - $tan\theta_e \text{ or } tan\theta_\mu > 0.005$
 - Back-to-back topology: $\Delta \phi > 90^{\circ}$

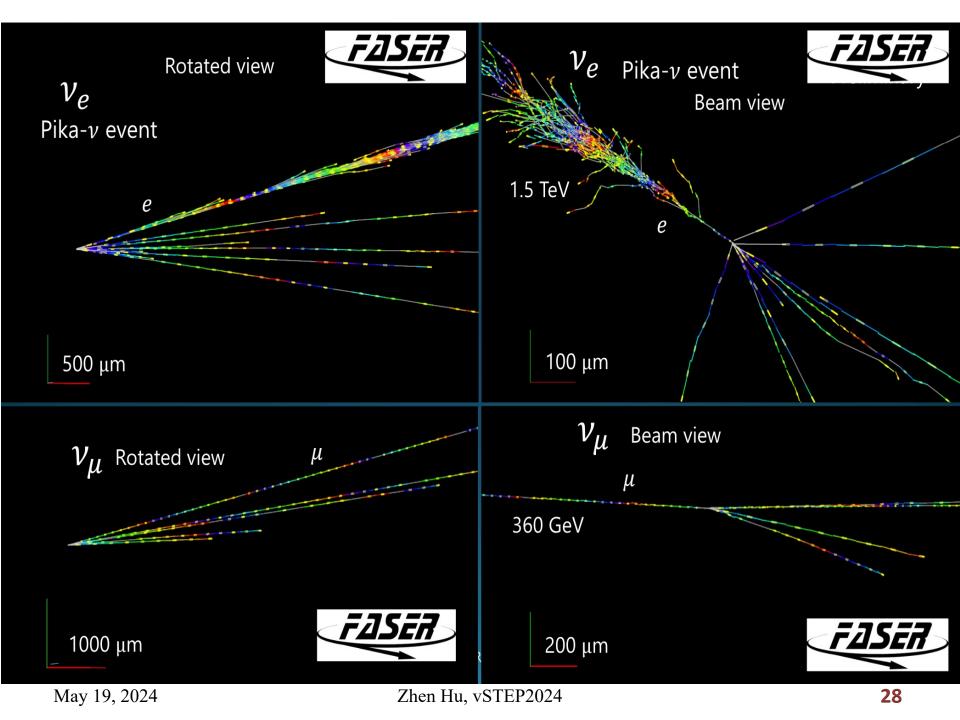




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N events tracks 30 N tracks *F3527* FASER FASER v_e events - MC (v_e CC) - MC (v_e CC) $-MC (v_e CC)$ z - Data (v. CC) 2 20 - Data (ve CC) - Data (ve CC) 1.5 15 • $E_e = 1.5$ TeV, highest v_e energy measured! 0.5 • MC normalized to number of observed events. 1500 2000 500 1000 200 400 600 800 1000 0.05 0.1 0.15 p_{lep} [GeV] tane all tracks p_{all tracks} [GeV] Side View **Beam View** eе 500 µm 100 µm

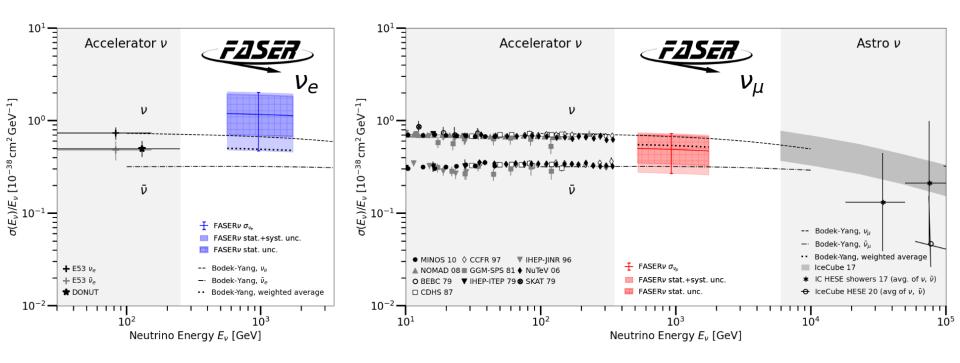
N tracks 12 N tracks 0 10 10 10 N events FASER FASER FASER v_{μ} events - MC (v_µ CC) - MC (v_µ CC) - MC (v_µ CC) - Data (v_µ CC) - Data (v_µ CC) - Data (v_µ CC) • $p_{\mu} = 864$ GeV, highest v_{μ} from an artificial source • MC normalized to number of observed events. 1500 1000 2000 Գ 400 600 800 1000 200 0.05 0.1 p_{lep} [GeV] pall tracks [GeV] $tan \theta_{all tracks}$ Side View aSen **Beam View** μ μ 1000 µm 200 µm



Fill the gap

First observation of v_e at the LHC! First neutrino crosssection measurement in the TeV range!

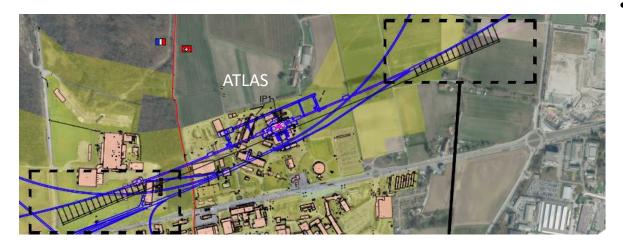
Interaction	Expected background	Expected signal	Observed	Significance
v _e CC	$0.025\substack{+0.015\\-0.010}$	1.1 – 3.3	4	5.2σ
v_{μ} CC	$0.22^{+0.09}_{-0.07}$	6.5 – 12.4	8	5.7σ

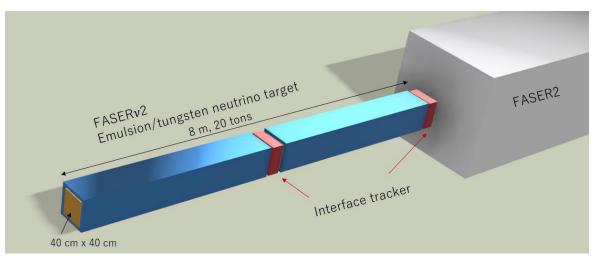


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Detector upgrade





The Forward Physics Facility (FPF) for the HL-LHC is a proposed facility that could house a suite of new forward experiments

> The background muon rate may be able to be reduced with a sweeper magnet (studies ongoing)

 Detector upgrade is being discussed

 FASERv2, 10 times bigger target mass, can have 200-fold increase in neutrino event rate

– e.g., ~3000 ν_{τ} interactions are expected

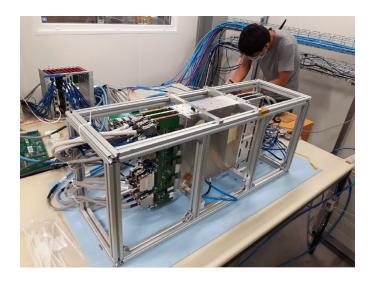
Summary

- FASER successfully operating during CERN LHC Run-3
 - Running at very good efficiency with fully functional detector
- First physics results are available in 2023
 - Observed ~150 neutrino CC interactions with the electronic components
 - ✓ First direct observation of collider neutrinos (ν_{μ})
 - ✓ Open new window for studying high energy neutrinos
- Measures TeV-scale neutrinos of all 3 flavors in 2024
 - First direct observation of ν_e at the LHC
 - First neutrino cross-section measurement in the TeV range
- Stay tuned

"FASER实验: 简介与研究进展", 科学通报 69卷, 8期: 1025-1033 (2024) <u>http://engine.scichina.com/doi/10.1360/TB-2023-1034</u>

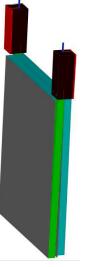
Backup Slides

IFT and veto system



PMT (H11934-300)





- IFT uses the same design as the tracker station in the FASER spectrometer.
 Important for track matching between FASER and FASERv
 - Silicon strip detector with ATLAS SCT barrel modules
 - Test beam data obtained with CERN SPS facility
- Veto station consists of two 2-cm scintillators and WLS (Wave Length Shifting) bars with two PMTs. Rejects upstream charged particles
 - The PMTs were tested
 - The scintillators have been tested with cosmic rays

30×35 cm² Zhen Hu, vSTEP2024

Pilot data event reconstruction

Selection cuts are applied on the tracks to enhance signal and suppress backgrounds

- Reconstructed tracks passing through at least 3 plates
- Vertex reconstruction for tracks with a minimum distance within 5 μ m
- Converging patterns with 5 or more tracks were then identified as vertices
- Collimation cuts on vertices:

– The number of tracks with tan $\theta \le 0.1$ with respect to the beam direction is required to be 5 or more

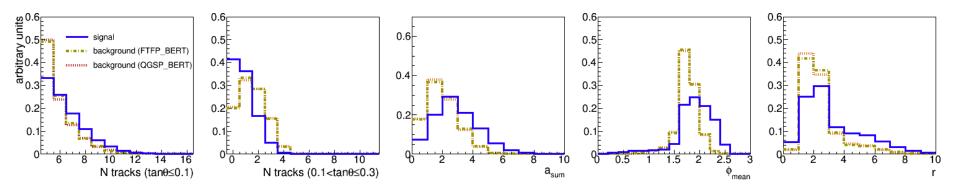
– The number of tracks with tan $\theta > 0.1$ with respect to the beam direction is required to be 4 or less

- ✓ Vertices are categorized as charged or neutral based on the presence or absence, respectively, of charged parent tracks
- ✓ In the signal, all neutrino flavors are combined
- \checkmark 18 neutral vertices were selected

Selection efficiency cuts for signal and neutral hadron background (E > 10 GeV)

gnal	Background			
		$FTFP_BERT$	QGSP_BERT	
0.490	K_L	0.017	0.015	
0.343	K_S	0.037	0.031	
0.377	n	0.011	0.012	
0.266	$ar{n}$	0.013	0.013	
0.454	Λ	0.020	0.021	
0.368	$ar{\Lambda}$	0.018	0.018	
	$\begin{array}{c} 0.490 \\ 0.343 \\ 0.377 \\ 0.266 \\ 0.454 \end{array}$	$egin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	

Kinematic variables in pilot data

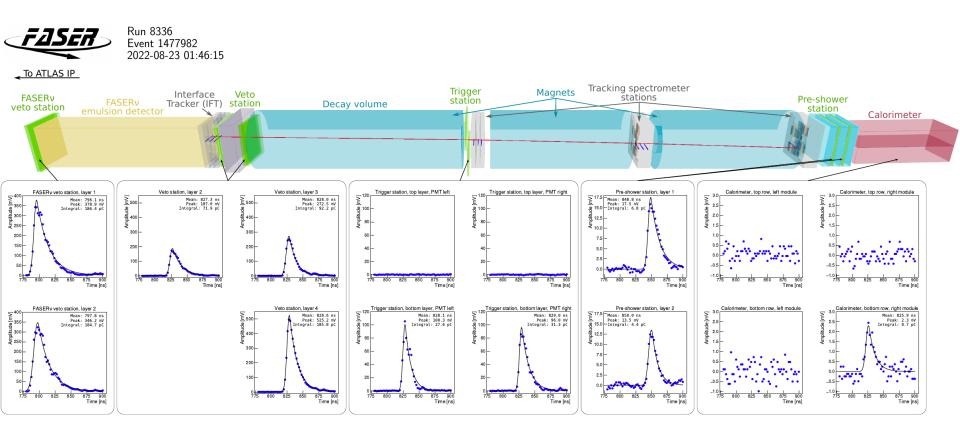


Five kinematic variables are used to separate signal and background

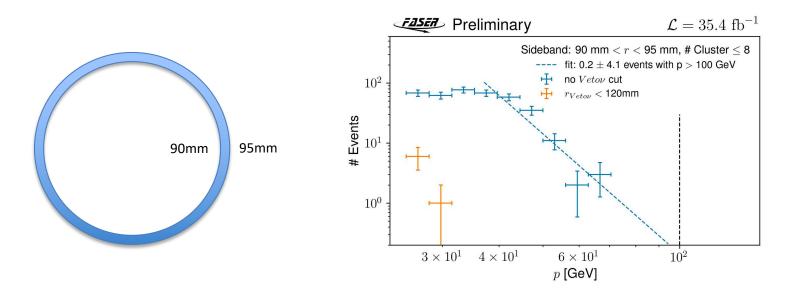
Variable	description
N_{trk} (tan $\theta \le 0.1$)	The number of tracks with $\tan\theta \le 0.1$ with respect to the beam direction
$N_{trk} (0.1 < \tan \theta \le 0.3)$	The number of tracks with $0.1 < \tan\theta \le 0.3$ with respect to the beam direction
a _{sum}	The absolute value of vector sum of transverse angles calculated considering all the tracks as unit vectors in the plane transverse to the beam direction
Ф _{теап}	For each track in the event, calculate the mean value of opening angles between the track and the others in the plane transverse to the beam direction, and then take the maximum value in the event
r	For each track in the event, calculate the ratio of the number of tracks with opening angle \leq 90 degrees and $>$ 90 degrees in the plane transverse to the beam direction, and then take the maximum value in the event

Muon event display in full FASER

Event display of a muon traversing the full FASER detector



Geometric background



- Geometric background of muons by-passing veto measured in outer annulus at 50 GeV<p<100 GeV without radius requirement at FASERv scintillators Also require tracker station hits consistent with 1 track. Negligible neutrino bkg
- Fit momentum to extrapolate to p>100 GeV
- Scale with rate of events inside r_{veto v} <120mm
 0 events, so use 5.9 events as upper limit
- Scale from annulus to full acceptance using large angle muon simulation

FASER ν scintillator inefficiency

Category	Events	Expectation
Signal	153	$n_{\nu} + n_b \cdot p_1 \cdot p_2 + n_{\text{had}} + n_{\text{geo}} \cdot f_{\text{geo}}$
n_{10}	4	$n_b \cdot (1 - p_1) \cdot p_2$
n_{01}	6	$n_b \cdot p_1 \cdot (1 - p_2)$
n_2	64014695	$n_b \cdot (1-p_1) \cdot (1-p_2)$

 n_{10} : Events for which the first layer of the FASER ν scintillator produces a charge of >40 pC in the PMT, but no signal with sufficient charge is seen in the second layer

 n_{01} : Analogous events for which more than 40pC in the PMT was observed in the second layer, but not in the first layer n_2 : Events for which both layers observe more than 40pC of charge

The determined inefficiencies of the two FASER ν scintillators are $p_1 = (6^{+4}_{-3}) \times 10^{-8}$, $p_2 = (9^{+4}_{-3}) \times 10^{-8}$,

The backgrounds from cosmic rays and LHC beam background (with no collisions) are found to be negligible

Dark photon – introduction

• Dark photon A' can act as a portal between the hidden sector of the SM particles via a small mixing ε :

$$\mathcal{L} \supset \frac{1}{2} m_{A'}^2 A'^2 - \epsilon \, e \sum_f q_f A'_\mu \, \bar{f} \gamma^\mu f$$

• When A' mass is less than \sim 211 MeV, it decays dominantly into an electron and positron pair

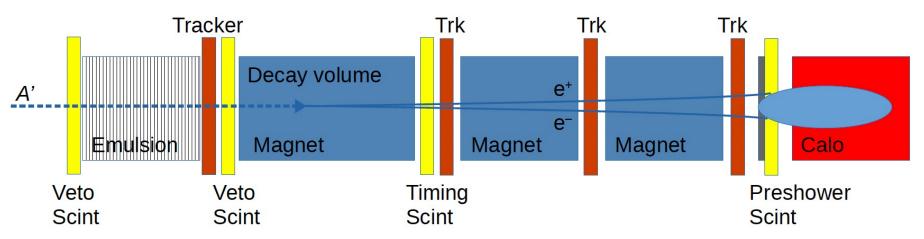
$$\Gamma_e \equiv \Gamma(A' \to e^+ e^-) = \frac{\epsilon^2 e^2 m_{A'}}{12\pi} \left[1 - \left(\frac{2m_e}{m_{A'}}\right)^2 \right]^{1/2} \left[1 + \frac{2m_e^2}{m_{A'}^2} \right]$$

 A' is mainly produced in the forward regions of the collider (via A' Bremsstrahlung or π⁰ → A'γ), so FASER is ideal for the dark photon search. Its decay length is

$$L = c\beta\tau\gamma \approx (80 \text{ m}) \left[\frac{10^{-5}}{\epsilon}\right]^2 \left[\frac{E_{A'}}{\text{TeV}}\right] \left[\frac{100 \text{ MeV}}{m_{A'}}\right]^2$$

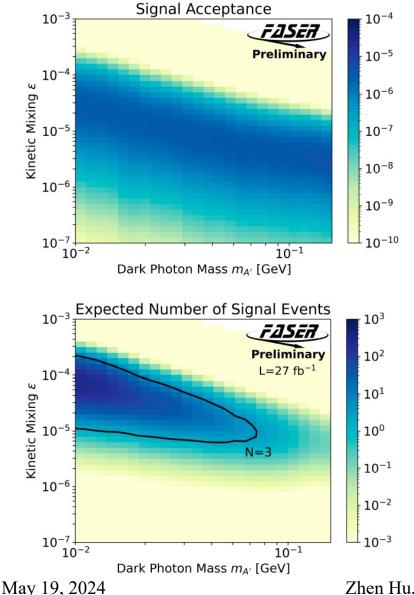
Dark photon event selection

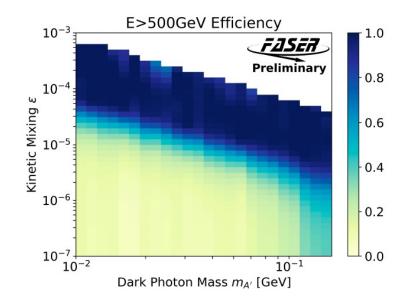
[CERN-FASER-CONF-2023-001]



- Selection optimized for discovery:
 - Events in bunch collision, during good physics data period
 - No signal in any of five veto scintillators (<40 pC)
 - Timing and pre-shower scintillators consistent with ≥2 MIPs
 - Exactly two good quality tracks with p>20 GeV
 - Both tracks in fiducial tracking volume, r_{max}<95 mm
 - Both tracks extrapolate to $r_{veto \nu} < 95$ mm in veto scintillators
 - Total calorimeter energy >500 GeV (A' should be highly boosted to travel to FASER)

Dark photon acceptance and efficiency

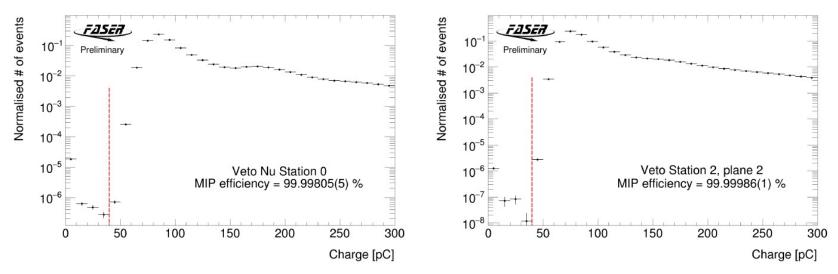




- FASER solid angle coverage is only ~10⁻⁸.
- For a particular A' mass, if ε is too larger (small), A' will decay too fast (no decay) inside FASER
- For fixed ε, production cross section decrease with increasing A' mass

Veto efficiency

Charge distribution in the most downstream VetoNu scintillator (left) and the most upstream Veto scintillator (right)



- Require no signal in the five veto layers (2 FASER veto layers and 3 veto stations)
- Veto scintillator efficiencies measured by extrapolating tracks triggered in timing scintillator back to the five veto layers
- All inefficiencies below 2x10⁻⁵. With five layers, even 10⁸ muons going through veto produces negligible background even before any other selections applied

Background from neutral hadrons

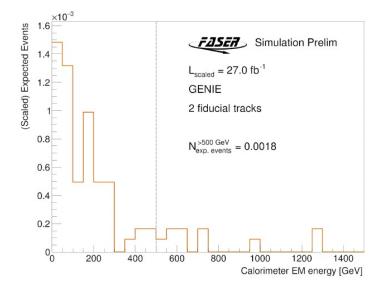
- Neutral hadrons are mainly produced in muon interactions in the rock in front of FASER. Heavily suppressed due to
 - Have to traverse the full FASER ν detector
 - The parent muon has to miss the veto scintillators
 - Hadron decay products has to leave E>500 GeV in calorimeter
- They are estimated with the following steps
 - Derive a ratio of E>500 GeV to E<100 GeV events with three tracks (one of which is the parent muon)
 - Count events with two tracks (with no parent muon) with E<100 GeV.
 To allow for sufficient event counts, require no signal in FASERv scintillators, but no requirement in the veto scintillators
 - · Scale the two-track event counts with the ratio
 - Photon conversion events are suppressed with E/p<0.5
- The estimated neutral hadron events is $(2.2 \pm 3.1) \times 10^{-4}$

Selection	Nevents E<100 GeV	Nevents E>500 GeV
3 tracks (VetoNu signal)	544.7	11.0
2 tracks (No VetoNu signal)	1	Predicted: 0.02

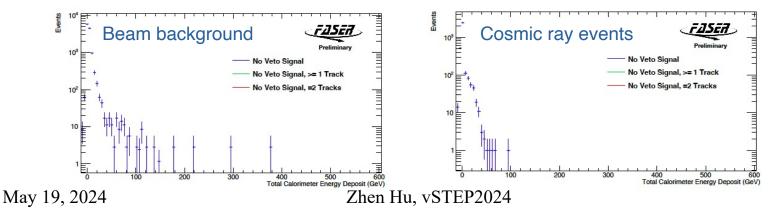
Neutrino and non-collision backgrounds

Neutrino background is the largest one in the analysis. Estimated from simulation

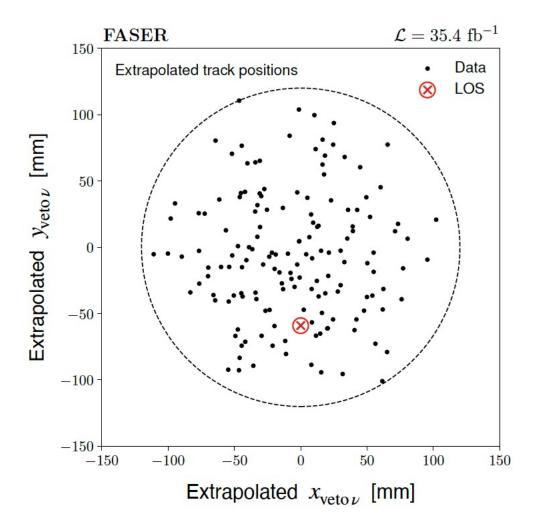
- Using GENIE generator (300 ab⁻¹)
- With uncertainties for mismodelling and neutrino flux, expect 0.0018±0.0024 (syst.)±0.0005 (stat.) events per 27 fb⁻¹ of data
- Occurring in trigger/timing scintillators
- Background from neutrino induced hadrons upstream found to be negligible



Non-collision background from cosmic and near-by beam debris is negligible. Studied in non-colliding bunches and runs without beam. No such events seen with E>500 GeV or a reconstructed track

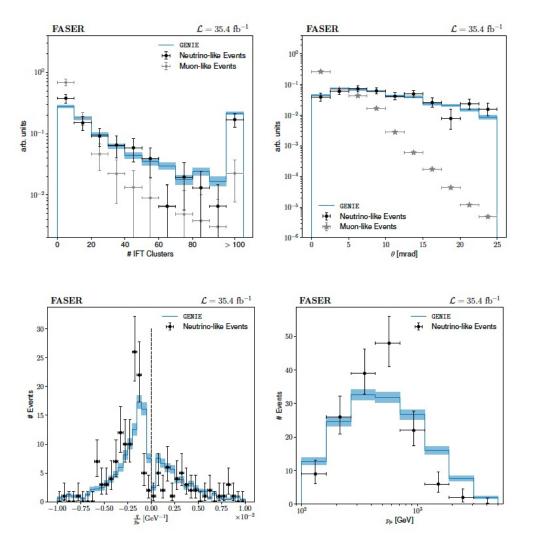


Neutrino event distributions



As expected, that the identified neutrino candidates are distributed around the ATLAS LOS and do not cluster at a specific point of origin

Neutrino event distributions

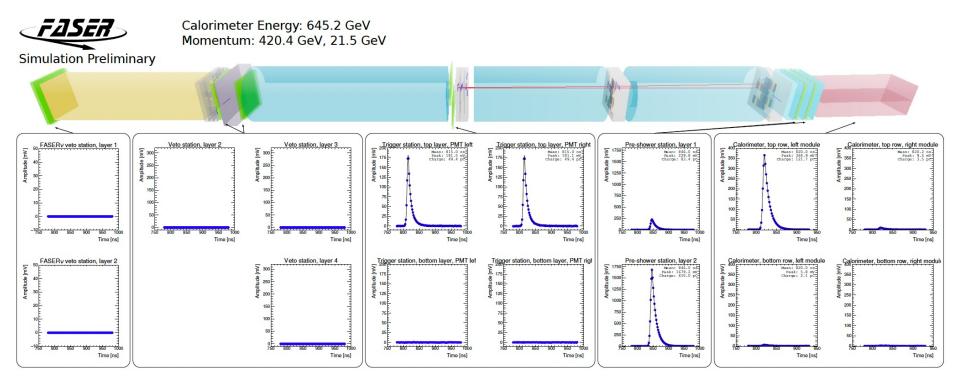


The CC neutrino interactions produce on average a larger number of particles than MIP interactions. The muon polar angle from neutrino interactions is also larger

40 (out of ~153) events with a positively-charged track Candidate from anti-neutrinos. Since ~80% of neutrino's momentum is transferred to muon, most of these observed neutrinos have momentum >200 GeV

Signal event display

• Example of a simulated Dark Photon decaying inside the detector decay volume



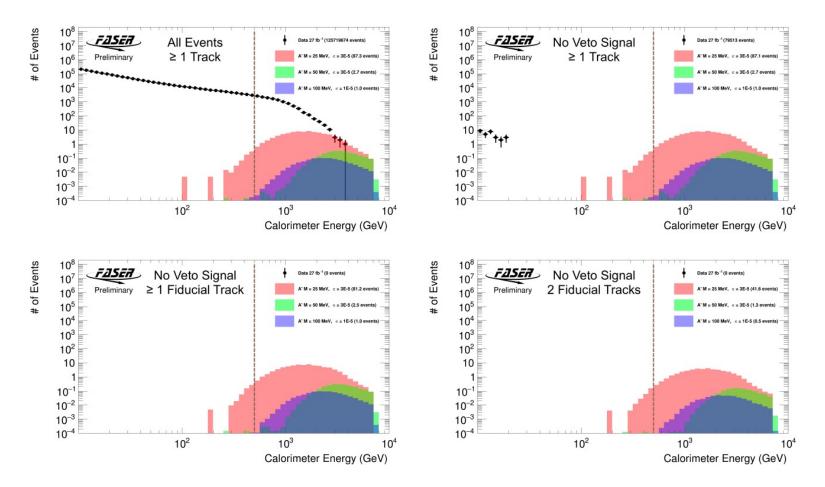
Event cut flow

- Data and example signal efficiencies for analysis selections
- Note pre-selection to have at least one reconstructed track (no quality

cuts) in the event has been applied on data

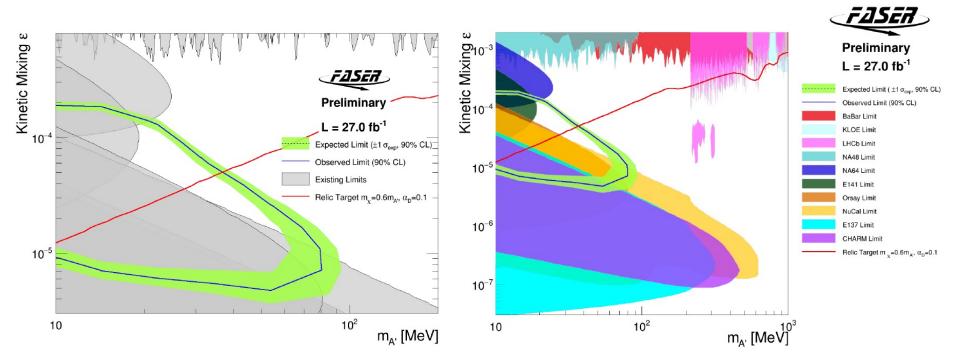
	Data		Signal ($\varepsilon = 3 \times 10^{-5}, m_{A'} = 25.1 \text{MeV}$)	
Cut	Events	Efficiency	Events	Efficiency
Good collision event	151750788		95.3	99.7%
No Veto Signal	1235830	0.814%	94.0	98.4%
Timing/Preshower Signal	313988	0.207%	93.0	97.3%
$\geq 1 \text{ good track}$	21329	0.014%	85.2	89.2%
= 2 good tracks	0	0.000%	44.5	46.6%
Track radius $< 95 \text{ mm}$	0	0.000%	40.4	42.3%
Calo energy $> 500 \text{ GeV}$	0	0.000%	39.7	41.6%

Data in signal region



- Total background expected: 0.0020 ± 0.0024
- No events seen in the signal region

Dark photon sensitivities



- FASER sets limits (profile likelihood approach) on previously unexplored parameter space
- Region below the relic target line would have an over-abundance of dark matter (excluded cosmologically), although the line is model-dependent: $m_{\chi}/m_{A'} = 0.6$ and coupling between A' and χ is $\alpha_D = 0.1$
- Very recent NA62 result overlaps with the FASER excluded region mainly below the relic target line (bot shown here)

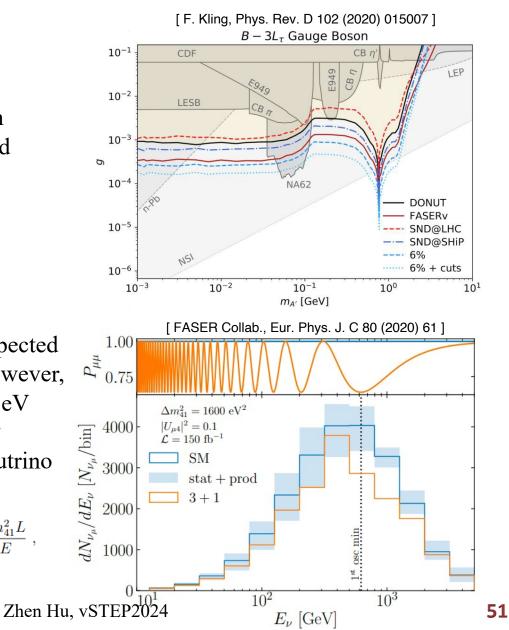
FASER ν 2 for BSM physics

• The tau neutrino flux is small in SM. A new light weakly coupled gauge bosons decaying into tau neutrinos could significantly enhance the tau neutrino flux

 SM neutrino oscillations are expected to be negligible at FASERv. However, sterile neutrinos with mass ~40 eV can cause oscillations. FASERv could act as a short-baseline neutrino experiment

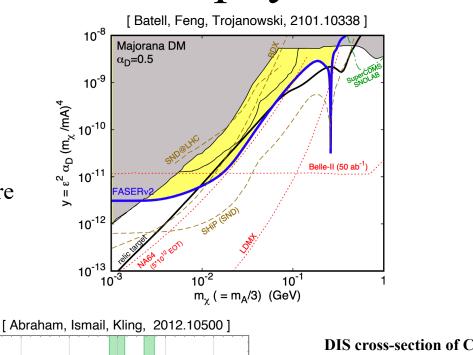
$$\begin{split} P(\nu_{\alpha} \rightarrow \nu_{\alpha}) &= 1 - 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2) \sin^2 \frac{\Delta m_{41}^2 I}{4E} \\ P(\nu_{\alpha} \rightarrow \nu_{\beta}) &= \sin^2 2\theta_{\alpha\beta} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \ . \end{split}$$

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FASER ν 2 for BSM physics

 If DM is light, the LHC can produce an energetic and collimated DM beam towards FASERv. FASERvcould therefore also search for DM scattering



 FASERvalso measures cross-section of Neutral Current (NC) neutrino interactions. Non-Standard Interaction (NSI) can be explored in conjunction with measurement of CC cross-section
 May 19, 2024

