

ALP concurrent effect with photon and electron couplings within collider and beamdump searches

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ALP searches with mass region within $\mathcal{O}(1)$ MeV to 10 GeV

With Jia Liu and Yan Luo

Motivation:

- Axion $\rightarrow$ Strong CP problem (PQ symmetry, $\bar{\theta}$)
[Peccei, Quinn, 1977; Wilczek, 1978; Weinberg, 1978]
- Axion-like particles (ALP) (independent f_a and m_a)
(e.g., string theory *[P. Svrček, E. Witten, 2006; A. Arvanitaki, et al. 2009]*)
- Concurrent effects (both couplings simultaneously) of ALP-electron and ALP-photon couplings would be essential.
- low masses ALPs (below eV scales) have covered the interplay of multiple couplings / concurrence (e.g., $g_{a\gamma}$ and g_{ae})
- Searches for ALPs (MeVs to GeVs) derive singly coupling bounds while multiple couplings exist in UV.

Past searches with relevant couplings interplay

- Majority focus on eV scales and cosmology/astrophysics constraints or ALP-gauge boson couplings
 - ALP-electron/photon($g_{ae}, g_{a\gamma}$) [C. Gao et al, 2020; M. Xiao et al, 2022]
 - ALP-nucleon/photon($g_{aN}, g_{a\gamma}$) [L. Di Luzio et al., 2022]
 - ALP-EW gauge bosons($g_{aWW}, g_{aBB}, \dots$)
[F. Ertas and F. Kahlhoefer, 2020; J. Bonilla et al, 2021; G. Alonso-Álvarez et al, 2022]
- New Physics
 - $(g - 2)_\mu$ ($g_{a\mu}, g_{a\gamma}$) [D. Chang et al, 2001; M.A. Buen-Abad et al, 2021, J. Liu et al, 2023]
 - CP-violation/LFV ($g_{ae}, g_{a\mu}, g_{aW}, \dots$)
[W.J. Marciano, 2016; C. Cornella, 2020; M. Bauer 2020]

- ALP UV models with one of the couplings missing in high energy but generate radiatively at low energy.
- KSVZ-like and DFSZ-like.
- Collider and electron beamdump limit reinterpretation (ALP-electron and ALP-photon) for $m_a \rightarrow \mathcal{O}(1)$ MeV to 10 GeV.

Low energy effective Lagrangian ALPs with electrons and photon

$$\mathcal{L}_a^{D \leq 5} = \frac{1}{2} \partial_\mu a \partial^\mu a - \frac{1}{2} m_a^2 a^2 - \frac{1}{4} g_{a\gamma\gamma}^{\text{eff}} \times a F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{1}{2} g_{a\bar{e}e}^{\text{eff}} \times \partial_\mu a \bar{e} \gamma^\mu \gamma_5 e$$

dual field strength tensor $\left(\frac{1}{2} \epsilon^{\mu\nu\alpha\beta} F_{\alpha\beta} \right)$



- Decay of ALP

$$\Gamma_{a \rightarrow e\bar{e}} = \frac{(g_{a\bar{e}e}^{\text{eff}})^2 m_e^2 m_a}{8\pi} \left(1 - \frac{4m_e^2}{m_a^2} \right)^{\frac{1}{2}}, \quad \Gamma_{a \rightarrow \gamma\gamma} = \frac{(g_{a\gamma\gamma}^{\text{eff}})^2 m_a^3}{64\pi}$$

$$\rightarrow \mathcal{B}(a \rightarrow \gamma\gamma) / \mathcal{B}(a \rightarrow e\bar{e}) \approx (g_{a\gamma\gamma}^{\text{eff}})^2 m_a^2 / 8 (g_{a\bar{e}e}^{\text{eff}})^2 m_e^2$$

$\Rightarrow$ Favor for diphoton at $\mathcal{O}(1)$ GeV, but dielectron could be comparable at lower mass (Beamdump).

UV models for ALPs (KSVZ-like and DFSZ-like)

KSVZ-like

- Vector-like fermion (Q_L, Q_R) carry SM gauge charge $\sim (1, 1, Y)$ for $SU(3)_C$, $SU(2)_L$ and $U(1)_Y$.
- Singlet (Φ) $\sim (1, 1, 0)$.
- Q_L, Q_R and Φ satisfy $U(1)_{PQ} \sim (+1, -1 \text{ and } +2)$.
- a is the axion after global $U(1)_{PQ}$ breaking.

$$\Phi = \frac{1}{\sqrt{2}}(v_a + \rho_a)e^{i\frac{a}{v_a}}$$

- After EWSB, chiral rotation of the $Q_{L/R}$ leaves chiral anomaly (no N):

$$\delta\mathcal{L}_{\text{KSVZ-like}} = 3Y^2 \frac{e^2}{16\pi^2} \frac{a}{v_a} F\tilde{F} = E \frac{\alpha_{\text{QED}}}{4\pi} \frac{a}{v_a} F\tilde{F}$$

UV models for ALPs (KSVZ-like and DFSZ-like)

KSVZ-like

$$\rightarrow g_{a\tilde{e}e}^{\text{eff}} \text{ (cut off } \sim v_a \rightarrow f_a)$$

[M. Srednicki, 1985; M. Bauer et al, 2017]

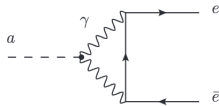
$$\begin{aligned} g_{a\tilde{e}e}^{\text{eff}} &\rightarrow g_{a\tilde{e}e}^0 (= 0) \\ &\approx \frac{3\alpha_{\text{QED}}}{4\pi} g_{a\gamma\gamma}^{\text{eff}} \left[\ln \left(\frac{f_a^2}{m_e^2} \right) + g(\tau_e) \right] \end{aligned}$$

$$g(\tau_e) = -\frac{1}{6} \left(\ln \left(\frac{m_a^2}{m_e^2} \right) - i\pi \right)^2 + \frac{2}{3}, \text{ for } m_a^2 \gg m_e^2$$

$$\rightarrow g_{a\gamma\gamma}^{\text{eff}}$$

$$g_{a\gamma\gamma}^{\text{eff}} = E \frac{\alpha_{\text{QED}}}{4\pi} \frac{1}{f_a}$$

$$\rightarrow F\tilde{Z} \text{ and } Z\tilde{Z} \text{ contribute for large } f_a, m_a.$$



UV models for ALPs (KSVZ-like and DFSZ-like)

DFSZ-like

- $H_u \sim (1, 2, 1/2)$, $H_d \sim (1, 2, 1/2)$, and $\Phi \sim (1, 1, 0)$.
- Quarks couple with H_u (Type-Lepton specific 2HDM).
- PQ charges $X_{H_u} = -1$, $X_{H_d} = +1$, and $X_\Phi = -X_{H_u} + X_{H_d} = +2$
- $c_u, c_d, c_\ell = \cos^2 \beta, -\cos^2 \beta, \sin^2 \beta$ (v_u/v_d)

$$\begin{aligned}\mathcal{L} \supset & i(c_f \frac{a}{f_a}) m_f \bar{f} \gamma_5 f \supset \frac{c_\ell}{2} \frac{\partial_\mu a}{f_a} \bar{\ell} \gamma^\mu \gamma_5 \ell + c_u(\dots) + c_d(\dots) \\ & - E(N_c^f, N_g, c_u, c_d, c_\ell) \frac{e^2}{16\pi^2} \frac{a}{f_a} F_{\mu\nu} \tilde{F}^{\mu\nu}\end{aligned}$$

where f_a is broken scale ($\propto v_\phi$).

- $E(N_c^f, N_g, c_u, c_d, c_\ell) \rightarrow 1$
- $N \propto c_u + c_d = 0$ (no color anomaly coefficient for $G\tilde{G}$)

UV models for ALPs (KSVZ-like and DFSZ-like)

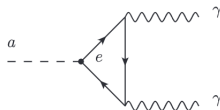
DFSZ-like

→ $g_{a\gamma\gamma}^{\text{eff}}$
($U(1)_{\text{EM}}$ anomaly and loop contribution)

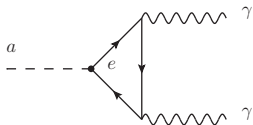
$$g_{a\gamma\gamma}^{\text{eff}} = \frac{e^2}{16\pi^2} \left(\sum_{i=e,\mu,\tau} (B_1(\tau_i) - 1) g_{a\bar{l}l}^{\text{eff}} \right)$$

$$B(\tau_f) = 1 - \tau_f f^2(\tau_f), \quad \tau_f \equiv \frac{4m_f^2}{m_a^2}, \quad \tau_f \rightarrow \infty, B(\tau_f) \approx -\frac{m_a^2}{12m_f^2}; \quad \tau_f \rightarrow 0, B(\tau_f) \approx 1$$

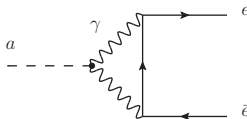
→ $g_{a\bar{e}e}^{\text{eff}} \propto \sin^2 \beta$ (lepton couplings are same)



UV models for ALPs (KSVZ-like and DFSZ-like)



DFSZ-like

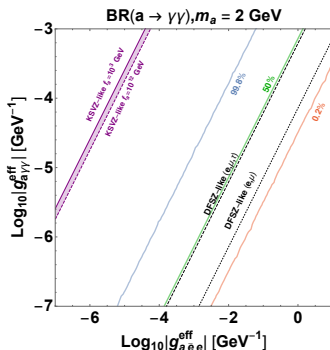
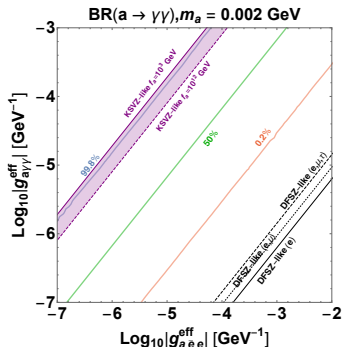


KSVZ-like

$$\frac{|g_{a\gamma\gamma}^{\text{eff}}|}{|g_{a\bar{e}e}^{\text{eff}}|} = \frac{\sum_{\ell=e,\mu,\tau} (B(\tau_\ell) - 1) \frac{N_c Q_f^2 e^2}{16\pi^2} g_{a\bar{\ell}\ell}^{\text{eff}}}{g_{a\bar{e}e}^{\text{eff}}} \quad \frac{|g_{a\gamma\gamma}^{\text{eff}}|}{|g_{a\bar{e}e}^{\text{eff}}|} = \frac{8\pi}{\alpha_{\text{QED}} \left| \left(6 \ln \left(\frac{f_a^2}{m_e^2} \right) - \left(\ln \left(\frac{m_a^2}{m_e^2} \right) - i\pi \right)^2 + 4 \right) \right|}$$

- KSVZ-like favors for ALP-photon coupling while DFSZ-like favors for ALP-lepton/electron coupling.

$\mathcal{B}(a \rightarrow \bar{e}e/\gamma\gamma)$ with UV models in $[g_{a\bar{e}e}^{\text{eff}}, g_{a\gamma\gamma}^{\text{eff}}]$



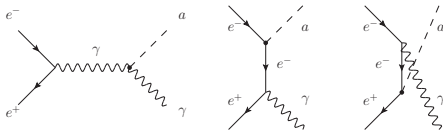
- $\mathcal{B}(a \rightarrow \bar{e}e)$ increases when m_a decreases due to $m_a^2/(8m_e^2)$.
- KSVZ-like favors for large $g_{a\gamma\gamma}^{\text{eff}}$ while DFSZ-like favors for $g_{a\bar{e}e}^{\text{eff}}$.

Collider and beamdump (electron) experiments

- For $m_a \rightarrow 0.2 \sim 10$ GeV, we focus on two main experiments ($e^+ + e^- \rightarrow a + \gamma$ follows $a \rightarrow \bar{e}e/\gamma\gamma$)
 - Belle-II ($\sqrt{s} = 10.58$ GeV) limits $g_{a\gamma\gamma}^{\text{eff}}$ [Belle-II,2020]
 - BaBar limits $g_{a\bar{e}e}^{\text{eff}}$ [BaBar,2020]
- For $m_a \rightarrow \mathcal{O}(1)$ MeV ~ 1 GeV, NA64 and E137 beamdump experiments are investigated ($e + N \rightarrow e + N + a$).
 - Both two experiments have constrained $g_{a\bar{e}e}^{\text{eff}}$ and $g_{a\gamma\gamma}^{\text{eff}}$ separately.

The concurrent effects of two couplings have a greater impact on electron beamdump searches.

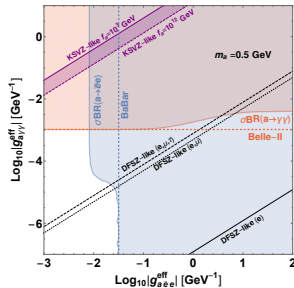
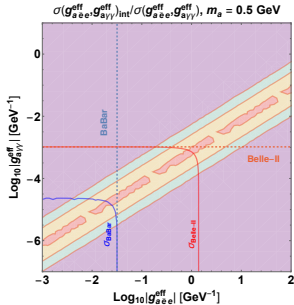
Cross section for collider approach



$$\sigma(ee \rightarrow a\gamma) \propto \alpha_{\text{qed}} \left[\frac{(g_{a\gamma\gamma}^{\text{eff}})^2 (s - m_a^2)^3}{24s^3} + \frac{m_e^2}{(s - m_a^2)} \left((g_{a\gamma\gamma}^{\text{eff}})^2 + (g_{a\bar{e}e}^{\text{eff}})^2 + g_{a\gamma\gamma}^{\text{eff}} g_{a\bar{e}e}^{\text{eff}} \right) \right] + \dots$$

- First term corresponds to s -channel/Primakoff effect (Left figure).
- Second term suppressed by (m_e^2/s) .

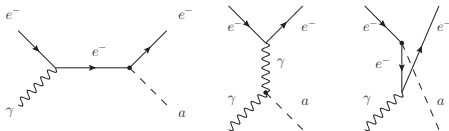
Collider scenario $[g_{a\bar{e}e}^{\text{eff}}, g_{a\gamma\gamma}^{\text{eff}}]$ with $m_a = 0.5$ GeV



- Left: $\sigma_{\text{int}}/\sigma_{\text{total}}$. Right: $\sigma \times \mathcal{B}(a \rightarrow \bar{e}e/\gamma\gamma)$.
- Horizontal(Belle-II) and vertical(BaBar) dashed lines are existing bounds (left bottom region is survived).
- Concurrent effect: σ and $\mathcal{B}$.
- $\sigma \uparrow$ when the other coupling is large (survived region squeezed)
- $\mathcal{B} \downarrow$ when the other coupling is large (survived region relaxed)

Beamdump exclusion for ALPs

($e^- N \rightarrow e^- a N$ with Nuclei attached with photon)



- Improved Weizsäcker-Williams (IWW) approximation to reduce phase space integral ($2 \rightarrow 3 \Rightarrow 2 \rightarrow 2$) ($\gamma_{\text{vir}} \rightarrow \gamma_{\text{real}}$)

[C.F. Weizsäcker, 1934; E.J. Williams, 1934; Y.-S. Tsai and V. Whitis, 1966; K.J. Kim and Y.-S. Tsai, 1973]

- $\rightarrow m_a \ll E_{e(\text{in})}$.
- $\rightarrow$ Final states are highly collinear.

$$\frac{d\sigma}{dx} = \frac{\alpha_{\text{QED}}}{4\pi^2} \frac{\sqrt{E_{e(\text{in})}^2 x^2 - m_a^2}}{E_{e(\text{in})}} \chi_\gamma \int d\tilde{u} \frac{\mathcal{A}}{\tilde{u}^2} \frac{1-x}{x}$$

$x = E_a/E_{e(\text{in})}$, $\mathcal{A}$ (Amplitude), $\tilde{u}$ (modified u), χ_γ (Photon flux)

Number of ALP events from electron beamdump

$$N_a \approx \frac{N_e X}{M_{\text{target}}} \int_{E_{\min}}^{E_0} dE \int_{x_{\min}}^{x_{\max}} dx \int_0^T dt \mathcal{I}_e \frac{d\sigma}{dx} e^{-L_{\text{sh}} \left(\frac{1}{L_a} + \frac{1}{l_\lambda} \right)} \left(1 - e^{-\frac{L_{\text{dec}}}{L_a}} \right)$$

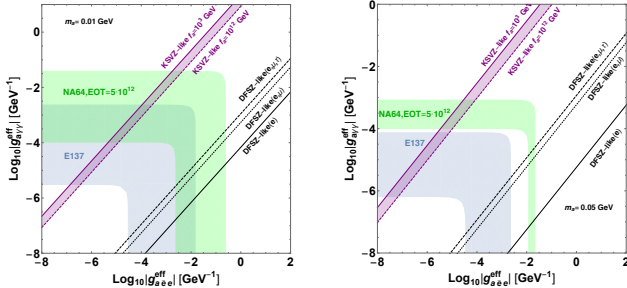
[Y.-S. Tsai and V. Whitis, 1966]

- $\mathcal{I}_e$ Energy distribution of electrons passing through radiation length t
- $N_a = 3$ (95% C.L) for E137 (Al). $N_a = 2.3$ (90% C.L) for NA64 (Pb).
- L_a decay length of ALP $\propto \Gamma_a$ (ALP-photon/electron)

$$L_a = \frac{E_a}{m_a} \frac{1}{\Gamma_a}$$

→ $L_a \downarrow$ when $\Gamma_a \uparrow$ (additional coupling add in).

Beamdump coupling contours [$g_{a\bar{a}e}^{\text{eff}}, g_{a\gamma\gamma}^{\text{eff}}$] (UV models included)



- Concurrent effect of $g_{a\bar{a}e}^{\text{eff}}, g_{a\gamma\gamma}^{\text{eff}}$ for Blue(E137), Green(NA64).
- Left: $m_a = 0.01 \text{ GeV}$. Right: $m_a = 0.05 \text{ GeV}$.

- (Right and Top region) couplings $\uparrow$ affects $L_a \downarrow$ (surpass $\sigma \uparrow$) caused by $e^{-L_{\text{sh}}/L_a} \downarrow$ and $N_a \downarrow$.
- (Left bottom corner) excluded region shifts towards the lower coupling corner when $m_a \uparrow$.
- ⇒ a mild increase for N_a .

Summary

- ALP searches with electrons and photons from $eV \rightarrow \text{GeV}$ s (cosmology/astrophysics, Beamdumps, and Colliders).
 - Both Beamdumps and Colliders have less attention to the interplay of two couplings (concurrence).
 - UV complete models would have both couplings generated non-trivially (one of the two generated radiately).
- (KSVZ/DFSZ)-like models.
- Three physical effects (concurrence): σ ($\uparrow$), $\mathcal{B}$ (relax bound) and $\Gamma_a \uparrow$ ($L_a \downarrow$).
 - Beamdump searches have more impact with L_a .
- Large coupling regions survived because $N_a \downarrow$ when $L_a \downarrow$.

Thanks

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[2004.04469]

Back up slides

$$\mathcal{L} \supset |\partial^\mu \Phi|^2 + i \bar{Q} \not{D} Q - (\mathcal{Y}_Q \bar{Q}_L Q_R \Phi + \text{h.c.}) - V(\Phi)$$

$$V(\Phi) = \lambda_\Phi \left(\Phi^* \Phi - \frac{v_a^2}{2} \right)^2.$$

one can perform the chiral rotation ($Q \rightarrow e^{-i\gamma^5 \frac{a}{2v_a}} Q$) as follows (remove ALP),

$$Q_L \rightarrow e^{i\frac{a}{2v_a}} Q_L, \quad Q_R \rightarrow e^{-i\frac{a}{2v_a}} Q_R$$

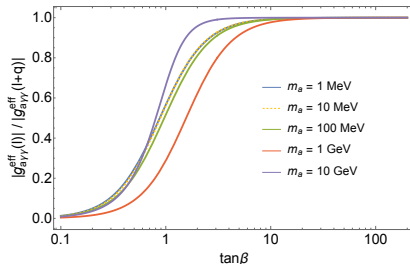
DFSZ-like model

- $SU(2)_Y \times U(1)_Y \times U(1)_{PQ} \rightarrow U(1)_{EM}$ (2 pseudoscalar Goldstone bosons)
- The broken scale f_a is defined by

$$\frac{1}{f_a} \equiv \frac{N_g v_{SM}}{\sqrt{v_1^2 v_2^2 + v_{SM}^2 v_s^2}}$$

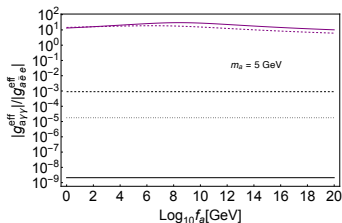
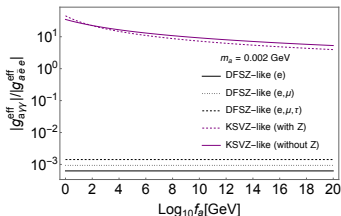
$$\begin{pmatrix} G_z \\ a \\ A_0 \end{pmatrix} = \begin{pmatrix} \frac{v_1}{v_{SM} \sqrt{v_1^2 v_2^2 + v_{SM}^2 v_s^2}} & \frac{v_2}{v_{SM} \sqrt{v_1^2 v_2^2 + v_{SM}^2 v_s^2}} & 0 \\ \frac{v_{SM} v_2}{v_1 v_2} & -\frac{v_{SM}}{v_1 v_s} & -\frac{v_s v_{SM}^2}{v_1 v_2} \\ \frac{v_2 v_s}{\sqrt{v_1^2 v_2^2 + v_{SM}^2 v_s^2}} & -\frac{v_{SM}}{\sqrt{v_1^2 v_2^2 + v_{SM}^2 v_s^2}} & \frac{v_{SM}}{\sqrt{v_1^2 v_2^2 + v_{SM}^2 v_s^2}} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ a_s \end{pmatrix}$$

DFSZ-like model



- Large $\tan\beta > 10$, coupling between ALP and fermions/leptons are of similar magnitude.
- Neglect ALP-quark coupling for $\tan\beta > 10$.

KSVZ-like and DFSZ-like correspond with f_a (PQ scale)



- Purple for KSVZ-like model
- Solid for $F\tilde{F}$ while dashed include both $F\tilde{Z}$ and $Z\tilde{Z}$.
- Black for DFSZ-like model

IWW approximation continued

- Effective photon flux χ_γ

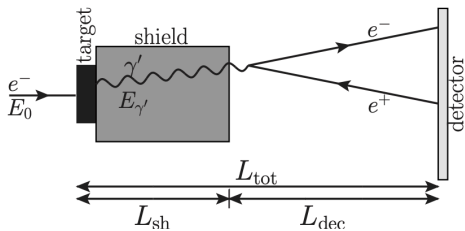
$$\chi_\gamma = \int_{t_{\min}}^{m_a^2 + m_e^2} \frac{t - t_{\min}}{t} F^2(t), \quad t_{\min} = \left(\frac{m_a^2}{2E_{e(\text{in})}} \right)^2$$

→ Elastic form factor $F(t)$

$$F(t) = \left(\frac{a^2 t}{1 + a^2 t} \right) \left(\frac{1}{1 + t/d} \right) Z$$

- Elastic atomic form factor with $a = 111 Z^{1/3}/m_e$
- Elastic nuclear form factor (nuclear size) with $d = 0.164 \text{ GeV}^{-1} A^{-2/3}$

electron beamdump searches for ALPs (E137)

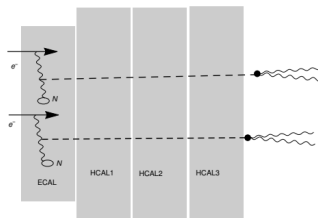


electron beamdump searches for ALPs continued

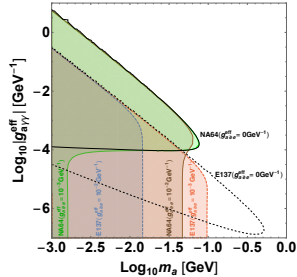
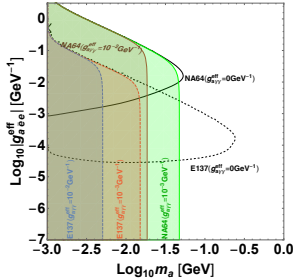
Experiment	E_e [GeV]	Target	L_{sh} [m]	L_{dec} [m]	Year
E137	20	Al	179	204	1988(SLAC)
NA64(Invis)	100	Pb	~ 4.35	∞	2020(CERN)
KEK	7	W	~ 0.25	1	2013(KEK linac)
E141	9.0	W	0.12	35	1987(SLAC)
E774	275	W	0.3	28	1989(Fermilab)
Orsay(Higgs)	1.6	W	1	2	1989(LAL)

- NA64(Invis) represents the invisible signature configuration of NA64, where ALPs decay beyond all subdetectors of NA64.
- Invisible → one ECAL and three HCAL (L_{sh}) and L_{dec} as ∞

[R.R.Dusaev et al, 2020]



Beamdump (concurrency) scenarios $[g_{a\bar{e}e}^{\text{eff}}, m_a], [g_{a\gamma\gamma}^{\text{eff}}, m_a]$



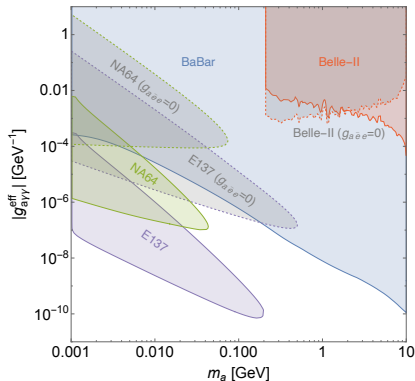
- Solid line (NA64), Dashed line (E137) are recent existing bounds (region inside excluded).
- (E137) Blue and orange with the other coupling equals to $(10^{-2}, 10^{-3} \text{ GeV}^{-2})$.
- (NA64) Brown and green with the other coupling equals to $(10^{-2}, 10^{-3} \text{ GeV}^{-2})$.

→ $\Gamma_a \uparrow (\tau_a \downarrow)$ affects $L_a \downarrow$ and $N_a \downarrow$ in the large m_a region.

→ Presence of two couplings affect $N_a \uparrow$ in the lower coupling region (hard to distinguish electron or photon in the final state).

⇒ $g_{a\gamma\gamma}^{\text{eff}}$ has a large impact (hard for $g_{a\bar{e}e}^{\text{eff}}$ to yield the enhancement).

Modified existing limits for DFSZ/KSVZ-like model



- Downward shifts of beamdump limits due to $\sigma \uparrow$ and $\tau_a \downarrow$.
- The impact of $g_{a\gamma\gamma}^{\text{eff}}$ greater than $g_{a\bar{e}e}^{\text{eff}}$ in KSVZ-like model, the modification is negligible.