



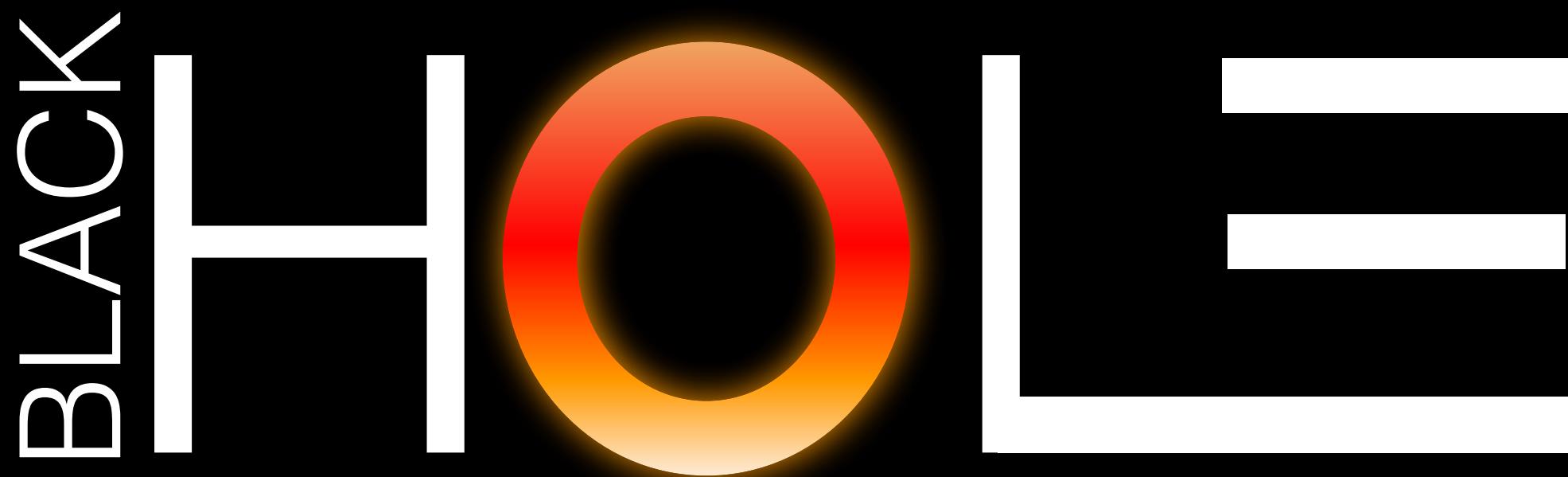
Event
Horizon
Telescope



上海交通大学
SHANGHAI JIAO TONG UNIVERSITY

李政道研究所
Tsung-Dao Lee Institute

SHADOW OF THE SUPERMASSIVE



IN M87

: EHT Observations and Theoretical Interpretation

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Mini-workshop of SMBH and Fundamental Physics, ITP, Beijing, 26th September, 2021

Table of Contents

- Event Horizon Telescope Collaboration & shadow image of M87
 - intensity map & new polarization map
- Theoretical interpretation of shadow of M87 from new polarization results
- Testing theory of gravity via shadow image
- Future of EHT + ngEHT
- Summary

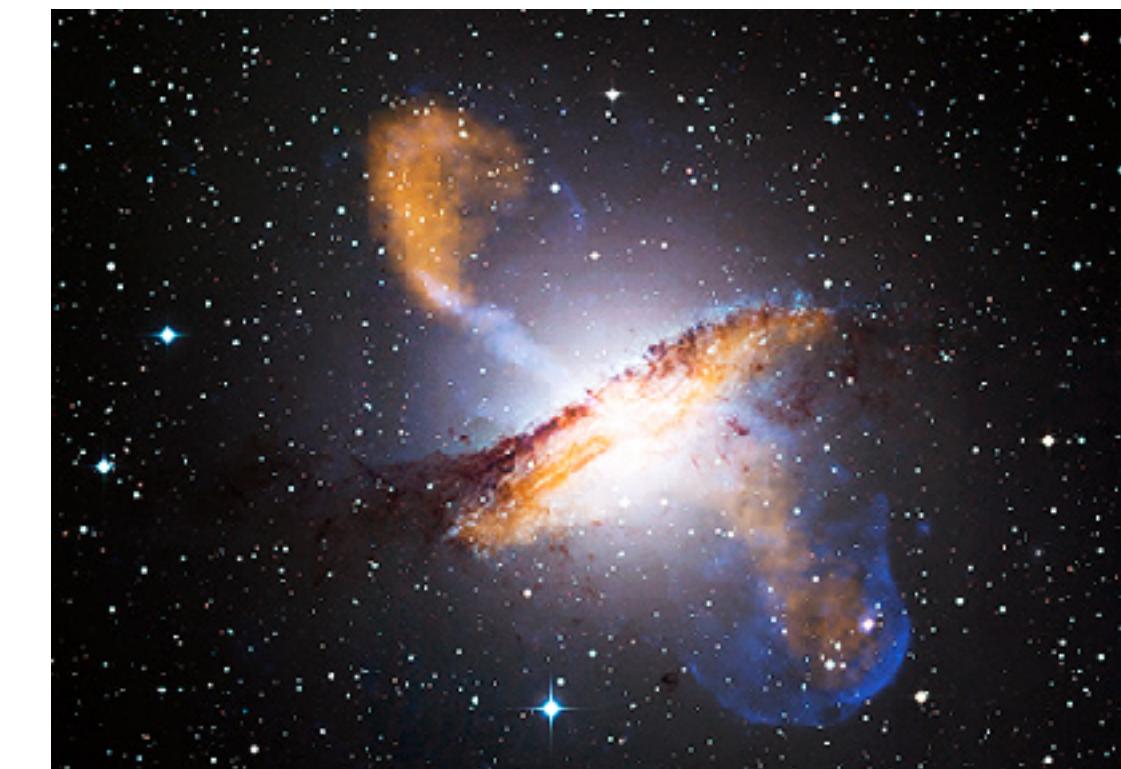


Black Holes with the Largest Angular Sizes

Source	BH Mass (M _{solar})	Distance (Mpc)	1 R _g (μas)
Sgr A*	4×10^6	0,008	10
M87	$3.3 - 6.2 \times 10^9$	16,8	3.6 - 7.3
M104	1×10^9	10	2
Cen A	5×10^7	4	0,25



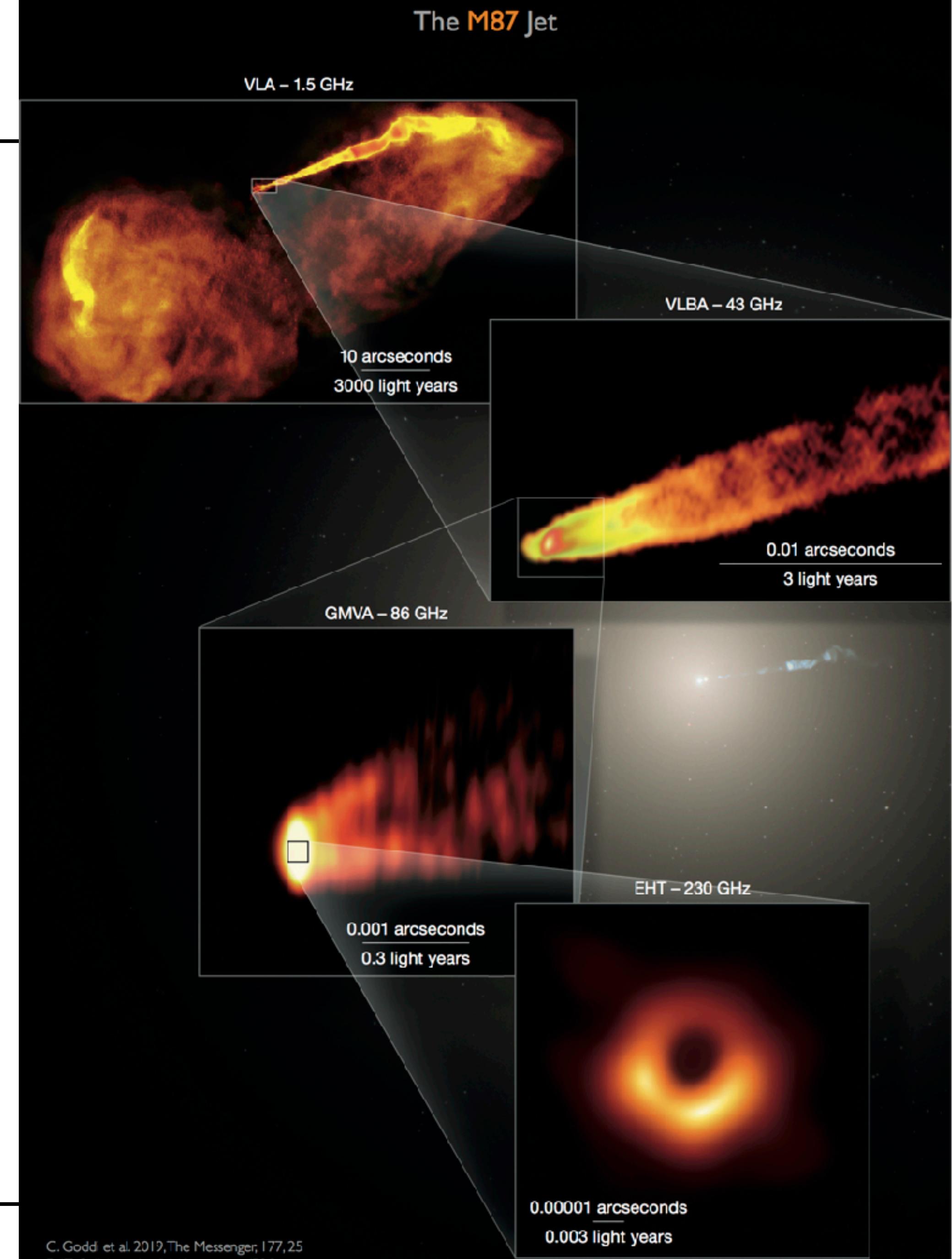
M 87 (NGC 4486)
Ultra-high-sensitivity HDTV LL color camera (NHK)
Exp. 40 sec. (10 frames coadded) January 16, 1999
Subaru Telescope, National Astronomical Observatory of Japan
Copyright © 1999, National Astronomical Observatory of Japan, all rights reserved



Event Horizon Telescope

Relativistic Jets

- Outflow of highly collimated plasma
 - Microquasars, Active Galactic Nuclei, Gamma-Ray Bursts, **Jet velocity $\sim c$**
 - Generic systems: Compact object (Neutron Star, Black Hole) + accretion flows
 - Jets are common in the universe
- Key Issues of Relativistic Jets
 - Acceleration & Collimation
 - Propagation & Stability
 - Origin of high energy particle (particle acceleration)



Event Horizon Telescope

The Event Horizon Telescope Collaboration



Event Horizon Telescope

Event Horizon Telescope Collaboration

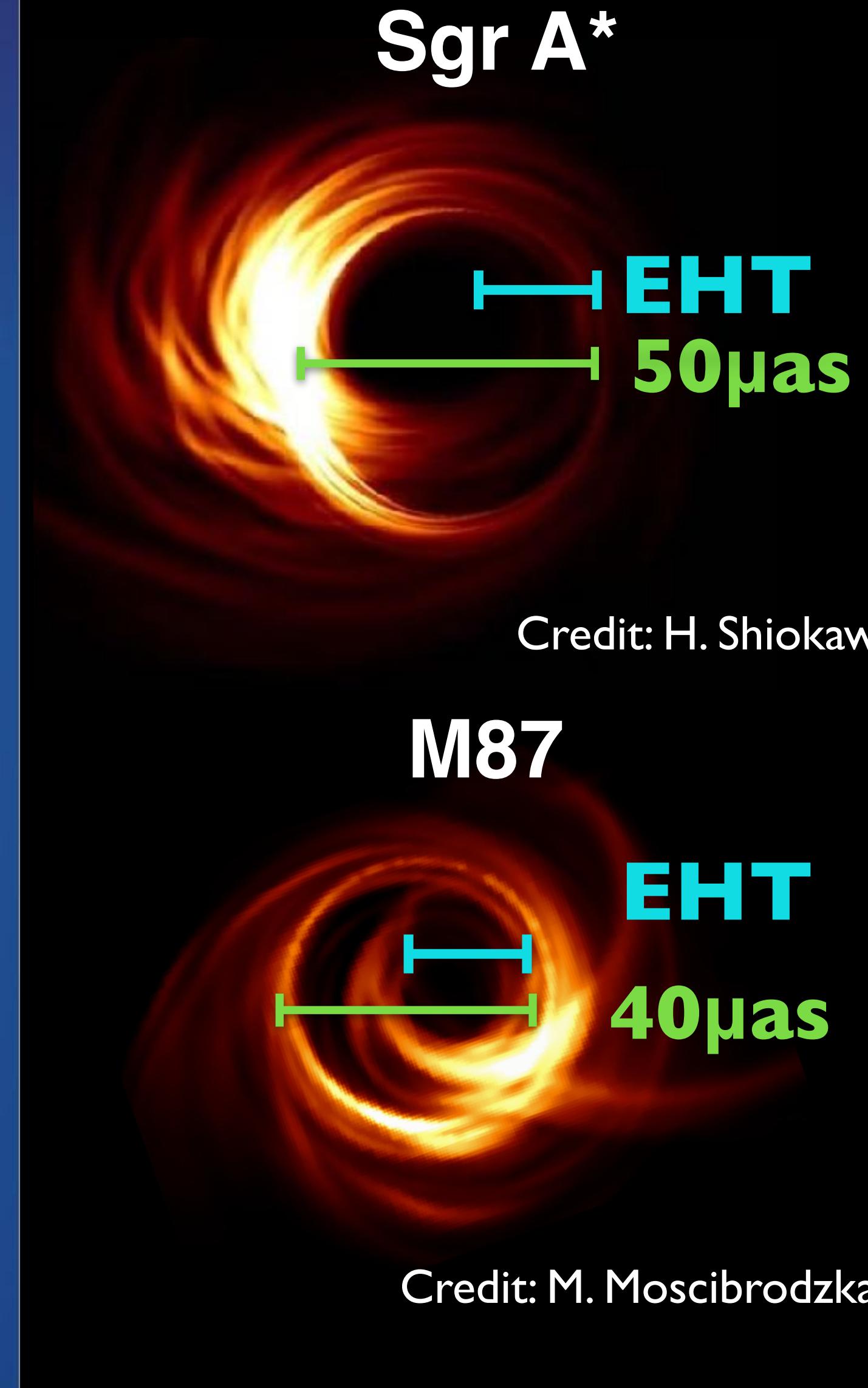
- >300 members, >60 institutes, 18 countries in Europe, Asia, Africa, North and South America.
- EHTC aims to image for the first time the shadow of a black hole using sub-mm VLBI



Event Horizon Telescope

Event Horizon Telescope 2017

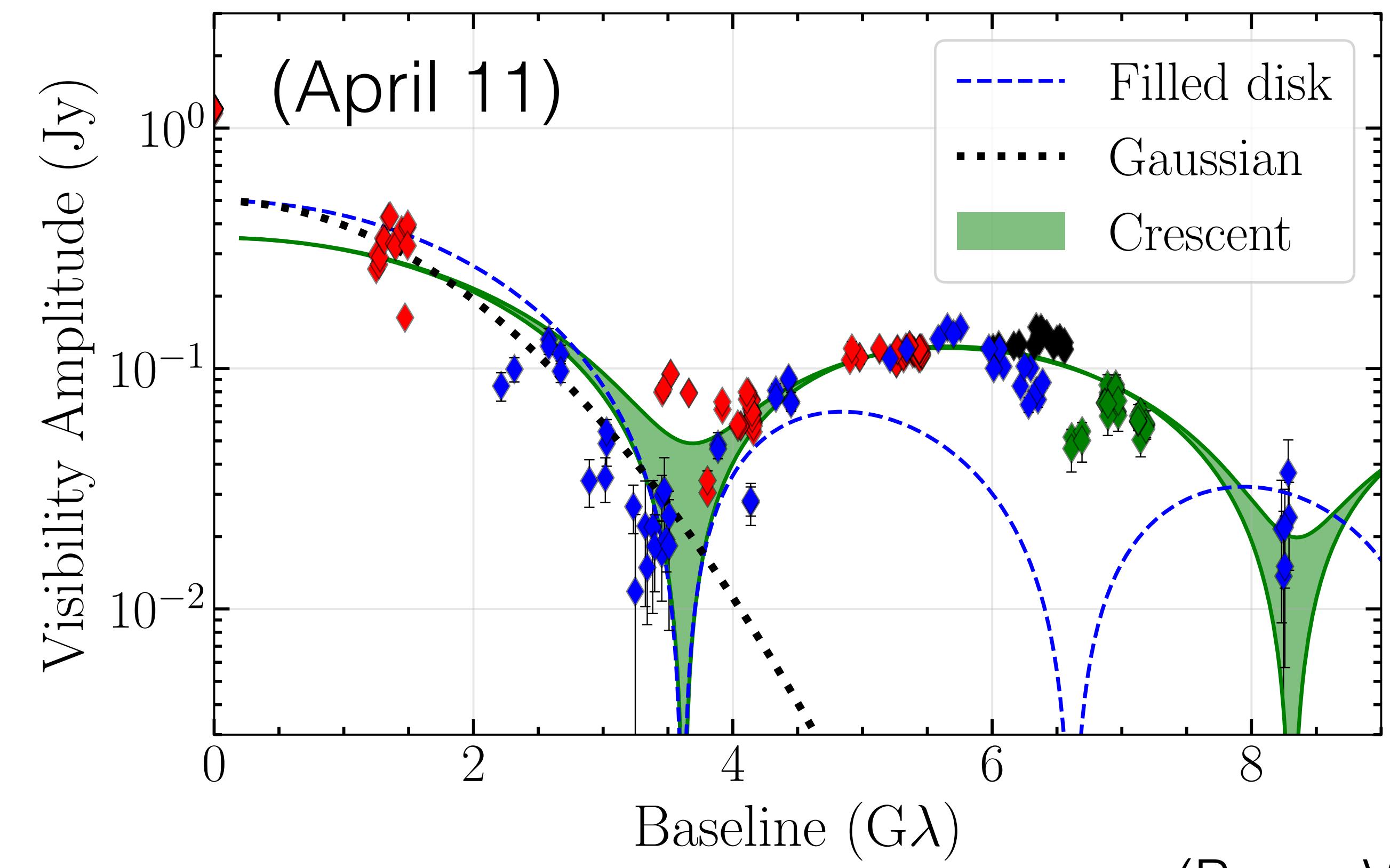
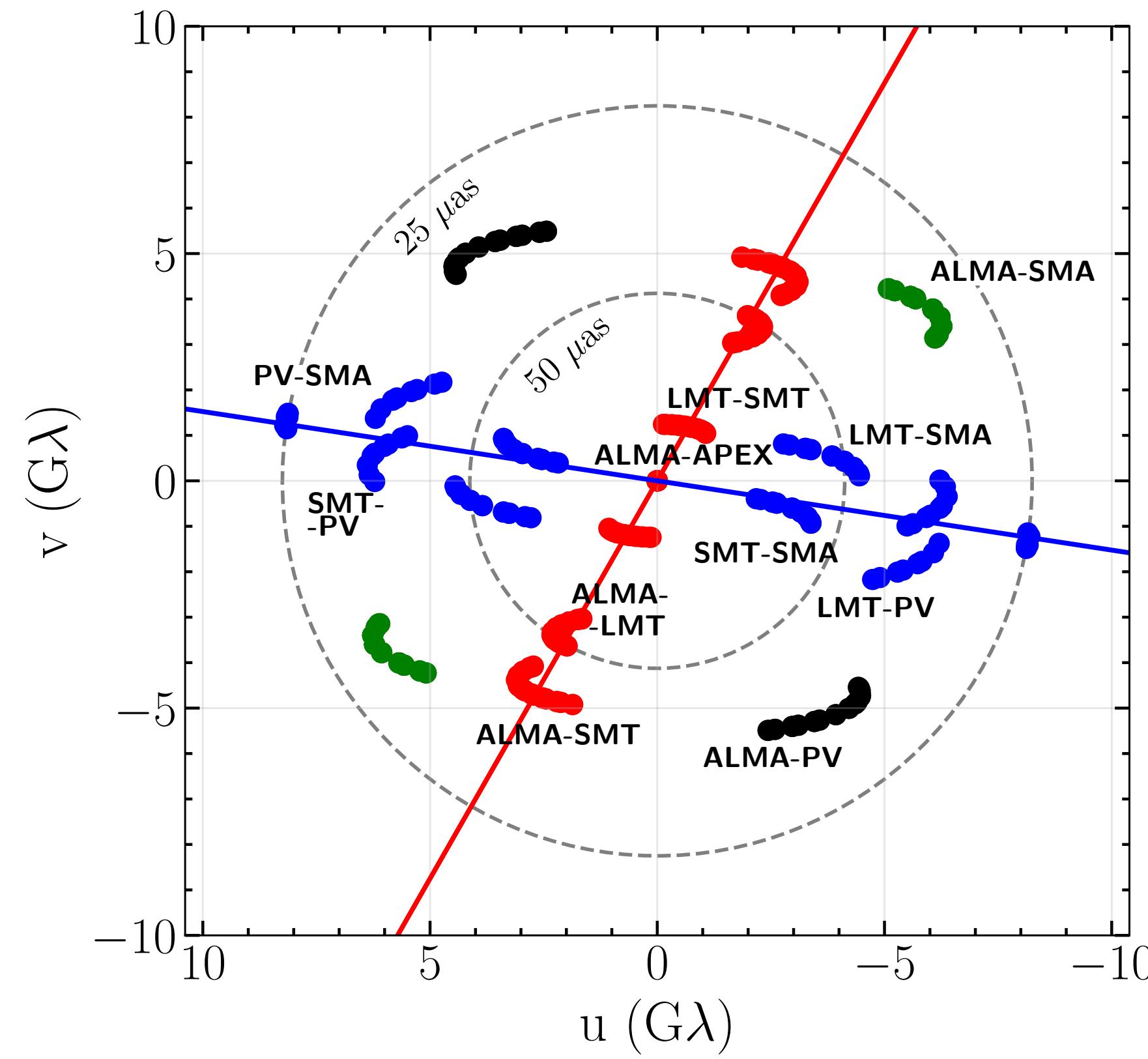
$$D_{\text{sh}} \sim 5.2 * R_g$$



Calibrated data sets (before imaging)

EHT 2017 M87 data look consistent with an asymmetric ring (“crescent”)

Fourier domain

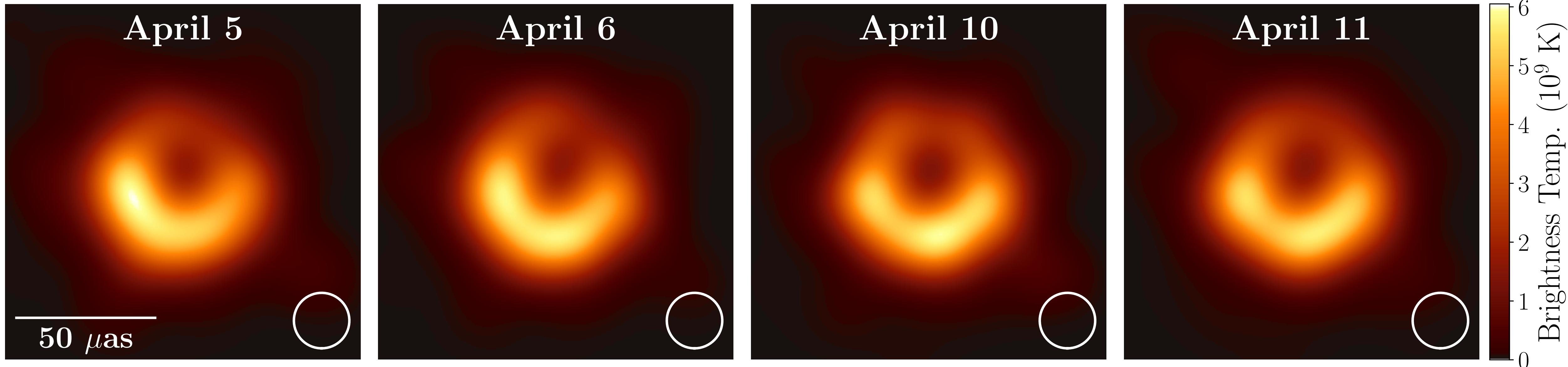


(Paper VI)



Event Horizon Telescope

Event Horizon Telescope & Black Hole Shadow

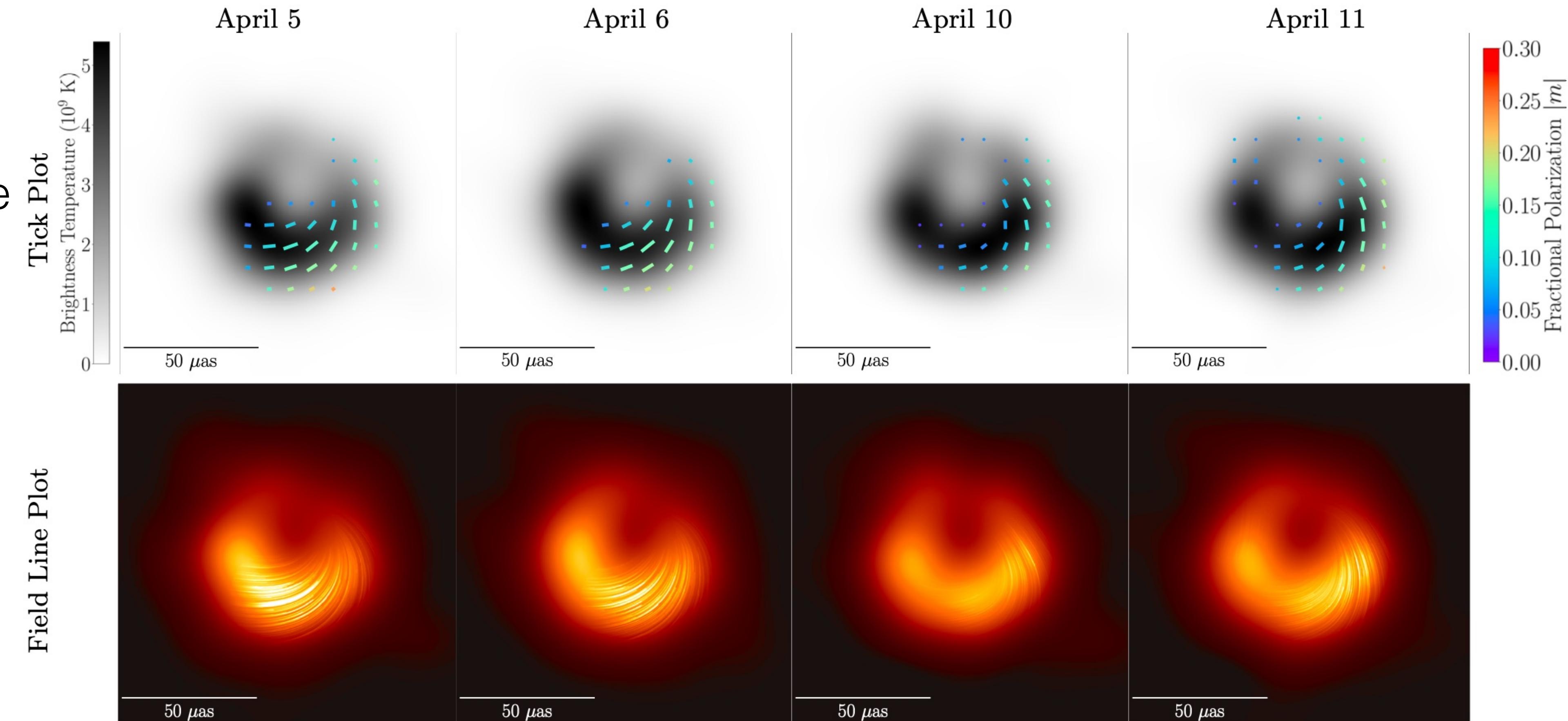


- In April 2017, EHT has observed asymmetric ring morphology of the central compact radio source in M87.
- Interpreted as lensed emission surrounding the spinning Kerr black hole shadow



Averaged Polarimetric Images

- high polarization at bright region of ring
- EVPA pattern is following ring structure
- Similar results obtained in 4 different days (small change between first and last two days)
- **Position shift:** south \Rightarrow west, similar to Intensity



Event Horizon Telescope

Image-Averaged Quantities

net linear polarization fraction

$$|m|_{\text{net}} = \frac{\sqrt{(\sum_i Q_i)^2 + (\sum_i U_i)^2}}{\sum_i I_i}$$

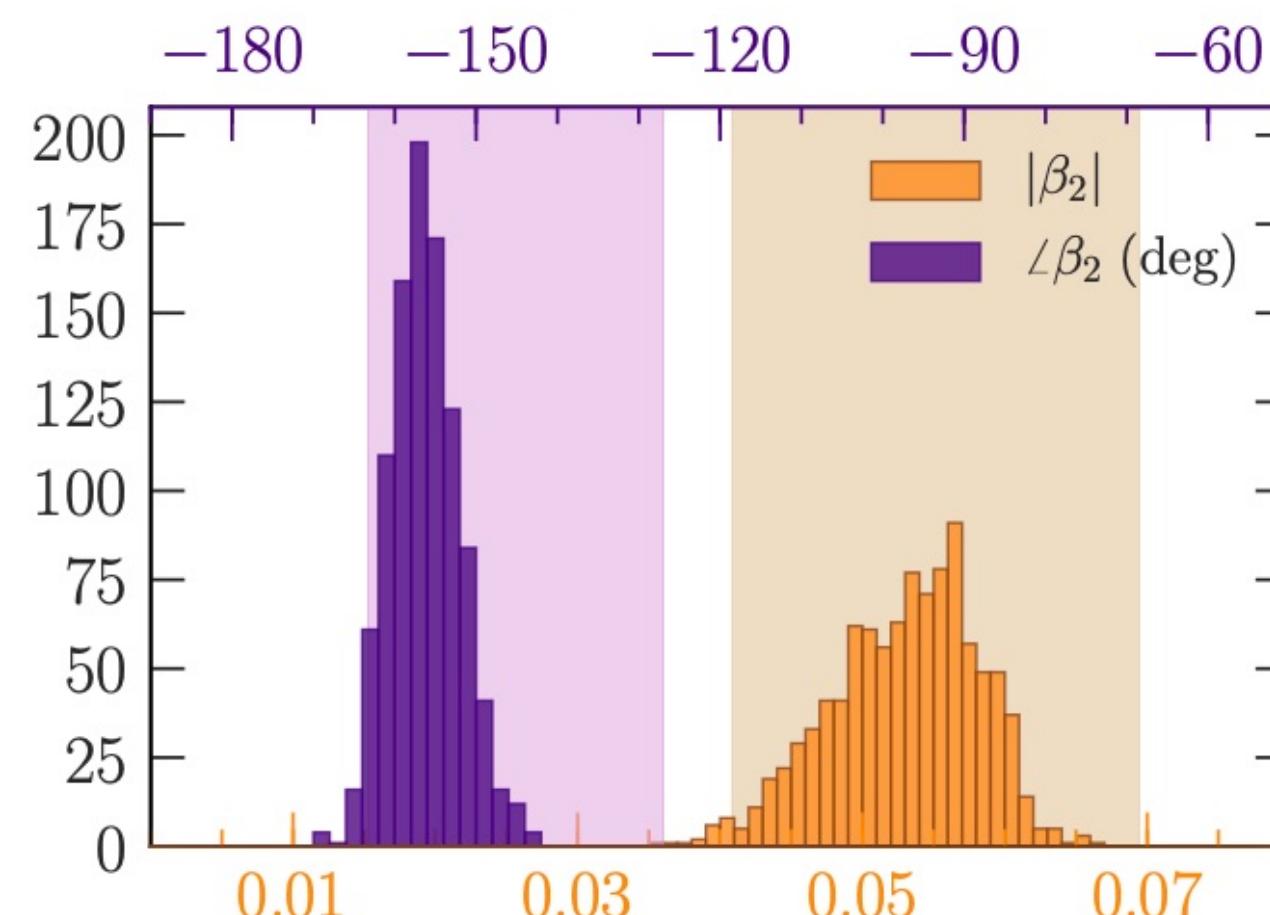
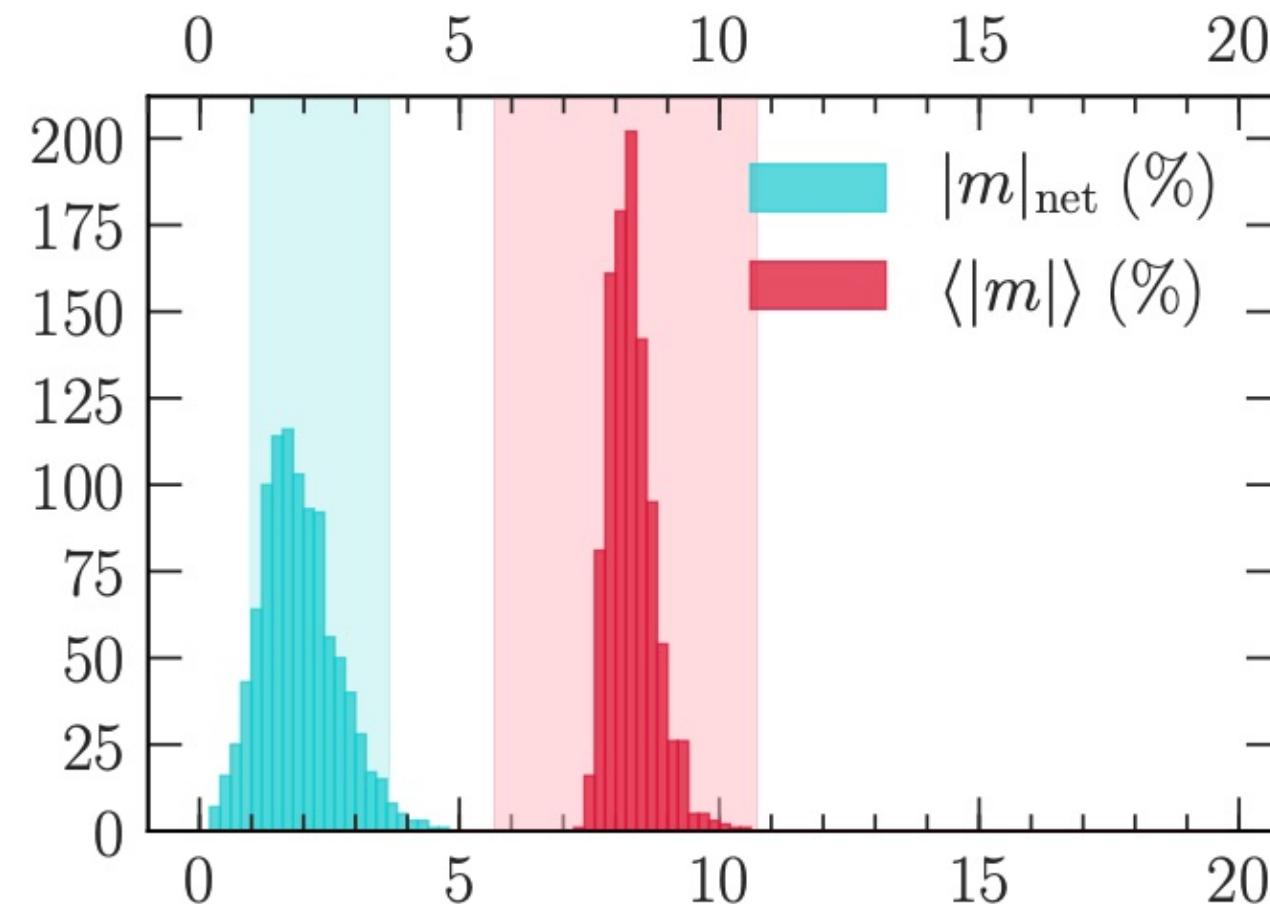
intensity-weighted average polarization fraction

$$\langle |m| \rangle = \frac{\sum_i \sqrt{Q_i^2 + U_i^2}}{\sum_i I_i}$$

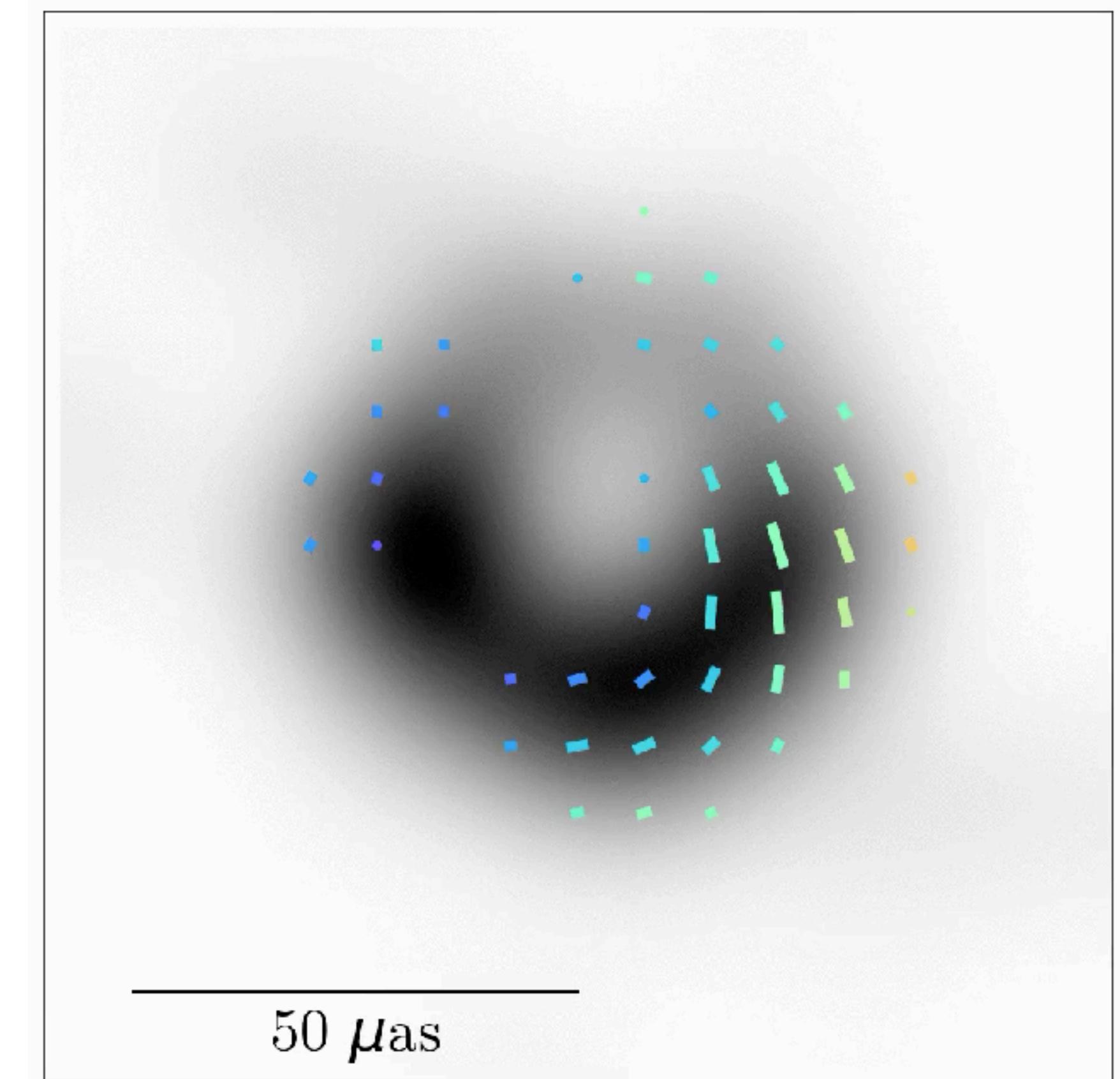
polarization structure with a decomposition into azimuthal mode (Palumbo et al. 20)

$$\beta_2 = \frac{1}{I_{\text{ring}}} \int_{\rho_{\min}}^{\rho_{\max}} \int_0^{2\pi} P(\rho, \varphi) e^{-2i\varphi} \rho d\varphi d\rho$$

Distribution based on M87 images with different D-term calibration

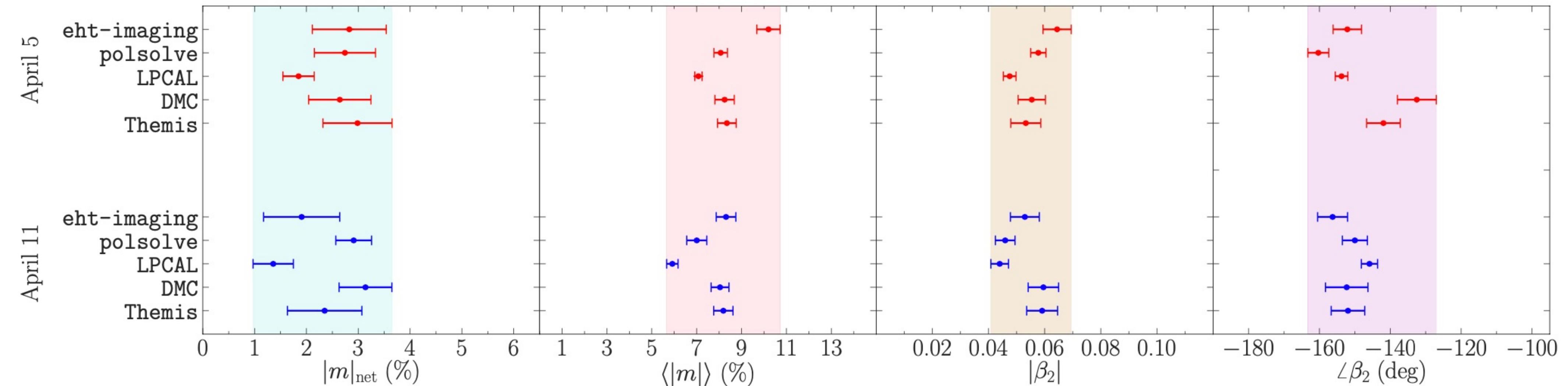


M87 April 11, single pipeline example (ehtim)



Event Horizon Telescope

Image-Averaged Quantities



net linear polarization fraction $|m|_{\text{net}} = \frac{\sqrt{(\sum_i Q_i)^2 + (\sum_i U_i)^2}}{\sum_i I_i}$

intensity-weighted average polarization fraction $\langle|m|\rangle = \frac{\sum_i \sqrt{Q_i^2 + U_i^2}}{\sum_i I_i}$

polarization structure with a decomposition into azimuthal mode (Palumbo et al. 20)

$$\beta_2 = \frac{1}{I_{\text{ring}}} \int_{\rho_{\min}}^{\rho_{\max}} \int_0^{2\pi} P(\rho, \varphi) e^{-2i\varphi} \rho d\varphi d\rho$$

amplitude & phase

Parameter	Min	Max
$ m _{\text{net}}$	1.0 %	3.7 %
$\langle m \rangle$	5.7 %	10.7 %
$ \beta_2 $	0.04	0.07
$\angle\beta_2$	-163 deg	-127 deg

Use these values for
model constraint



Event Horizon Telescope

Theoretical Modeling Pipeline

What ingredients do we need for realistic theoretical model of BH Shadow?

1. Plasma dynamics (accretion flow & jet) around the black hole
2. Radiation process
3. BH Spacetime
4. VLBI array configuration and schedule (for EHT 2017 observation)

computational infrastructure

GRMHD **simulations** in arbitrary spacetimes (**BHAC**) \Rightarrow ray-traced, deconvolved
images (**BHOSS**) \Rightarrow comparison with **observations** (**GENA**)

post-process

BHAC: Porth, YM. et al. (17),
Olivares, YM + (19)
BHOSS: Younsi, YM + (21)
GENA: Fromm, YM + (19)



Event Horizon Telescope

developed in Frankfurt team

What about the parameter space?

GRMHD

- Black Hole spin $-1 < a^* < 1$
- Accretion type (SANE or MAD depends on magnetic flux)

SANE: Standard and Normal Evolution
MAD: Magnetically Arrested Disk

Simulation Library
>15 GRMHD runs

4 GRMHD codes (**BHAC**, iharm, KORAL, H-AMR)

GRRT

- Black Hole mass
- Accretion rate
- Radiation microphysics (thermal synchrotron, eDF: R-beta model)
- Orientation towards the observer (inclination and jet position angle)

3 GRRT codes (**BHOSS**, ipole, Raptor)

Image Library
>60,000 images

$$\frac{T_i}{T_e} = R_{\text{high}} \frac{\beta_p^2}{1 + \beta_p^2} + \frac{1}{1 + \beta_p^2}$$

Electrons colder at high plasma beta (disk), warmer at low plasma beta (jet)

Prior knowledge from observations

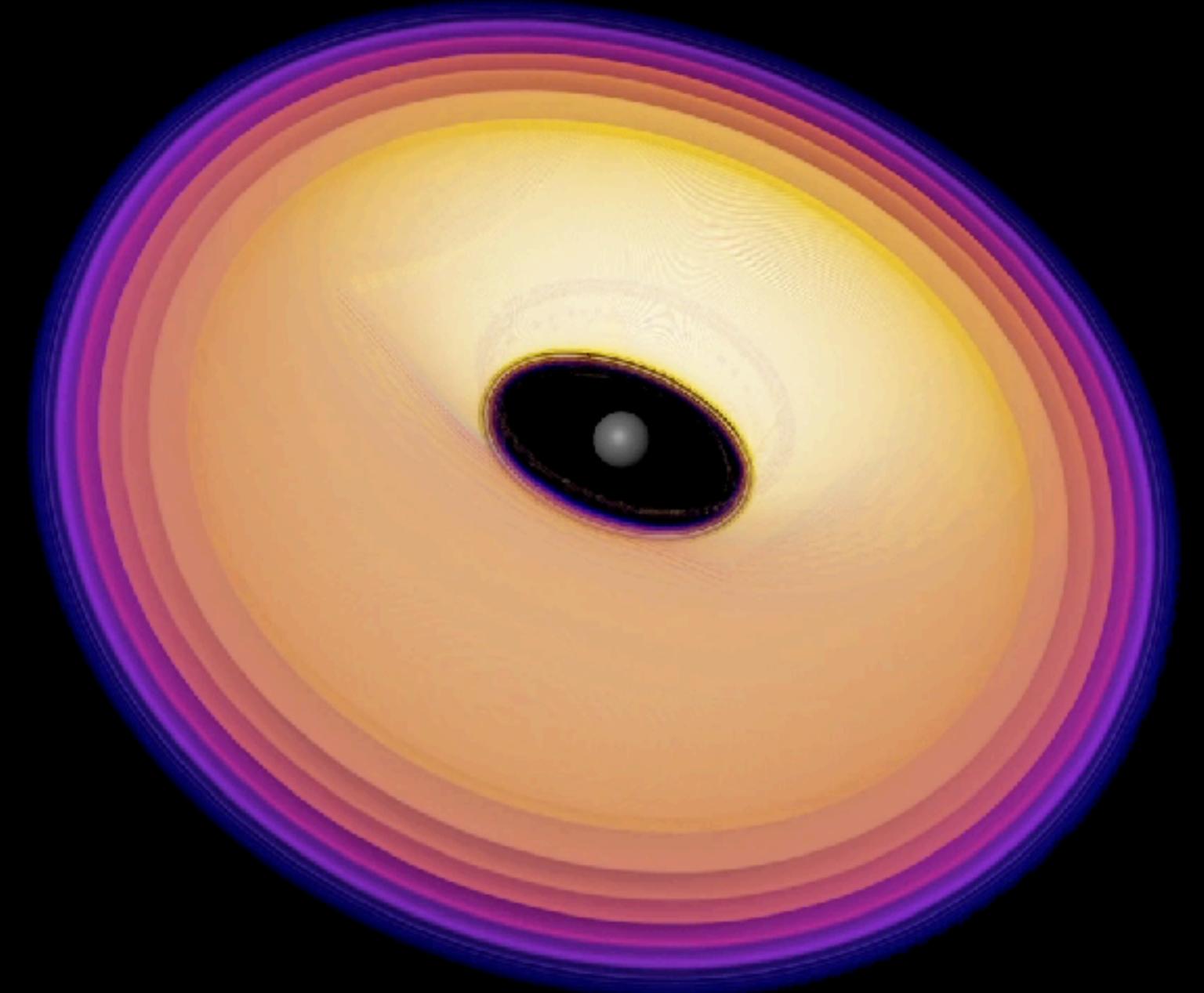
- BH mass: 6.2e9 or 3.5e9 Msun
- Inclination angle: 17 or 163 deg with jet position angle 288 deg



GRMHD Simulations

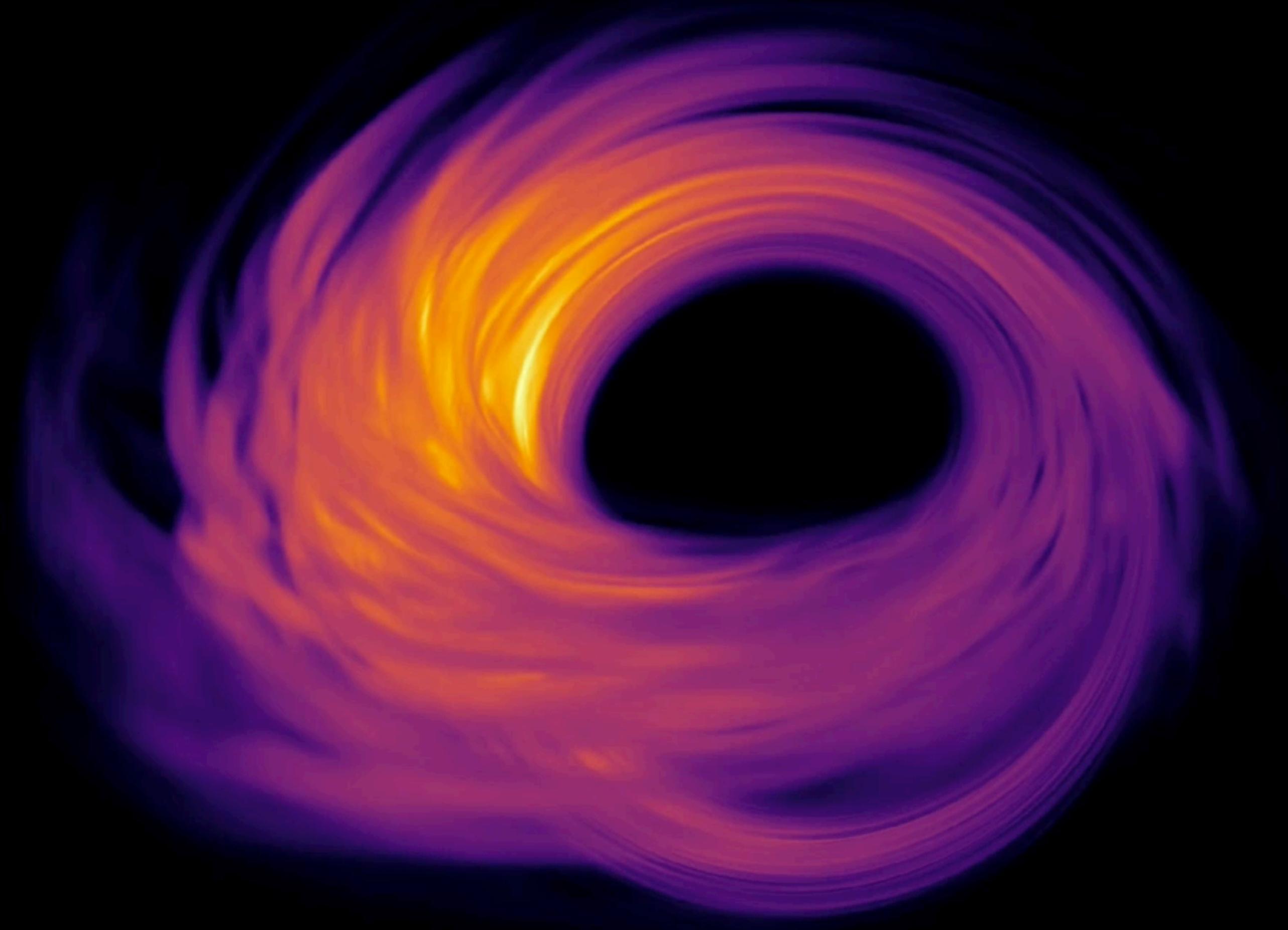
- Model the accretion flow (RIAF) onto a black hole
- Torus in hydrodynamical equilibrium with poloidal B-field
- Monitor accretion rate and evolve until quasi-steady state

Kerr black hole with $a=0.94$,
SANE model



Credit: L.Weih, L. Rezzolla,
Frankfurt BHCam team
14

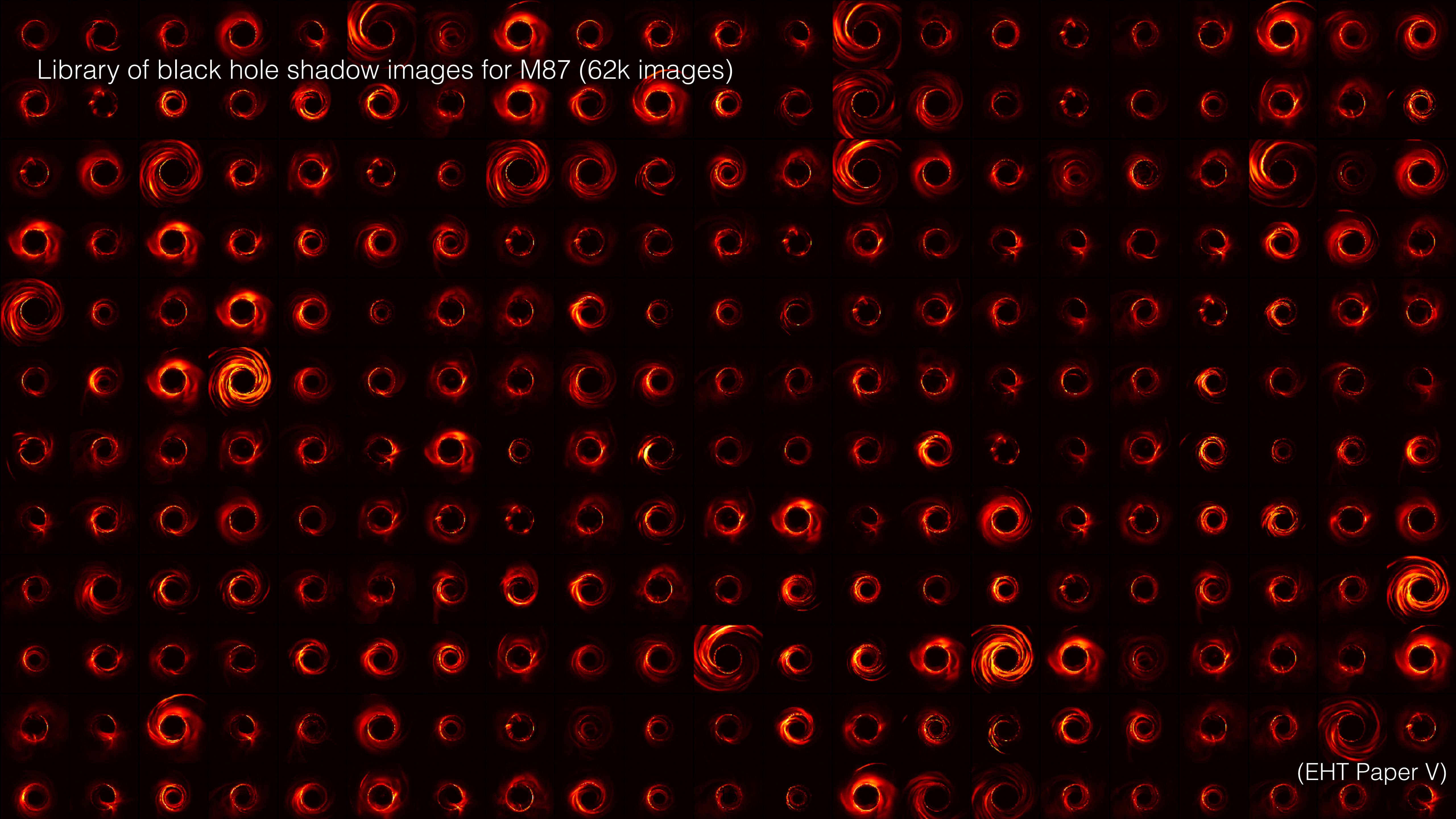
Shadow of Black Hole



Snapshot image of GRMHD simulation (SANE)
of Kerr BH with $a=0.94$

Changing viewing angle (theta & phi)
(logarithmic scale)

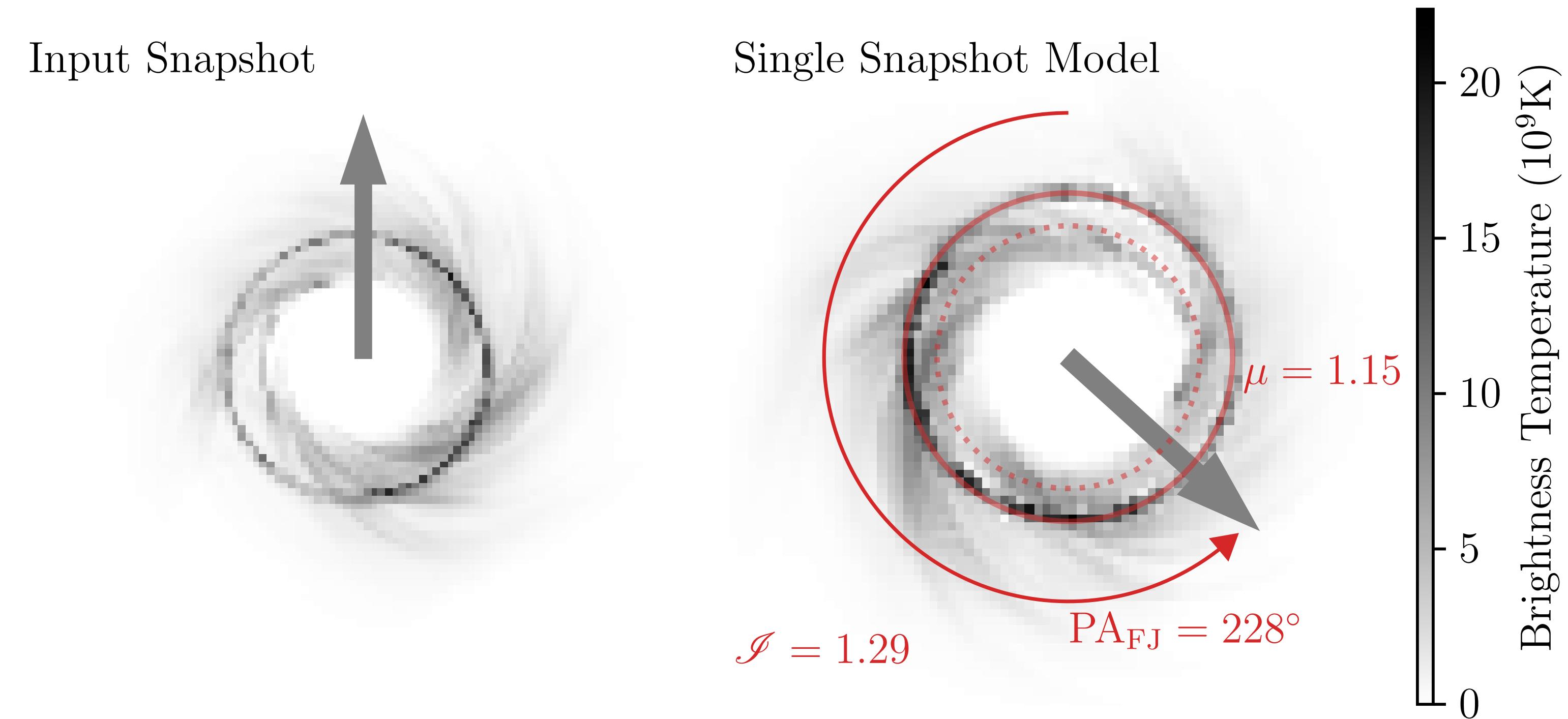
Library of black hole shadow images for M87 (62k images)



(EHT Paper V)

Fitting GRRT images to EHT data

- Fourier transformed synthetic images (visibility data) and fit to observed data
- Re-scale flux, stretch (M/D), and rotate image (P.A.) (allowed when optically thin)



(Paper VI)

Two independent codes: MCMC (Themis) & evolutionary algorithm (**GENA**)

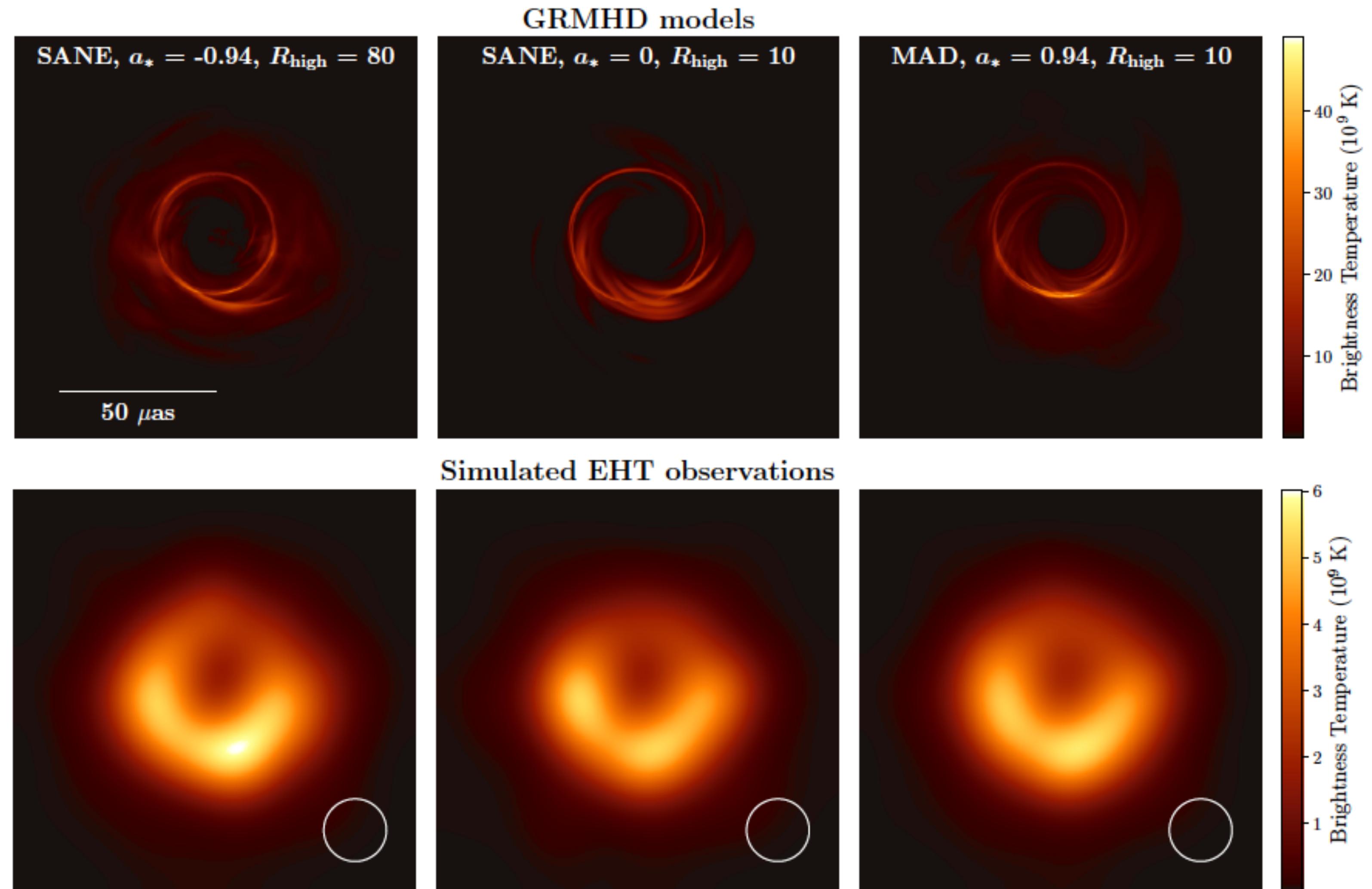


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Fromm, YM+ (19)

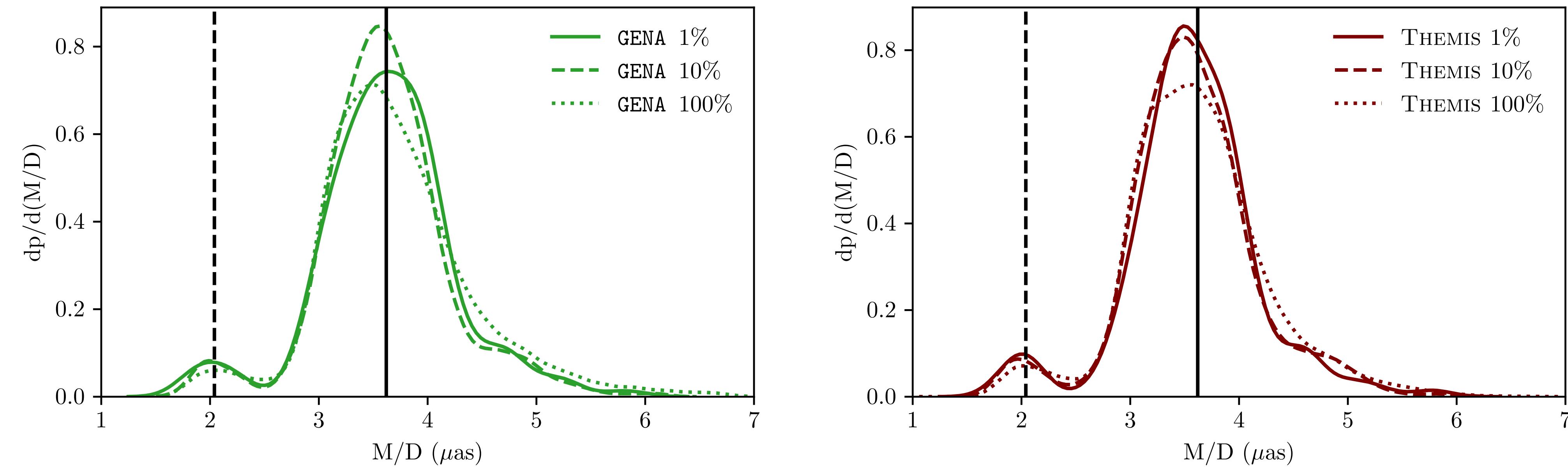
Best Fitting Images

- Degeneracies are present in the physical conditions and scenarios.
- Good and bad: robustness conclusions (*EHT observed image is BH shadow*) and more accurate observations to determine black-hole spin.



Event Horizon Telescope

Distribution of Best-Fit Black Hole Angular Size



- Distribution of M/D from fitting Image Library snapshots to 2017 April 6th EHT data
- Results by Themis & GENA pipelines are qualitatively similar
- The distribution peaks close to $M/D \sim 3.6 \mu\text{as}$ with a width of $\sim 0.5 \mu\text{as}$
- The models are broadly consistent with stellar mass estimate
- $M = 6.5 \times 10^9 M_{\odot}$ (using $D = 16.8 \text{ Mpc}$)



Other Constraint

Apply **three** additional constraints:

1. Close to radiative equilibrium
 - Radiative efficiency < classical thin disk model radiative efficiency
2. Must not overproduce X-rays (in SED)
 - 2-10 keV luminosity: $L_x = 4.4 \pm 0.1 \times 10^{40}$ erg/s (NuSTAR & Chandra obs.)
3. Must produce jet power > minimal jet power = 10^{42} erg/sec



Results: SANE model

Constraint: (data fitting, radiative efficiency, X-ray, jet power)

a/R_{high}	1	10	20	40	80	160
-0.94	-+++	++++	++++	+++	+++	-+++
-0.5	+-- -	+-- -	+-- -	+--	-+--	+-- +
0	+++-	+++-	++- -	+++-	++- -	++- -
0.5	+++-	+++-	+++-	+++-	+++-	+++-
0.94	+--+	+--+	+--+	+--+	+++	+++



Event Horizon Telescope

+: passed, -: failed

Results: MAD model

Constraint: (data fitting, radiative efficiency, X-ray, jet power)

a/R_{high}	1	10	20	40	80	160
-0.94	- - + +	- + + +	- + + +	- + + +	- + + +	- + + +
-0.5	+ - + -	+ + + -	+ + + +	+ + + +	+ + + +	+ + + +
0	+ - + -	+ + + -	+ + + -	+ + + -	+ + + -	+ + + -
0.5	+ - + -	+ + + +	+ + + +	+ + + +	+ + + +	+ + + +
0.94	+ - - +	+ - + +	+ + + +	+ + + +	+ + + +	+ + + +

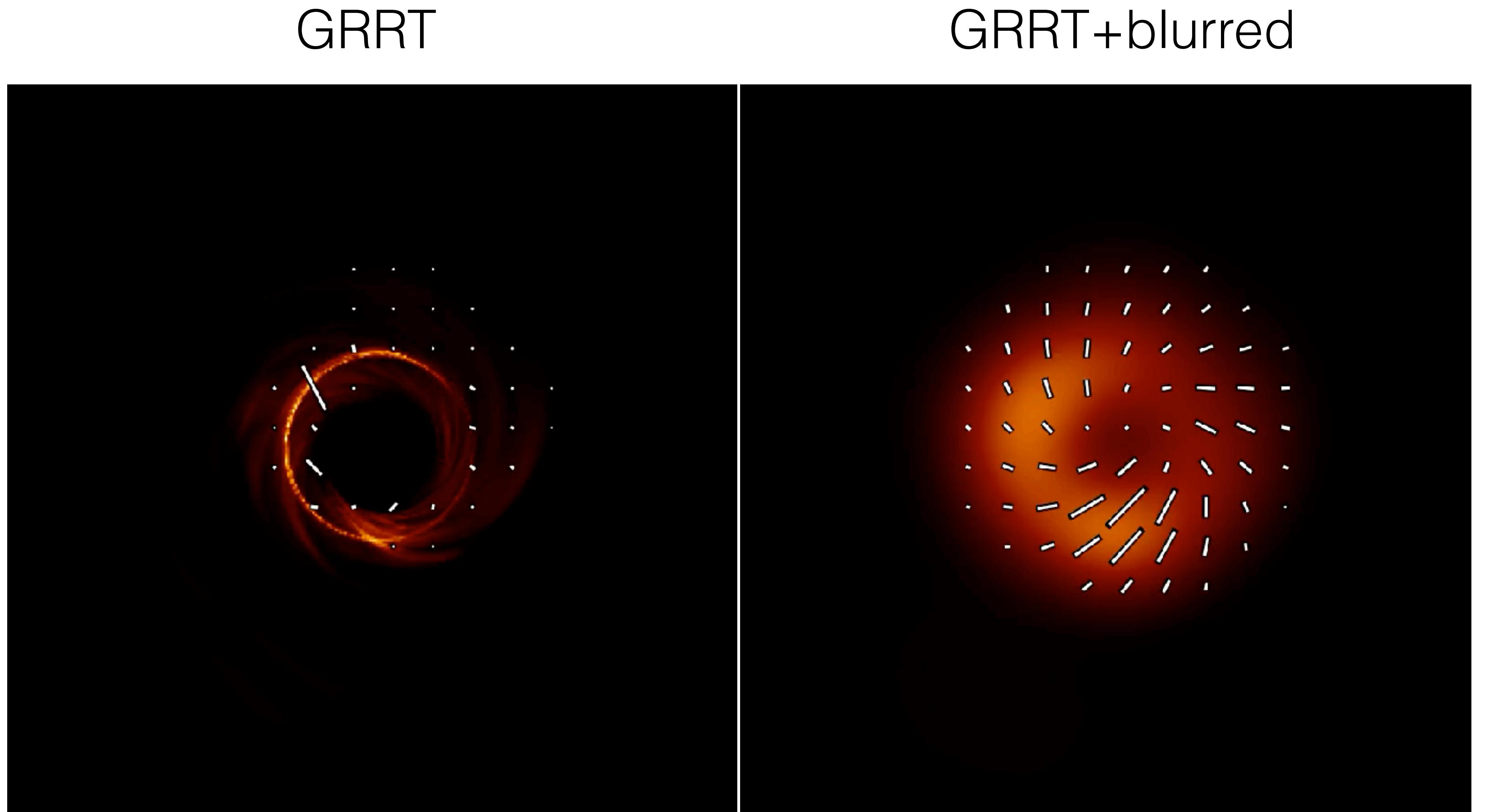


Event Horizon Telescope

+ : passed, - : failed

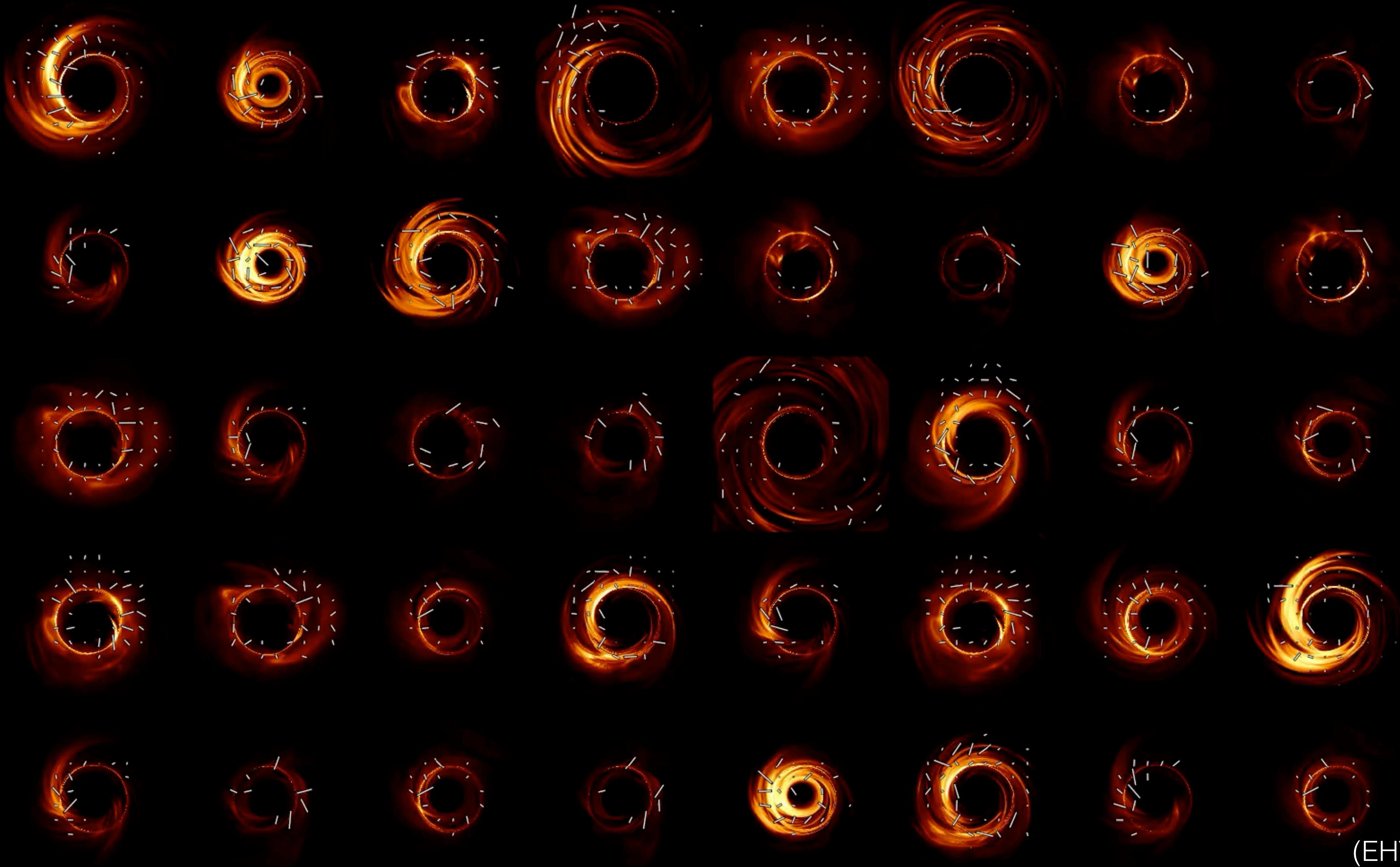
GRRT Image at 230 GHz for M87

- MAD, $a=+0.5$
- $i=163$ deg
- each frame corresponds to 1M (~0.35 day)



Event Horizon Telescope

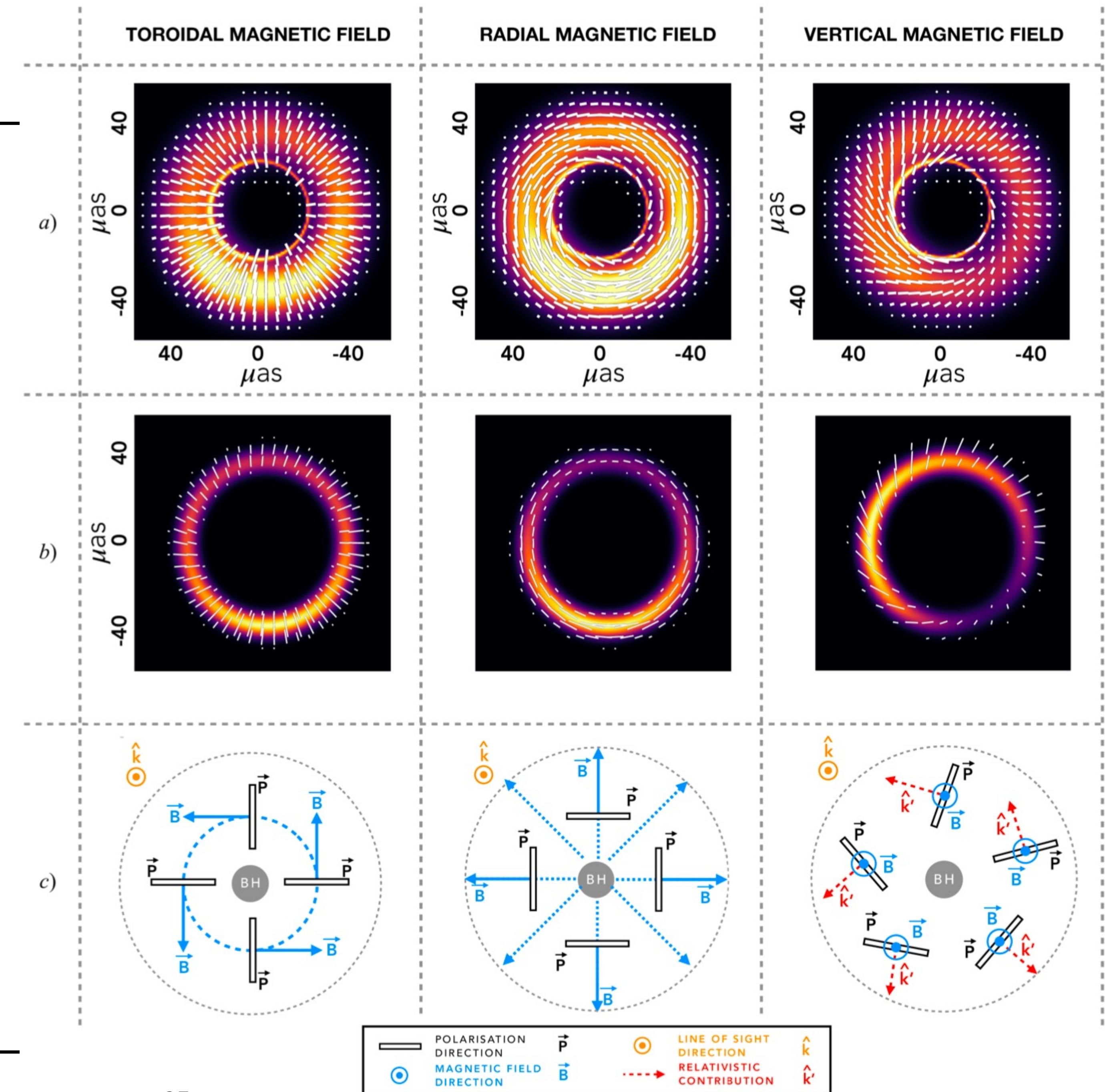
Library of polarized black hole shadow images for M87 (72k images)



(EHT Paper VIII)

Magnetic Field Configuration

- Consider simple equatorial plane emission
- Different magnetic field configuration (toroidal, radial, and vertical)
- Vertical magnetic field can produce similar azimuthal EVPA pattern observed by EHT



Event Horizon Telescope

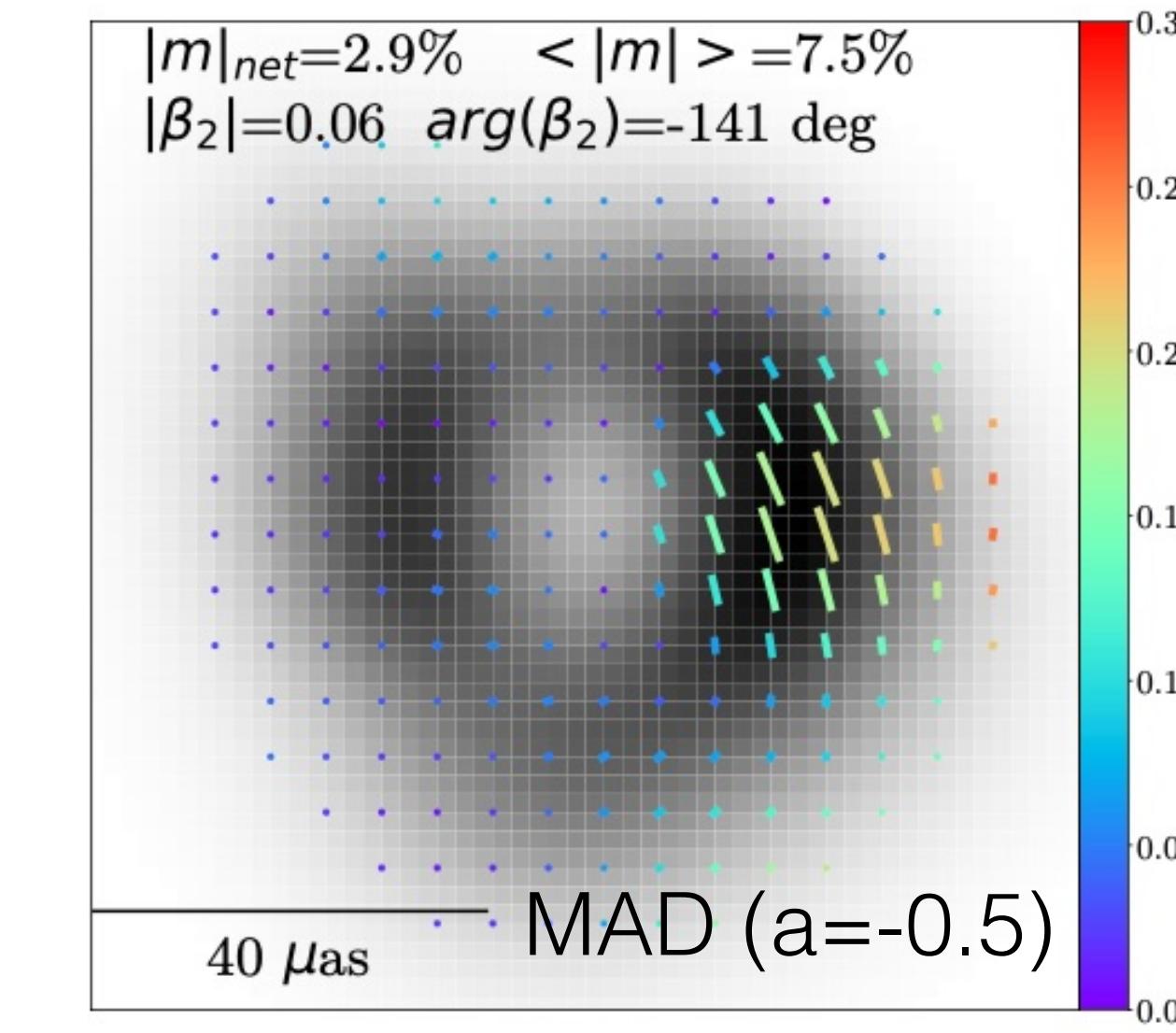
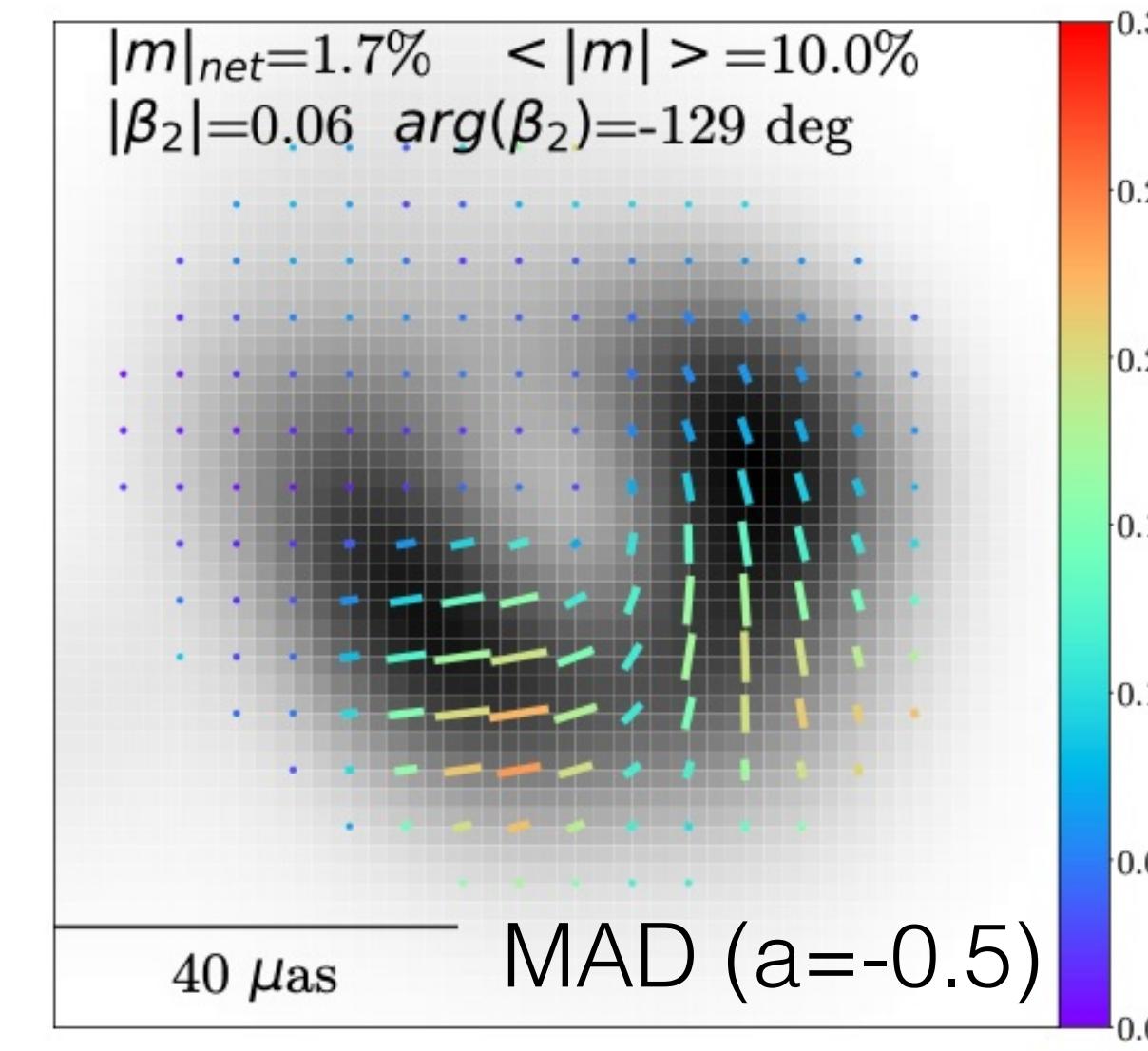
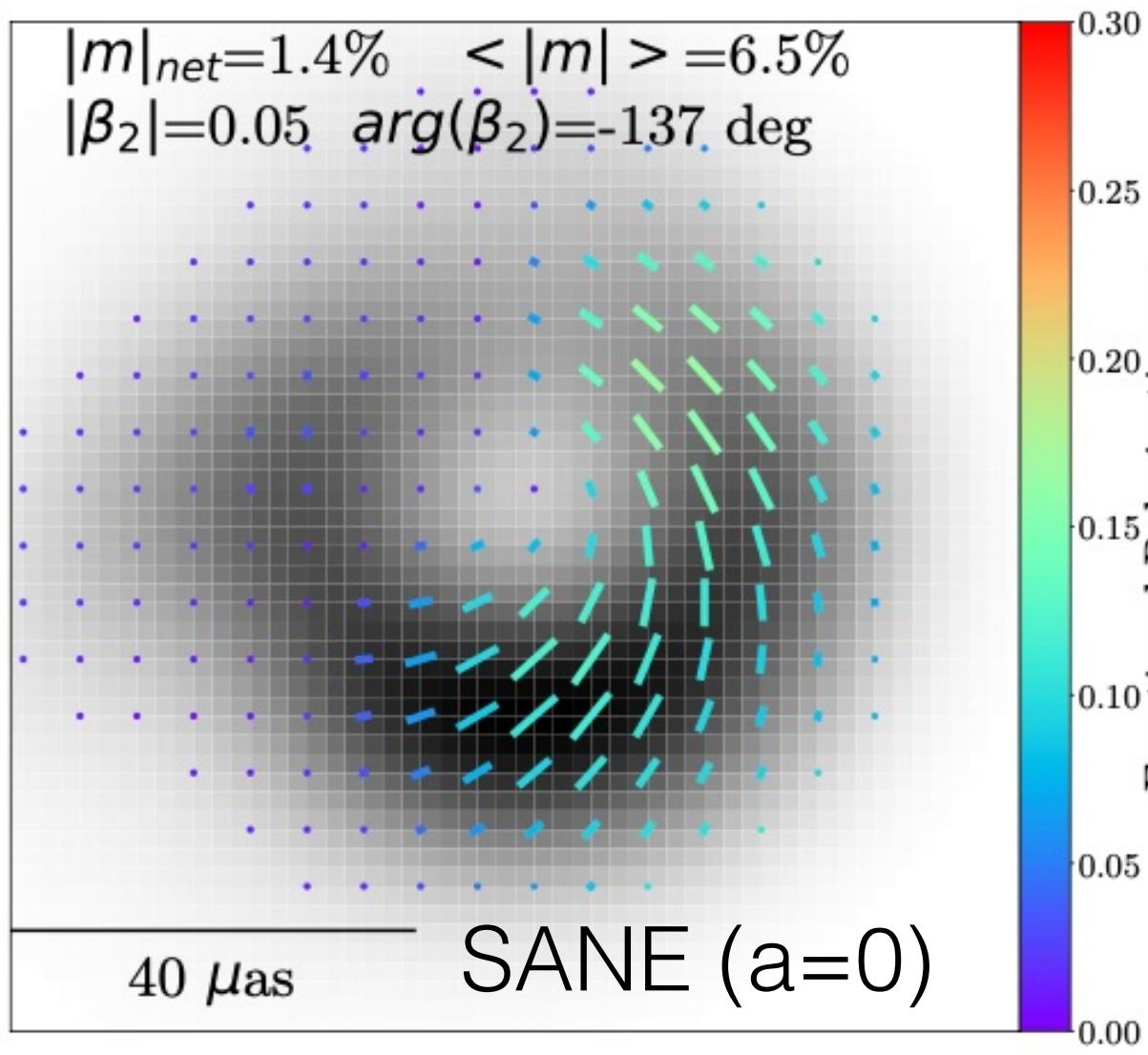
Model Constraint from Polarimetry

1. image-integrated net linear polarisation: $1\% < |m|_{\text{net}} < 3.7\%$ (EHT pol. image)
2. image-integrated net circular polarization: $|v|_{\text{net}} < 0.8\%$ (ALMA-only data)
3. image averaged linear polarization: $5.7\% < \langle|m|\rangle < 10.7\%$ (EHT $20\mu\text{as}$ resolution)
4. amplitude & phase of complex β_2 coefficient
 $0.04 < |\beta_2| < 0.07$, $-163 \text{ deg} < \text{ang}[\beta_2] < -129 \text{ deg}$

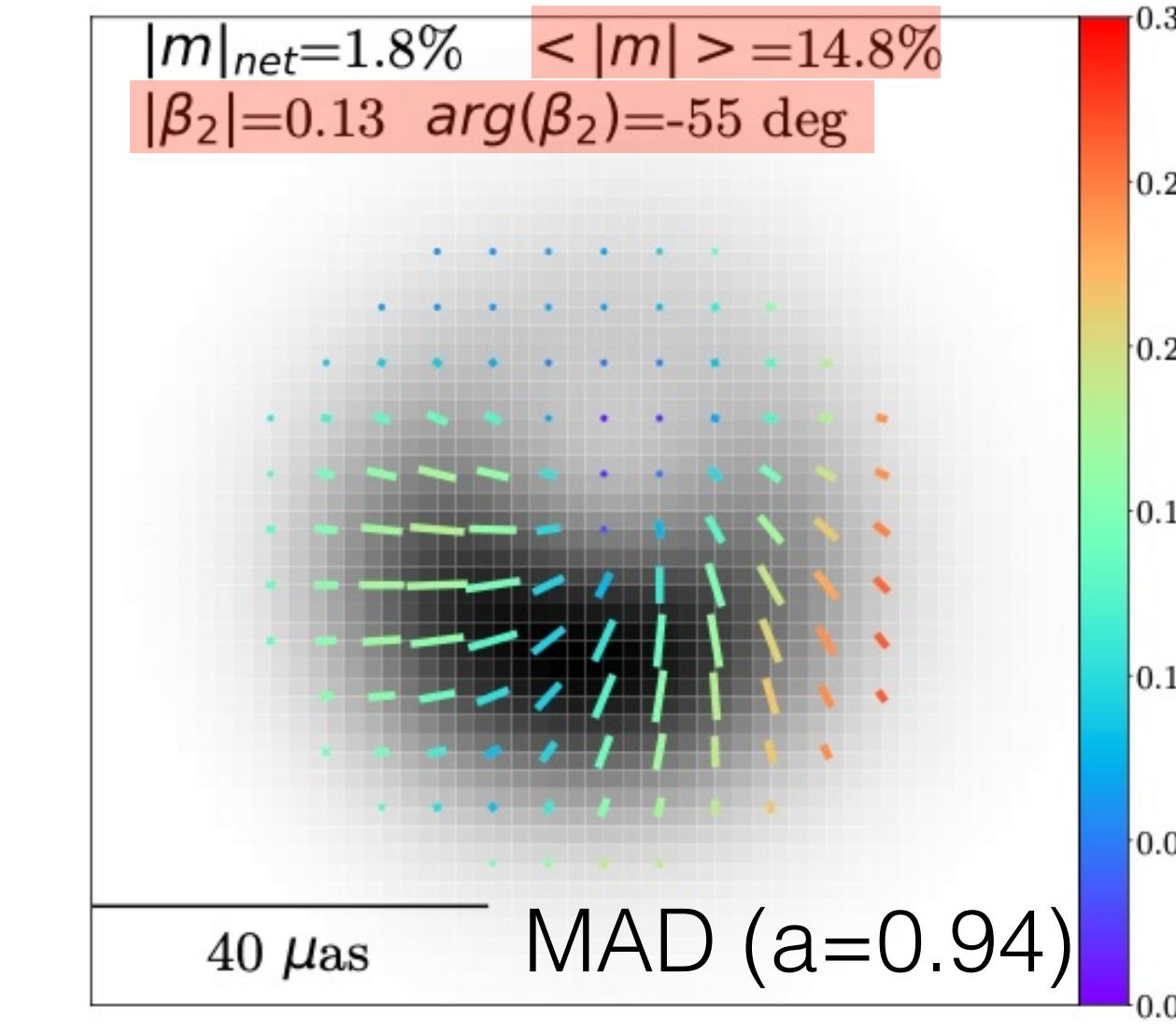
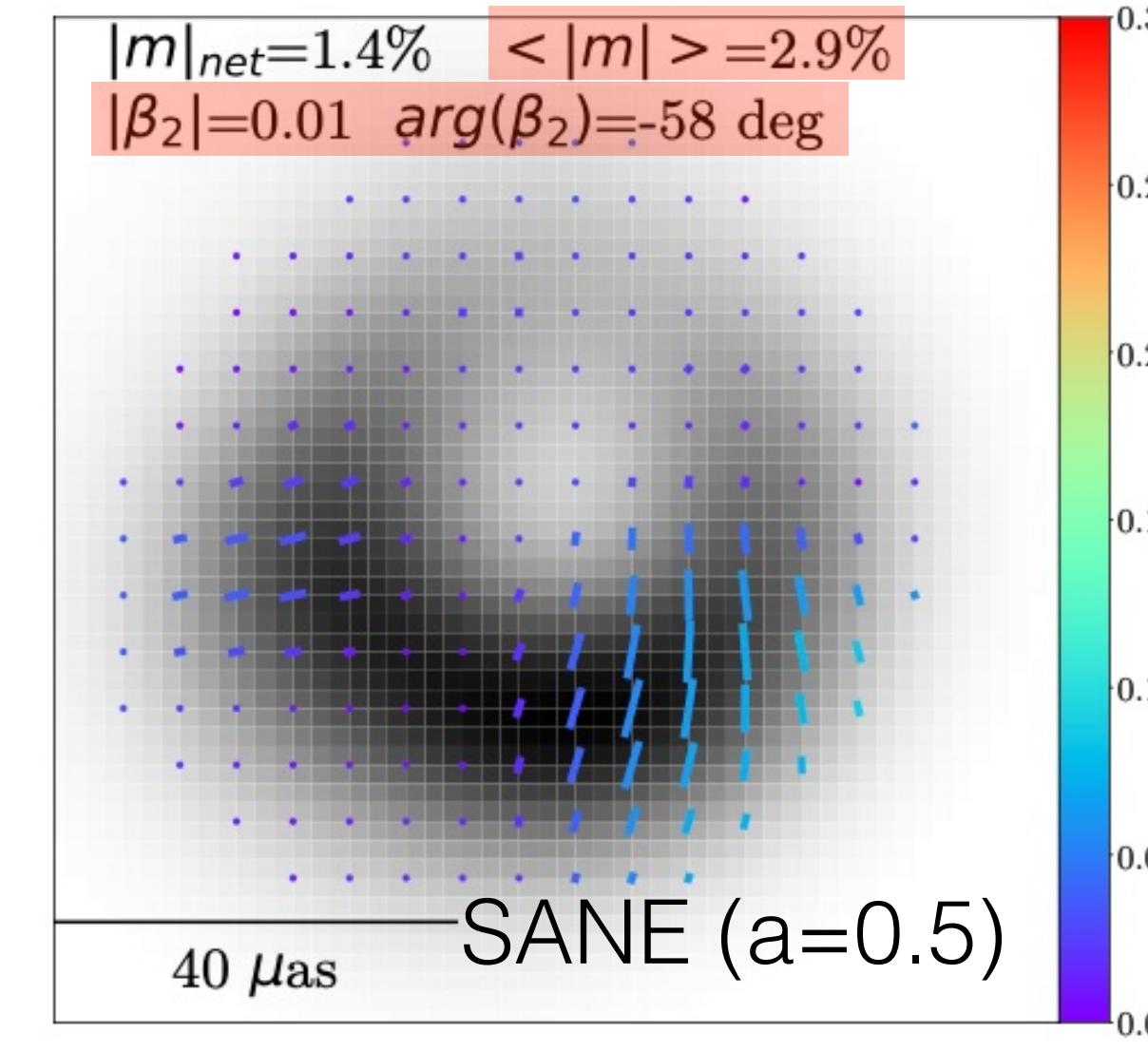
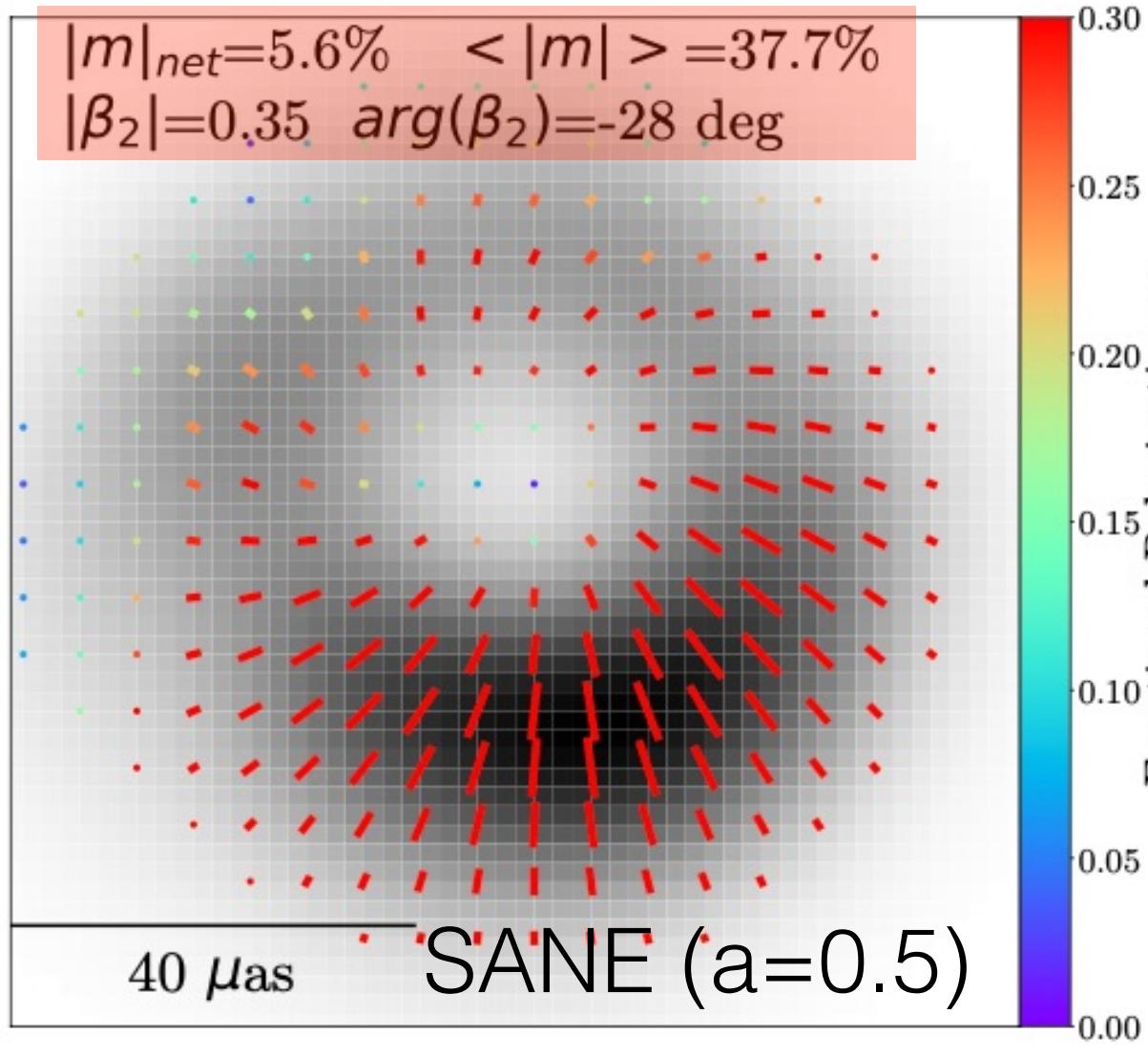


Scoring Results

PASS



FAIL

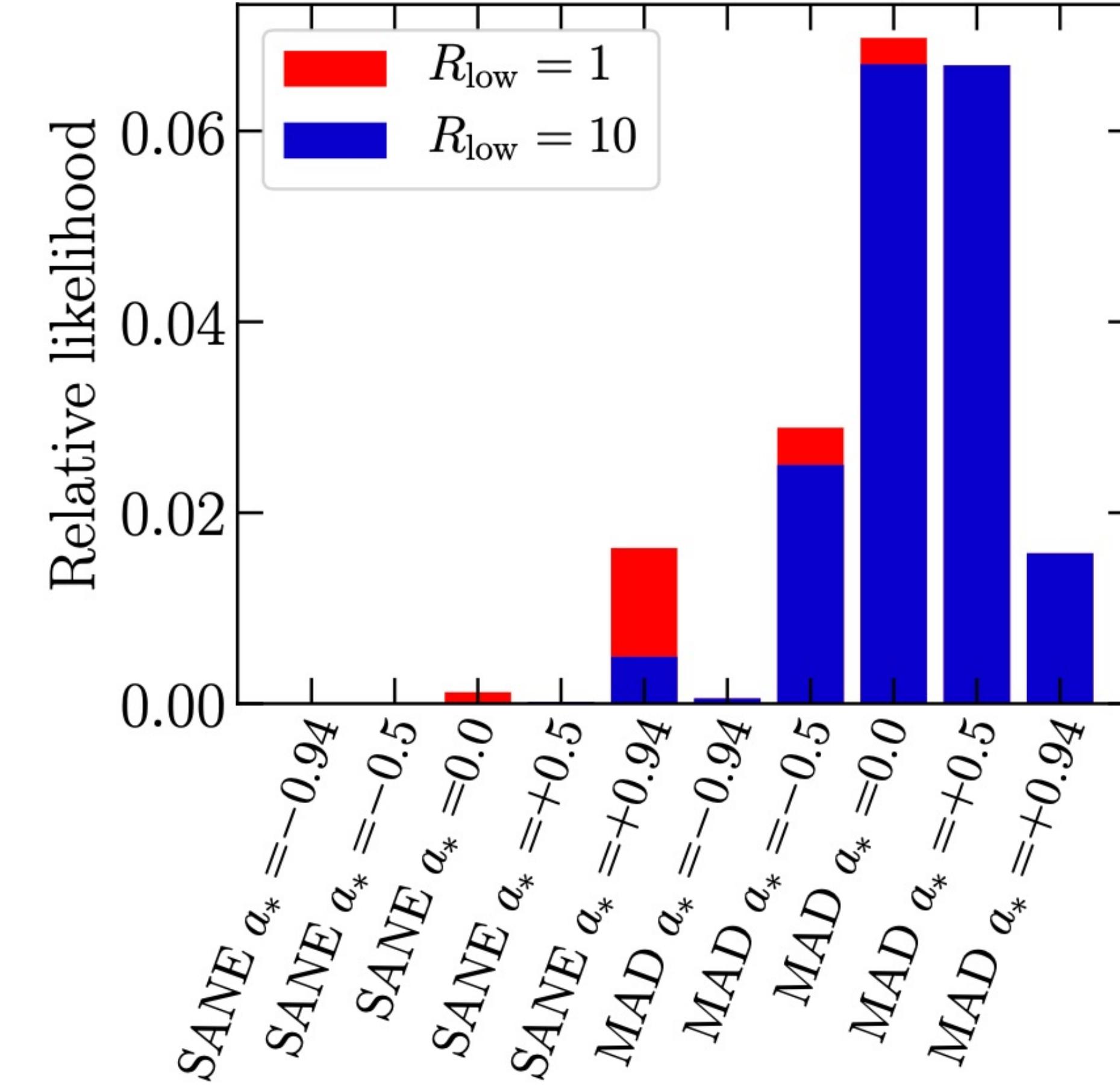
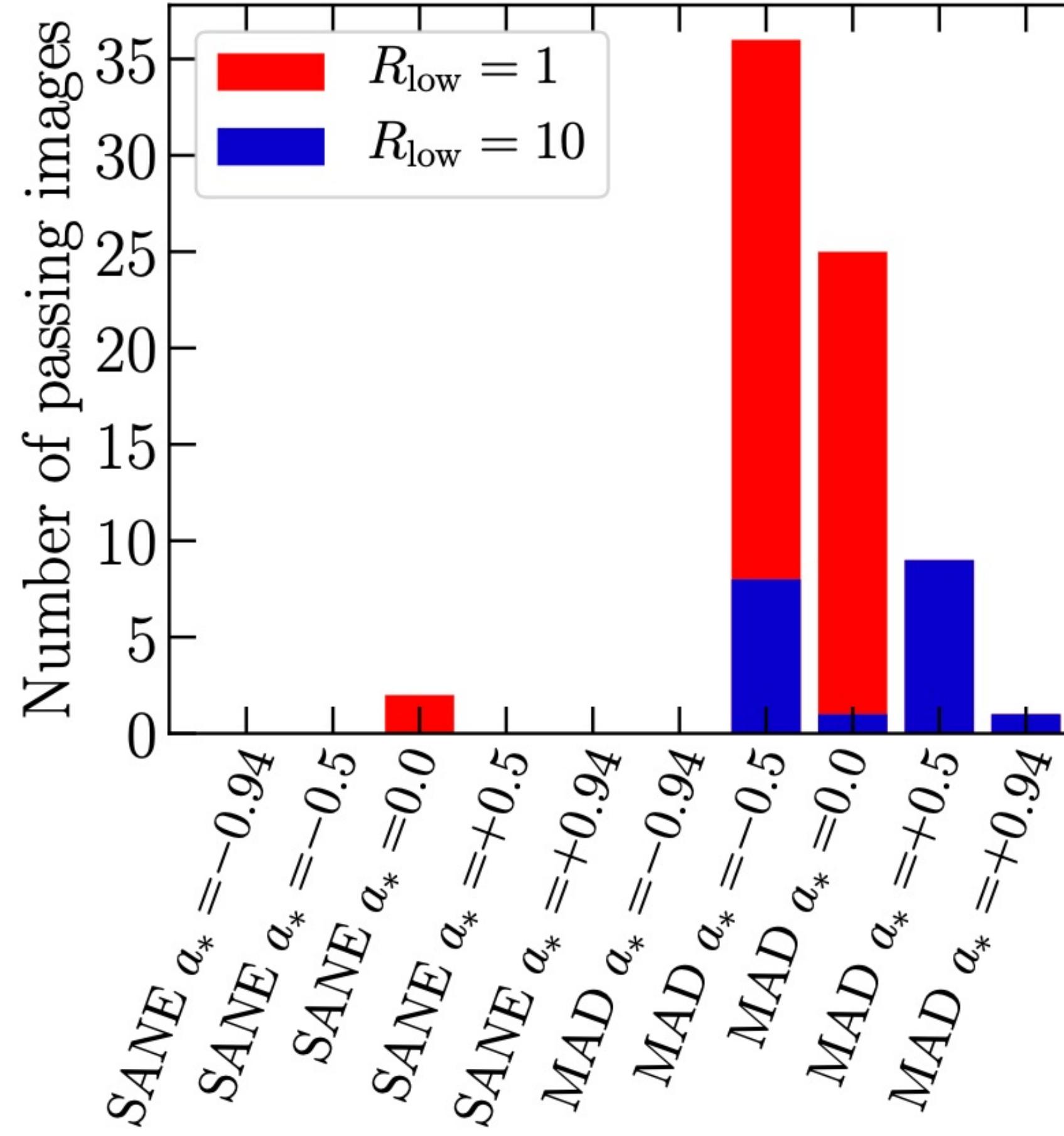


- Passed only **73/72000** snapshot images across **15/120** models

- Azimuthal EVPA pattern is made by vertical magnetic field



Scoring Results

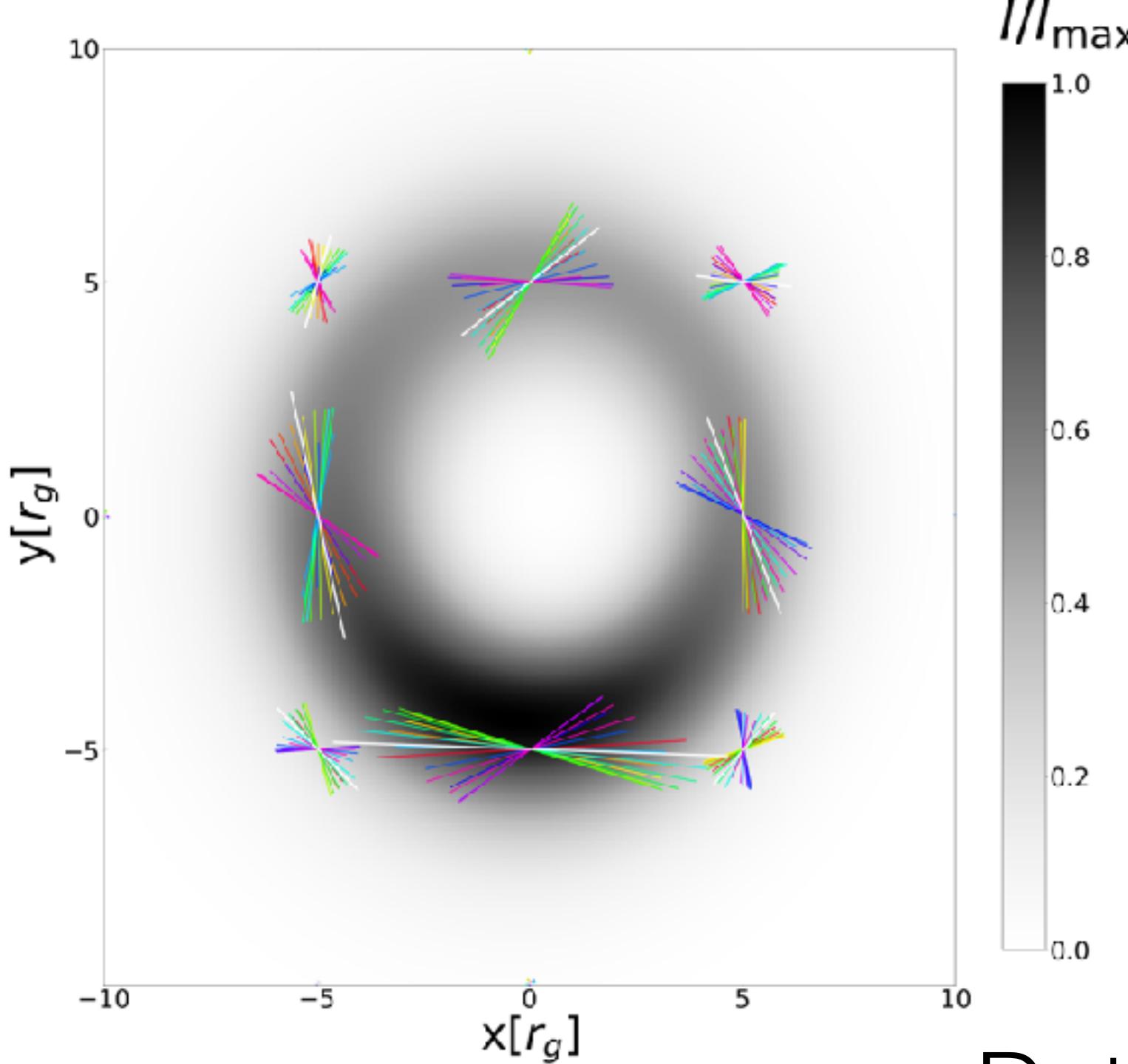


- Scoring results prefer **MAD** than SANE.
- SANE & MAD $a=0$ model would be ruled out from jet power constraint (same as Paper V)

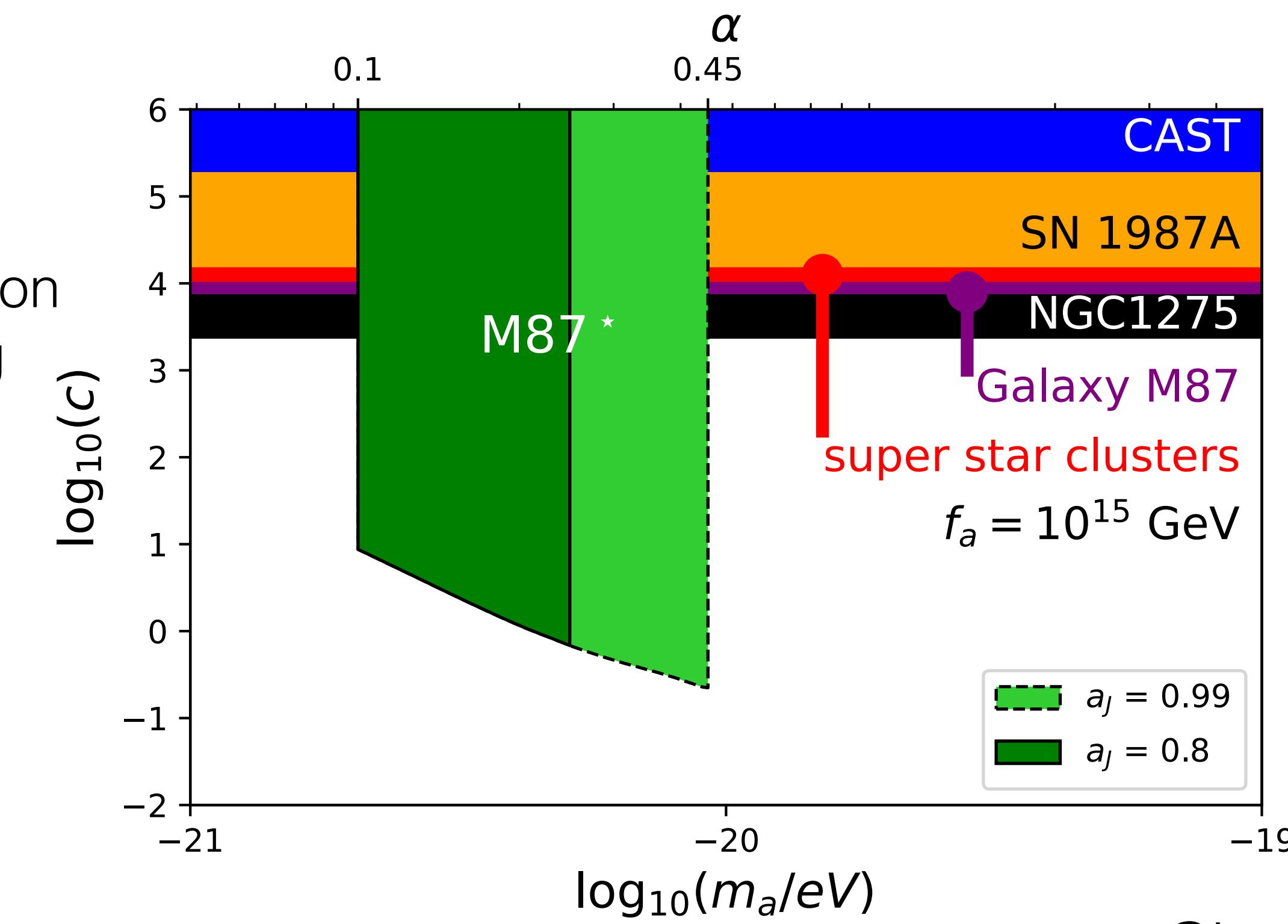


Constraint of Axion-Photon coupling

- Near SMBH, axion cloud is produced by superradiance (gravitational bound state).
- Axion cloud makes birefringence of polarised emissions \Rightarrow oscillation of EVPA
- Polarised ring emission of BH shadow in M87 would be affected it \Rightarrow constraint of axion-photon coupling.



axion-photon
coupling



Detail for Yifan Chen's talk



Event Horizon Telescope

Chen et al. (2021)

Which Gravitational Theory?

- VLBI observation of EHT has provided **the first images of the BH shadow** in M87* and will be soon provide it in our galactic centre, Sgr A*.
- If the observations are **sufficiently accurate**, it will provide
 1. the evidence for **the existence of an event horizon**
 2. Testing **the no-hair theorem in GR**
 3. Testing of **GR itself** against a number of **alternative theories of gravity**.

We investigate alternatives of Kerr black hole through realistic theoretical modeling of shadow image



Deviation from GR from EHT Shadow Image

Spherically symmetric spacetime around a black hole

$$ds^2 = g_{tt}dt^2 + g_{rr}dr^2 + r^2d\Omega .$$

Parametrized Post-Newtonian (PPN) formalism

$$-g_{tt} = 1 - \frac{2}{r} + 2 \left(\frac{\bar{\beta} - \bar{\gamma}}{r^2} \right) - 2 \left(\frac{\zeta}{r^3} \right) + \mathcal{O}(r^{-4})$$

General
Relativity
(Schwarzschild metric)

1st order
Deviation
(1PN)

2nd order
Deviation
(2PN)

Weak-field test: $< \sim 10^{-5}$

The Diameter of the black hole shadow: sensitive to the 2nd order deviation

$$r_{\text{sh}} = 3\sqrt{3} \left(1 + \frac{1}{9}\zeta \right)$$



Deviation from GR from EHT Shadow Image

Using two parameterized metric (JP, MGBK)

$$r_{\text{sh,JP}} = 3\sqrt{3} \left[1 + \frac{1}{27}\alpha_{13} - \frac{1}{486}\alpha_{13}^2 + \mathcal{O}(\alpha_{13}^3) \right]$$

$$r_{\text{sh,MGBK}} = 3\sqrt{3} \left[1 + \frac{1}{27}\gamma_{1,2} + \mathcal{O}(\gamma_{1,2}^3) \right]$$

Shadow size is within 17% of 2017
EHT observation

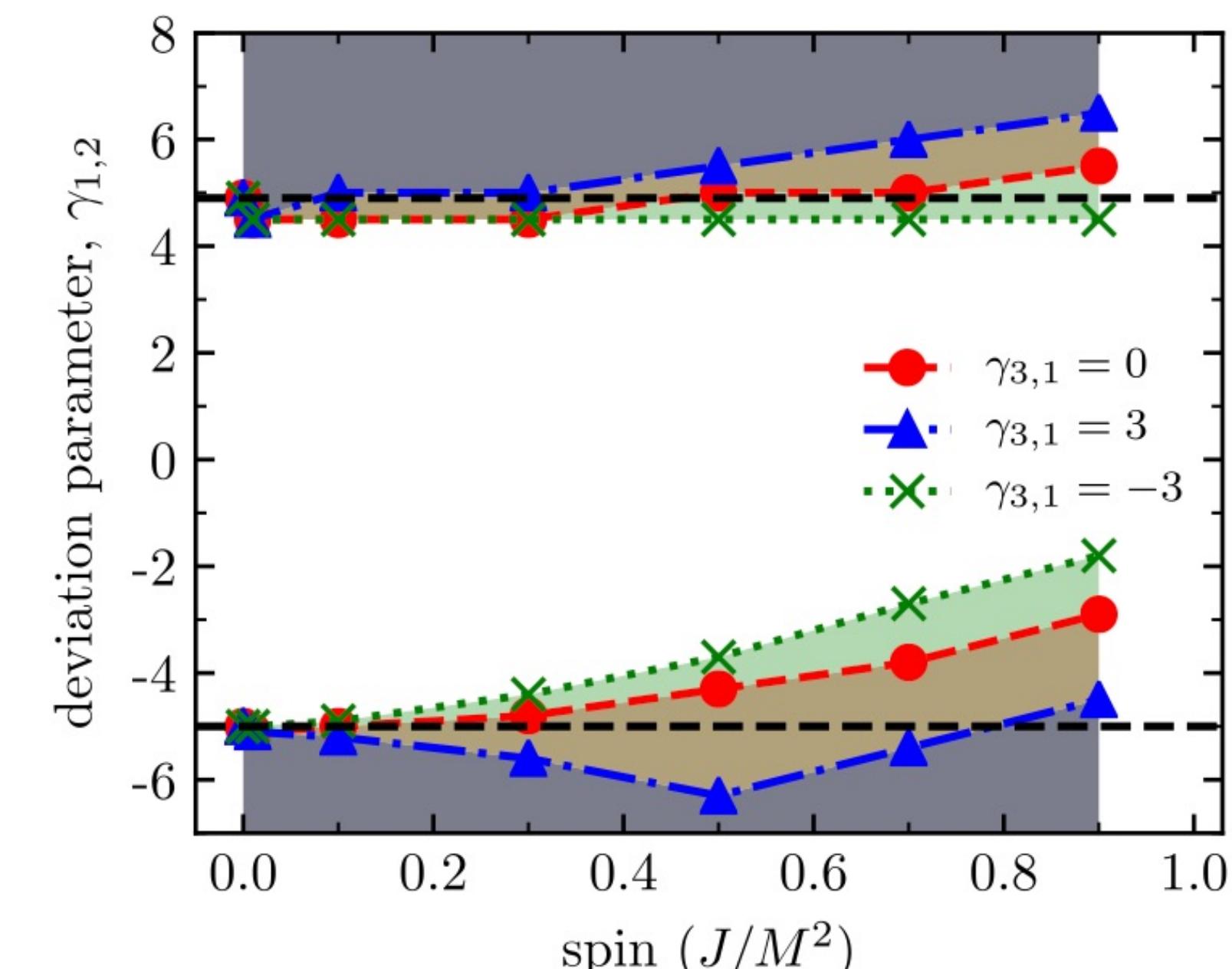
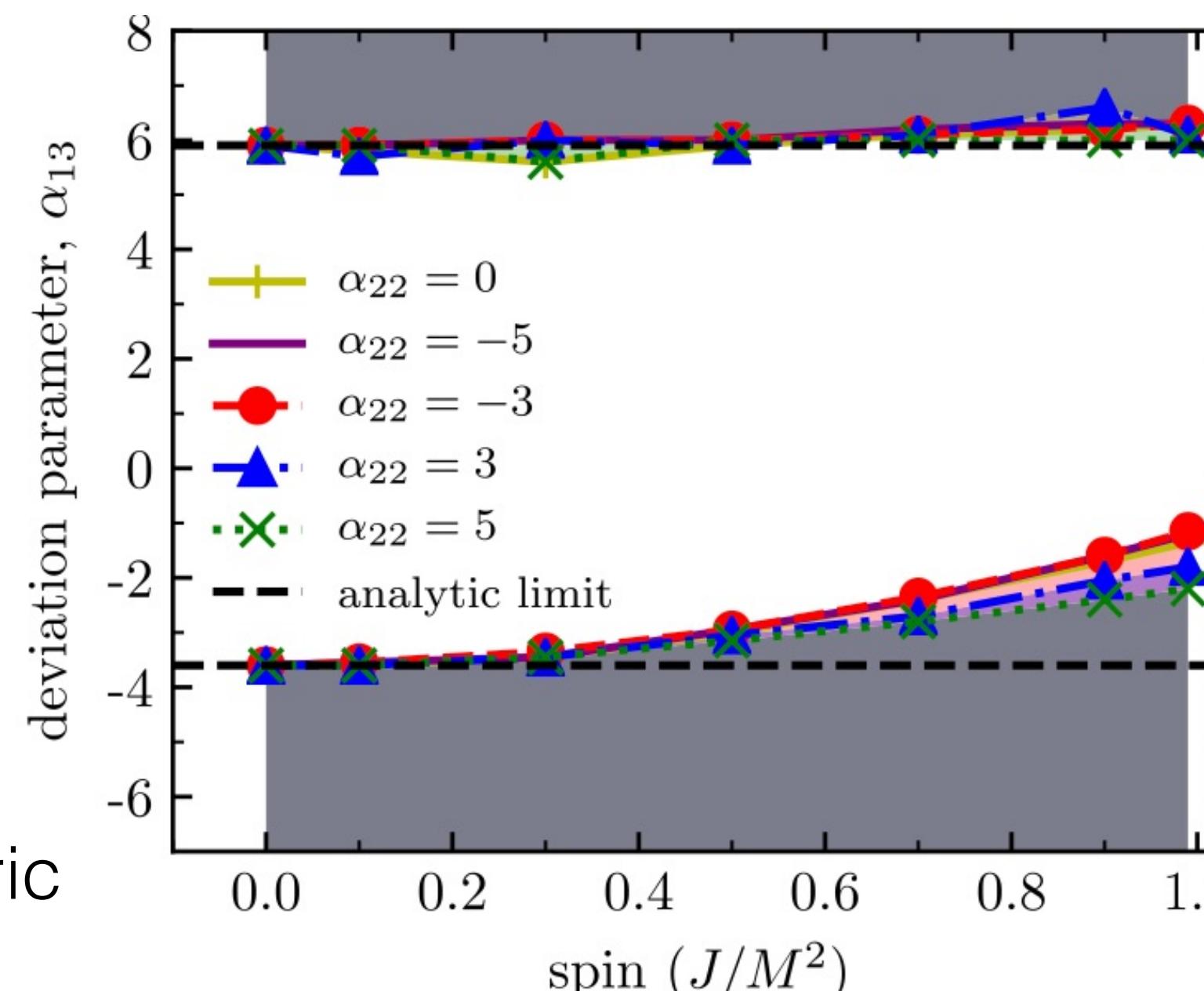
$$-3.6 < \alpha_{13} < 5.9$$

$$-5.0 < \gamma_{1,2} < 4.9$$

JP: Johannsen-Psaltis metric

MGBK: Modified Gravity Bumpy Kerr metric

Metric	$\bar{\beta} - \bar{\gamma}$ (1 PN)	ζ (2 PN)
Kerr	0	0
JP	0	α_{13}
MGBK	$-\gamma_{1,2}/2 - \gamma_{4,2} \rightarrow 0$	$-\gamma_{1,2} - 4\gamma_{4,2} \rightarrow \gamma_{1,2}$



Event Horizon Telescope

Psaltis et al. (2020)

BH Alternatives

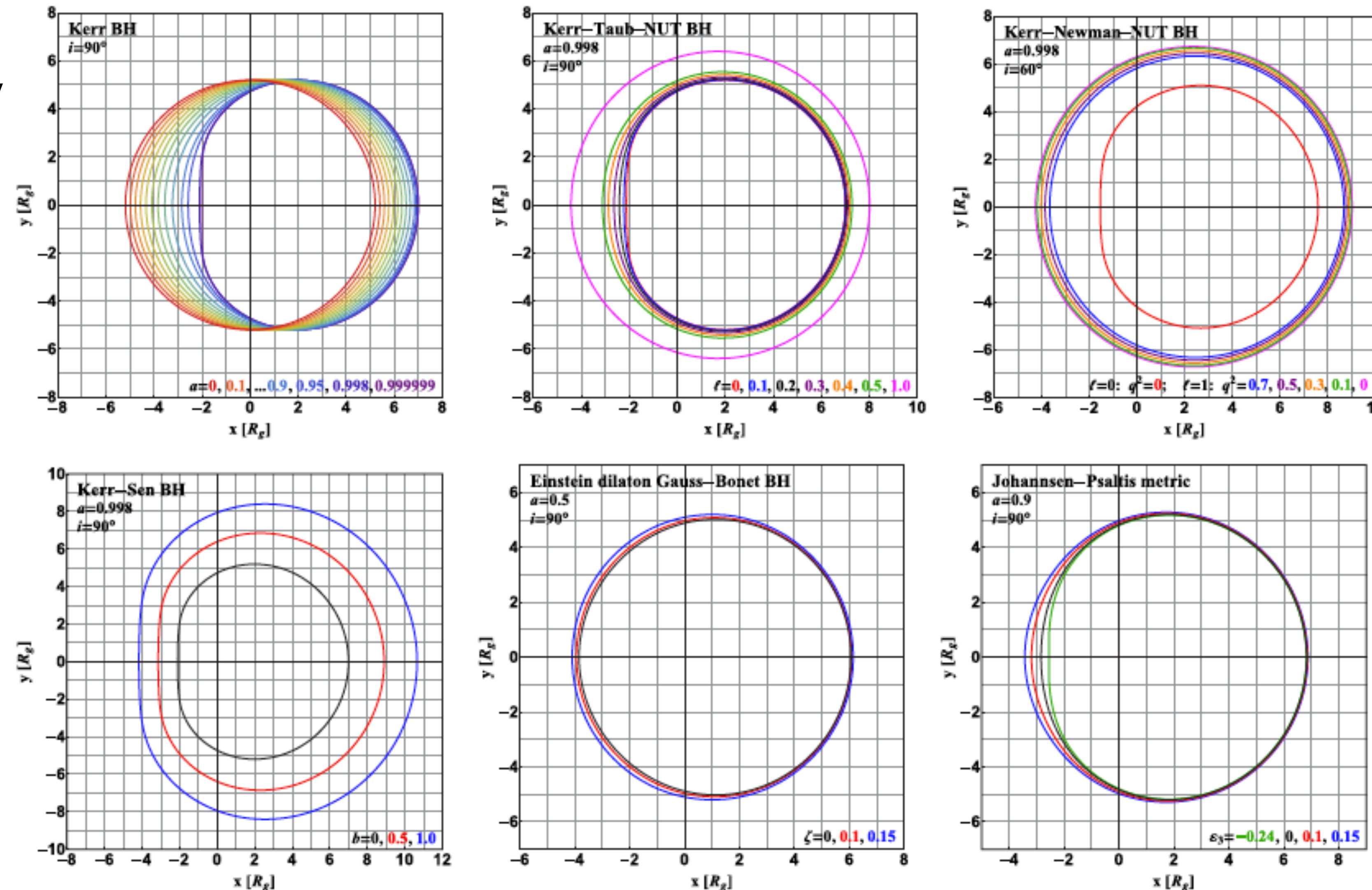
1. black holes within GR that include additional fields
 - e.g., electromagnetic charge, NUT charge, cosmological constant, dark matter halo, hair etc.
2. black hole solutions from alternative theories of gravity or incorporating quantum effects
 - classical modification to GR as well as the effect of quantum gravity.
3. black hole “mimickers,” i.e., exotic compact objects (with or without surface), both within GR or in alternative theories
 - w.o. event horizon: e.g., naked singularity, supersupinars, wormhole
 - w.o. event horizon & w.o. surface: e.g., boson star
 - w.o. event horizon & w. surface: Gravastar

Most of alternatives represent a shadow similar to a Kerr black hole



Shadow Industry: Different Spacetime

Variety of BH
shadow boundary
curve in different
theory of gravity



Younsi et al. (2016)

From BHCam review
by Goddi et al. (2017)

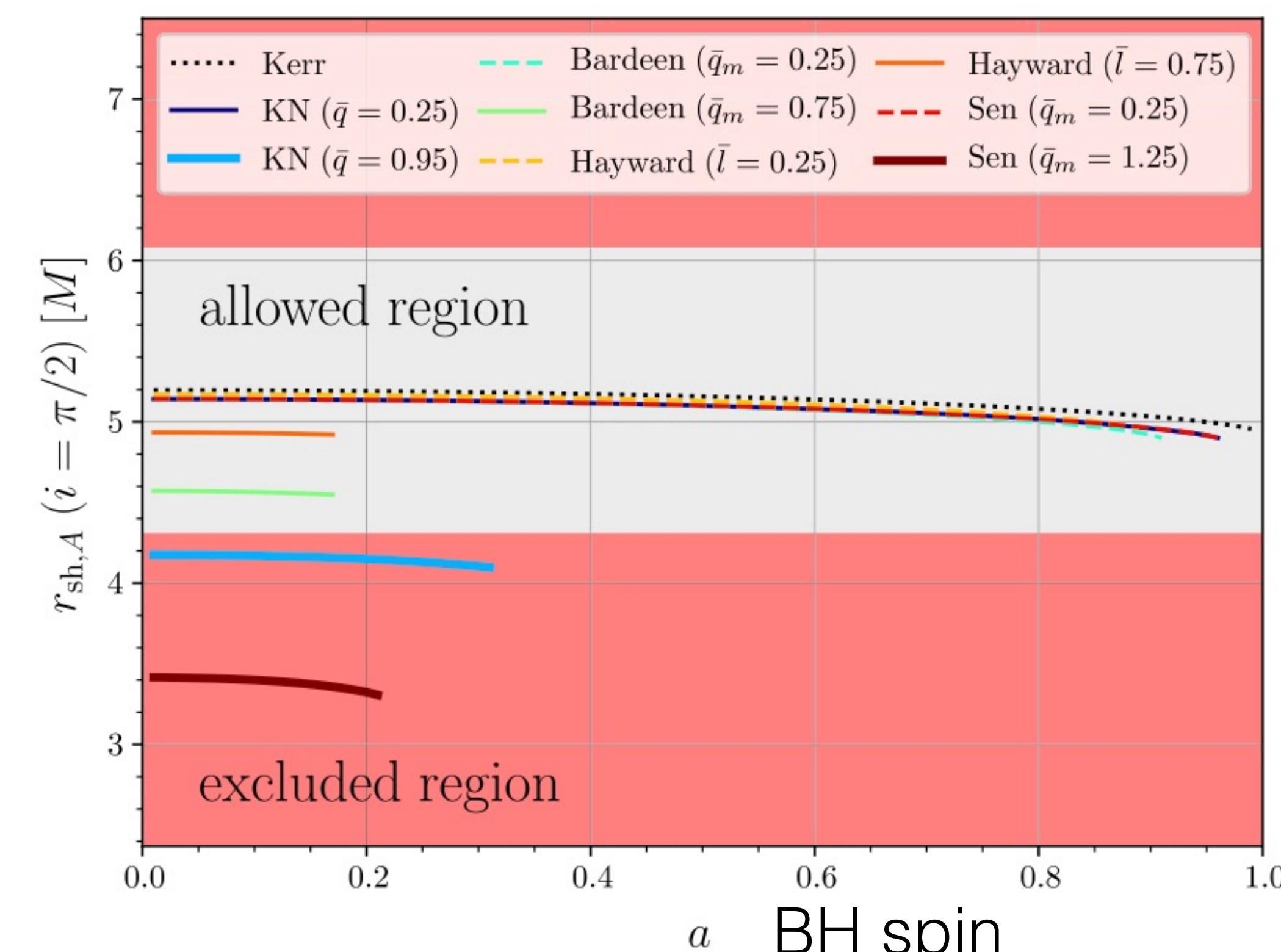
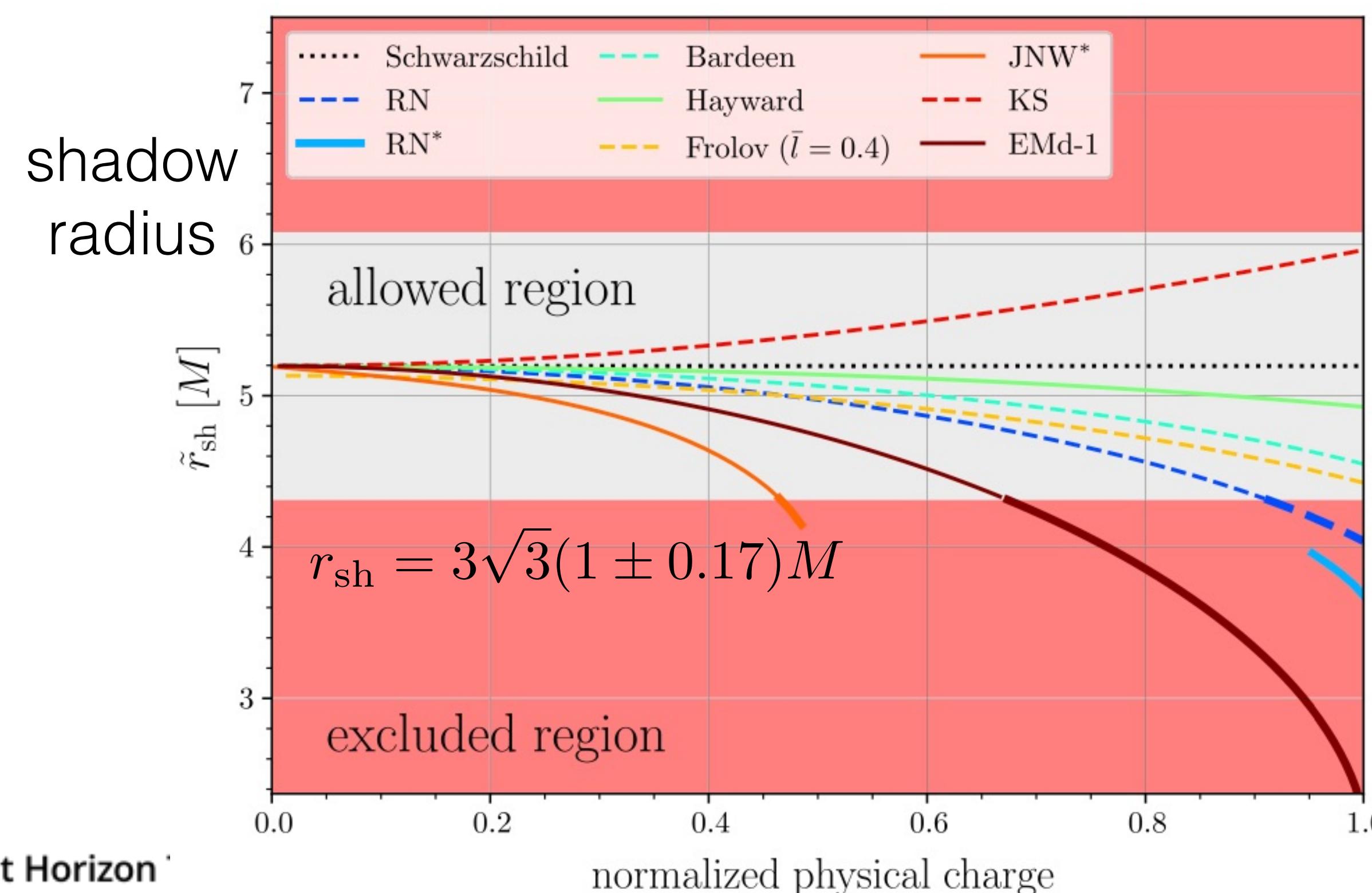


Event Horizon Telescope

Constraint of Black Hole Charge

- Black Hole shadow size depends on BH physical charge (electric charge, scalar charge etc)
- Using EHT 2017 M87 image, give the constraint on physical charges of large variety of BH

Spacetime	Rotation	Singularity	Spacetime content
KN [73]	Yes	Yes	EM fields
Kerr [72]	Yes	Yes	vacuum
RN [62]	No	Yes	EM fields
RN* [62]	No	Yes	EM fields
Schwarzschild [62]	No	Yes	vacuum
Rot. Bardeen [75]	Yes	No	matter
Bardeen [63]	No	No	matter
Rot. Hayward [75]	Yes	No	matter
Frolov [65]	No	No	EM fields, matter
Hayward [64]	No	No	matter
JNW* [71]	No	Yes	scalar field
KS [66]	No	Yes	vacuum
Sen [†] [74]	Yes	Yes	EM, dilaton, axion fields
EMd-1 [†] [67,68]	No	Yes	EM, dilaton fields
EMd-2 [†] [70]	No	Yes	EM, EM, dilaton fields



Event Horizon

Testing BH Alternatives

Realistic shadow imaging (GRMHD simulation of accretion flows onto central object+GRRT imaging) for BH alternatives

- Dilaton BH (alternative theories of gravity), Mizuno+ (2018)
- Boson Star (w.o. event horizon & surface), Olivares, YM+ (2020)
- Gravastar (w.o. event horizon, w. surface), Olivares, YM+ (2021 in prep)

*Considered Future EHT array
(including 345GHz & space-VLBI)*
(Fromm, YM+ 2021)



Event Horizon Telescope

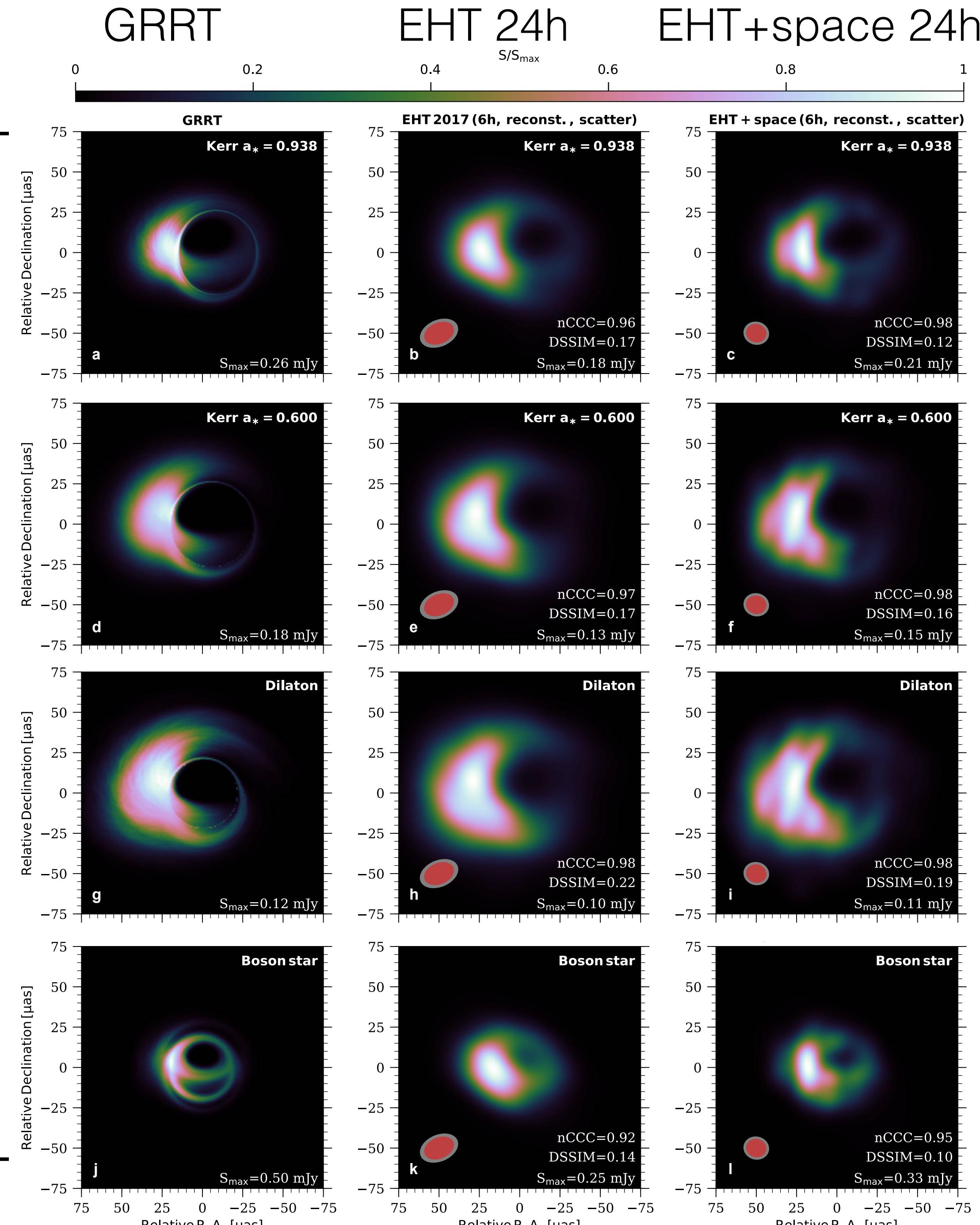
Kerr BH
($a=0.9375$)

Kerr BH
($a=0.6$)

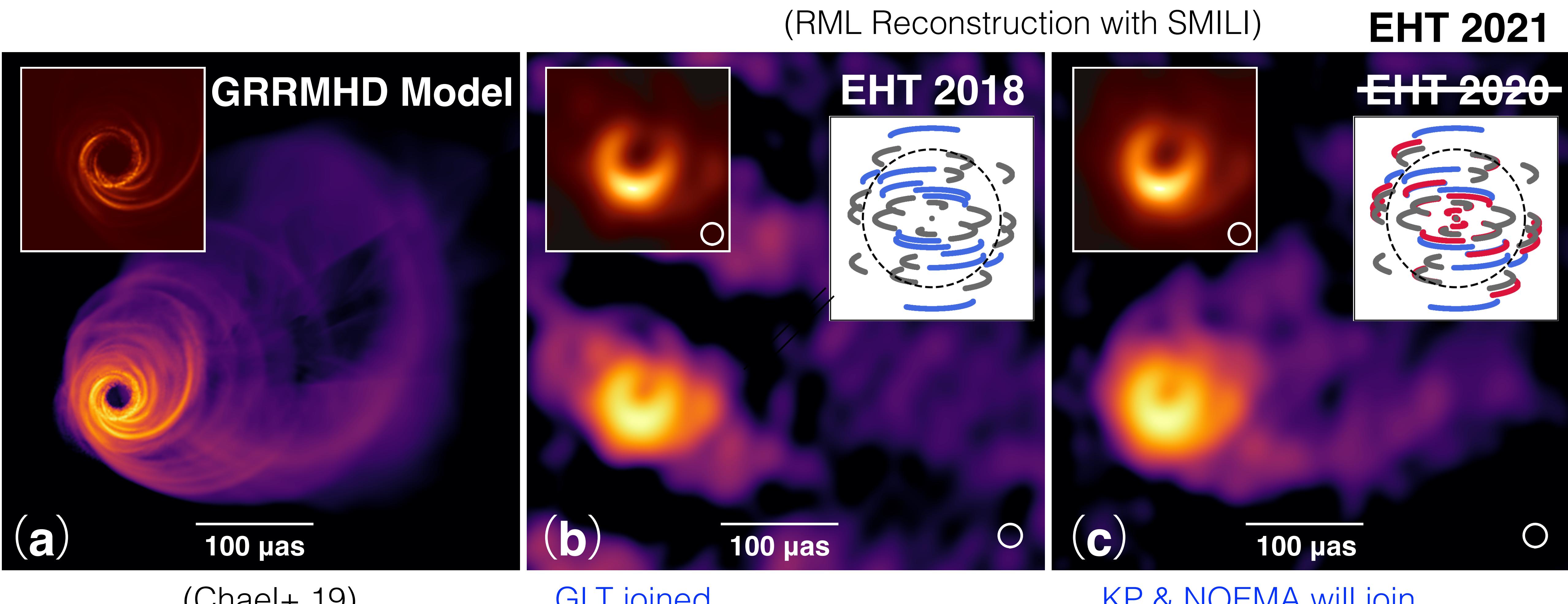
Dilation BH
($b=0.5$)

Boson star

@230GHz, $i=60$ deg,
for Sgr A*



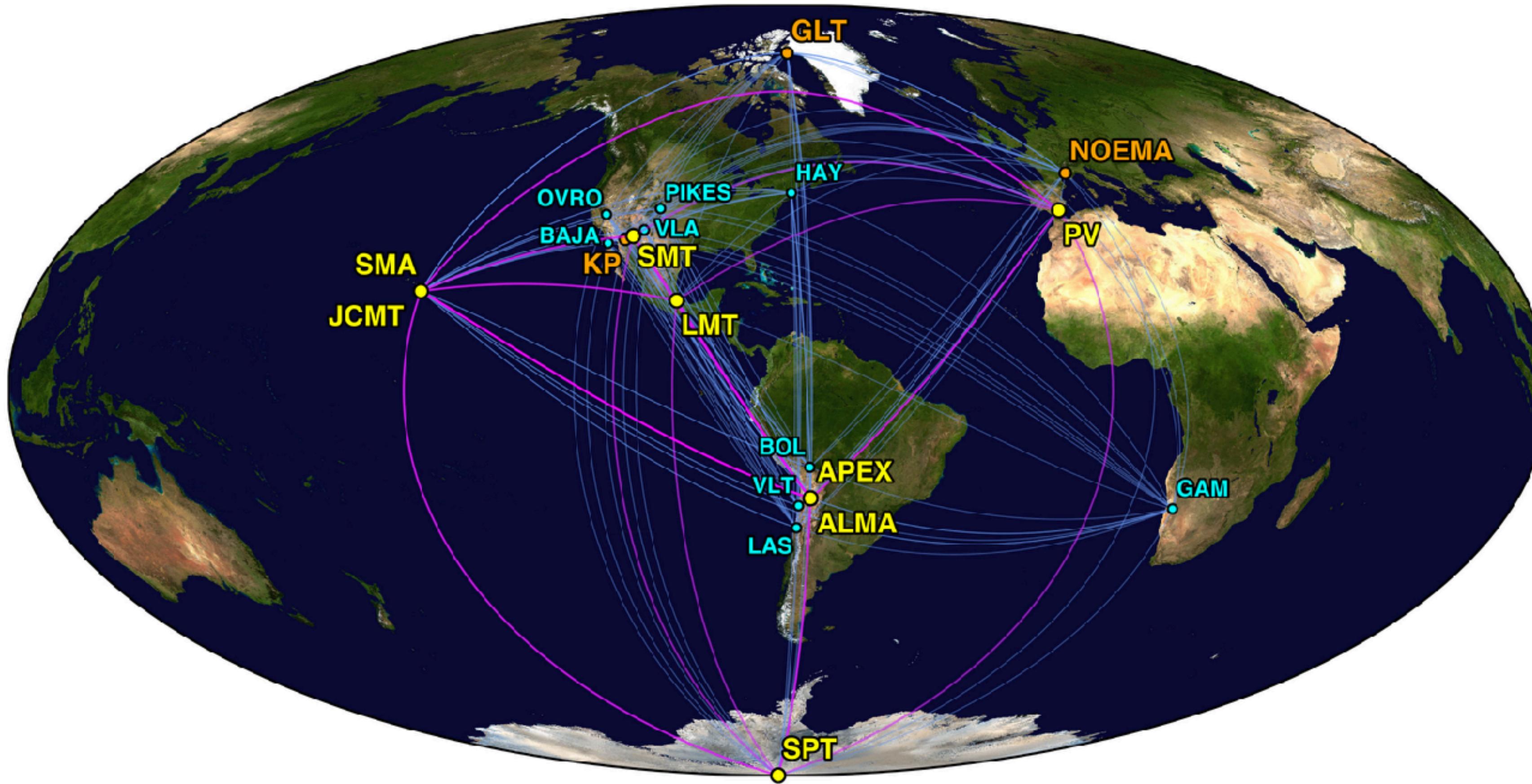
Array Evolution of EHT (2021~)



Event Horizon Telescope

EHT Collaboration, ALMA Cycle 7 M87 Proposal

next general Event Horizon Telescope



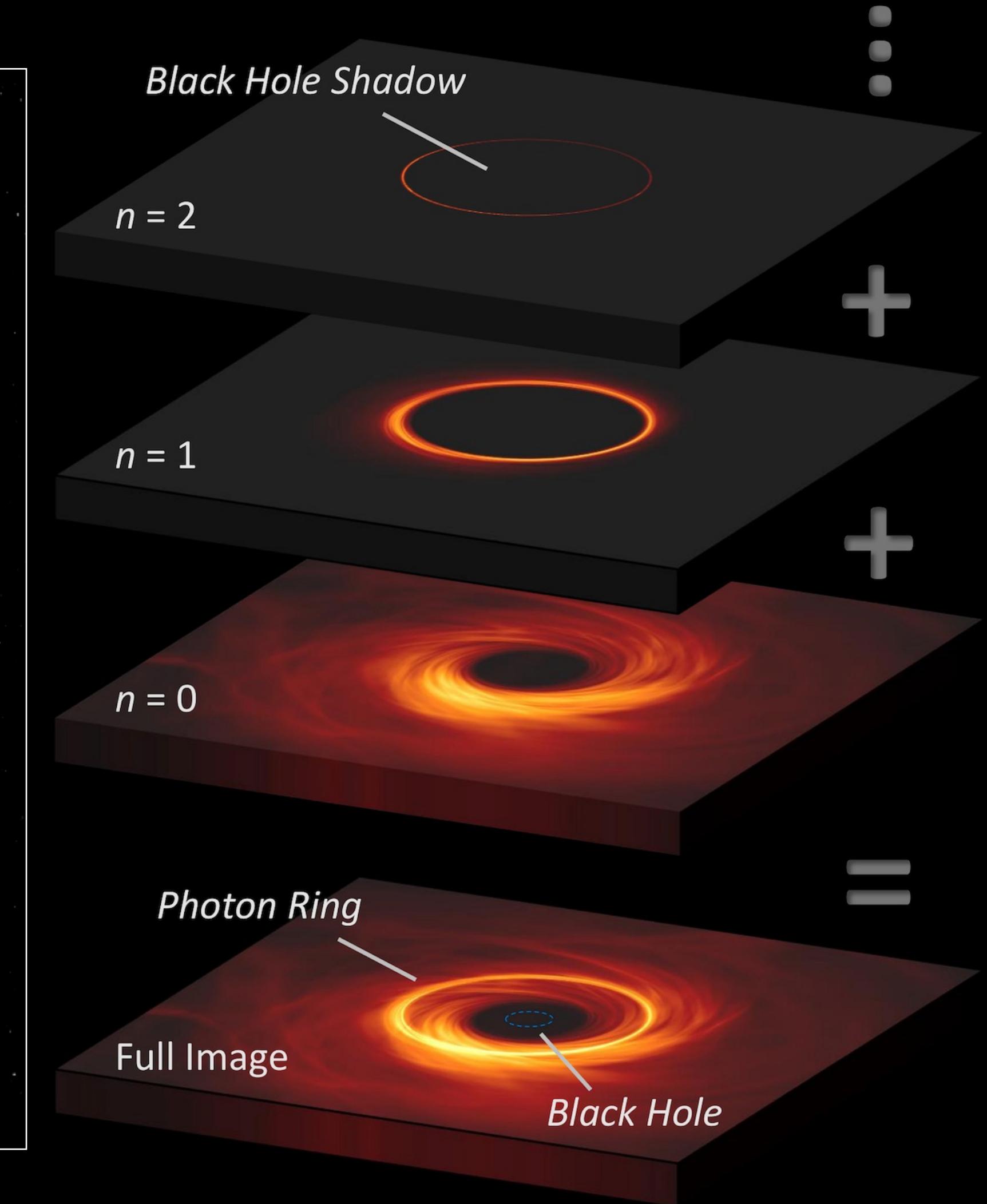
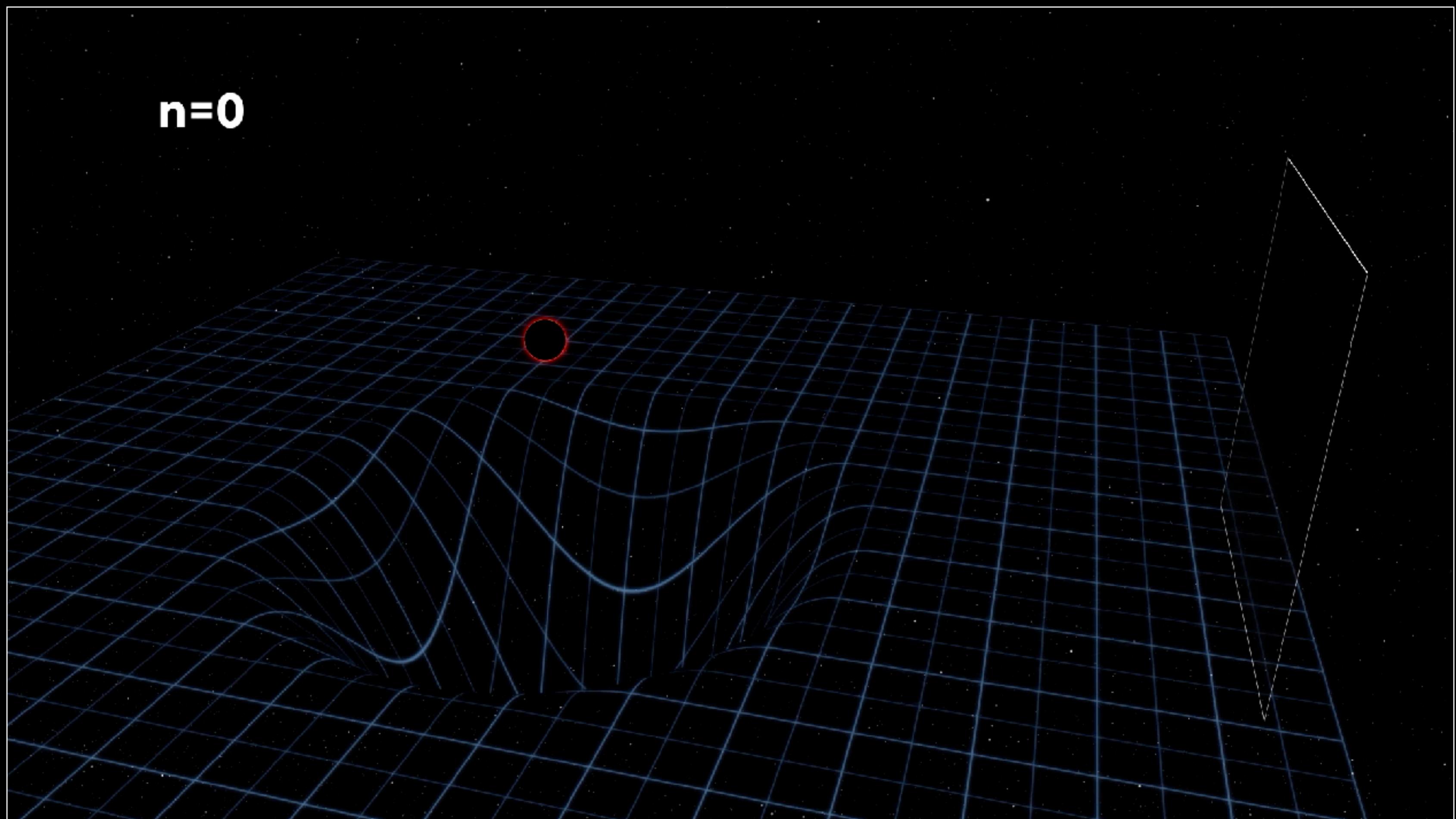
Phase I: 2019-2023 (Array Design Phase)

Phase II: 2023- (Constructions of several new sites)



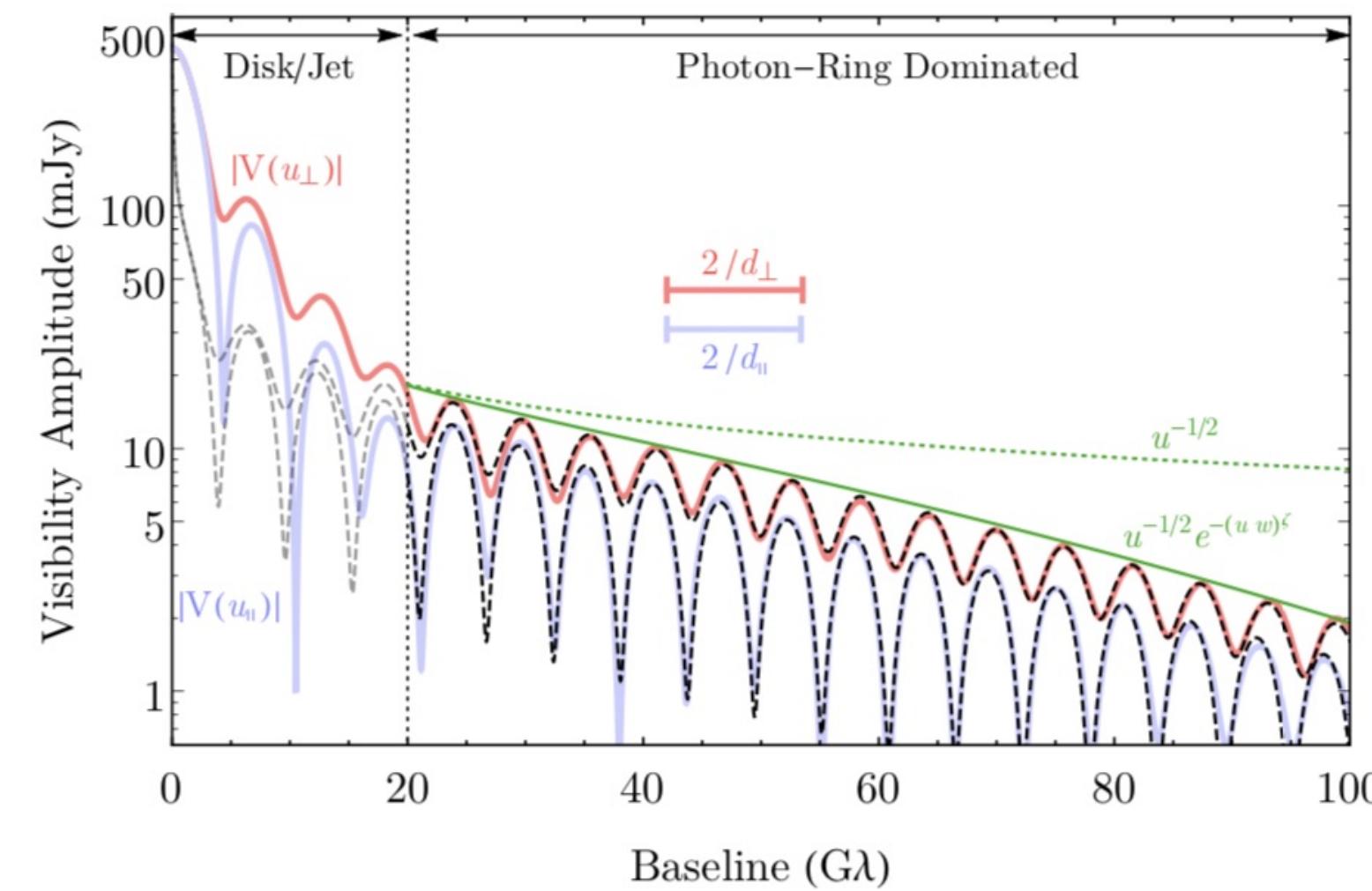
Event Horizon Telescope

Black Hole Photon Ring



Black Hole Photon Ring

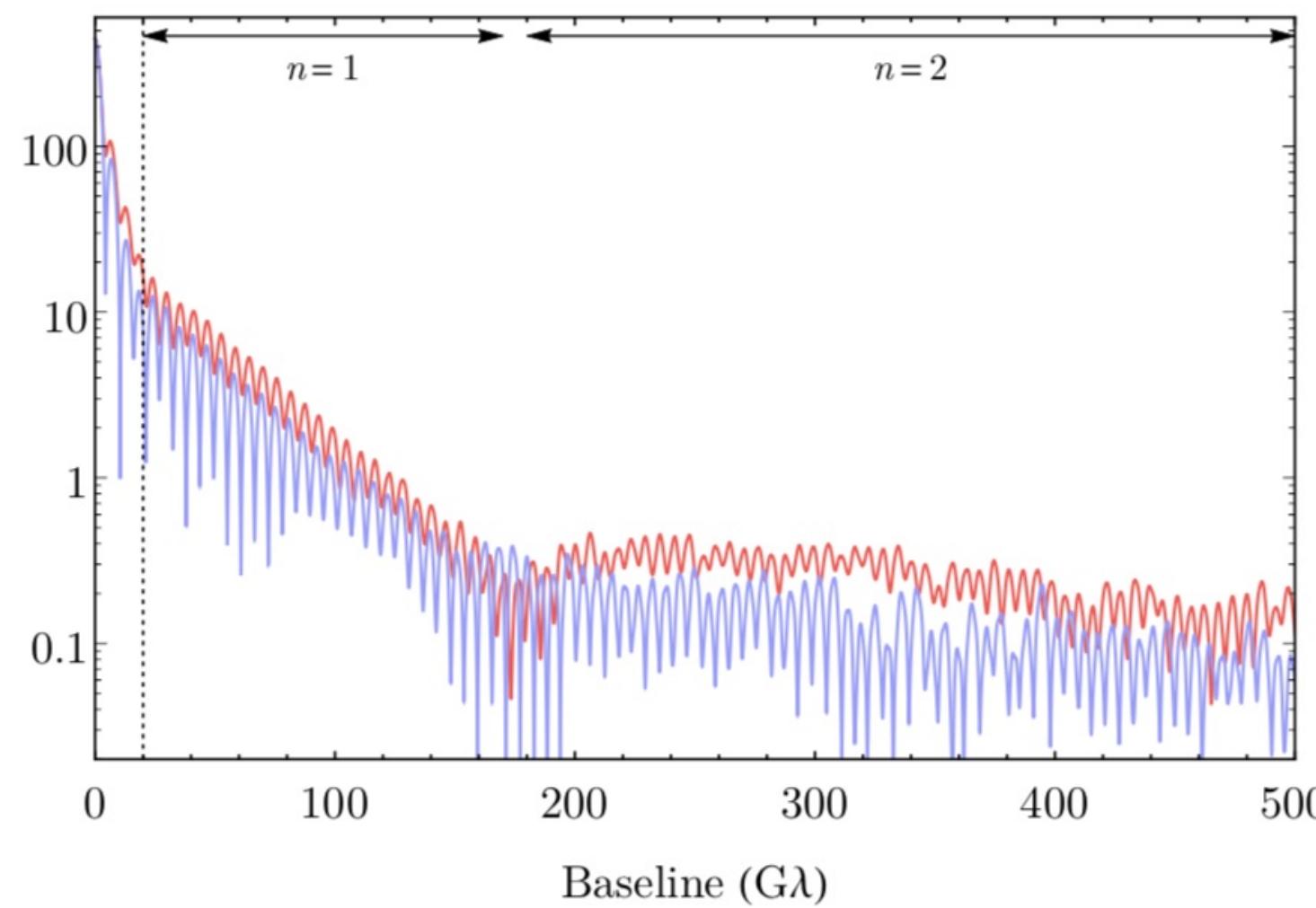
Johnson et al. (2019)



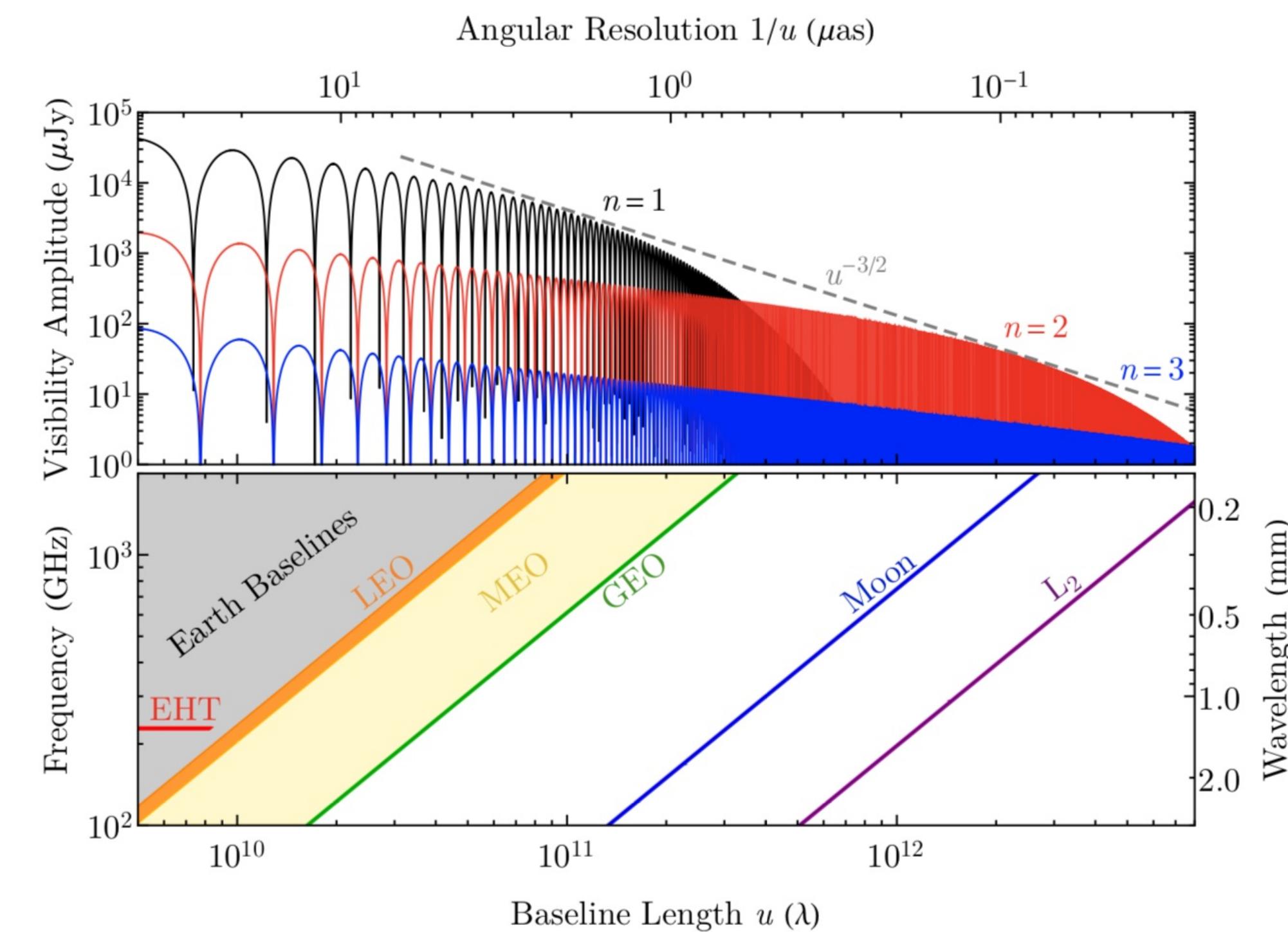
visibility amplitude of time-averaged GRMHD simulation for M87
($i=163$ deg)

Blue: perpendicular intersection to BH spin axis

Red: parallel intersection to BH spin axis



short baseline: complex structure reflected disk/jet emission
long baseline ($> 20 G\lambda$): dominated by photon ring ($n=1, 2, \dots$)



LEO: low Earth Orbit: < 2000 km
MEO: Medium Earth Orbit: 2000-36,000 km
GEO: Geostationary orbit: 36,000 km



Event Horizon Telescope

Movie of Sgr A*

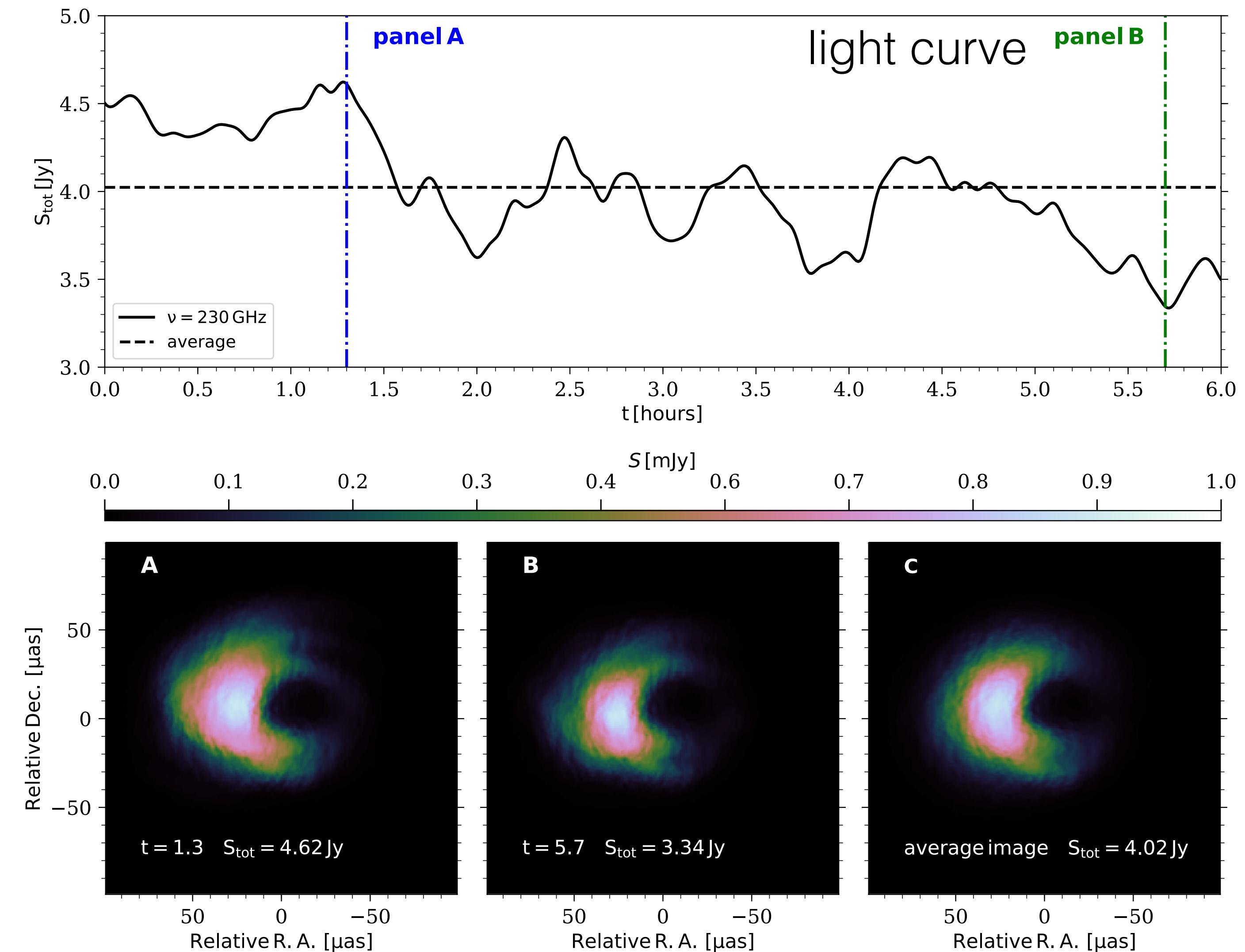
- Sgr A* is more complicated due to time variability & scattering during EHT observation period (~6h)
- Image snapshot => Movie



GRMHD + GRRT + scattering (SANE,
 $a=0.6, i=60 \text{ deg}$)

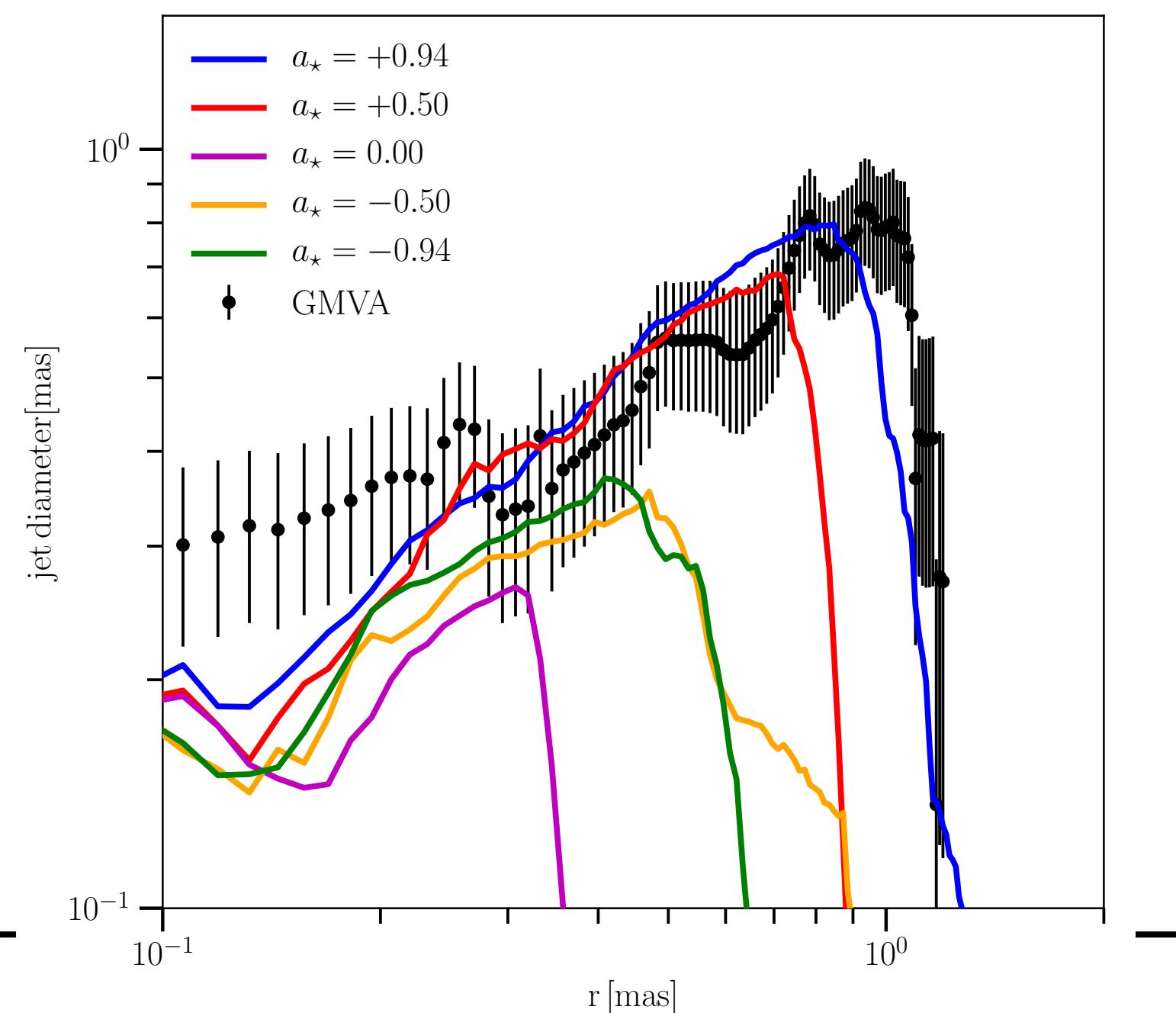
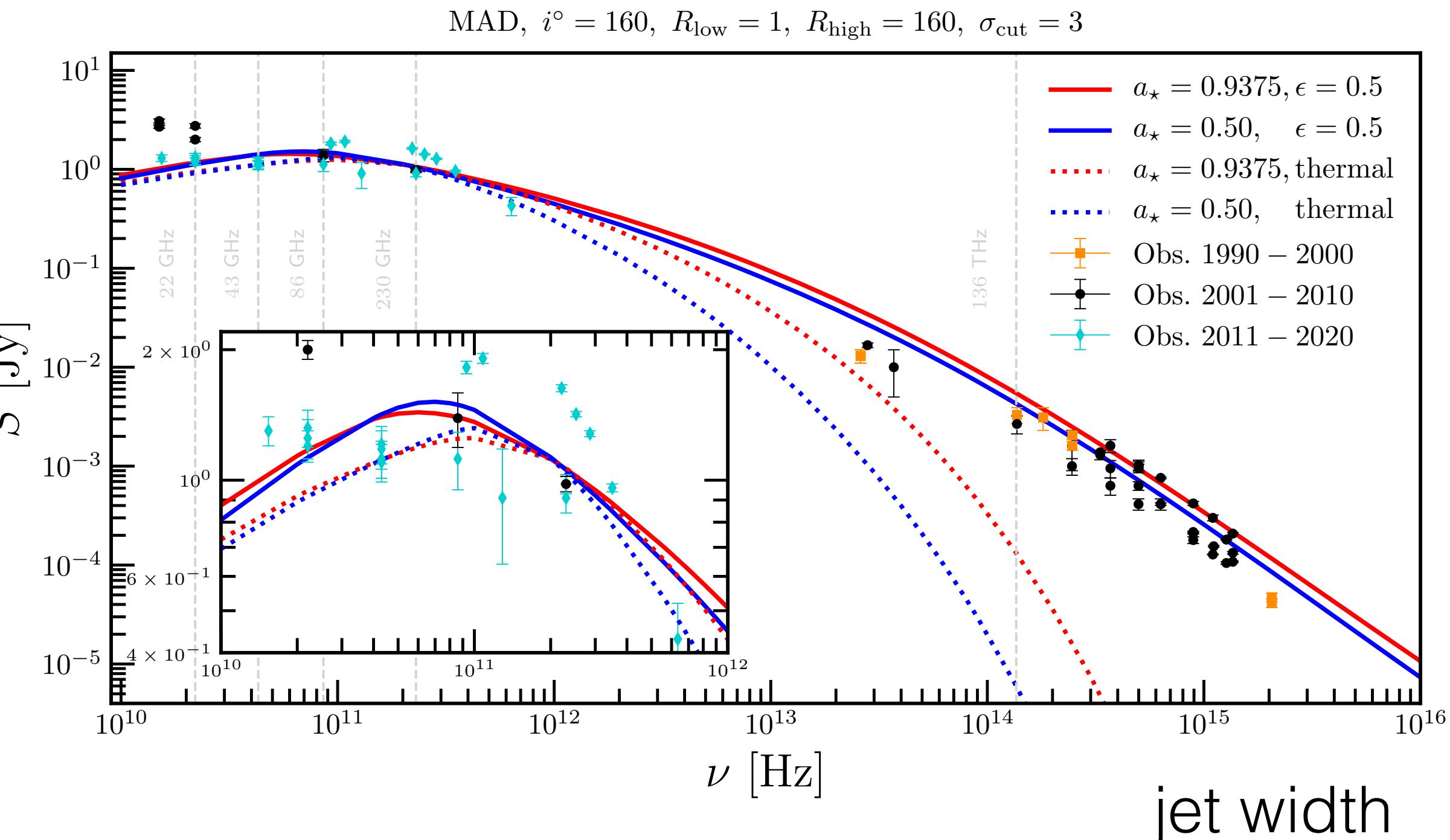
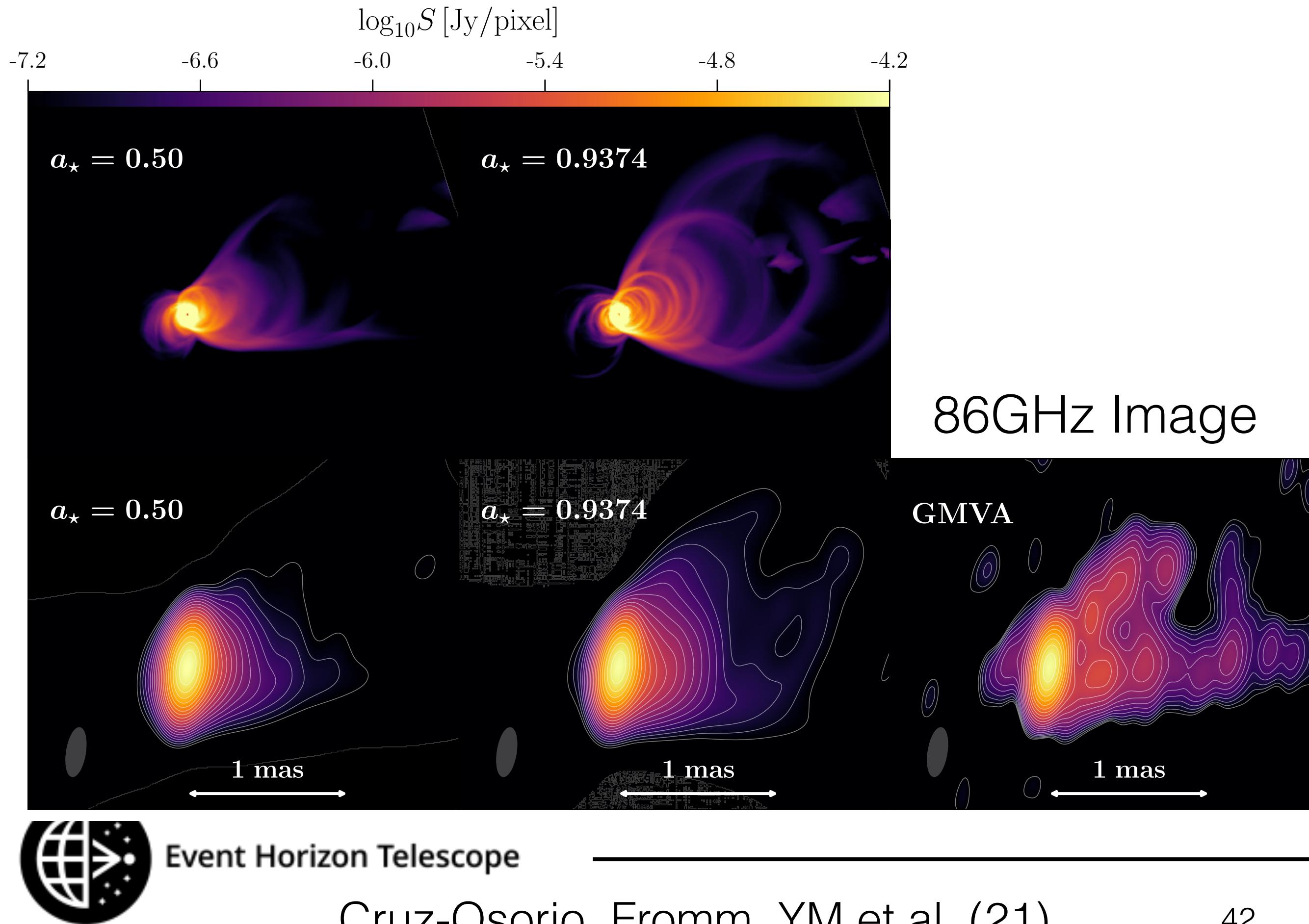


Event Horizon Telescope



M87 Jet Modeling at 86GHz

- 3D GRMHD simulations of MAD accretion flows + GRRT calculations (thermal + non-thermal eDF)
- MAD ($a=0.94$) fits SED & jet morphology at 86GHz



Summary

- EHT has seen a black hole shadow in M87 which is signature of light bending in Kerr BH metric and absorption by the event horizon
- From theoretical modeling, size, shape, & structure fit prediction of GR
- New polarized light is along bright ring structure of M87
- From model comparison, MAD accretion model is favoured than SANE
- Upcoming: Galactic center (Sgr A*) images
- In future, more and sharper images: +epoches (movies), +higher frequency, +new antennas
- New results will provide the possibility of testing theory of gravity via BH shadow images in more accurately.



Thank you for listening

