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Primordial black holes from slow phase transitions: a model-building perspective

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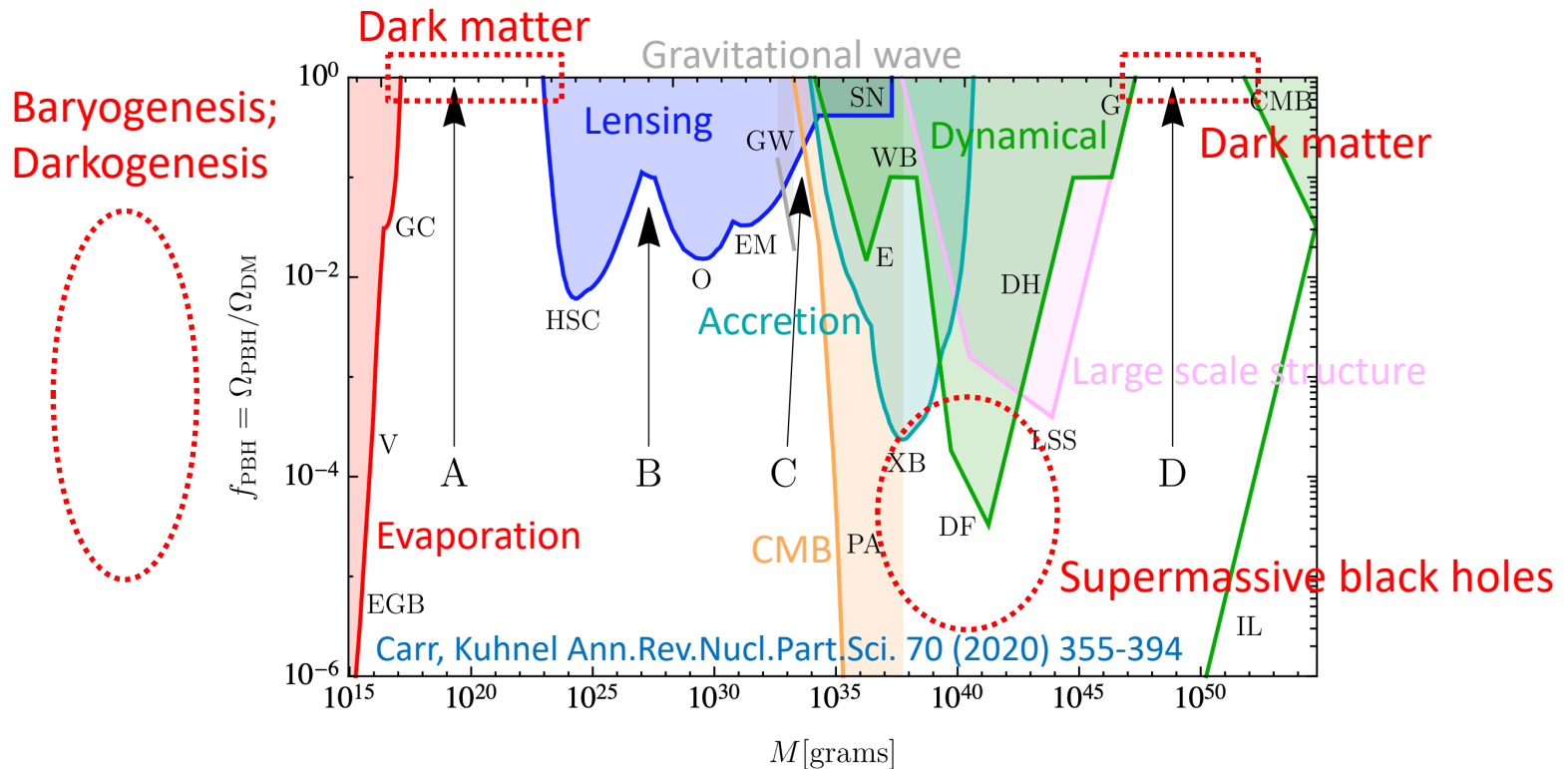
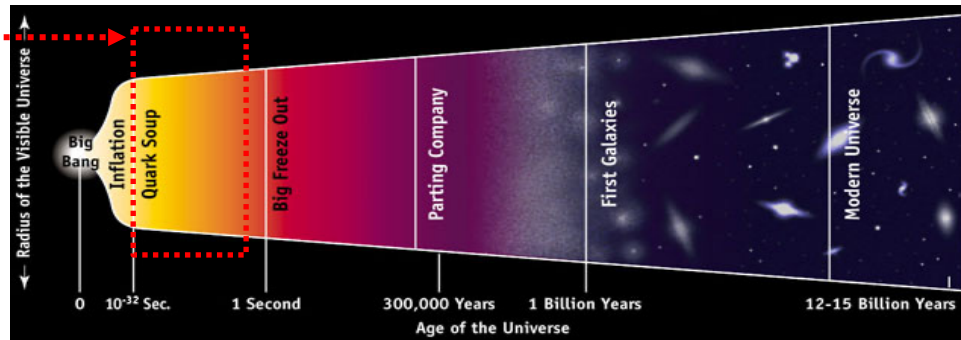
2024.4.13 @Shenzhen, The 5th Workshop on Frontiers of Particle Physics

Based on [arXiv:2404.00646](https://arxiv.org/abs/2404.00646), with Shinya Kanemura & Masanori Tanaka

Primordial black holes (PBHs)

Formed soon after the
Big Bang

With model-dependent
mass & densities



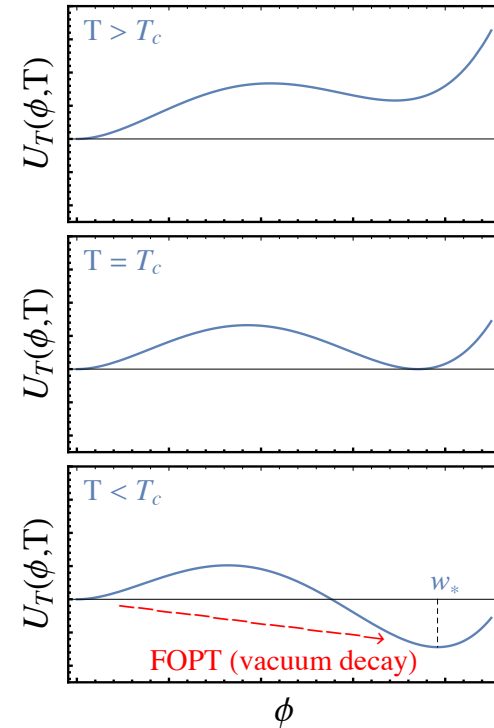
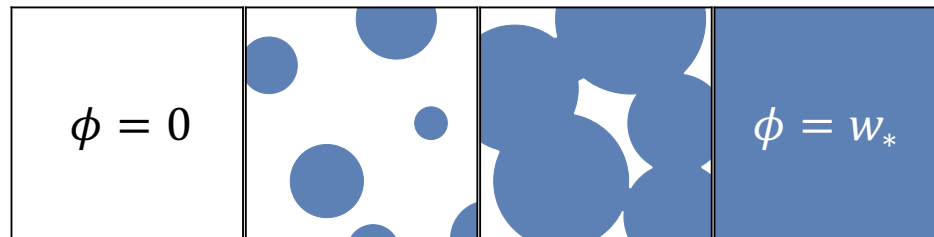
First-order phase transitions

Decay of vacuum

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - U(\phi)$$

Early Universe $\Rightarrow U_T(\phi, T)$

Time evolution (boiling of the Universe)



Landscape of FOPTs

- GUT -- $T_* \sim 10^{15}$ GeV
- Seesaw & $U(1)_{B-L}$ -- $T_* \sim 10^{12}$ GeV
- EW $SU(2)_L \times U(1)_Y$ -- $T_* \sim 10^2$ GeV [Higgs]
- Dark $U(1)_X$ -- $T_* \sim \text{MeV}$ [NANOGrav?]
- ...

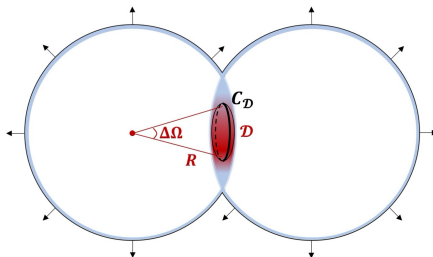
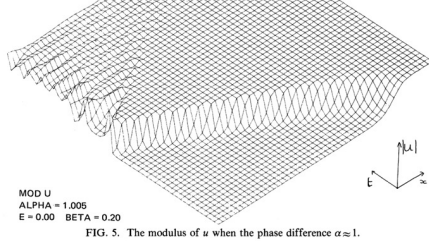


Phase transitions as source of over-densities



Bubble collisions

Hawking *et al*, PRD
26 (1982) 2681



Jung *et al*, 2110.04271

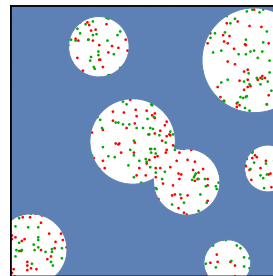
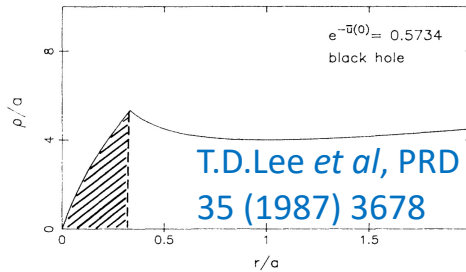
Also see

Moss, PRD 50 (1994) 676-681;

Lewicki *et al*, Phys. Dark Univ. 30, 100672 (2020);

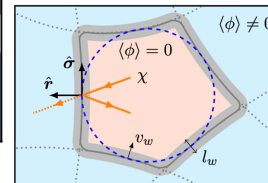
...

Particle trapping



Kawana and **KPX**,
PLB 824 (2022) 136791

Baker *et al*,
2105.07481



Also see

Gross *et al*, JHEP 09 (2021) 033;

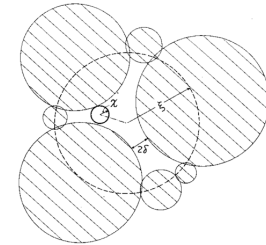
Huang and **KPX**, PRD 105 (2022) 115033;

Marfatia *et al*, JHEP 08 (2022) 001;

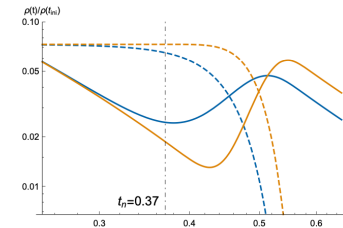
Lewicki *et al*, PRD 108 (2023) 036023;

...

Slow transitions



Kodama *et al*, Prog. Theor. Phys. 68 (1982) 1979



Liu, Bian, Cai, Guo, Wang,
PRD 105, L021303

Also see

Kusenko *et al*, PRL 125

(2020) 181304;

Hashino *et al*, PLB 838 (2023) 137688;

He *et al*, SCPMA 67 (2024) 4, 240411;

Cai, Hao, Wang, 2404.06506

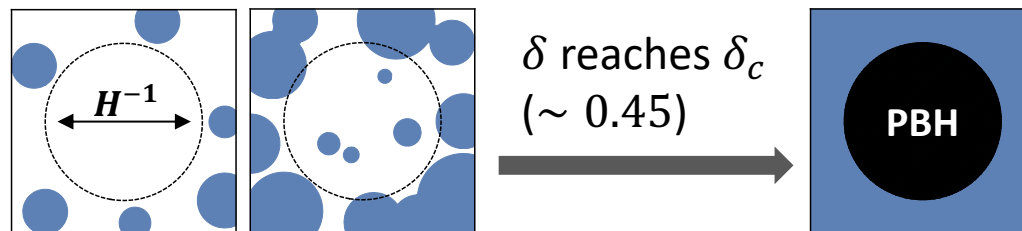
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PBH formation due to delayed-decay patches

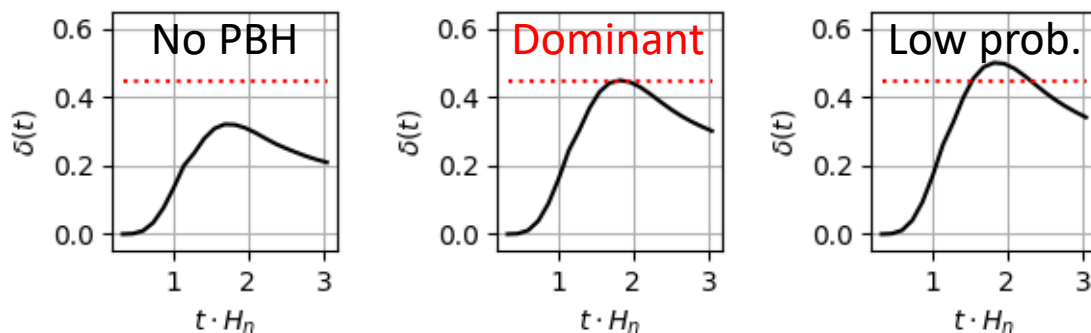
Randomness: **some Hubble patches** nucleate later than average

- $\rho_r \propto a^{-4}; \rho_v \propto a^0$

$\Rightarrow$ Over-density $\delta = \frac{\rho_{\text{delay}}}{\rho_{\text{normal}}} - 1$ forms



PBH mass $H_*^{-3} \rho_* \sim 10^{31} \text{ g} \times \left(\frac{\text{GeV}}{T_*}\right)^2$; abundance derived from $\downarrow$



Increasing the delayed-decay time

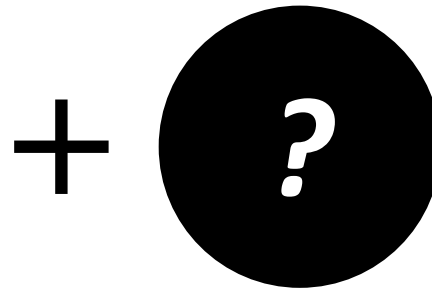
How to realize in a particle model?

Extending the Standard Model...

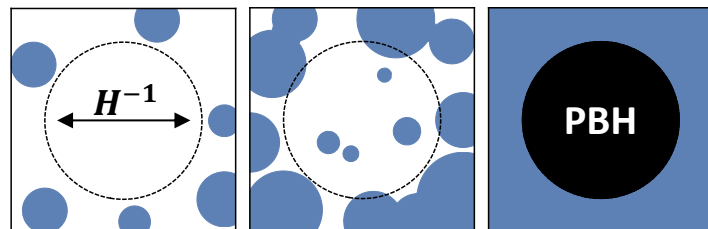
Standard Model of Elementary Particles

	three generations of matter (fermions)						interactions / force carriers (bosons)	
	I		II		III			
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$				0	$\approx 125.11 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$				0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$				1	0
	u up	c charm	t top				g gluon	H higgs
QUARKS	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$				0	
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$				0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$				1	
	d down	s strange	b bottom				γ photon	
	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$				0	
	-1	-1	-1				0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$				1	
	e electron	μ muon	τ tau				Z Z boson	
LEPTONS	$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$				$\approx 80.360 \text{ GeV}/c^2$	
	0	0	0				± 1	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$				1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino				W W boson	

SCALAR BOSONS (Higgs)
GAUGE BOSONS (photon, Z, W)
VECTOR BOSONS (gluons)



=



The crucial parameter β

Two important parameters in first-order phase transitions

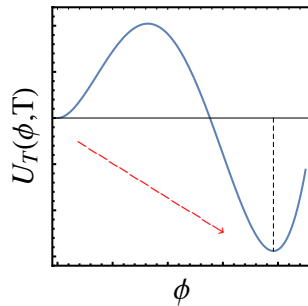
$$\alpha = \frac{\Delta V}{\frac{\pi^2}{30} g_* T_*^4}$$

$$\frac{\beta}{H_*} = - \left. \frac{1}{H_*} \frac{dS}{dt} \right|_*$$

Strength: vacuum-to-radiation energy ratio

Duration: inverse ratio of FOPT duration to Hubble time

Vacuum decay rate [\[Linde, NPB 216 \(1983\) 421\]](#)



$$\Gamma \approx T^4 \left(\frac{S}{2\pi} \right)^{3/2} e^{-S} \leftarrow \begin{array}{l} \text{Euclidean action} \\ \text{Evaluated from } U_T(\phi, T) \end{array}$$

$$S(t) \approx S(t_*) - \beta(t - t_*) + \frac{\zeta^2}{2}(t - t_*)^2 + \dots$$

Exponential approximation $\Gamma \approx \Gamma_* e^{\beta t}$

A **small** β/H_* means a **slow** transition, which is necessary

Model-building strategies

Classically conformal (scale invariant) potential, e.g.

$$U_T(\phi, T) \approx \frac{3g_{B-L}^4}{2\pi^2} \phi^4 \left(\log \frac{\phi}{w} - \frac{1}{4} \right) + \frac{g_{B-L} T^2}{2} \phi^2$$

$S \propto g_{B-L}^{-3}$, small g_{B-L} leads to small $\Gamma \propto e^{-S}$: ultra-supercooling

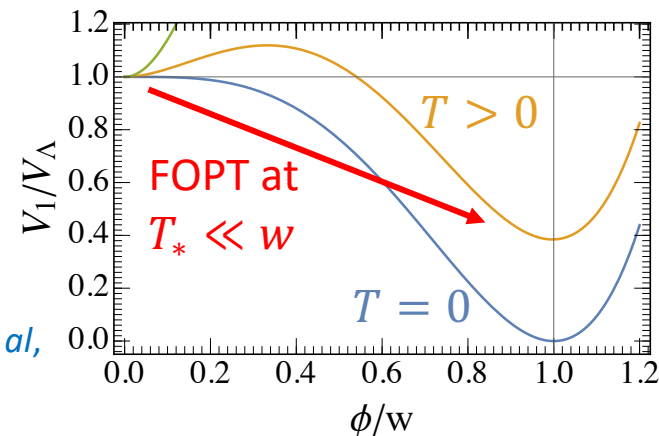
[Iso et al, PRL 119 \(2017\) 14, 141301](#)

Transition temperature $T_* \ll w$

- $\alpha \sim w^4/T_*^4 \gg 1$ [**super-strong**]
- β/H_* small [**slow**]

A popular choice!

[Gouttenoire, 2311.13640](#); [Salvio, 2312.04628](#); [Conaci et al, 2401.09411](#); [Baldes et al, 2307.11639](#); etc



This talk: a new type of realization [\[Kanemura, Tanaka and KPX, 2404.00646\]](#)

- A purely **slow** transition, not necessary super-strong
- **General relationship** between PBH formation & the particle model structure

A simple example

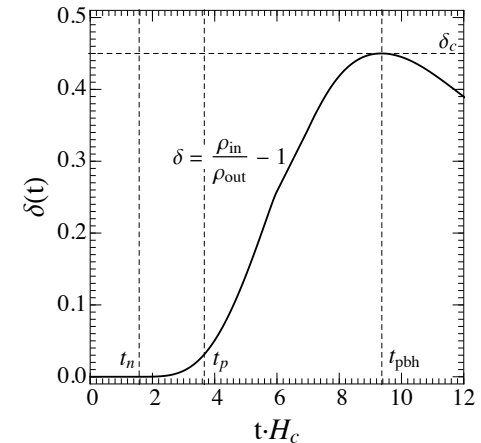
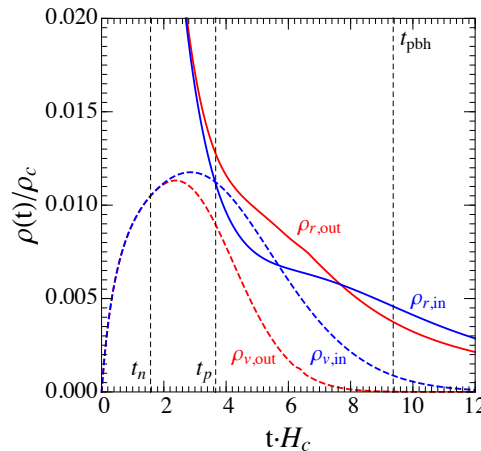
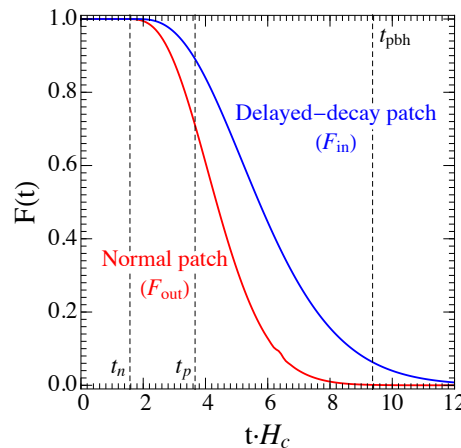
A polynomial potential

$$U_T(\phi, T) \approx \frac{1}{2} (\mu^2 + cT^2) \phi^2 - \frac{\mu_3}{3} \phi^3 + \frac{\lambda}{4} \phi^4$$

$$\mu^2 = \frac{\mu_3 w - m_\phi^2}{2} \text{ and } \lambda = \frac{m_\phi^2}{2w^2} \left(1 + \frac{\mu_3 w}{m_\phi^2} \right), \text{ vacuum at } \langle \phi \rangle = w, \text{ scalar mass } m_\phi$$

Realizing the PBH formation --

Benchmark: $m_\phi = 300 \text{ MeV}$, $w = 900 \text{ MeV}$, $c = 0.11$, and $\mu_3 = 154.1 \text{ MeV}$

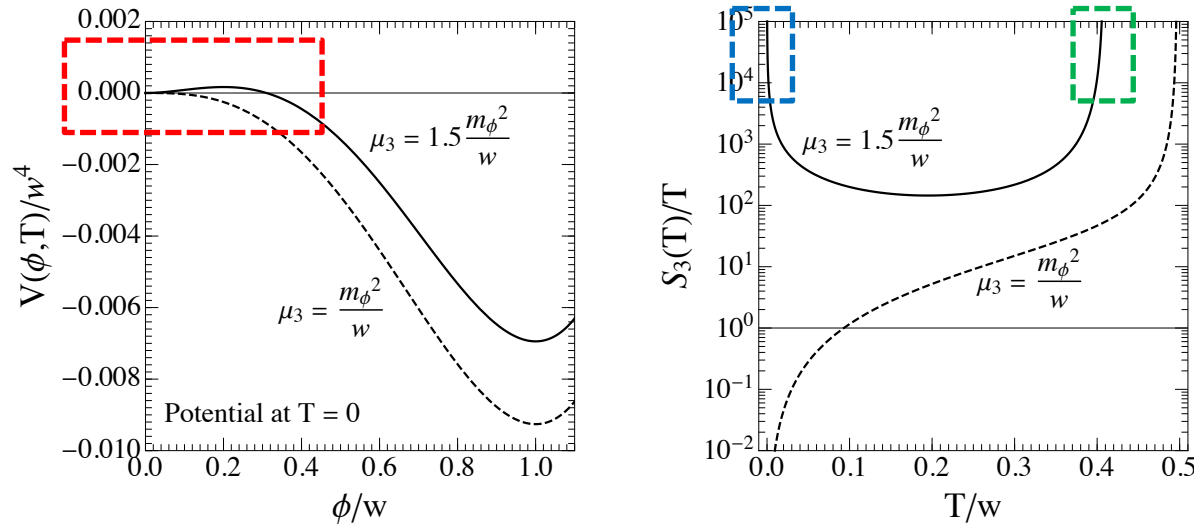


FOPT parameters: $T_n = 168 \text{ MeV}$, $T_p = 126 \text{ MeV}$, $\alpha = 2.8$, and $\beta/H_* = 4.7$

Resultant $m_{pbh} = 2.72 \times 10^{33} \text{ g} = 1.37 M_\odot$

The underlying reason

A zero-temperature potential barrier exists for $1 < \frac{\mu_3 w}{m_\phi^2} < 3$



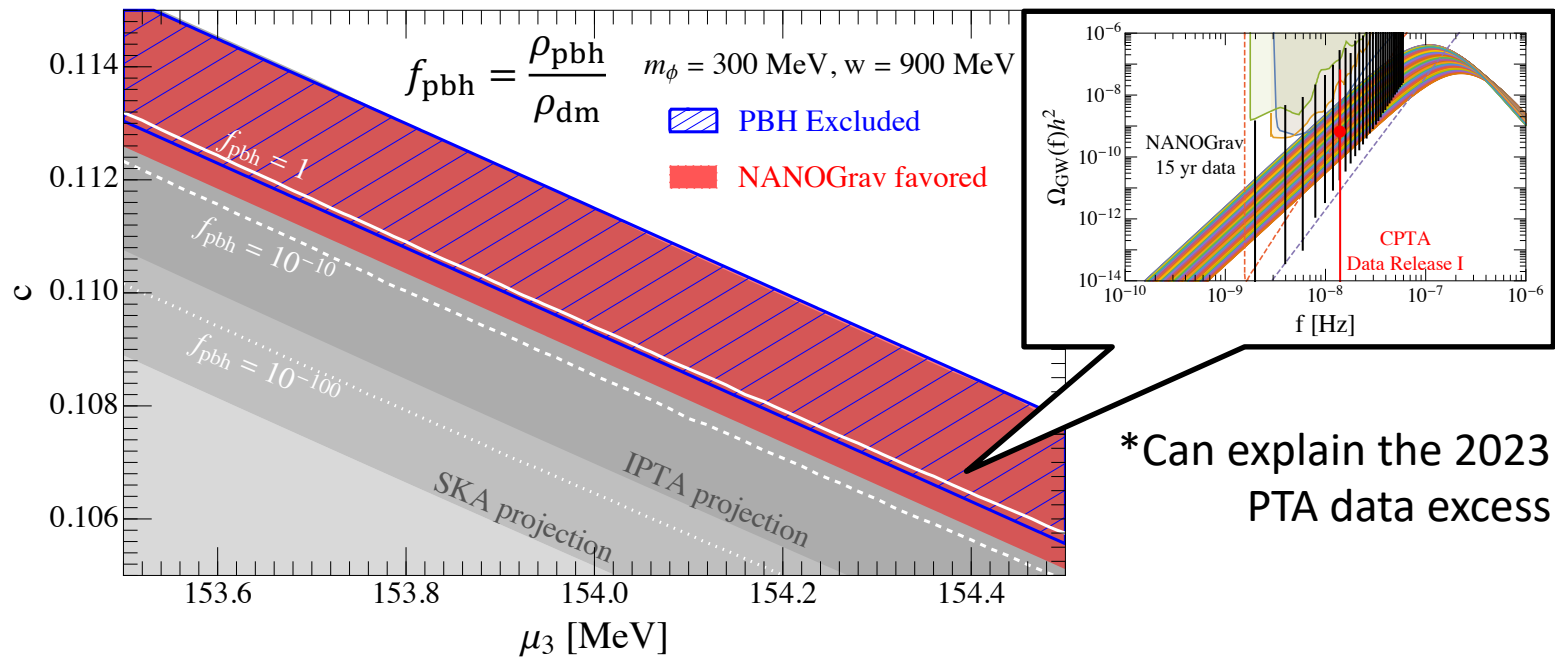
The U-shaped $S(T) = S_3(T)/T$

- For $T \rightarrow T_c$, two vacua degenerate, $S(T) \rightarrow \infty$
- For $T \rightarrow 0$, $S_3(T)$ finite, $S(T) \rightarrow \infty$

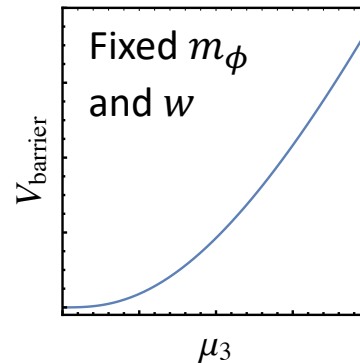
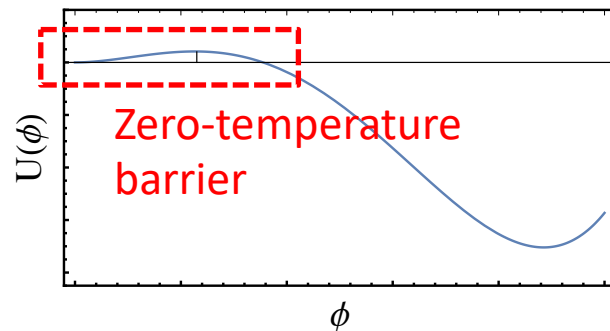
Decay rate $\Gamma \propto e^{-S}$ suppressed $\Rightarrow$ a **slow** transition!

NOT necessary super-strong: **difference** between late and slow

Feature 1: sensitive parameter-dependence



$\delta\mu_3/\mu_3 \sim 0.6\%$ and $\delta c/c \sim 9\%$, however $\delta f_{\text{pbh}} > 10^{10}!$

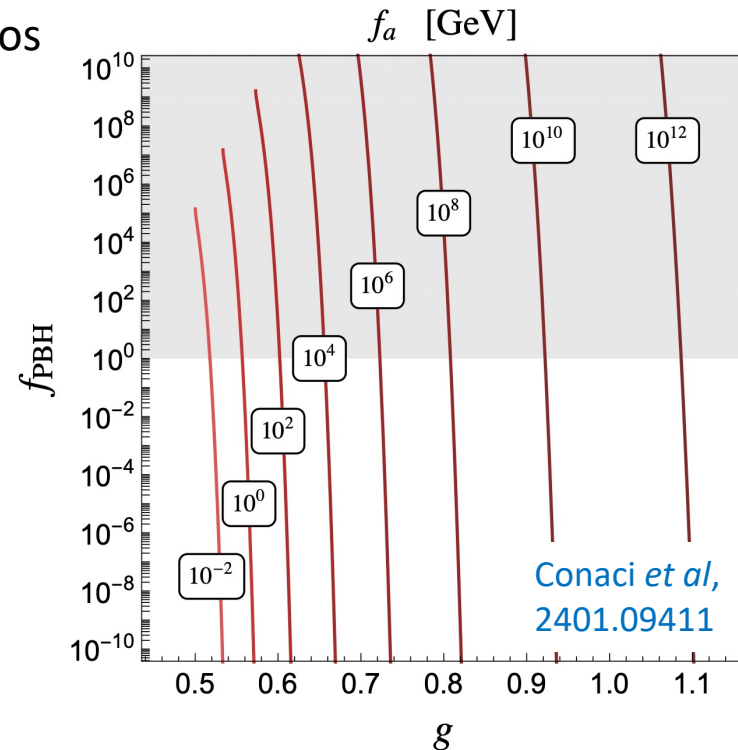
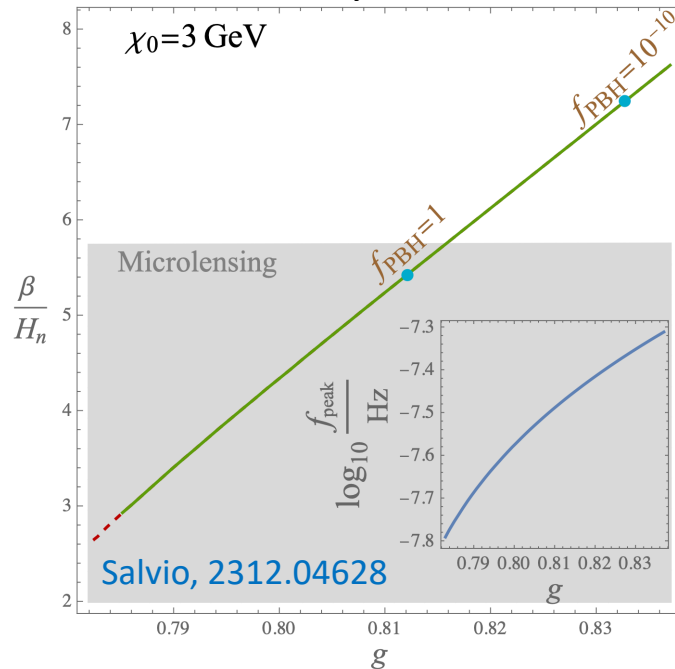


f_{pbh} exponentially sensitive to the barrier height

The sensitive f_{pbh}

Similar dependence also obtained in the literature

*For classically conformal scenarios



Reason: f_{pbh} is exponentially sensitive to β/H_*

Fine-tuning is needed for $f_{\text{pbh}} \sim 1$

Also the general feature of **many conventional PBH mechanisms**

Feature 2: the breakdown of exponential nucleation

The vacuum decay rate $\Gamma(t) \sim \Gamma_* e^{-S(t)}$

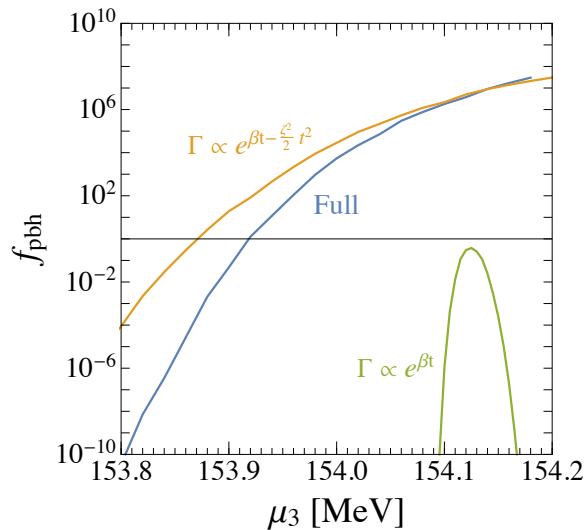
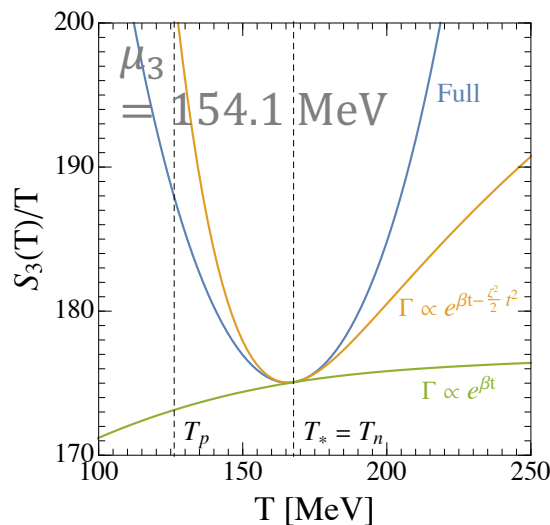
Taylor expansion $S(t) \approx S(t_*) - \beta(t - t_*) + \frac{\zeta^2}{2}(t - t_*)^2 + \dots$

- $\Gamma(t) \approx \Gamma_* e^{\underbrace{\beta(t-t_*)}_{\text{Linear-order}} - \frac{\zeta^2}{2}(t-t_*)^2 + \dots}$

Linear-order $\rightarrow$ exponential approximation $\rightarrow$ parameter β/H_*

“Standard approximation” in FOPT studies

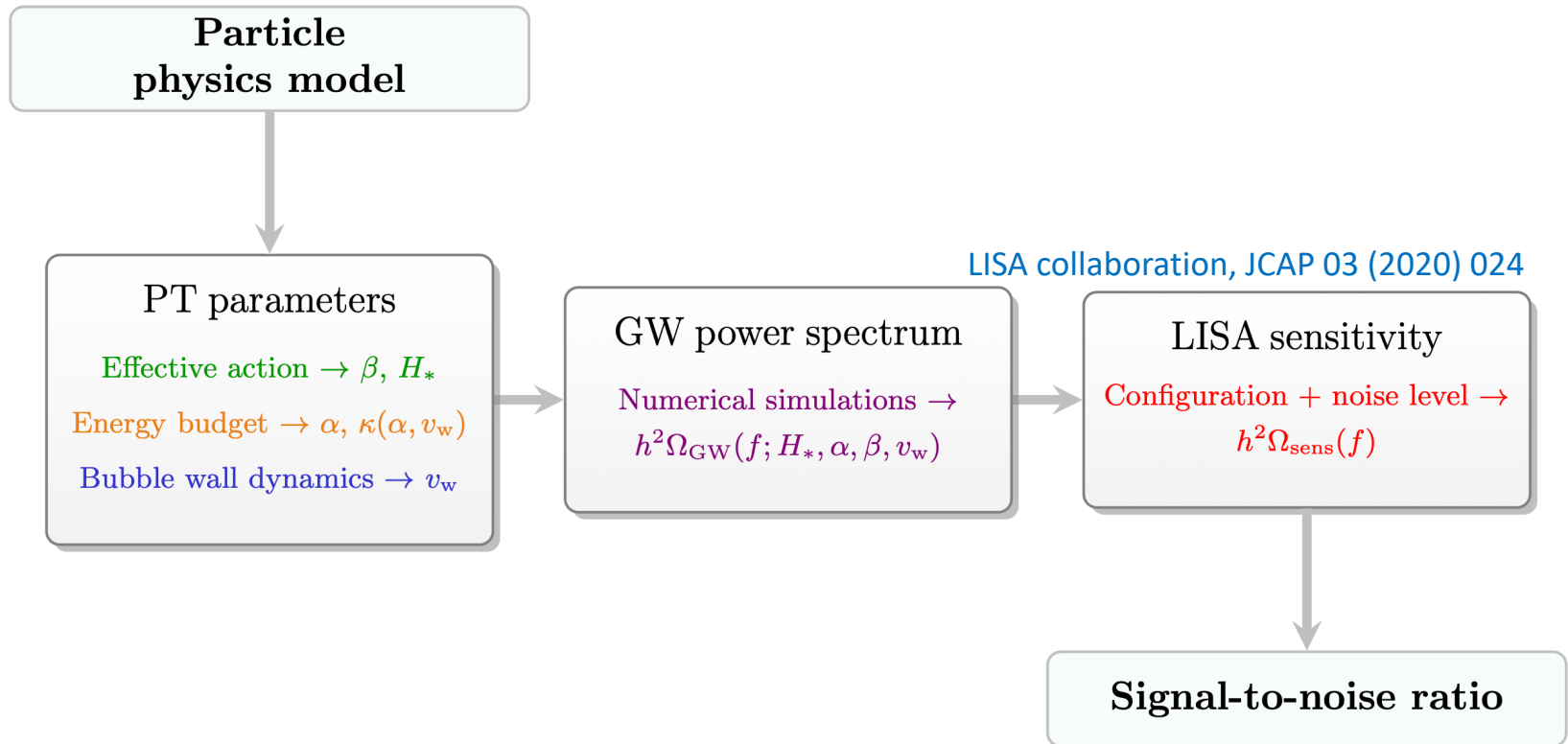
BUT may not apply when β/H_* is small



Benchmark: $m_\phi = 300$ MeV, $w = 900$ MeV, and $c = 0.11$

Importance of including full $S(t)$

The standard “EFT” treatment of FOPTs



In the slow-transition PBH scenario:

- Full description of $S(t)$ is needed
- Replace β/H_* with $(8\pi)^{1/3} v_w / (H_* \bar{R})$ in GW calculation

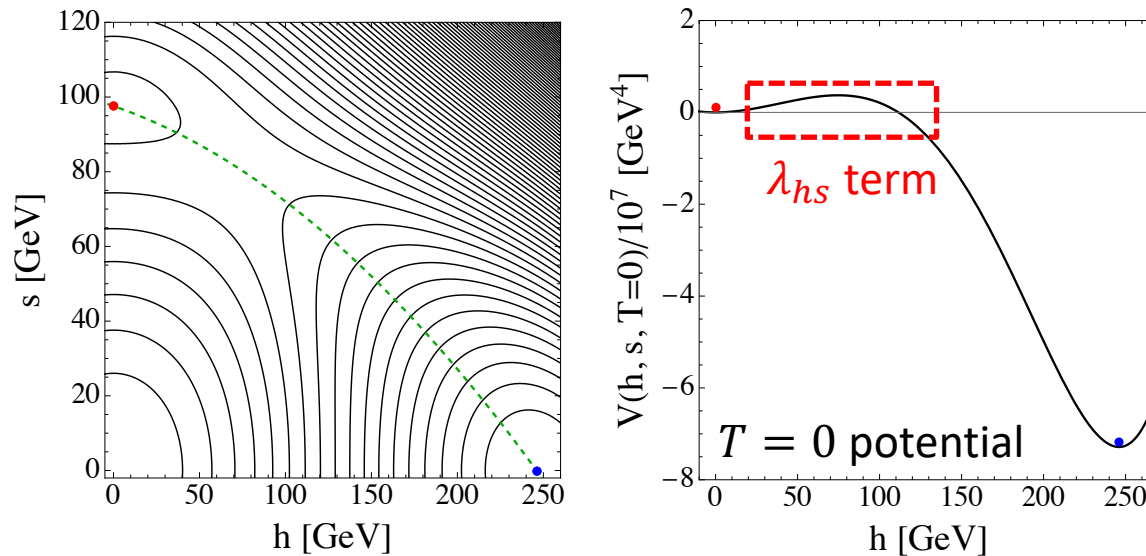
A more realistic model

The $\mathbb{Z}_2$ -symmetric singlet extension of the SM ($\mathbb{Z}_2$ -xSM)

$$V(h, s) = \frac{\mu_h^2}{2} h^2 + \frac{\mu_s^2}{2} s^2 + \frac{\lambda_h}{4} h^4 + \frac{\lambda_s}{4} s^4 + \frac{\lambda_{hs}}{2} h^2 s^2$$

Given $m_h = 125$ GeV and $\langle h \rangle = v = 246$ GeV,

Only 3 free parameters, chosen as m_s , λ_{hs} , λ_s .



Benchmark: $m_s = 218$ GeV, $\lambda_{hs} = 1.108$, $\lambda_s = 2$

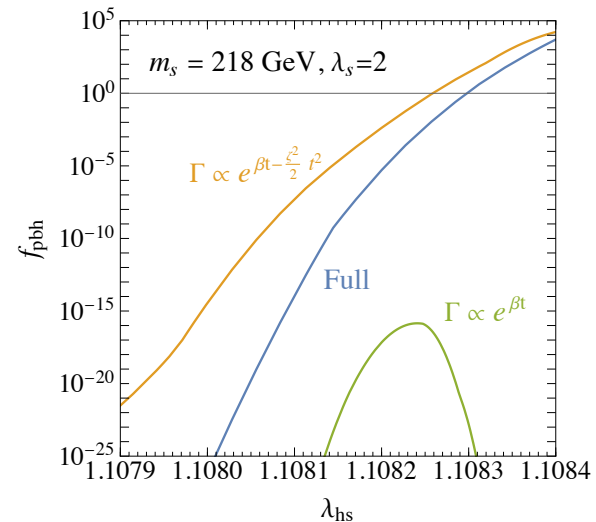
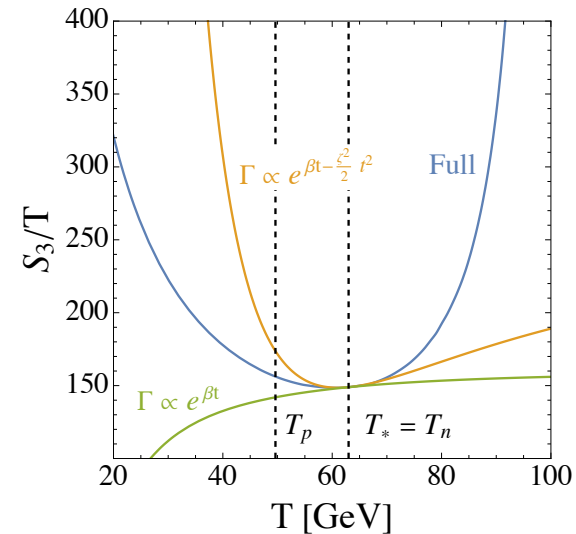
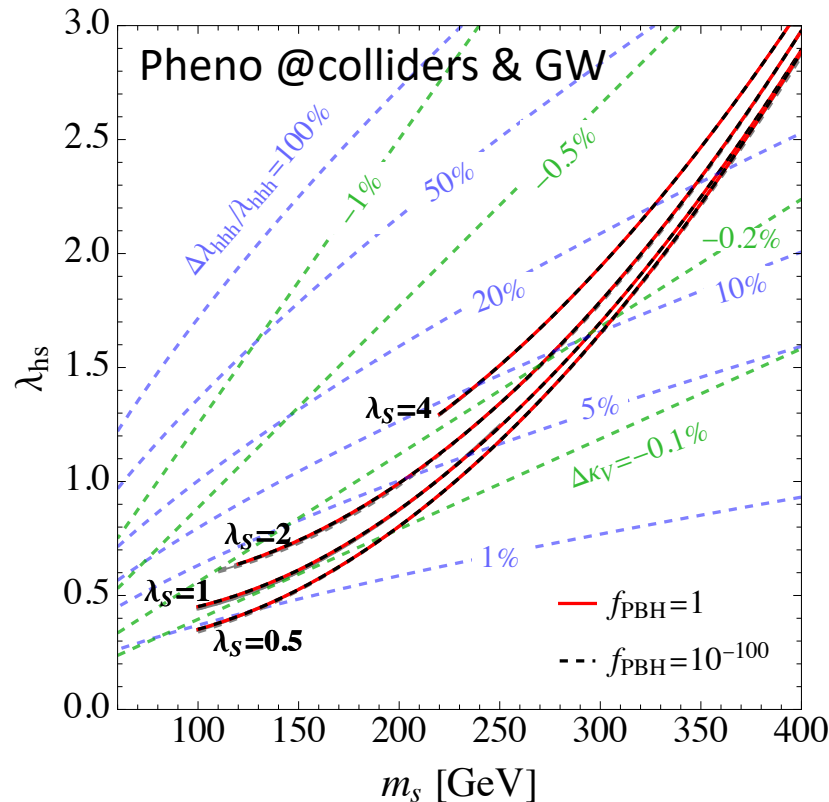
The λ_{hs} term induces the zero-temperature barrier

Finite temperature dynamics

Vacuum decay pattern

$$(h, s) = (0, w_*) \rightarrow (v_*, 0)$$

First-order electroweak phase transition (FOEWPT)



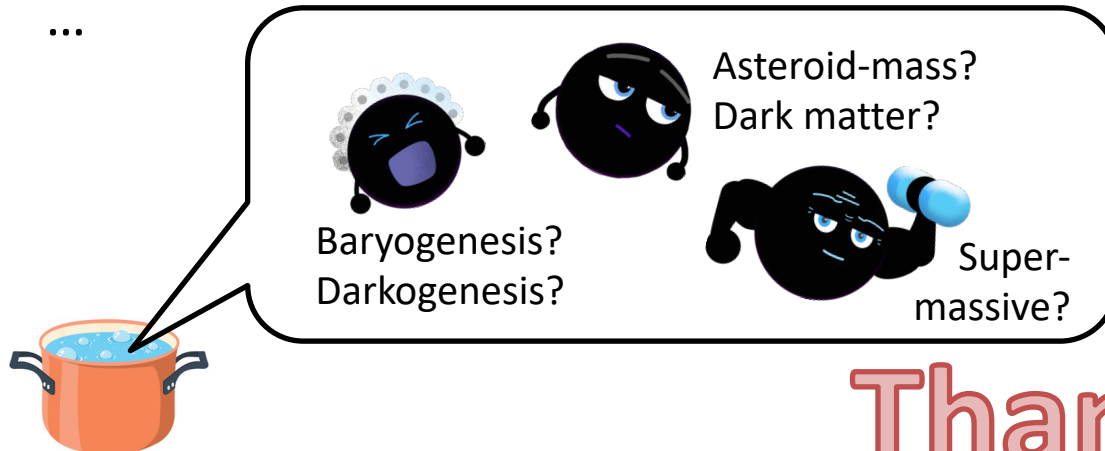
Closing remarks

Slow FOPTs favor potentials with **zero-temperature barriers**

1. PBH abundance depends sensitively on barrier height
2. The breakdown of $\Gamma \propto e^{\beta t}$ approximation

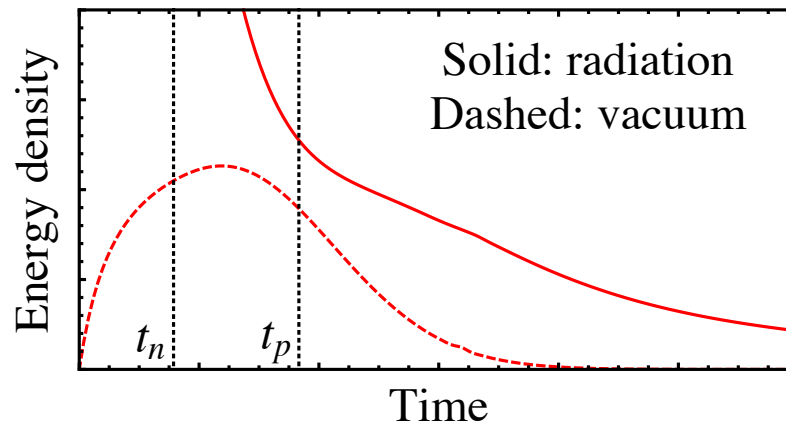
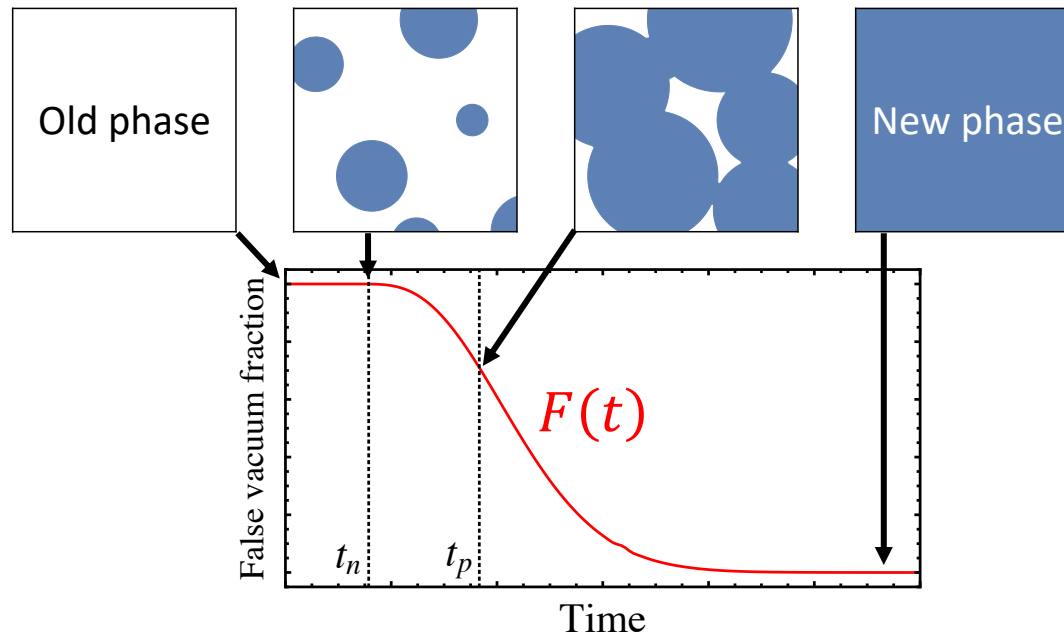
$m_{\text{pbh}} \propto T_*^{-2}$: Landscape of FOPTs $\Rightarrow$ **Landscape of PBHs**

- GUT -- $T_* \sim 10^{15}$ GeV $\Rightarrow m_{\text{pbh}} \sim 10$ g
- Seesaw & $U(1)_{B-L}$ -- $T_* \sim 10^{12}$ GeV $\Rightarrow m_{\text{pbh}} \sim 10^7$ g
- EW $SU(2)_L \times U(1)_Y$ -- $T_* \sim 10^2$ GeV [**Higgs**] $\Rightarrow m_{\text{pbh}} \sim 10^{27}$ g
- Dark $U(1)_X$ -- $T_* \sim$ MeV [**NANOGrav?**] $\Rightarrow m_{\text{pbh}} \sim 10^{37}$ g
- ...



Thank you!

Backup: energy transition during FOPTs



$$\text{Radiation energy } \rho_r = \frac{\pi^2}{30} g_* T^4$$

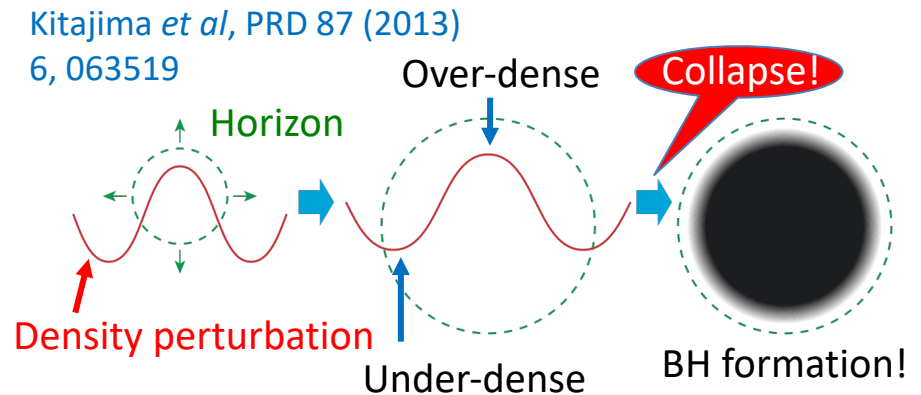
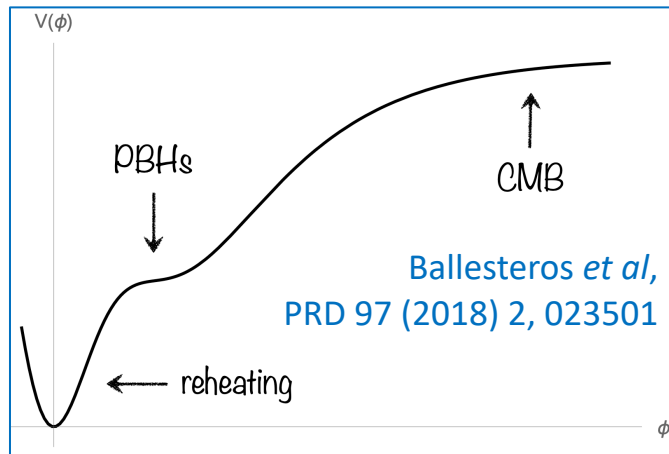
$$\text{Vacuum energy } \rho_v = \Delta V \cdot F$$

$$\frac{d\rho_r}{dt} + 4H\rho_r = -\frac{d\rho_v}{dt}$$

Backup: other PBH scenarios

The “conventional” scenario:

Inflationary fluctuation [Carr & Hawking, MNRAS 168 (1974) 399–415]



Other scenarios:



1. Collapse from inhomogeneities during radiation-dominated era
2. Critical collapse
3. Collapse from single-field inflation
4. Collapse from multi-field inflation
5. Collapse from inhomogeneities during matter-dominated era
6. Collapse of cosmic string loops
7. Collapse from bubble collisions
8. Collapse of scalar field
9. Collapse of domain walls

Carr et al, Rept.Prog.Phys. 84 (2021) 11, 116902

Backup: PBHs from density perturbation

Carr *et al*, Mon. Not. Roy. Astron. Soc. 168, 399 (1974)

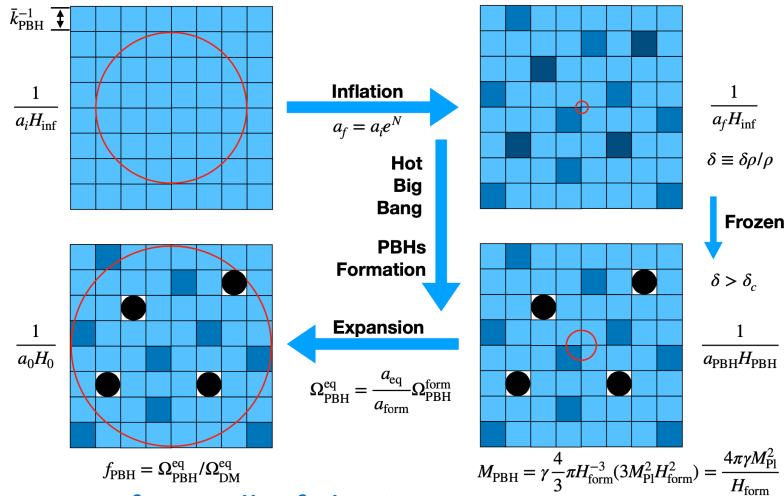


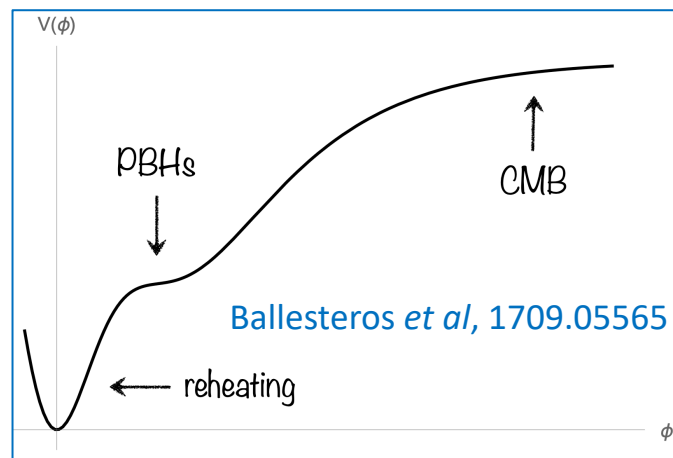
Figure from talk of Shao-Jiang Wang

The curvature perturbation power spectrum

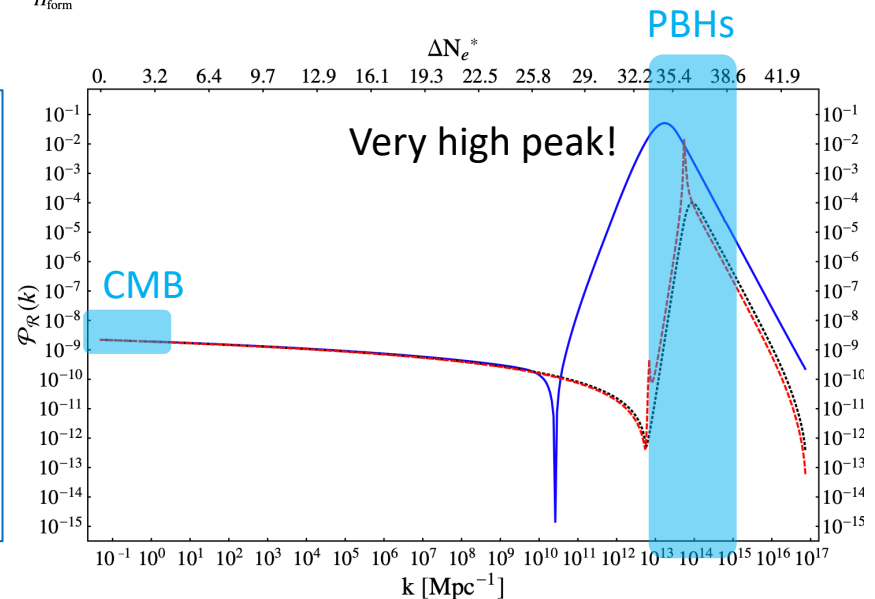
$$P_{\zeta}(k) \approx \frac{A}{\sqrt{2\pi\sigma^2}} \exp\left(\frac{\log^2(k/k_p)}{2\sigma^2}\right)$$

PBH mass peak

$$M_{\text{PBH}}^{\text{peak}} \sim 10^{15} \text{ g} \times \left(\frac{k_p}{10^{15} \text{ Mpc}^{-1}}\right)^{-2}$$



Ballesteros *et al*, 1709.05565

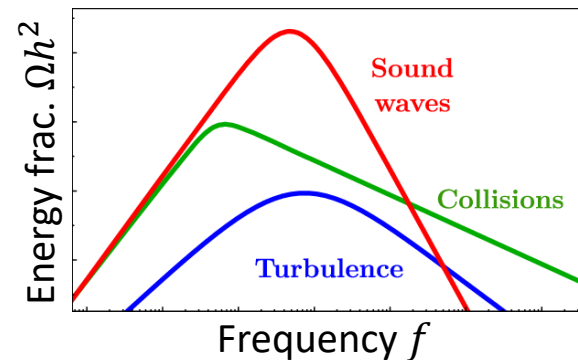


Backup: FOPT GWs

Stochastic gravitational wave signals [\[Caprini et al, JCAP 1604 \(2016\) 001\]](#)

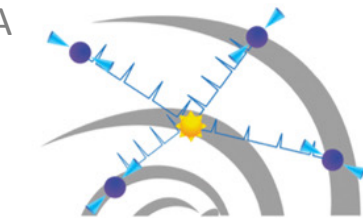
1. Bubble collision;
2. Sound waves in plasma;
3. Turbulence in plasma.

- $f_{\text{peak}} \sim 10^{-9} \text{ Hz} \times \left(\frac{1}{v_w}\right) \left(\frac{\beta/H_*}{10}\right) \left(\frac{T_*}{\text{MeV}}\right)$



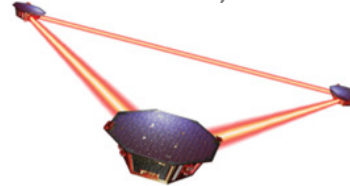
Landscape of GWs from FOPTs

NANOGrav, CPTA,
EPTA, PPTA;
SKA



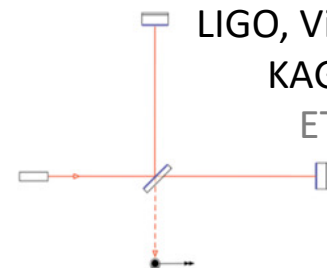
Pulsar timing arrays

LISA, TianQin, Taiji,
BBO, DECIGO



Space-based

LIGO, Virgo,
KAGRA;
ET, CE



Ground-based

