

# FRIEDRICHS-LEE MODEL AND BOUND STATES, VIRTUAL STATES, RESONANCES IN HADRON PHYSICS

Zhiguang Xiao  
Si Chuan University

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## MOTIVATION

## SINGLE CHANNEL FRIEDRICHS MODEL

Virtual state, bound state, resonance solutions

## GENERALIZATION

Dynamically generated states near the threshold

ONE APPLICATION:  $1^{--}$  CHARMONIUM STATES,  $\psi(4230)$   
AND  $\psi(4160)$

# MOTIVATION

- ▶ Hadronic states: Mesons,  $q\bar{q}$ , Baryons,  $qqq$ , ...
- ▶ The intermediate states in the scatterings: Resonance, virtual state(anti-bound), bound states.
- ▶ The intermediate state could be:  $|q\bar{q}\rangle + |\text{two hadrons}\rangle \dots ?$   
E.g.  $D\bar{D}^* \rightarrow \chi_{c1} \rightarrow D\bar{D}^*$ .
- ▶ Pure composite states: dynamically generated. How to express them using the component states?
- ▶ Can we define the compositeness and elementariness for a state?
- ▶ Dynamically generated state: How is it generated from interaction?
- ▶ To study these theoretical problems, look at a solvable model is instructive: Friedrichs-Lee model.

# THE SIMPLEST FRIEDRICHS MODEL[Friedrichs, Commun. Pure

Appl. Math.,1(1948),361, See O. Civitaresea, M. Gadella, Phys.Rep.396,41 for review]

Different models in the same spirit: Lee model [PR,95,1329(1954)],  
Anderson model [PR,124,41(1961)], Jaynes Cummings, ...

$$H = H_0 + V$$

- ▶ Free Hamiltonian: bare discrete state  $|1\rangle$ , a continuum state  $|\omega\rangle$ , (set threshold=0 for simplicity)

$$H_0 = \omega_0 |1\rangle\langle 1| + \int_0^\infty \omega |\omega\rangle\langle \omega| d\omega$$

- ▶ Interaction vertex:

$$V = \lambda \int_0^\infty [f(\omega) |\omega\rangle\langle 1| + f^*(\omega) |1\rangle\langle \omega|] d\omega$$

- ▶ Orthonormal condition:  $\langle 1|1\rangle = 1$ ,  $\langle 1|\omega\rangle = 0$ , and  $\langle \omega|\omega'\rangle = \delta(\omega - \omega')$

Completeness:  $|1\rangle\langle 1| + \int_0^\infty d\omega |\omega\rangle\langle \omega| = 1$

This model is exactly solvable.

Eigenvalue equation:

$$H|\Psi(E)\rangle = (H_0 + V)|\Psi\rangle = E|\Psi(E)\rangle.$$

Solutions:

- ▶ Continuum: Eigenvalue  $E > 0$ , real

Solution: define **inverse resolvent**

$$\eta^{\pm}(E) = E - \omega_0 - \lambda^2 \int_0^{\infty} \frac{f(\omega)f^*(\omega)}{E - \omega \pm i\epsilon} d\omega$$

$$|\Psi_{\pm}(E)\rangle = |E\rangle + \lambda \frac{f^*(E)}{\eta^{\pm}(E)} \left[ |1\rangle + \lambda \int_0^{\infty} \frac{f(\omega)}{E - \omega \pm i\epsilon} |\omega\rangle d\omega \right]$$

- ▶ S-matrix:

$$S(E, E') = \delta(E - E') \left( 1 - 2\pi i \frac{\lambda f(E)f^*(E)}{\eta^+(E)} \right).$$

- ▶ Discrete states: The zero point of  $\eta(E)$  corresponds to eigenvalues of the full Hamiltonian — discrete states.

## DISCRETE STATE SOLUTIONS: BOUND STATES

$$\eta^I(E) = E - \omega_0 - \lambda^2 \int_0^\infty \frac{f(\omega)f^*(\omega)}{E - \omega} d\omega = 0$$

- ▶ Bound states: solutions on the first sheet real axis below the threshold.

$$|z_B\rangle = N_B \left( |1\rangle + \lambda \int_0^\infty \frac{f(\omega)}{z_B - \omega} |\omega\rangle d\omega \right)$$

where  $N_B = (\eta'(z_B))^{-1/2} = (1 + \lambda^2 \int d\omega \frac{|f(\omega)|^2}{(z_B - \omega)^2})^{-1/2}$ , such that  $\langle z_B | z_B \rangle = 1$ .

- ▶ Elementariness:  $Z = N_B^2$ ;  
Compositeness:  $X = N_B^2 \lambda^2 \int d\omega \frac{|f(\omega)|^2}{(z_B - \omega)^2}$ .
- ▶ Eg. If  $\omega_0 < 0$ , there could be a bound state. In the weak coupling limit, it  $\rightarrow |1\rangle$ ,
- ▶ Eg. there could also be dynamically generated bound state when the coupling is strong.

# DISCRETE STATE SOLUTIONS: VIRTUAL STATES

- Virtual states: Solutions on the second sheet real axis below the threshold.

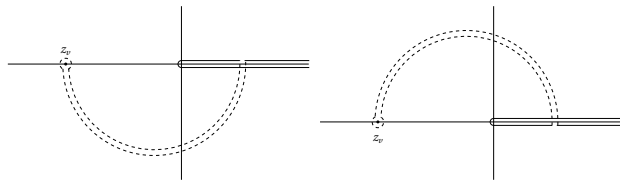
$$|z_v^\pm\rangle = N_v^\pm \left( |1\rangle + \lambda \int_0^\infty \frac{f(\omega)}{[z_v - \omega]_\pm} |\omega\rangle d\omega \right), \quad \langle \tilde{z}_v^\pm | = \langle z_v^\mp |,$$

where

$$N_v^- = N_v^{+*} = (\eta'^+(z_v))^{-1/2} = (1 + \lambda^2 \int d\omega \frac{|f(\omega)|^2}{[(z_v - \omega)_+]^2})^{-1/2},$$

such that  $\langle \tilde{z}_v^\pm | z_v^\pm \rangle = 1$ . No probability explanation.

- Elementariness & compositeness not well-defined.

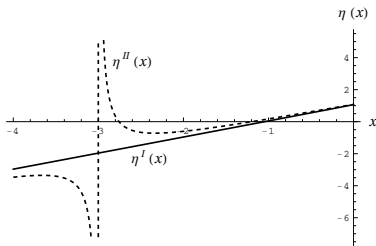


# DISCRETE STATE SOLUTIONS: VIRTUAL STATES

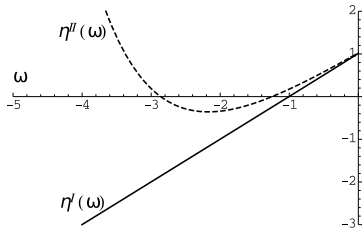
- ▶ When  $\omega_0 < 0$ , a bound state generated from  $|1\rangle$  is always accompanied with a virtual state for weak coupling,  $\rightarrow |1\rangle$ .
- ▶ Virtual states from the singularity of the vertex function, ( $|z_v\rangle \not\rightarrow |1\rangle$ , at  $\lambda \rightarrow 0$ )

$$\eta^I = z - \omega_0 - \lambda^2 \int_0^\infty \frac{|f(\omega)|^2}{z - \omega} d\omega, \quad (G(\omega) \equiv |f(\omega)|^2)$$

$$\eta^{II}(\omega) = \eta^I(\omega) + 2\pi i \lambda^2 G^{II}(\omega) = \eta^I(\omega) - 2\lambda^2 \pi i G(\omega),$$



$$G(\omega) \sim \frac{\sqrt{\omega}}{\omega+3}$$



$$G(\omega) \sim \sqrt{\omega} e^{-\omega/\Lambda},$$

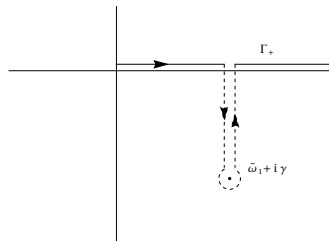
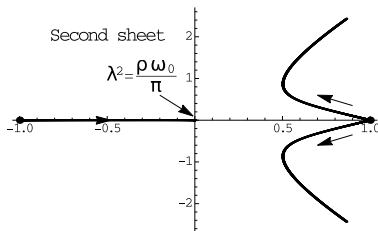


# DISCRETE STATE SOLUTIONS: RESONANCE

- Resonant states:  $\omega_0 > \text{threshold}$ , the discrete state becomes a pair of solutions  $z_R, z_R^*$ , on the second sheet of the complex plane.  $\hat{H}|z_R\rangle = z_R|z_R\rangle$

$$|z_R\rangle = N_R \left( |1\rangle + \lambda \int_0^\infty d\omega \frac{f(\omega)}{[z_R - \omega]_+} |\omega\rangle \right),$$

$$|z_R^*\rangle = N_R^* \left( |1\rangle + \lambda \int_0^\infty d\omega \frac{f(\omega)}{[z_R^* - \omega]_-} |\omega\rangle \right),$$



# DISCRETE STATE SOLUTIONS: RESONANCE

Resonant states:

- Normalization:  $\langle z_R | z_R \rangle = 0$ , naïve argument,  $z_R^* \neq z_R$ ,

$$\langle z_R | \hat{H} | z_R \rangle = z_R \langle z_R | z_R \rangle = z_R^* \langle z_R | z_R \rangle = 0$$

$|z_R\rangle$  is not in the Hilbert space — need rigged Hilbert space description.

- Left eigenstates:  $\langle \tilde{z}_R | \hat{H} = \langle \tilde{z}_R | z_R$

$$\begin{aligned}\langle \tilde{z}_R | &= \langle z_R^* | = N_R \left( \langle 1 | + \lambda \int_0^\infty d\omega \frac{f(\omega)}{[z_R - \omega]_+} \langle \omega | \right), \\ \langle \tilde{z}_R^* | &= \langle z_R | = N_R^* \left( \langle 1 | + \lambda \int_0^\infty d\omega \frac{f(\omega)}{[z_R^* - \omega]_-} \langle \omega | \right).\end{aligned}$$

$N_R$  is a complex normalization parameter,

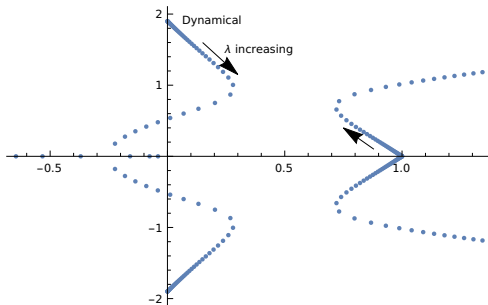
$$N_R = (\eta'^+(z_R))^{-1/2} = (1 + \lambda^2 \int d\omega \frac{|f(\omega)|^2}{[(z_R - \omega)_+]^2})^{-1/2} \text{ such that}$$

$$\langle \tilde{z}_R | z_R \rangle = 1, \text{ [Sekihara,Hyodo,Jido,PTEP 2015 (2015) 063D04]}$$

- Other physical proposal of “elementariness” and “compositeness”: [Guo,Oller,PRD93,096001].

# DISCRETE STATE SOLUTIONS: DYNAMICALLY GENERATED RESONANCE

Dynamical resonance generated from the singularity of the vertex function.



$$G(\omega) \sim \frac{\sqrt{\omega}}{\omega^2 + a^2}, \quad a = 1.9, \quad \omega_0 = 1$$

- ▶  $G = \sqrt{\omega} e^{-\omega^2/a^2}$  case : Similar situation could happen.
- ▶ A caveat to using form factor put by hand to suppress the high  $E$  contribution: The form factor may play an important role in generating the dynamical state.

# BUBBLE CHAIN SUM

- ▶ What Friedrichs model does:

$$\eta^{-1}(\omega) \sim \text{[diagram: circle with cross-hatch]} \sim \text{[diagram: horizontal line]} + \text{[diagram: two circles connected by a line]} + \text{[diagram: two pairs of circles connected by lines]} + \dots$$

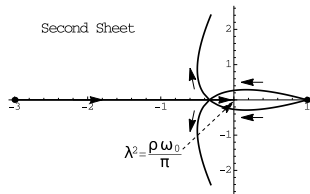
Similar to the UQM by Tönqvist [Z. Phys. C 68, 647 (1995)]

- ▶ Effective field theory bubble chain: Similar results about the poles.

# OTHER INTERESTING THINGS

- Higher order poles: [A. Mondragon and E Hernandez,J.Phys.A26(1993),5595;A. Bohm et.al.JMP38(1997),6072; I. E. Antoniou et.al.,JMP39(1997),2459; E. Hernández et.al., Int.J.Theo.Phys.,42(2003), 2167]

Hamiltonian:  
can not be diagonalized exactly,  
→ Jordan form



- Completeness relation: redefine the continuum states to including the resonances into the completeness relation [T. Petrosky et..al. Phys.A173(1991),175;ZX,Zhou,PRD94(2016)076006]

## GENERALIZATION: [ZY&ZX, JMP.58(2017), 062110; JMP58(2017), 072102]

Real world: interaction between  $|0; JM\rangle$  and  $|\mathbf{p}_1\mathbf{p}_2, S\rangle$

- Partial wave decomposition:  $|\mathbf{p}_1\mathbf{p}_2\rangle \rightarrow |p, JM, lS\rangle \sim |\omega, l\rangle$

$$H = M_0|0\rangle\langle 0| + \sum_l \int d\omega \omega |\omega, l\rangle\langle \omega, l| + \sum_l \int d\omega g_l(\omega) |0\rangle\langle \omega, l| + h.c.$$

- Include more discrete states and more continuum states: No direct coupled continuum channel.
- Separable interaction potential like in [E. Hernández et.al, PRC29(1984),722; Aceti et.al., PRD86,(2012),014012; Sekihara, PTEP(2015)063D04; Weinberg, PR131(1963),441;...]: solvable.

$$\begin{aligned} H = & \sum_{i=1}^D M_i |i\rangle\langle i| + \sum_{i=1}^C \int_{a_i}^{\infty} d\omega \omega |\omega; i\rangle\langle \omega; i| \\ & + \sum_{i,j=1}^C v_{ij} \left( \int_{a_i}^{\infty} d\omega f_i(\omega) |\omega; i\rangle \right) \left( \int_{a_j}^{\infty} d\omega f_j^*(\omega) \langle \omega; j| \right) \\ & + \sum_{j=1}^D \sum_{i=1}^C \left[ u_{ji}^* |j\rangle \left( \int_{a_i}^{\infty} d\omega f_i^*(\omega) \langle \omega; i| \right) + u_{ji} \left( \int_{a_i}^{\infty} d\omega f_i(\omega) |\omega; i\rangle \right) \langle j| \right] \end{aligned}$$

- Relativistic Friedrichs-Lee model.

# DYNAMICALLY GENERATED STATES

Study the near threshold behavior of the dynamically generated states.

- ▶ No discrete bare states  $\rightarrow$  dynamically generated discrete state  
— Bound state (molecular state), resonances, or virtual state.
- ▶ Hamiltonian:

$$H = \int_a d\omega \omega |\omega\rangle \langle \omega| \pm \lambda^2 \int_a d\omega \int_a d\omega' f(\omega) f^*(\omega') |\omega\rangle \langle \omega'| \quad (1)$$

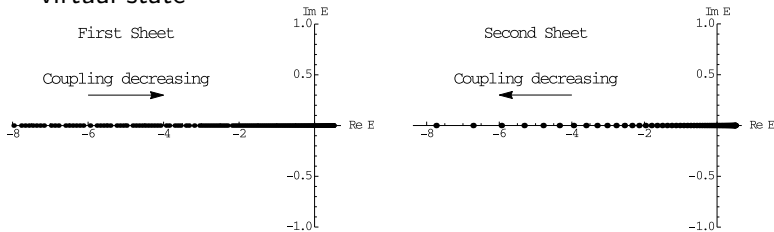
- ▶ Vertex function  
 $f(\omega) = (\omega - a)^{(l+1/2)/2} \exp\{-(\omega - a)/(2\Lambda)\}.$
- ▶ Discrete state pole position:

$$\mathbf{M}_{\pm}(E) = \det M_{\pm} = 1 \pm \lambda^2 G(E) = 1 \pm \lambda^2 \int_a d\omega \frac{|f(\omega)|^2}{\omega - E} = 0$$

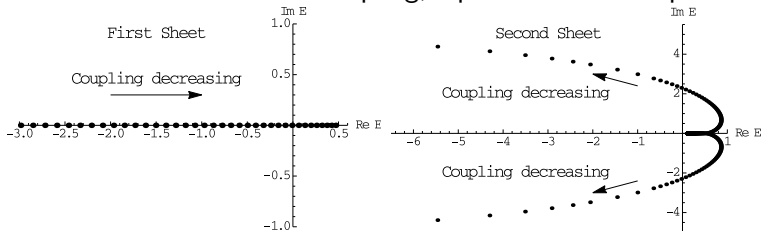
- ▶ — sign: attractive.

# EG: DYNAMICALLY GENERATED STATES, ATTRACTIVE POTENTIAL

- S-wave: Strong coupling, a bound state  $\rightarrow$  Weak coupling, a virtual state



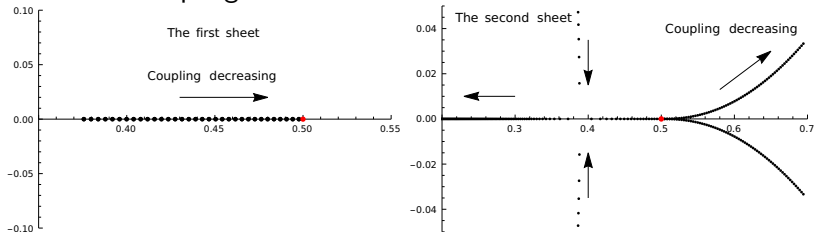
- P-wave: Strong coupling, A bound state and a virtual state  $\rightarrow$  Weak coupling, a pair of resonance poles.





# EG: D-WAVE DYNAMICALLY GENERATED STATES

Attractive coupling:



- ▶ The resonance poles merge at the threshold, and one becomes a virtual state, the other becomes a bound states.

Near threshold poles for attractive potential: when coupling is becoming stronger

- ▶  $l \geq 1$ : a virtual state and a bound state appear together.
- ▶  $l = 0$ , one bound/virtual state near the threshold.
- ▶ Explained using the effective range expansion in [Taylor, Scattering Theory; Hanhart et. al. PLB739(2014)375] and also using Jost function in [ Hyodo,PRC90:055208(2014); ]

# DYNAMICAL V.S. ELEMENTARY

Elementary: originated from the bare discrete state

Dynamical: generated by interaction

- ▶ S-wave bound state:

**Dynamical state**: can have no accompanied virtual state.

**Elementary state**: always accompanied with a virtual state pole at weak coupling

— **Pole counting rule** [D. Morgan, NPA543(1992),632;Baru et.al., Phys. Lett. B586(2004),53;Ou Zhang,et.al., Phys.Lett.B680(2009),453]

- ▶ Higher partial wave , no such a difference: The dynamically generated state if appears from the threshold (resonance pole merging), it must accompanied with a virtual state.
- ▶ In weak coupling limit: The dynamically generated states do not go to bare states, but towards the singular point of the form factor.

# THOUGHTS ON ELEMENTARINESS AND COMPOSITENESS

Whether we need the elementariness and compositeness for the resonance:

- ▶ As long as it is unstable it is non-normalizable: The elementariness and compositeness are not well-defined. Narrow resonance, approximately defined.
- ▶ They are not physical observable: No experiment can really prepare a pure resonance. Resonances only appear as the intermediate states in the scattering.
- ▶ The only observable is the scattering cross section or the events.

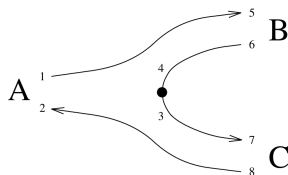
# FRIEDRICHS-QPC SCHEME

To study the hadron spectrum using **nonrelativistic** Friedrichs model: Solve  $\det[\eta(E)] = 0$ .

- ▶ Coupling vertex between the discrete state and continuum  $f(\omega)$ : dynamically given.
- ▶ The interactions can be estimated using different models: we will use the QPC (3P0) model.

$$\langle BC|T|A\rangle = \delta^3(\mathbf{P}_f - \mathbf{P}_i) M^{ABC}$$

$$T = -3\gamma \sum_m \langle 1m | 1 - m | 00 \rangle \int d^3\mathbf{p}_3 d^3\mathbf{p}_4 \delta^3(\mathbf{p}_3 + \mathbf{p}_4) \\ \times \mathcal{Y}_1^m\left(\frac{\mathbf{p}_3 - \mathbf{p}_4}{2}\right) \chi_{1-m}^{34} \phi_0^{34} \omega_0^{34} b_3^\dagger(\mathbf{p}_3) d_4^\dagger(\mathbf{p}_4).$$



$\gamma$ : the strength of creating a quark-antiquark pair.

[Blundell, Godfrey, PRD53(1996), 3700]

- ▶ The bare mass and wave functions of  $A$ ,  $B$ ,  $C$  are GI's results.  
[Godfrey & Isgur, PRD32, 189(1985)].

Effect: Including the hadron-hadron interactions into the GI model.

## APPLICATION: $1^{--}$ CHARMONIUM

We consider the experimental data in  $\sqrt{s} \sim 3.73 - 5\text{GeV}$  from

$$e^+e^- \rightarrow D\bar{D}[\text{PRD77,011103(2008)}],$$

$$e^+e^- \rightarrow D\bar{D}^*, D^*\bar{D}^*[\text{PRD97,012002(2018)}],$$

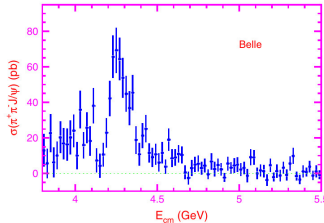
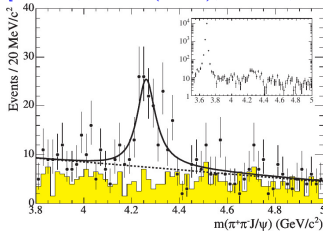
$$e^+e^- \rightarrow D\bar{D}\pi[\text{PRL100,062001(2008)}],$$

- ▶  $\psi(3770)$ ,  $\psi(4040)$ ,  $\psi(4160)$ ,  $\psi(4415)$  are well established:  
 $\psi(1^3D_1)$ ,  $\psi(3^3S_1)$ ,  $\psi(2^3D_1)$ ,  $\psi(4^3S_1)$ , considered as bare discrete state.
- ▶  $\psi(4230)$ ,  $\psi(4360)$ ,  $\psi(4660)$  mainly appear in hidden-charm channels, not included.  
 $\psi(4230) \rightarrow \pi^+\pi^- J/\psi$ ,  
 $\psi(4360), \psi(4660) \rightarrow \pi^+\pi^-\psi(3686)$

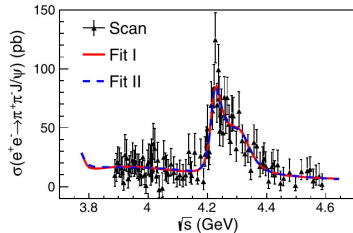
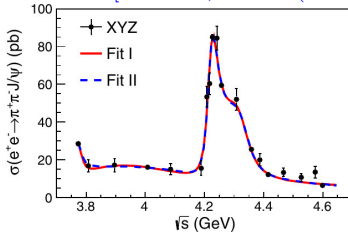
$\psi(4230): 1^{--}$

- Was observed in  $e^+e^- \rightarrow \pi^+\pi^- J/\psi$ :

[BaBar,PRL95(2005),142001;Belle,PRL99(2007),182004]



- BES III: [PRL 118, 092001 (2017)]

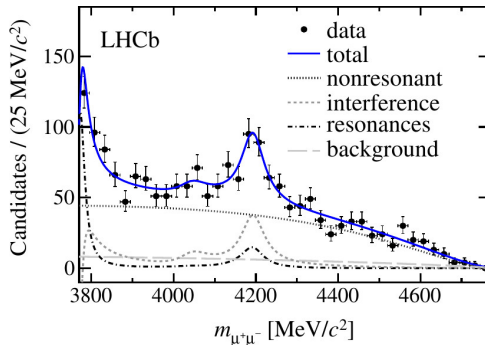


two states  $\sqrt{s} = 4222.0\text{MeV}$ ,  $\Gamma = 44\text{MeV}$ ;  $\sqrt{s} = 4320.0\text{MeV}$ ,  $\Gamma = 101\text{MeV}$ .

- Only appears in the hidden charm channels.

## $\psi(4160)$

- ▶ First observed by The DASP collaboration, in analyzing the  $R$  value, [Phys. Lett. B 76, 361(1978)]
- ▶ The most recent PDG: LHCb [PRL 111, 112003 (2013)]  
 $B \rightarrow \psi(4160) + K^+ \rightarrow \mu^+ \mu^- K^+$   
 $M = 4191 \pm 5 \text{ MeV}, \Gamma = 70 \pm 10 \text{ MeV}.$



- ▶ Mostly in the open charm channels.
- ▶  $\psi(4230)$  and  $\psi(4160)$  are close to each other.



# OUR SCHEME

Friedrichs model + QPC model.

- ▶ Bare discretely  $c\bar{c}$  states: Gl wave function, with bare mass parameters to be fitted.  
A background bare  $\psi(2S)$  state,  $\psi(1D)$ ,  $\psi(3S)$ ,  $\psi(2D)$ ,  $\psi(4S)$ .
- ▶ Coupling to continuum states: Quark pair creation strength,  $\gamma$ , interaction vertices  $f_{\rho i}(\omega)$   
Continua:  $D\bar{D}$ ,  $D\bar{D}^*$ ,  $D^*\bar{D}^*$ ,  $D\bar{D}_2^*(\bar{D}\pi)$
- ▶ Couplings to  $e^+e^-$ :  $g_{e\rho}$ , phase,  $\phi_{e\rho}$

Results:  $\chi^2/d.o.f \sim 379/(293 - 15) = 1.36$

# COUPLED CHANNEL FRIEDRICHS MODEL + QPC

Include more discrete states and more continuum states (No direct coupling between continuum channels)

$$V = \sum_{\rho=1}^D \sum_{i=1}^C \int_{a_i}^{\infty} dE [f_{\rho i}^*(E) |\rho\rangle \langle E; i| + f_{\rho i}(E) |E; i\rangle \langle \rho|].$$

$$S_{i,j} = \delta_{ij} - 2\pi i \sum_{\rho,\lambda} f_{\rho j}^*(E) [\eta^{-1}]_{\rho\lambda} f_{\lambda i}(E),$$

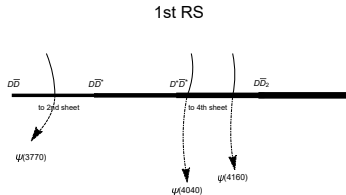
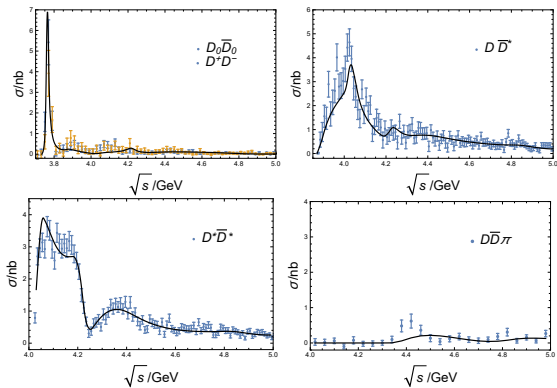
$$[\eta]_{\rho\lambda} \equiv (E - M_{\rho}) \delta_{\rho\lambda} - \sum_{i=1}^C \int_{a_i}^{\infty} dE' \frac{f_{\rho i}^*(E') f_{\lambda i}(E')}{(E - E')}.$$

Automatically satisfies the **analyticity** and **coupled channel Unitarity**. Discrete State poles:

$$\det[\eta] = 0$$

Scattering cross section:

$$\sigma_i(E) = \frac{32\pi^5 \alpha}{E^5} \left| \sum_{\rho\lambda} g_{e\rho} [\eta^{-1}]_{\rho\lambda} f_{\lambda i} \right|^2,$$



Pole positions:

$$z_0^{II} = 3.762 - \frac{0.020}{2} i \text{GeV},$$

$$z_{0,1}^{IV} = 4.028 - \frac{0.068}{2} i \text{GeV},$$

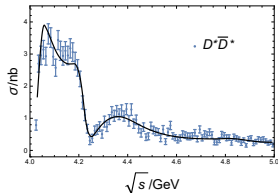
$$z_{0,2}^{IV} = 4.222 - \frac{0.064}{2} i \text{GeV}.$$

PDG:

$$\psi(3770) : M = 3773.7 \pm 0.4 \text{MeV}, \Gamma = 27.2 \pm 1 \text{MeV}.$$

$$\psi(4040) : M = 4039 \pm 1 \text{MeV}, \Gamma = 80 \pm 10 \text{MeV}.$$

$$\psi(4160) : M = 4190 \pm 5 \text{MeV}, \Gamma = 70 \pm 10 \text{MeV}.$$



- ▶  $\psi(4160)$ : pole at  $z_{0,2}^{IV} = 4.222 - \frac{0.064}{2}i \text{ GeV}$ .
- ▶ Experiments: parameterized using Breit-Wigners
  - ▶ Resonances with interference: Multiple solution
  - ▶ Violation of unitarity: important for interfering resonances
- ▶ The pole position for  $\psi(4160)$  is close to the mass for  $\psi(4230)$ : not at the hill top, but at the half hillside.  
 $\psi(4230)$ :  $M = 4222.0 \text{ MeV}$ ,  $\Gamma = 44 \text{ MeV}$   
 Appears in weakly coupled channels.
- ▶ Conjecture:  $\psi(4160)$  and  $\psi(4230)$  could be the same state.  
 This may explain why  $\psi(4160)$  mostly appears in open-charm channels but  $\psi(4230)$  in hidden-charm channels.
- ▶ Needs the  $R$ -value and other analysis to confirm.

# SUMMARY

- ▶ As an rigorously solvable model, Friedrichs model helps us in understanding the resonances, virtual states, and bound states
- ▶ Resonances, virtual states: not normalizable as usual, compositeness and elementariness not well-defined.
- ▶ How dynamical state is generated from the interaction between the discrete state and the continuum.
- ▶ Friedrichs-QPC Scheme: interaction vertices from QPC, Satisfying the unitary and analyticity. Can be used in real hadronic physics.
- ▶  $\psi(4160)$  and  $\psi(4230)$  could be the same state: different appearance in the strong interfering channels and weak interaction channels.

*Thank you !*

# BACKUP

Fit parameters: results

	Background	$\psi(1D)$	$\psi(3S)$	$\psi(2D)$	$\psi(4S)$
Bare mass	1.83	4.09	4.36	4.62	5.35
$g_{e\rho}$	26.4	1.82	5.32	-3.68	-6.12
$\phi_{e\rho}/^\circ$	0(fixed)	35.2	-31.6	64.6	129.3
$\gamma$	4.48				