

# Some phenomenological applications of Khuri-Treiman equations

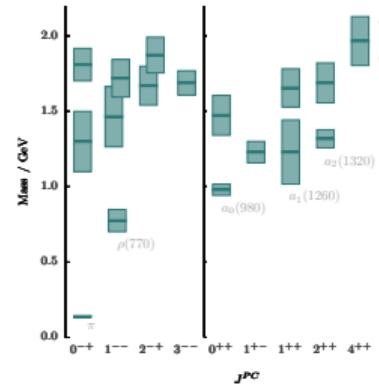
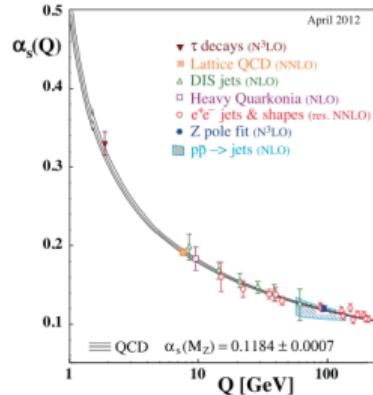
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ITP-CAS (Beijing), January 10, 2024

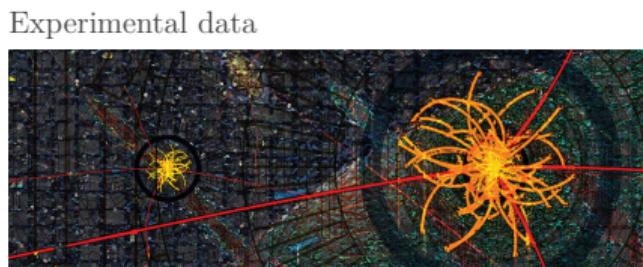
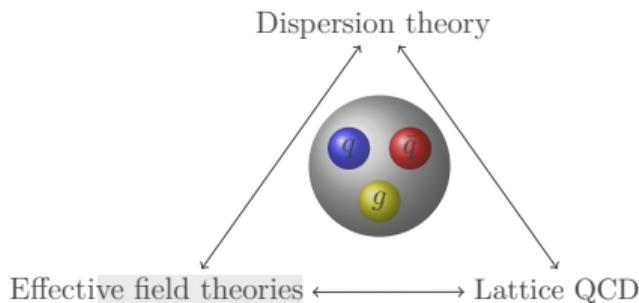


# Introduction

- **Asymptotic freedom:**  
"like QED", but only at high energies
- **Confinement:**  
at low energies the gluons bind the quarks together to form the hadrons

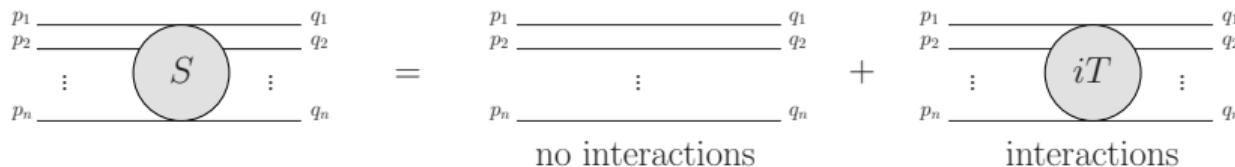


- Quark models give gross structure of hadron spectrum
- Need non-perturbative **approaches** to describe these hadrons *rigorously*:

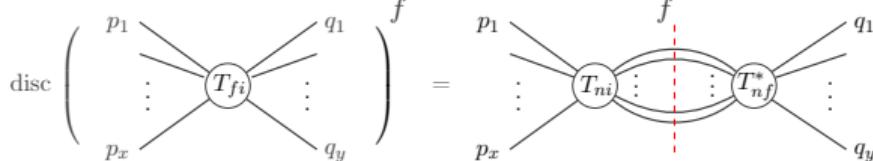


# Dispersive framework

- Model independent and non-perturbative resummation of final-state interactions (FSI), based on:
  - **Unitarity** ( $\sim$  probability conservation) gives rise to the *optical theorem*:



$$\sum_f \text{Prob}_{i \rightarrow f} = \sum_f |\langle f | S | i \rangle|^2 = 1 \Rightarrow S S^\dagger = S^\dagger S = \mathbb{1} \quad (S = \mathbb{1} + iT),$$

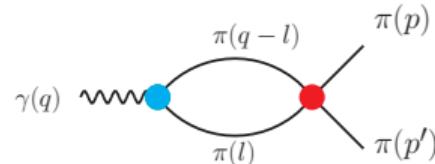


$$\text{disc } T_{fi} = T_{fi} - T_{if}^* = i \sum_n \int d\Pi_n \delta^4(P_i - K_n) T_{nf}^* T_{ni},$$

- **Analyticity:** Dispersion relations reconstruct the whole amplitude with knowledge about discontinuity

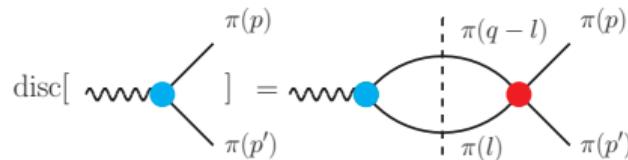
# Example: the pion vector form factor

- Full loop calculation



$$\mathcal{M} = \frac{i}{2} \int \frac{d^4 l}{(2\pi)^4} \frac{\textcolor{red}{T}_{\pi\pi}^{I*}(s, z_l)(q - 2l)_\mu \textcolor{blue}{F}_\pi(s)}{[l^2 - m_\pi^2 + i\varepsilon][(q - l)^2 - m_\pi^2 + i\varepsilon]},$$

- Cutkosky rule: propagators yield Im parts on-shell  $\frac{1}{p^2 - M^2 + i\varepsilon} \rightarrow -2\pi i\delta(p^2 - M^2)$
- Calculation of the **discontinuity** (unitarity)



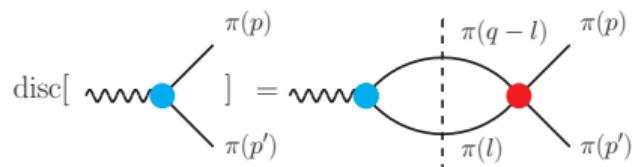
$$(p - p')_\mu \text{disc} \textcolor{blue}{F}_\pi(s) = \frac{i}{2} \int \frac{d^4 l}{(2\pi)^4} (2\pi)\delta(l^2 - m_\pi^2)(2\pi)\delta((q - l)^2 - m_\pi^2) \textcolor{red}{T}_{\pi\pi}^{I*}(s, z_l)(q - 2l)_\mu \textcolor{blue}{F}_\pi(s),$$

- Use the p-w expansion of the  $\pi\pi$  amplitude and the orthogonality condition

$$\textcolor{red}{T}_{\pi\pi}^I(s, z_l) = 32\pi \sum_{\ell=0}^{\infty} (2\ell + 1) \textcolor{red}{t}_\ell^I(s) P_\ell(z_l), \quad (2\ell + 1) \int_{-1}^1 dz_l P_\ell(z_l) P_{\ell'}(z_l) = 2\delta_{\ell\ell'},$$

## Example: the pion vector form factor

- Unitarity:



(only  $\ell = 1$  is projected out)

$$\text{disc} F_\pi(s) = 2i\text{Im} F_\pi(s) = 2i\sigma_\pi(s) F_\pi(s) t_1^{1*}(s) = 2i F_\pi(s) \sin \delta_1^1(s) e^{-i\delta_1^1(s)},$$

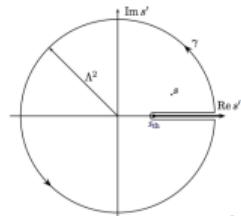
- Watson's theorem [Watson, Phys.Rev. 95, 228 (1954)]:

$$\text{Im} F_\pi(s) = |F_\pi(s)| e^{i\delta_{F\pi}(s)} \sin \delta_1^1(s) e^{-i\delta_1^1(s)} \theta(s - 4m_\pi^2),$$

$$\Rightarrow \delta_{F\pi}(s) = \delta_1^1(s),$$

# Omnès equation

- Invoke Cauchy integral



$$F_\pi(s) = \frac{1}{2\pi i} \oint_\gamma \frac{F_\pi(s')}{s' - s} ds',$$

- Assuming  $F_\pi(s) \rightarrow 0$  when  $\Lambda^2 \rightarrow \infty$ , only the integral over the cut remains

$$F_\pi(s) = \frac{1}{2\pi i} \int_{4m_\pi^2}^\infty \frac{\text{disc } F_\pi(s')}{s' - s} ds'$$

- Analytic solution, **Omnès** equation [Omnès, Nuovo Cimento 8, 316 (1958)]

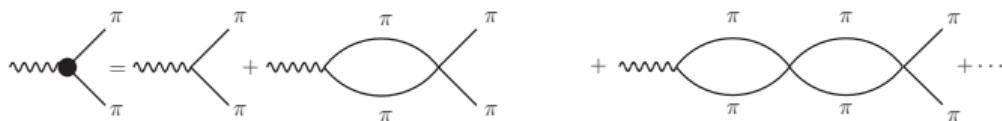
$$F_\pi(s) = P(s) \Omega_1^1(s), \quad \Omega_1^1(s) = \frac{f(s)}{f(0)} = \exp \left\{ \frac{s}{\pi} \int_{4m_\pi^2}^\infty ds' \frac{\delta_1^1(s')}{s'(s' - s)} \right\},$$

- Polynomial ambiguity  $P(s)$ , not fixed by unitarity

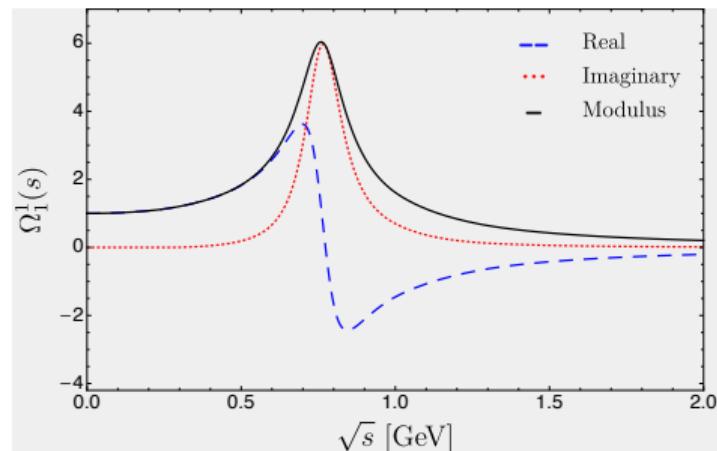
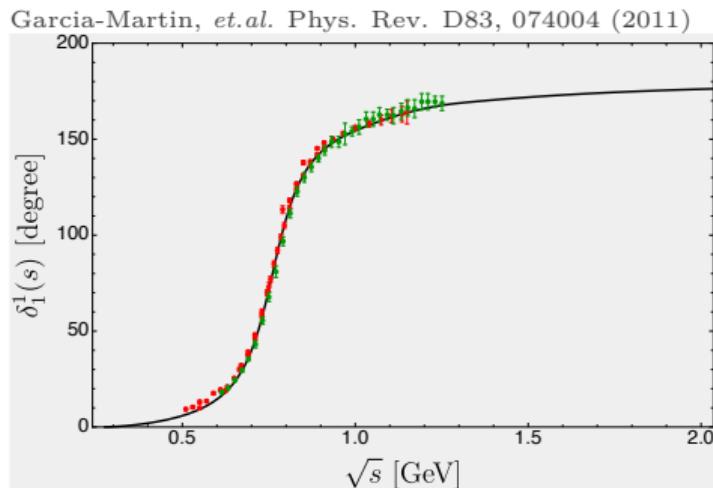
— Matched to the results of the effective theory, or by experimental data

# Omnès equation

- Diagrammatic interpretation

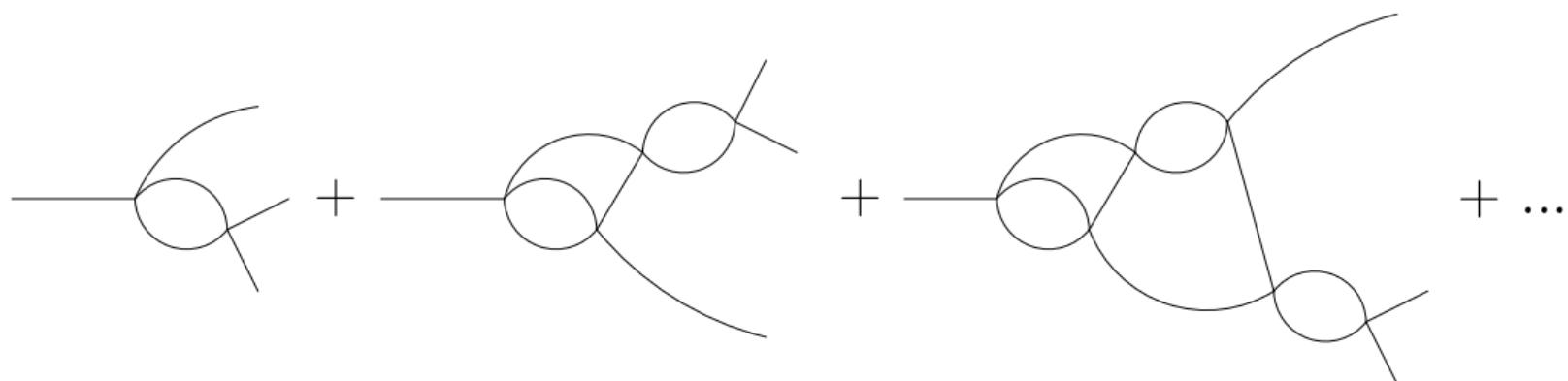


- Solution: depends solely on the  $P$ -wave phase shift of  $\pi\pi$



## Three-particle decay

- In many decay processes one wants to take into account unitarity/FSI in the three possible channels
- Khuri-Treiman equations:
  - Include full rescattering effects



# Khuri-Treiman formalism: citation summary

- Developed for  $K \rightarrow 3\pi$

## Pion-Pion Scattering and $K + /- \rightarrow 3\pi$ Decay

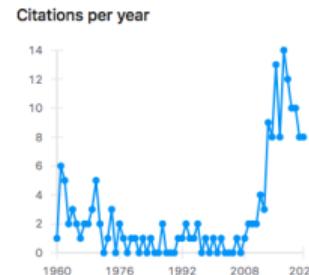
N.N. Khuri (Princeton, Inst. Advanced Study), S.B. Treiman (Princeton U.)

Aug 1, 1960

6 pages

Published in: *Phys.Rev.* 119 (1960) 1115-1121

DOI: [10.1103/PhysRev.119.1115](https://doi.org/10.1103/PhysRev.119.1115)



- Most prominent applications:

- $\eta \rightarrow 3\pi$  [Kambor *et.al.* 1995, Anisovich *et.al.* 1996, Descotes-Genon *et.al.* 2014, JPAC 2015&17, Colangelo 2016, Albaladejo *et.al.* 2017]
- $\eta' \rightarrow \eta\pi\pi$  [Isken *et.al.* 2017]
- $\omega/\phi \rightarrow 3\pi, \pi\gamma^*$  [Kubis *et.al.* 2012, JPAC 2014&20, Dax *et.al.* 2018]
- $J/\psi \rightarrow 3\pi, \pi\gamma^*$  [JPAC 2023] → this talk
- $e^+e^- \rightarrow 3\pi/\pi\gamma (a_\mu)$  [Hoferichter *et.al.* 2018&19, Hoid 2020]
- $D \rightarrow K\pi\pi$  [Niecknig *et.al.* 2015]
- $J^{PC} \rightarrow 3\pi$  [JPAC 2019, Stamen *et.al.* 2022]

# JPAC: Joint Physics Analysis Center

- Work in **theoretical/experimental/phenomenological** analysis
- Light/heavy meson **spectroscopy**
- Interaction with many **experimental collaborations** (BESIII, CLAS, GlueX, KLOE, LHCb, MAMI,...) and LQCD groups
- Website: <https://www.jpac-physics.org>



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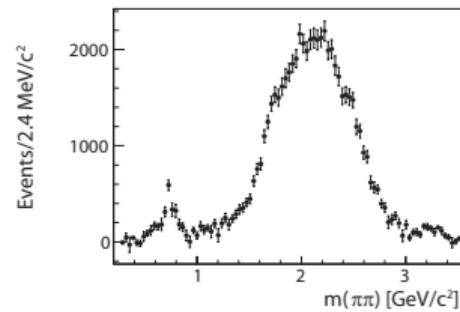
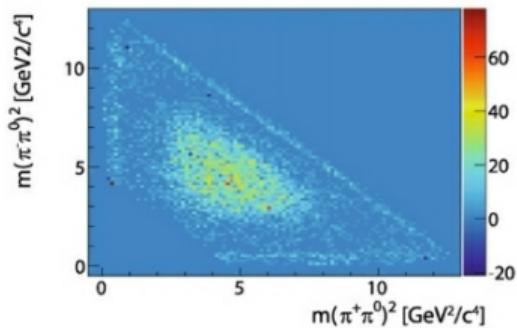
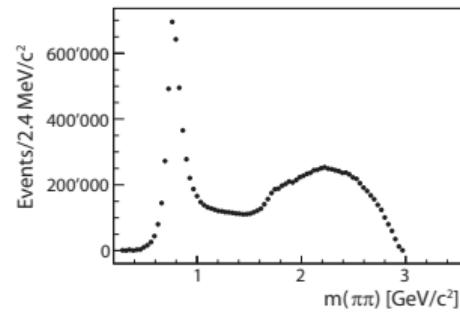
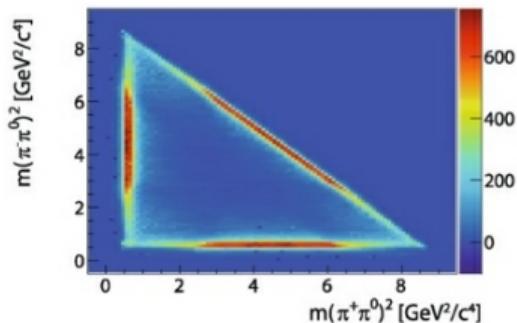
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# $J/\psi/\psi(2S) \rightarrow 3\pi$ decay

- BESIII data [Phys.Lett. B710, 594 (2012)] show  $\rho\pi$  puzzle
  - $J/\psi \rightarrow \pi^0\pi^+\pi^-$ : 1.9 milion events,  $\rho\pi$  dominance
  - $\psi(2S) \rightarrow \pi^0\pi^+\pi^-$ : 7872 events,  $\rho\pi$  subleading



## Definitions

- Decay amplitude for  $V \rightarrow \pi^+ \pi^- \pi^0$  ( $V = \omega, \phi, J/\psi$ )

$$\mathcal{M}(s, t, u) = i\epsilon_{\mu\nu\alpha\beta}\epsilon^\mu p_+^\nu p_-^\alpha p_0^\beta \mathcal{F}(s, t, u),$$

- Mandelstam variable,  $s$ -channel scattering angle and momenta

$$s = (p_+ + p_-)^2, \quad t = (p_0 + p_+)^2, \quad u = (p_0 + p_-)^2,$$

$$\cos \theta_s(s, t, u) = \frac{t - u}{4 p(s) q(s)}, \quad \sin \theta_s(s, t, u) = \frac{\sqrt{\phi(s, t, u)}}{2\sqrt{s} p(s) q(s)},$$

$$p(s) = \frac{\lambda^{\frac{1}{2}}(s, m_{\pi^+}^2, m_{\pi^-}^2)}{2\sqrt{s}}, \quad q(s) = \frac{\lambda^{\frac{1}{2}}(s, m_{J/\psi}^2, m_{\pi^0}^2)}{2\sqrt{s}},$$

$$|\mathcal{M}(s, t, u)|^2 = \frac{1}{4} (2\sqrt{s} \sin \theta_s p(s) q(s))^2 |\mathcal{F}(s, t, u)|^2 = \mathcal{P}(s, t, u) |\mathcal{F}(s, t, u)|^2,$$

- If no dynamics:  $|\mathcal{F}(s, t, u)|^2 = 1 \Rightarrow |\mathcal{M}(s, t, u)|^2$  follows  $P$ -wave distribution
  - In 1961,  $\omega$  spin and parity from  $\omega \rightarrow 3\pi$  was consistent with a  $P$ -wave
  - Current  $\omega \rightarrow 3\pi$  precision Dalitz analyses show deviation from the  $P$ -wave

## Khuri-Treiman representation of the amplitude

- $s$ -channel partial-wave (pw) expansion of the amplitude

$$F(s, t, u) = \sum_{J=0}^{\infty} (p(s) q(s))^{J-1} P'_J(z_s) f_J(s),$$

- Khuri-Treiman/isobar decomposition of the amplitude

$$F(s, t, u) = \sum_{J=0}^{J_{\max}} (p(s) q(s))^{J-1} P'_J(z_s) F_J(s) + (s \leftrightarrow t) + (s \leftrightarrow u),$$

- Consider only  $J = 1$  isobars

$$F(s, t, u) = F_1(s) + F_1(t) + F_1(u),$$

- pw projection of the KT decomposition

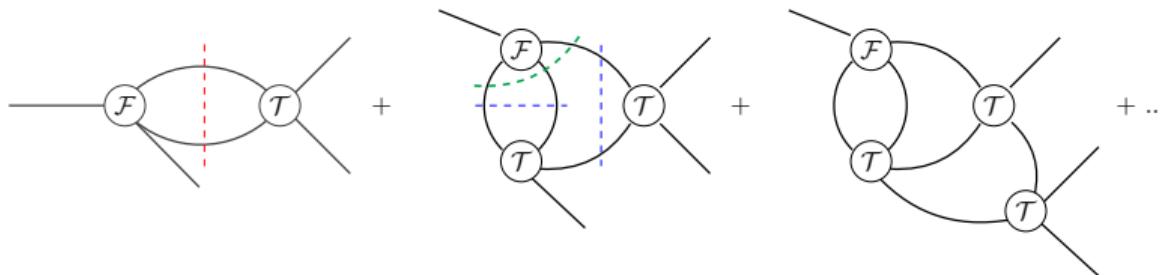
$$f_1(s) = F_1(s) + \hat{F}_1(s), \quad \hat{F}_1(s) = 3 \int_{-1}^1 \frac{dz_s}{2} (1 - z_s^2) F_1(t(s, z_s)),$$

—  $F_1(s)$ : right-hand cut (RHC)

—  $\hat{F}_1(s)$ : left-hand cut (given by the RHC of the crossed channels  $F_1(t), F_1(u)$ )

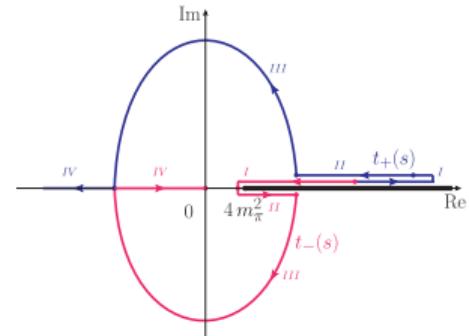
# Unitarity and analyticity

- Unitarity relation for the isobar amplitude  $F_1(s)$



$$\text{disc}F_1(s) = 2i \left( F_1(s) + \hat{F}_1(s) \right) \sin \delta(s) e^{-i\delta(s)} \theta(s - 4m_\pi^2),$$

- Complications: integration contour for  $\hat{F}_1(s)$
- 'Classic' strategy (Khuri-Treiman, 1960)
  - deform path of angular integral to avoid crossing branch cuts
- Alternative approach (Gasser and Rusetsky, 2018)
  - deform path of dispersion relation



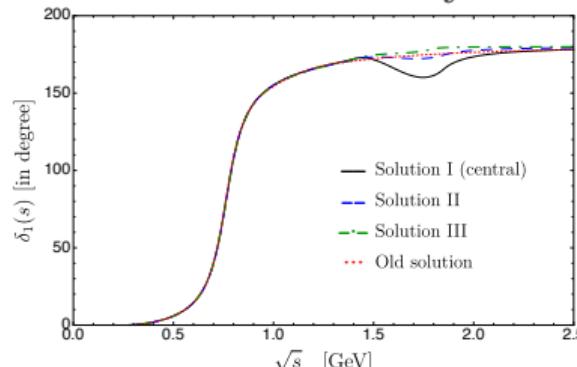
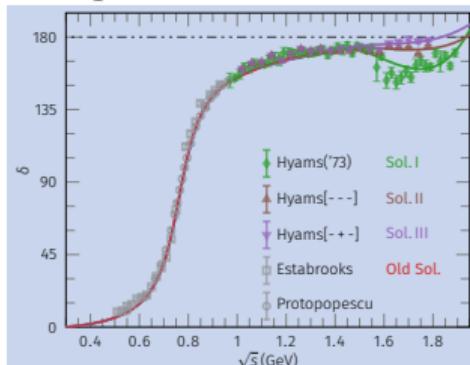
# KT equations: DR, solutions, subtractions

- Unsubtracted dispersion relation:

$$F_1(s) = \frac{1}{2\pi i} \int_{4m_\pi^2}^\infty ds' \frac{\text{disc } F_1(s')}{s' - s}, \quad F_1(s) = \Omega_1(s) \left( a + \frac{s}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'} \frac{\sin \delta_1(s') \hat{F}_1(s')}{|\Omega_1(s')| (s' - s)} \right),$$

- $\delta_1(s)$ :  $\pi\pi$  phase taken as input:

- Old solution [Garcia-Martin, *et.al.* Phys. Rev. D83, 074004 (2011)]
- New solutions [Pelaez, Rodas, Ruiz De Elvira, Eur. Phys. J. C79, 1008 (2019)]
- **Central** analysis performed with solution I for  $\delta_1(s)$
- The spread in the other solutions: **theoretical uncertainty**

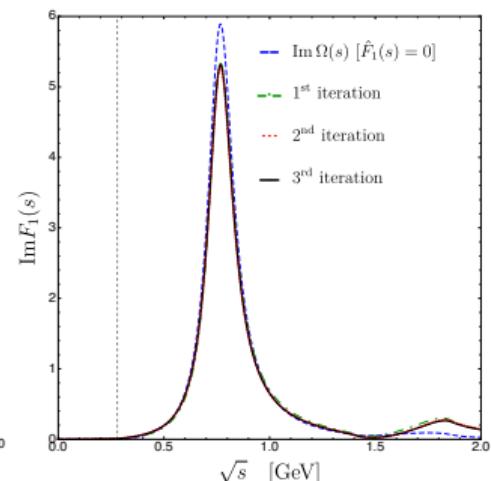
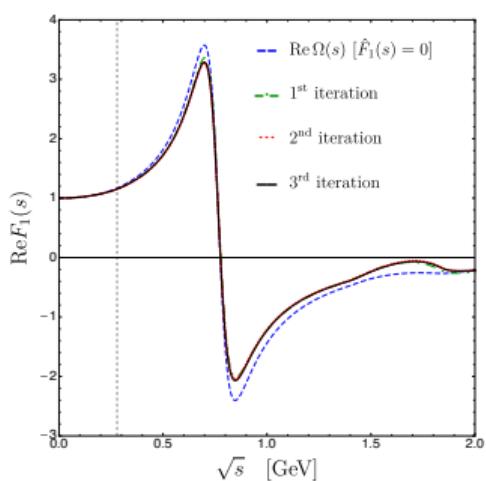
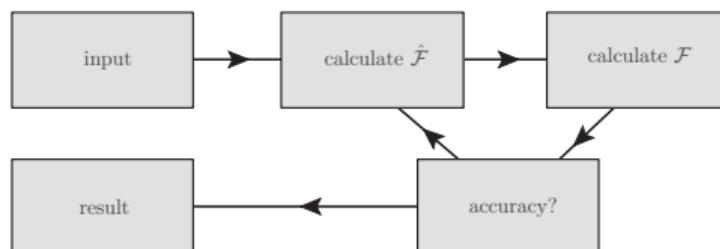


# KT equations: DR, solutions, subtractions

- Unsubtracted dispersion relation:

$$F_1(s) = \frac{1}{2\pi i} \int_{4m_\pi^2}^\infty ds' \frac{\text{disc } F_1(s')}{s' - s}, \quad F_1(s) = \Omega_1(s) \left( a + \frac{s}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'} \frac{\sin \delta_1(s') \hat{F}_1(s')}{|\Omega_1(s')| (s' - s)} \right),$$

- Solution by numerical iteration
  - Initial input:  $F_1 = \Omega_1(s)$



# KT equations: DR, solutions, subtractions

- Once-subtracted dispersion relations

$$F_1(s) = \Omega_1(s) \left( a + b' s + \frac{s^2}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{(s')^2} \frac{\sin \delta_1(s') \hat{F}_1(s')}{|\Omega_1(s')| (s' - s)} \right)$$

- The subtraction constant satisfies a sum rule:

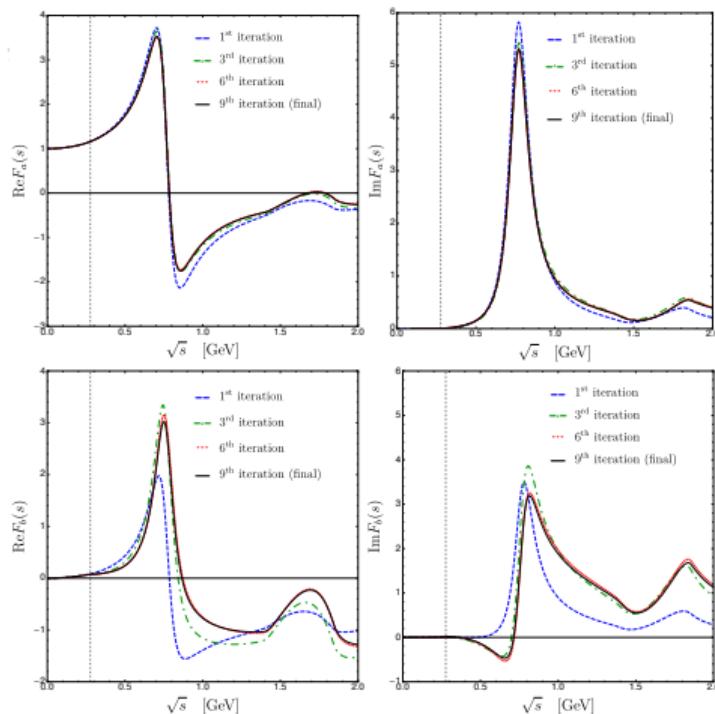
$$b \equiv b'/a = \frac{1}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{(s')^2} \frac{\sin \delta_1(s') \hat{F}_1(s')/a}{|\Omega_1(s')|}.$$

- Solution

$$F_1(s) = a [F_a(s) + b F_b(s)] ,$$

$$F_a(s) = \Omega_1(s) \left[ 1 + \frac{s^2}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'^2} \frac{\sin \delta_1(s') \hat{F}_a(s')}{|\Omega_1(s')| (s' - s)} \right] ,$$

$$F_b(s) = \Omega_1(s) \left[ s + \frac{s^2}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'^2} \frac{\sin \delta_1(s') \hat{F}_b(s')}{|\Omega_1(s')| (s' - s)} \right] .$$

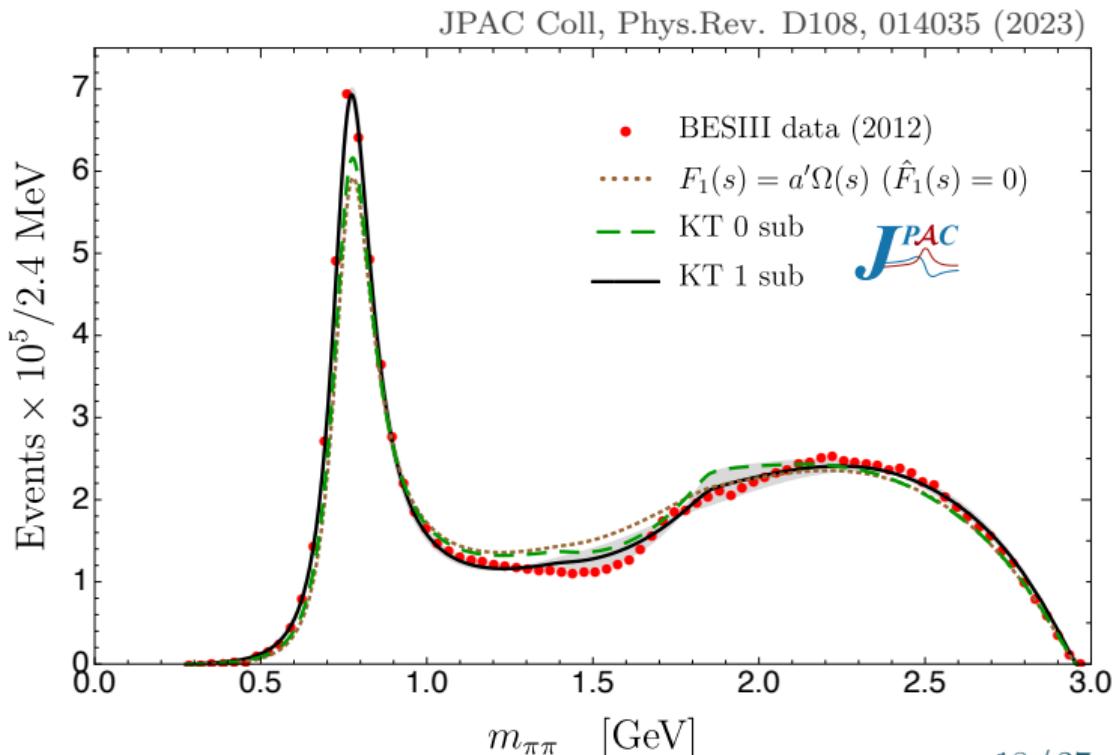


# JPAC $J/\psi \rightarrow 3\pi$ BESIII data analysis

- Dominated by the  $\rho$
- BESIII data [PLB 710 (2012)]
- JPAC analysis:
  - Two-body
  - KT unsub basic features
  - KT 1-sub improves the description

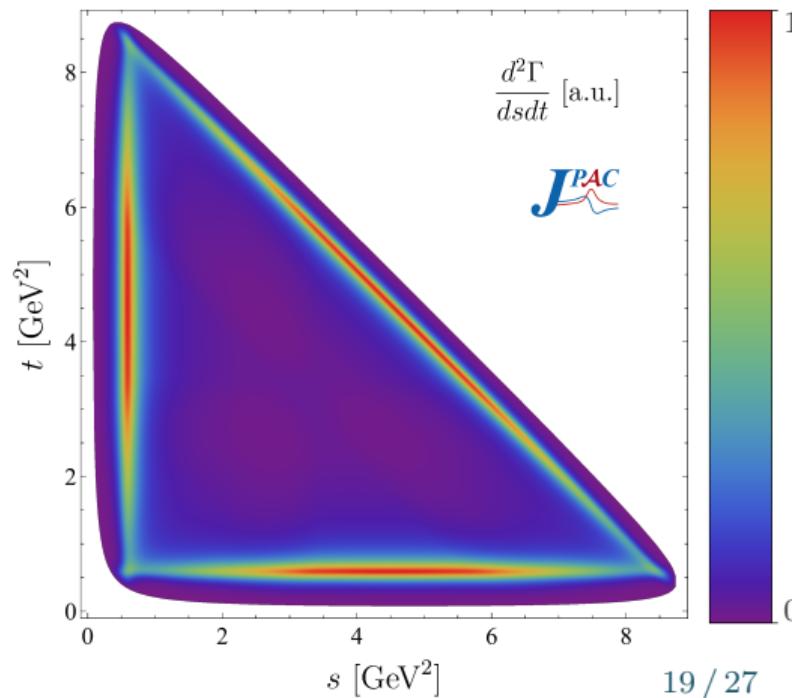
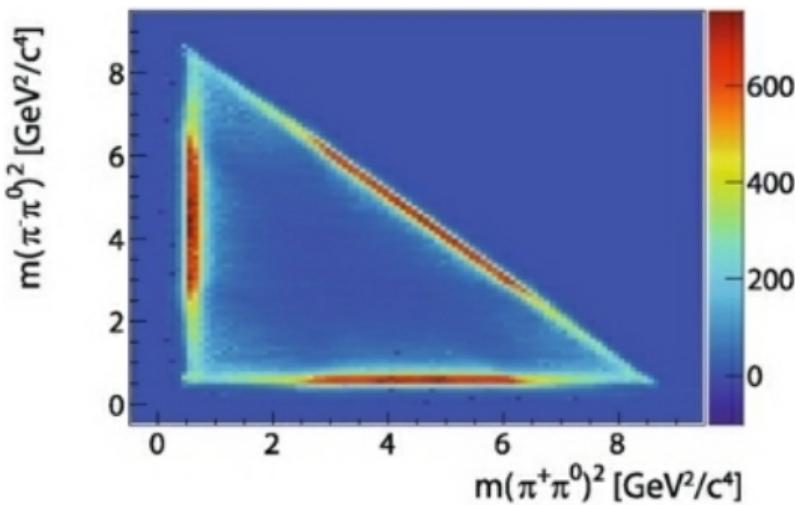
$$b_{\text{fit}} = 0.198(35)e^{i2.675(300)} \text{ GeV}^{-2}$$

$$b_{\text{sr}} = 0.141e^{i2.32} \text{ GeV}^{-2}$$



# JPAC $J/\psi \rightarrow 3\pi$ BESIII data analysis

- Dominated by the  $\rho$
- BESIII data [PLB 710 (2012)]
- JPAC analysis:
  - Dalitz plot distribution similar to experimental one



## Contribution of the $F$ -wave

- Isobar decomposition of the amplitude including  $F$ -wave:

$$F(s, t, u) = F_1(s) + F_1(t) + F_1(u)$$

$$+ (p(s)q(s))^2 P'_3(z_s) F_3(s) + (p(t)q(t))^2 P'_3(z_t) F_3(t) + (p(u)q(u))^2 P'_3(z_u) F_3(u),$$

- $F_{1,3}(s)$  is the  $P, F$ -wave isobar amplitude
- Discontinuity of the  $F$ -wave:

$$\text{disc } F_3(s) = 2i \left( F_3(s) + \hat{F}_3(s) \right) \sin \delta_3(s) e^{-i\delta_3(s)} \theta(s - 4m_\pi^2),$$

- We neglect  $\hat{F}_3(s)$  (for simplicity)

$$F_3(s) = p_3(s) \Omega_3(s), \quad \Omega_3(s) = \exp \left[ \frac{s}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'} \frac{\delta_3(s')}{s' - s} \right],$$

# Model of the $F$ -wave: $\rho_3(1690)$ exchange

- Exchange of a  $\rho_3(1690)$  in the  $s$ -channel with an energy-dependent width:

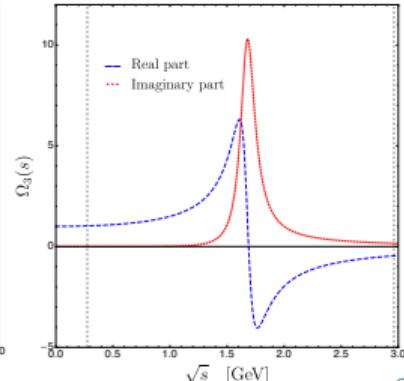
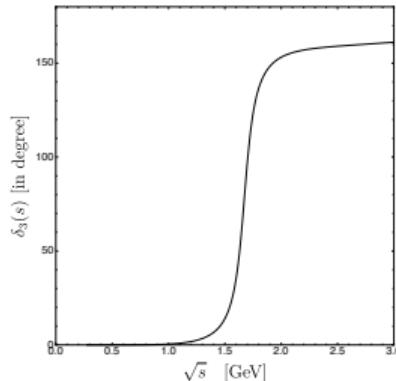
$$F_3(s)|_{\text{BW}} = \frac{m_{\rho_3}^2}{m_{\rho_3}^2 - s - im_{\rho_3}\Gamma_{\rho_3}^{\ell=3}(s)}, \quad \Gamma_R^\ell(s) = \frac{\Gamma_R m_R}{\sqrt{s}} \left( \frac{p(s)}{p(m_R^2)} \right)^{2\ell+1} \left( F_R^\ell(s) \right)^2,$$

$$p(s) = \frac{\sqrt{s}}{2} \sigma_\pi(s), \quad F_R^{\ell=3}(s) = \sqrt{\frac{z_0(z_0-15)^2 + 9(2z_0-5)^2}{z(z-15)^2 + 9(2z-5)^2}}, \quad z = r_R^2 p^2(s), \quad z_0 = r_R^2 p^2(m_{\rho_3}^2),$$

- Extraction of the  $F$ -wave phase and Omnès  $F$ -wave function:

$$\tan \delta_3(s) = \frac{\text{Im}F_3(s)|_{\text{BW}}}{\text{Re}F_3(s)|_{\text{BW}}},$$

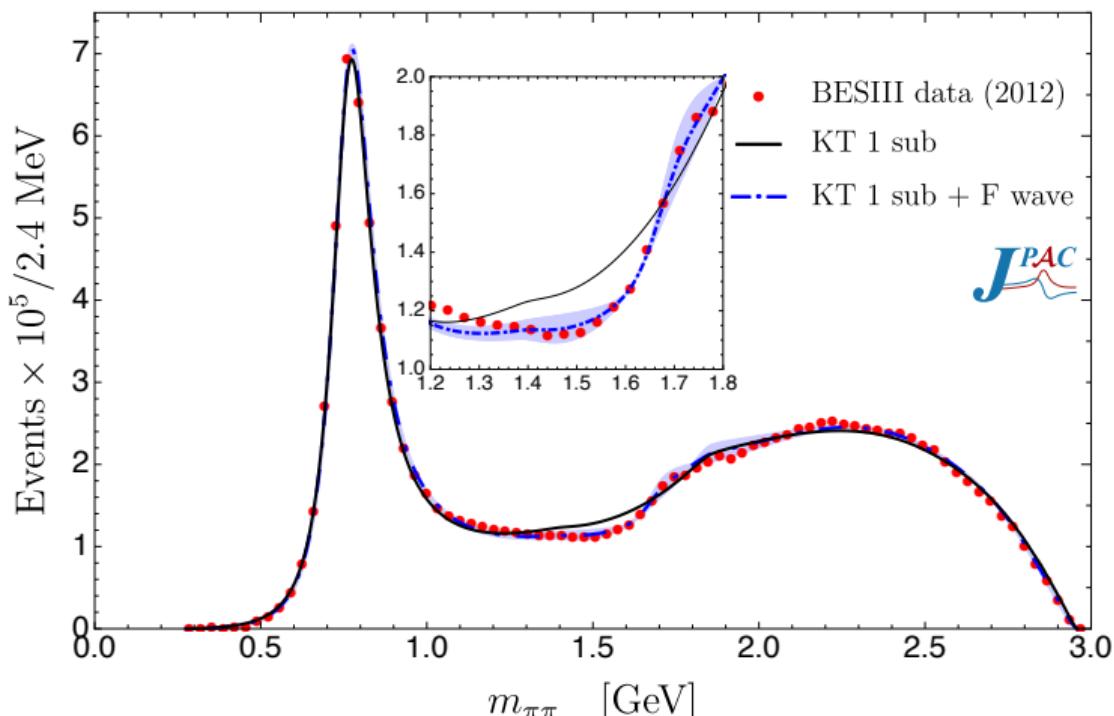
$$\Omega_3(s) = \exp \left[ \frac{s}{\pi} \int_{4m_\pi^2}^{\infty} \frac{ds'}{s'} \frac{\delta_3(s')}{s' - s} \right],$$



# JPAC $J/\psi \rightarrow 3\pi$ BESIII data analysis

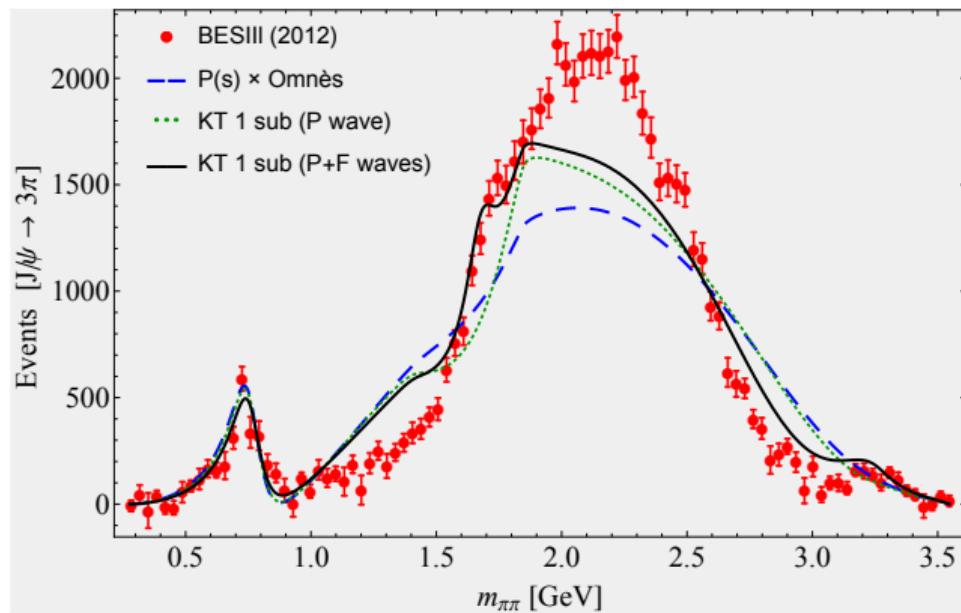
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- BESIII data [PLB 710 (2012)]
- JPAC analysis:
  - Two-body
  - KT unsub basic features
  - KT 1-sub improves the description
  - KT 1-sub+F-wave describe better  $m_{\pi\pi} \sim 1.5$  GeV.

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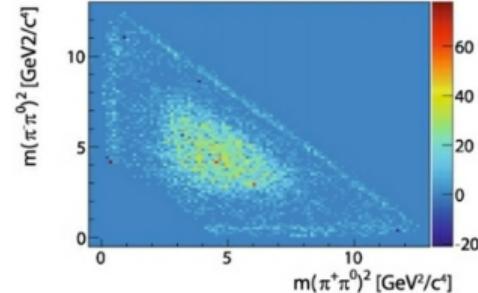
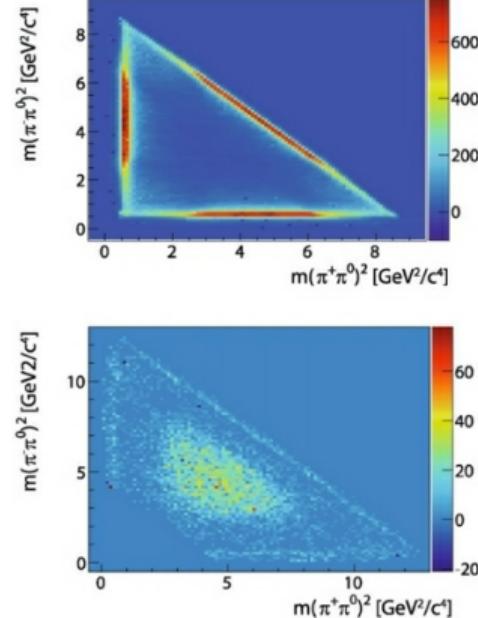
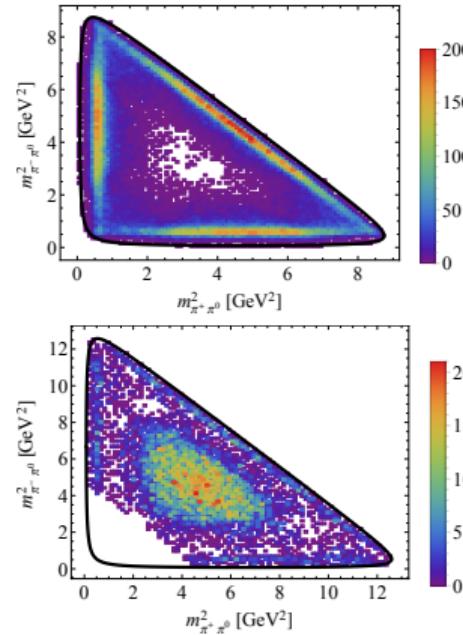
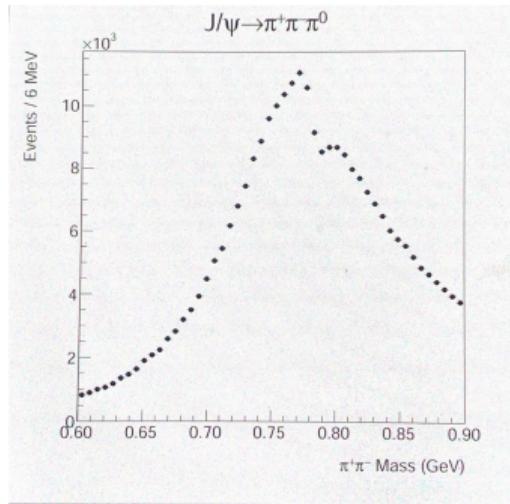
# $\psi(2S) \rightarrow \pi^+\pi^-\pi^0$ decays

- Completely analogous formalism
- Larger phase space,  
 $m_{\psi(2S)} = 3.68610$  GeV
- BESIII data [PLB 710 (2012)]
- Why does the  $\psi(2S) \rightarrow \pi^+\pi^-\pi^0$  Dalitz plot differ so dramatically from  $J/\psi \rightarrow \pi^+\pi^-\pi^0$ ?



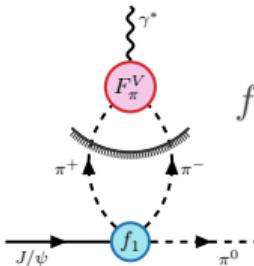
# $J/\psi \rightarrow 3\pi$ : future analyses

- In 2022, BESIII collected 10 billion  $J/\psi$  events
  - Expect to see roughly 200 million  $J/\psi \rightarrow 3\pi$  events (vs  $\sim 1.9$  million in 2012)
  - High-precision  $\rho$ - $\omega$  mixing extraction (JPAC, ongoing)



# $J/\psi \rightarrow \pi^0 \gamma^*$ transition form factor

- Dispersive representation (once-subtracted)

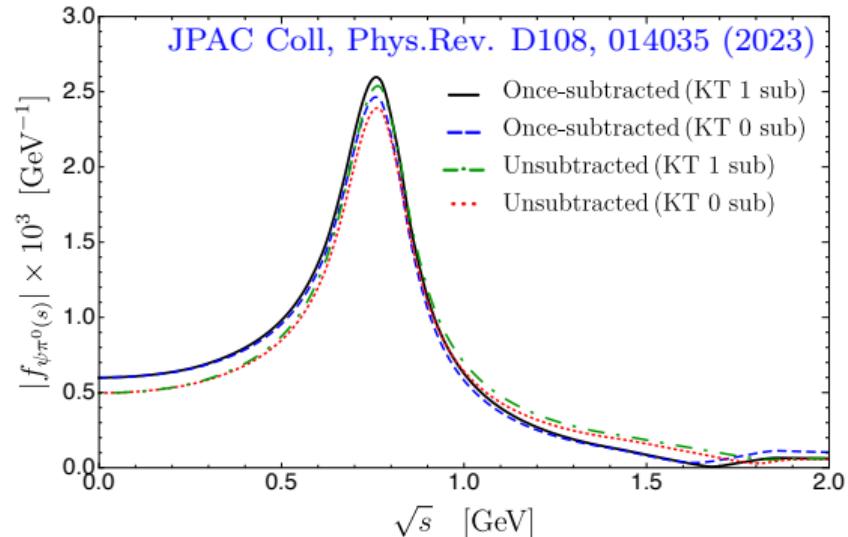


$$f_{\omega\pi^0}(s) = |f_{J/\psi\pi^0}(0)| e^{i\phi_{J/\psi\pi^0}(0)} + \frac{s}{12\pi^2} \int_{4m_\pi^2}^\infty \frac{ds'}{(s')^{3/2}} \frac{p^3(s')}{(s'-s)} \frac{F_\pi^V(s')}{(s'-s)} f_1^{J/\psi \rightarrow 3\pi}(s')$$

- $|f_{J/\psi\pi^0}(0)|$  from data:

$$\Gamma(J/\psi \rightarrow \pi^0 \gamma) = \frac{e^2 (m_{J/\psi}^2 - m_{\pi^0}^2)^3}{96\pi m_{J/\psi}^3} |f_{J/\psi\pi^0}(0)|^2 ,$$

- $\phi_{\omega\pi^0}(0)$  only free parameter
- No data,  $\phi_{\omega\pi^0}(0) = 0$



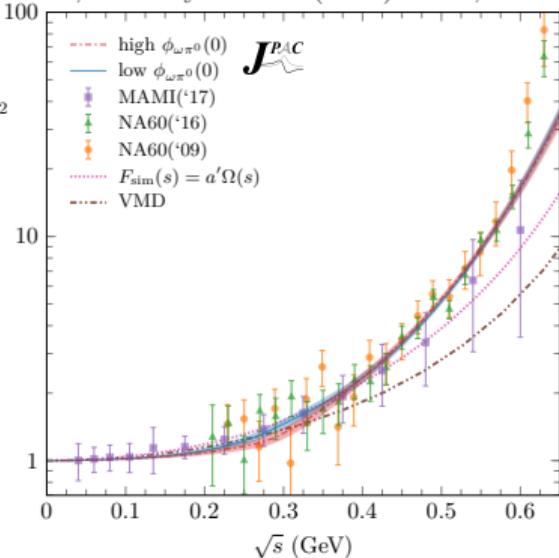
# Combined analysis of $\omega \rightarrow 3\pi$ and $\omega \rightarrow \pi^0\gamma^*$

- $\omega \rightarrow 3\pi$  Dalitz parameters:  $X = \frac{t-u}{\sqrt{3}R_\omega} = \sqrt{Z} \cos \phi$ ,  $Y = \frac{s_c-s}{R_\omega} = \sqrt{Z} \sin \phi$ ,

$$|\mathcal{F}(Z, \phi)|^2 = |N|^2 \left[ 1 + 2\alpha Z + 2\beta Z^{3/2} \sin 3\phi + 2\gamma Z^2 + \mathcal{O}(Z^{5/2}) \right],$$

Reference	$\alpha \times 10^3$	$\beta \times 10^3$	$\gamma \times 10^3$
BESIII [PRD98, 112007 (2018)]	111(18)	25(10)	22(29)
low	112(15)	23(6)	29(6)
high	109(14)	26(6)	19(5) $\left  \frac{f_{\omega\pi^0}(s)}{f_{\omega\pi^0}(0)} \right ^2$

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- $\omega \rightarrow 3\pi$  subtraction constant from Dalitz parameters

$$b_{\text{Fit}} \simeq 3.15(22)e^{2.03(14)i} \text{ GeV}^{-2}$$

vs

$$b_{\text{sr}} = 0.55e^{0.15i} \text{ GeV}^{-2}$$

- Only 1 sub KT describes both Dalitz-parameters and form factor

# Outlook

- Khuri-Treiman equations:
  - Dispersive representation for **3-particle Final-State Interactions**
  - Based on fundamental principles: **analyticity, unitarity and crossing symmetry**
  - Input:  $\pi\pi$  scattering **phase shifts**
  - Resonance shape affected by **left-hand cuts / 3-body effects**
  - **Predictive power** (subtraction constants)
  - Experimental **data** well described

# Khuri-Treiman representation

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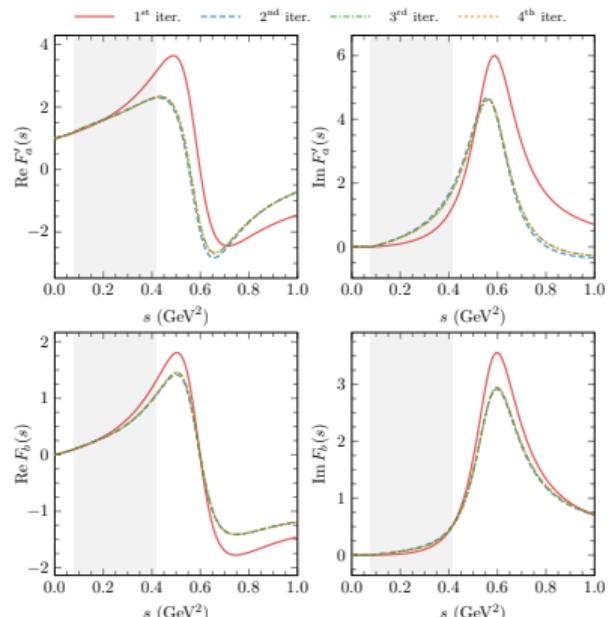
- $\mathcal{F}(s) = a [F_a(s) + b F_b(s)]$

$$F_a(s) = \Omega(s) \left[ 1 + \frac{s^2}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'^2} \frac{\sin \delta(s') \hat{F}_a(s')}{|\Omega(s')|(s' - s)} \right],$$

$$F_b(s) = \Omega(s) \left[ s + \frac{s^2}{\pi} \int_{4m_\pi^2}^\infty \frac{ds'}{s'^2} \frac{\sin \delta(s') \hat{F}_b(s')}{|\Omega(s')|(s' - s)} \right].$$

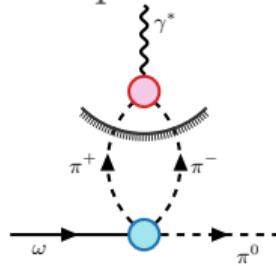
- Subtraction constant from Dalitz parameters

$$b_{\text{Fit}} \simeq 2.9e^{1.90(1)i} \text{ GeV}^{-2} \quad \text{vs} \quad b_{\text{sr}} = 0.55e^{0.15i} \text{ GeV}^{-2}$$



# $\omega \rightarrow \pi^0 \gamma^*$ form factor

- Dispersive representation



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$$f_{\omega\pi^0}(s) = |f_{\omega\pi^0}(0)| e^{i\phi_{\omega\pi^0}(0)} + \frac{s}{12\pi^2} \int_{4m_\pi^2}^\infty \frac{ds'}{(s')^{3/2}} \frac{p^3(s') F_\pi^V(s') f_1^{\omega \rightarrow 3\pi}(s')}{(s' - s)},$$

- $|f_{\omega\pi^0}(0)|$  from data:

$$\Gamma(\omega \rightarrow \pi^0 \gamma) = \frac{e^2 (m_\omega^2 - m_{\pi^0}^2)^3}{96\pi m_\omega^3} |f_{\omega\pi^0}(0)|^2,$$

- Data:  $F_{\omega\pi}(s) = \frac{f_{\omega\pi}(s)}{f_{\omega\pi}(0)}$  [NA60('09,'16), A2('17)]

- $\phi_{\omega\pi^0}(0)$  only free parameter

- Two minima are found (low and high)

